

Elastic control of the Mott transition

Richard Brierley, Cambridge University -> Yale -> Nature
Gian Guzmán-Verri, Argonne National Lab -> U Costa Rica
Peter Littlewood, Argonne and University of Chicago
Alex Edelman, University of Chicago

thanks to K Ahn, T Lookman, A Saxena, J F Scott, S S Saxena, J H Hancock

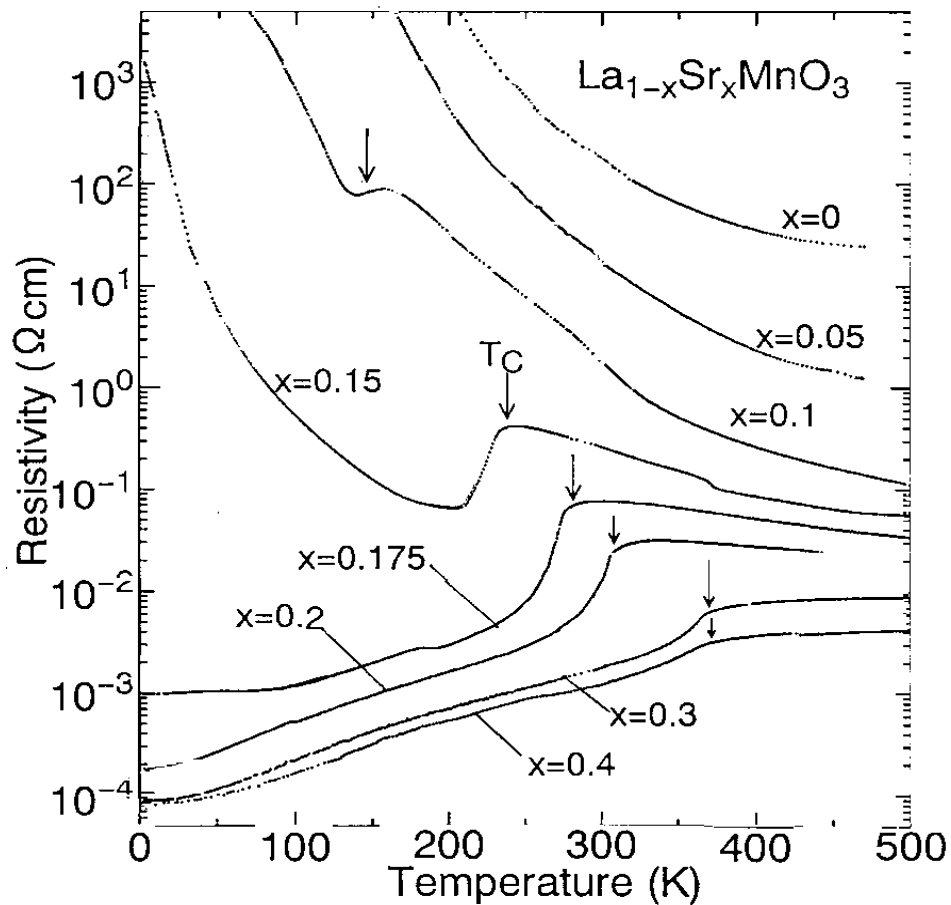
The “Mott” transition

- There are many classes of materials where Coulomb correlations cause a phase transition from a high temperature disordered metallic phase to a low temperature ordered insulating phase

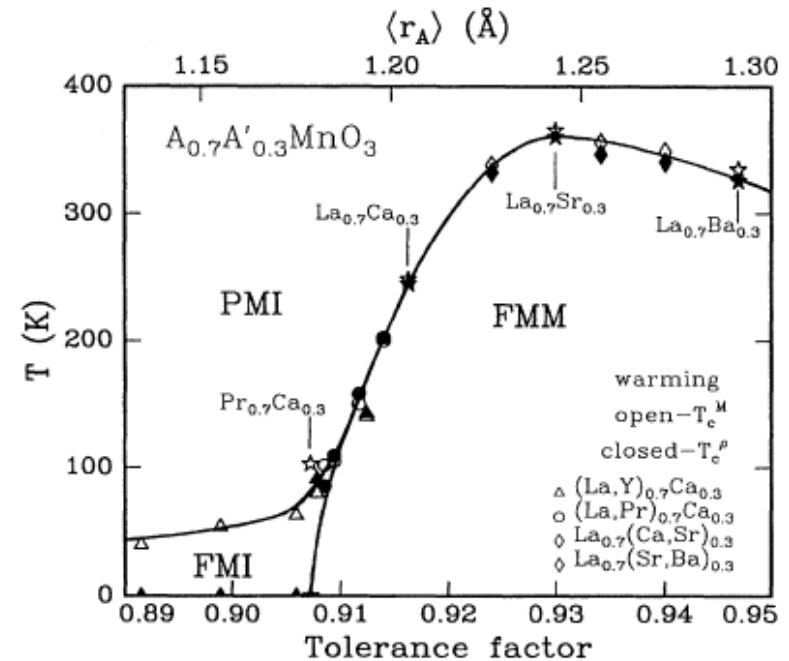
$$H = \sum_{ij} t_{ij} \hat{c}_i^\dagger \hat{c}_j + \sum_i U \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

- Paradigm is the Hubbard model
 - But many possible broken symmetries, spin, orbit, lattice, charge etc.
- Central dogma is that the important parameters are all electronic
 - Ratio of interaction to bandwidth “U/W”
 - Number of carriers in the band
 - Coupling to lattice degrees of freedom is mostly irrelevant, or simply renormalizes parameters

Cation size effect: Colossal magnetoresistance in manganites



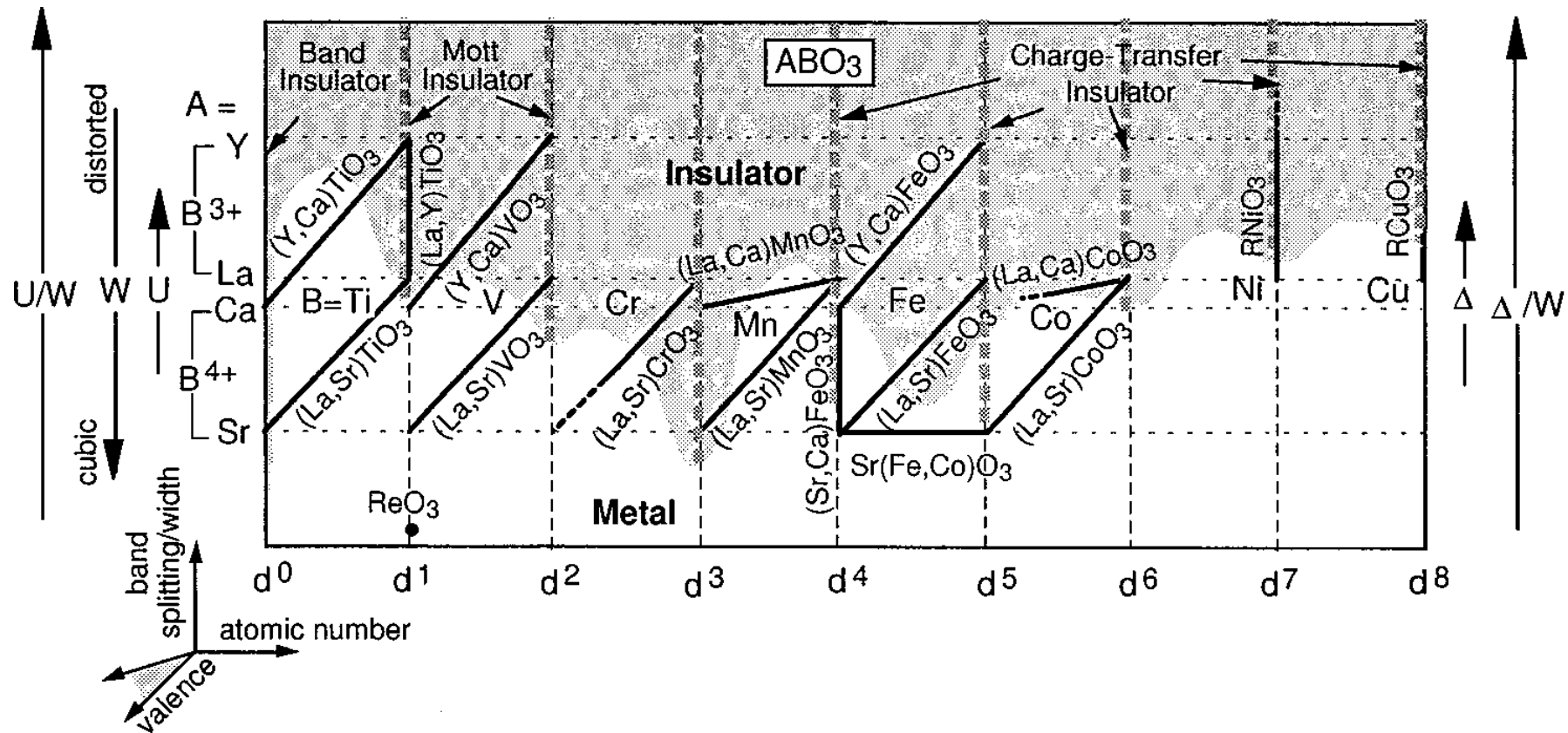
Urushibara et al 1995



Hwang et al, PRL 75, 914 (95)

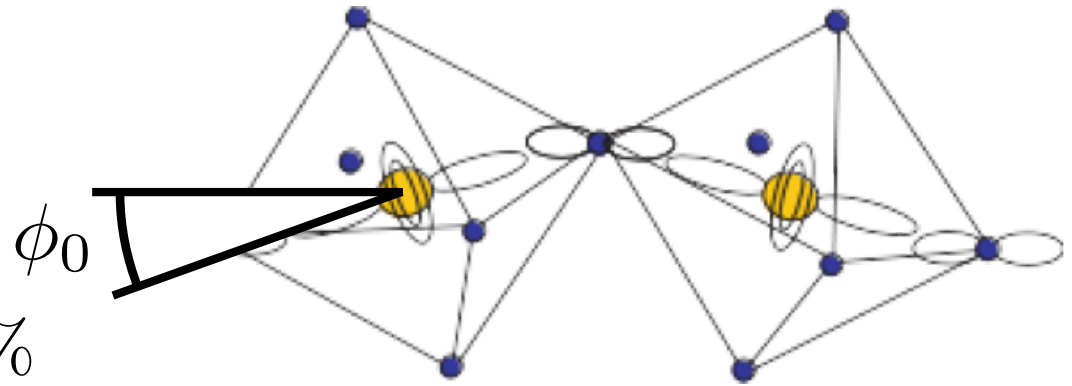
Magnetic transition temperature of $\text{Ln}_{0.7}\text{M}_{0.3}\text{MnO}_3$ varying size of the A-site cation at fixed doping

“Bandwidth control” of the Mott transition

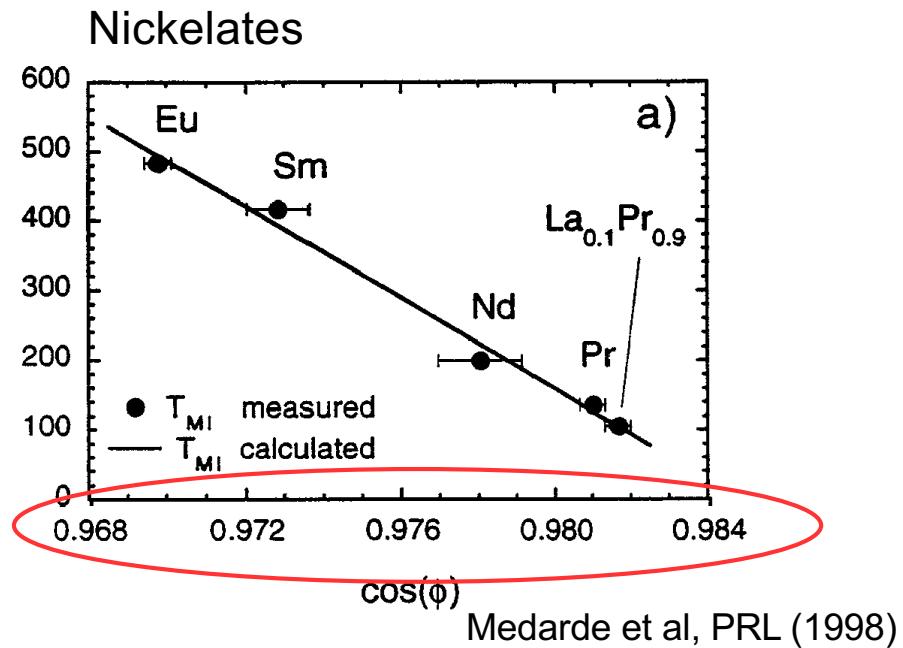
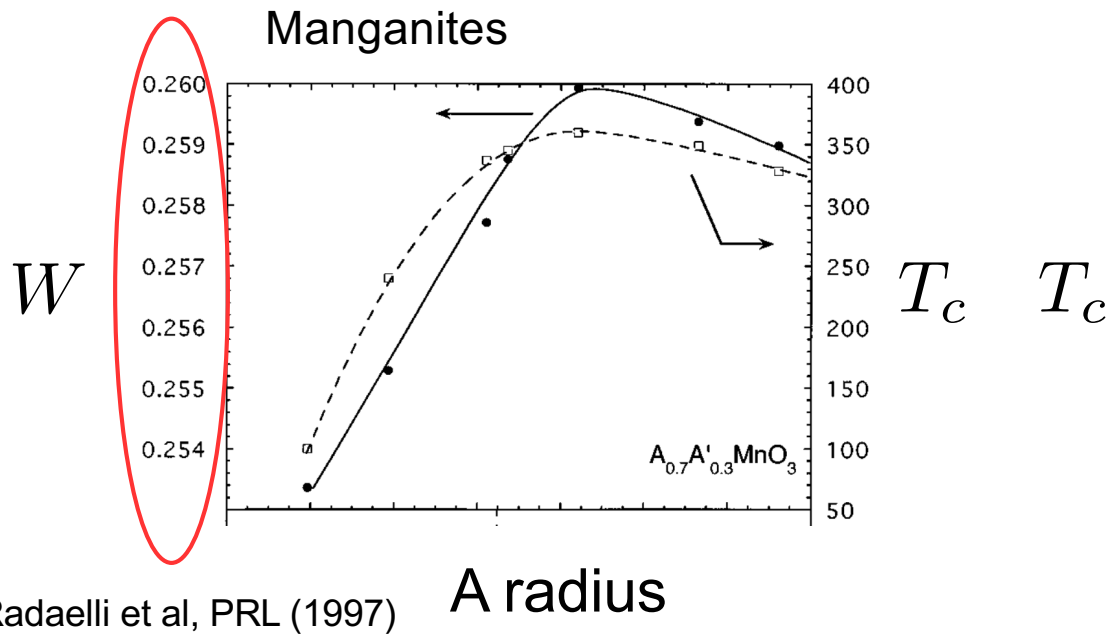


A schematic metal-insulator diagram for the filling-control (FC) and bandwidth-control (BC) 3d transition-metal oxides with perovskite structure. From Fujimori, 1992.

Bandwidth control



- Changes in W are $\sim 2\%$



Radaelli et al, PRL (1997)

Medarde et al, PRL (1998)

- Is there another mechanism that can contribute to the tilt dependence?

Outline

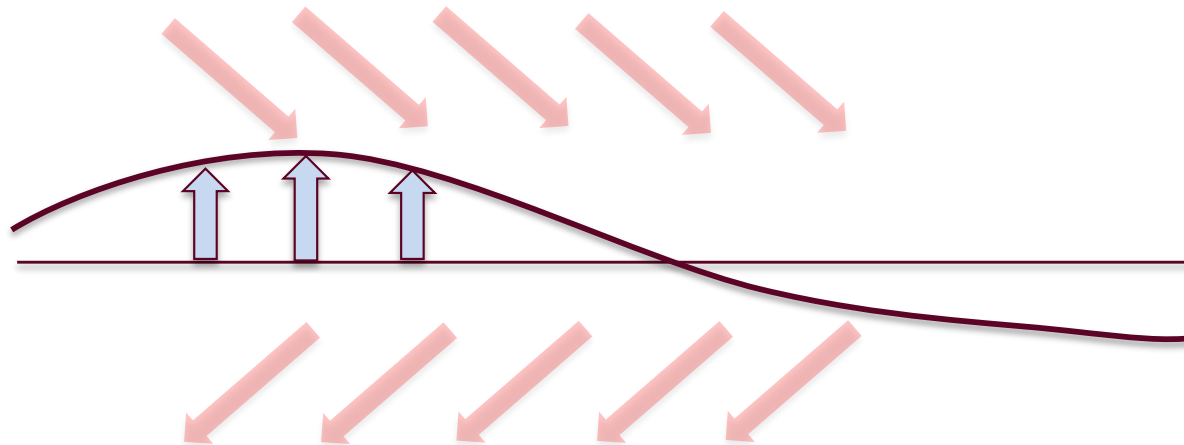
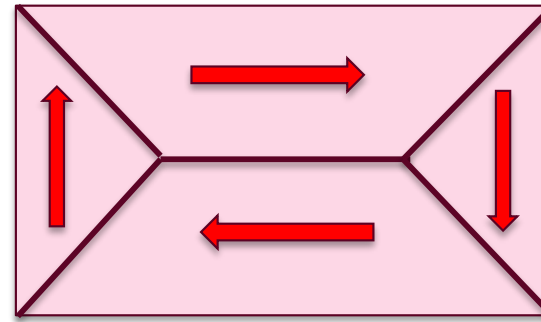
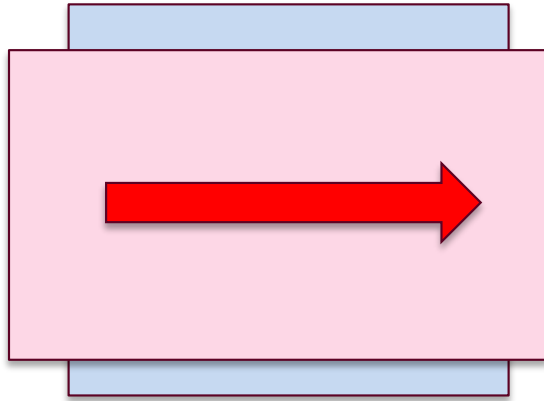
With few exceptions, all electronic phase transitions in solids are coupled to the lattice

Does this matter? Yes, in principle...

1. View from Ginzburg-Landau: Elastic interactions generate long-range couplings of the primary order parameter
 - toy example – domain walls in ferroelectrics
2. Can the strain be 'screened' by yet another coupled field
 - 'concertina' rotations
 - Cooperative Jahn-Teller in manganites
 - Mott transition in nickelates
 - revision of 'bandwidth-tuning' of the Mott transition
3. SrTiO_3 as a polaronic metal
 - See poster by Alex Edelman

The 90 degree domain wall in a pseudo-cubic ferroelectric

90° and 180° domain walls have no polarisation charge and no elastic stress



Domain wall tilting/curvature induces both Coulomb forces and elastic stress

What is the dispersion curve $\omega(k)$ of displacements of the wall?

2D elastic theory from Ginzburg-Landau

$$S = \int d\mathbf{r} \left[\underbrace{-(\partial_t \mathbf{P})^2 + \gamma(\nabla \mathbf{P})^2 + r|\mathbf{P}|^2 + u|\mathbf{P}|^4}_{\text{Polarization}} + \underbrace{\frac{a_1}{2}\phi_1^2 + \frac{a_2}{2}\phi_2^2 + \frac{a_3}{2}\phi_3^2}_{\text{Strain}} + \underbrace{q_2\phi_2(P_x^2 - P_y^2)}_{\text{Strain coupling}} \right] + \underbrace{\sum_{\mathbf{q}} \sum_{\alpha, \beta} \tilde{P}_\alpha \left(f_\alpha q_\alpha^2 \delta_{\alpha\beta} + g_{\alpha\beta} \frac{q_\alpha q_\beta}{q^2} + h_{\alpha\beta} q_\alpha q_\beta \right) \tilde{P}_\beta}_{\text{Dipolar interactions}}$$

$$\phi_1 = (\epsilon_{xx} + \epsilon_{yy})/\sqrt{2}, \quad \phi_2 = (\epsilon_{xx} - \epsilon_{yy})/\sqrt{2}, \quad \phi_3 = \epsilon_{xy}$$

$$\nabla^2 \phi_1 - (\partial_x^2 - \partial_y^2) \phi_2 - 2\sqrt{2} \partial_x \partial_y \phi_3 = 0 \quad \Longrightarrow \quad \boxed{\text{Elastic compatibility condition}}$$

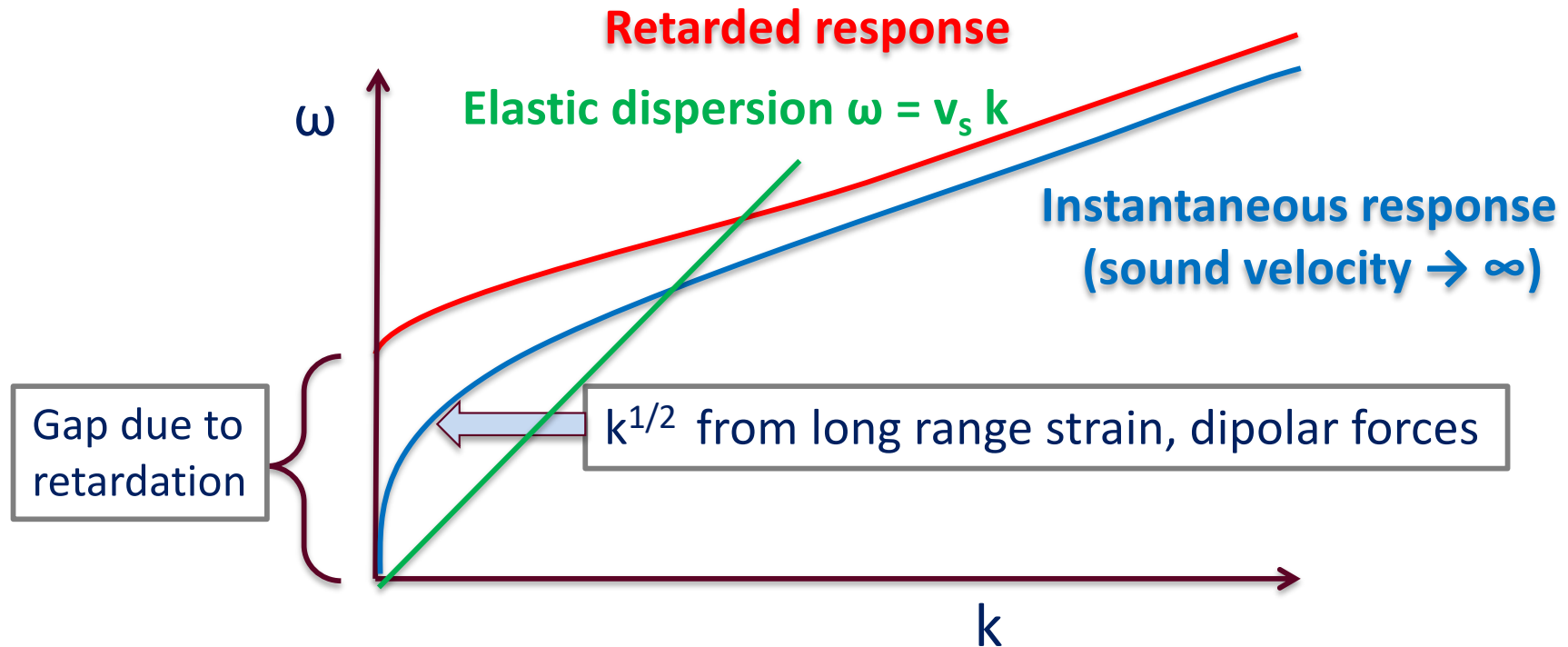
$$S = \int d\mathbf{r} [\gamma(\nabla \mathbf{P})^2 + r|\mathbf{P}|^2 + u|\mathbf{P}|^4] - \frac{m_2}{2} \int d\mathbf{k} |\Gamma(\mathbf{k})|^2 H(\mathbf{k}) + \sum_{\alpha, \beta} \tilde{P}_\alpha \left(g \frac{q_\alpha q_\beta}{q^2} + h_{\alpha\beta} q_\alpha q_\beta \right) \tilde{P}_\beta$$

$$H(\mathbf{k}) = 1 - \frac{(D\Omega^4 + \Omega^2(k_x^2 + k_y^2) + \frac{4}{A}k_x^2 k_y^2)}{(k_x^2 + k_y^2)^2 + Ck_x^2 k_y^2 + D\Omega^4 + \Omega^2 k_x^2 (1 + D)}$$

$$\Gamma(\mathbf{k}) = \int d\mathbf{r} e^{i\mathbf{k}\cdot\mathbf{r}} (P_x(\mathbf{r})^2 - P_y(\mathbf{r})^2)$$

Infinite range,
retarded, strain-
mediated coupling

Mode dispersion for small amplitude, long wavelength displacements



$k^{1/2}$ dispersion is analogous to 2D plasmon

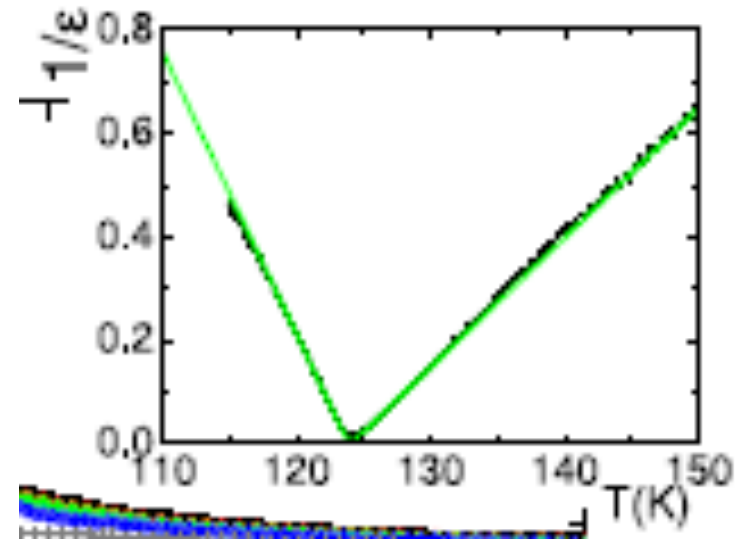
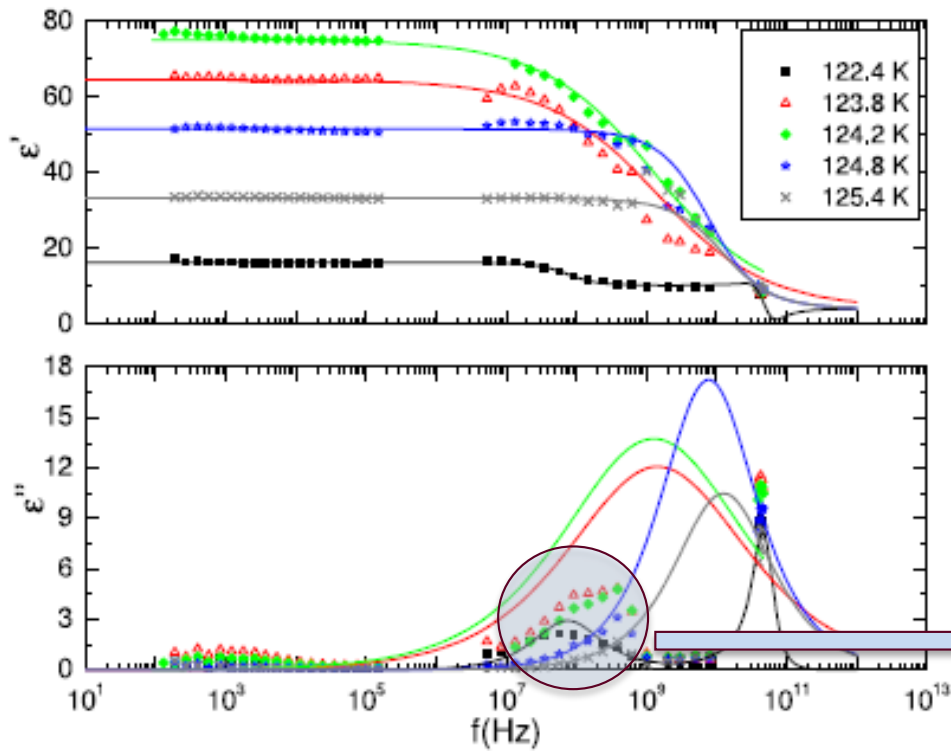
Gap is analogous to plasmon-polariton

Gap magnitude \sim shift in T_c by clamping of strain

Soft mode dynamics in TSCC

R Mackeviciute¹, M Ivanov¹, J Banys¹, Nikola Novak²,
Zdravko Kutnjak², Magdalena Wencka³ and J F Scott⁴

J. Phys.: Condens. Matter 25 (2013) 212201



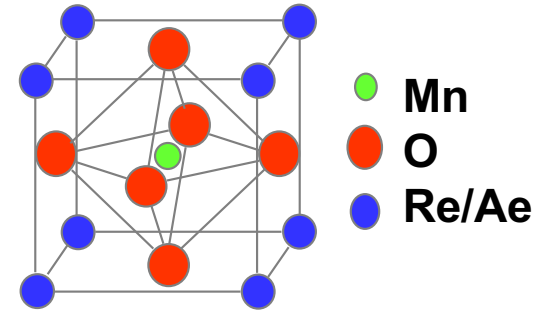
“Below T_c a strong relaxational process is observed at frequencies from 100 MHz to 1 GHz. This is almost certainly related to domain wall dynamics.”

Is there a way to screen strain fields, analogous to screening charges?

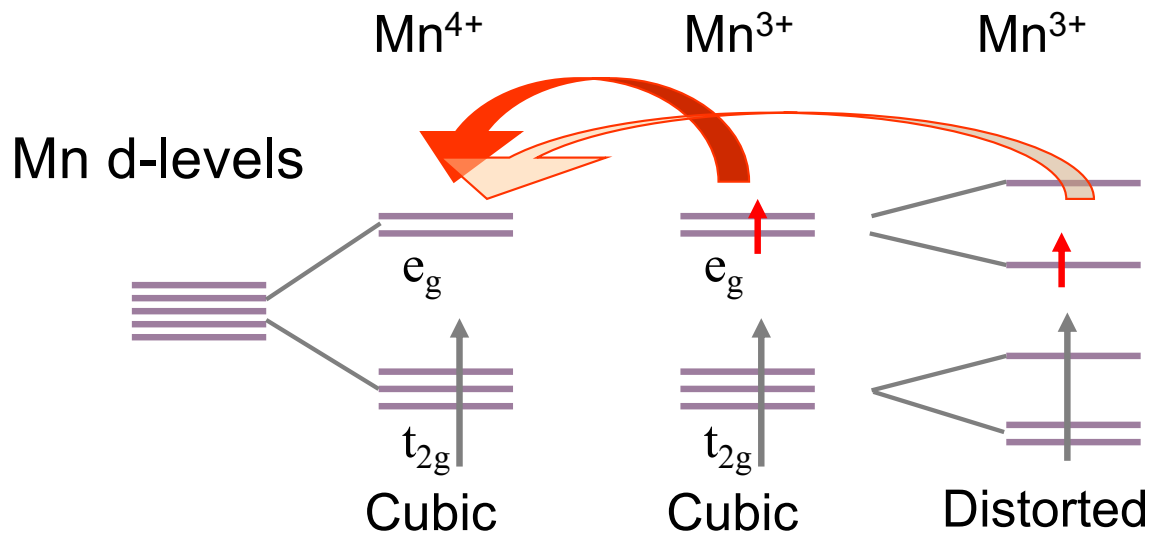
Case study: perovskite manganites and size control of colossal magnetoresistance (CMR)

Perovskite manganites

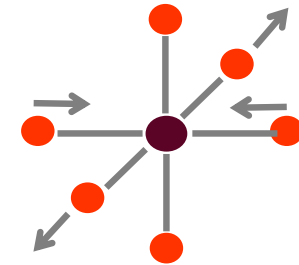
- A “doped” oxide - e.g. $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ where the formal valence of Mn varies between Mn^{3+} and Mn^{4+}
- A “strongly correlated” electron system close to a (Mott) metal-insulator transition



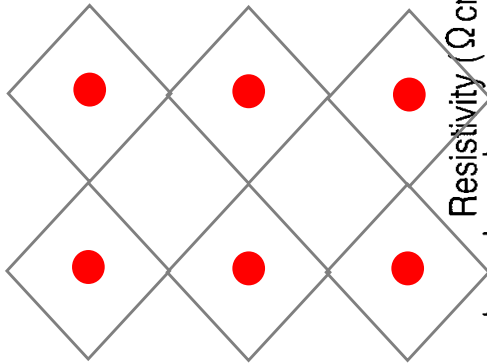
Hopping - aligns core moments and leads to ferromagnetic metal



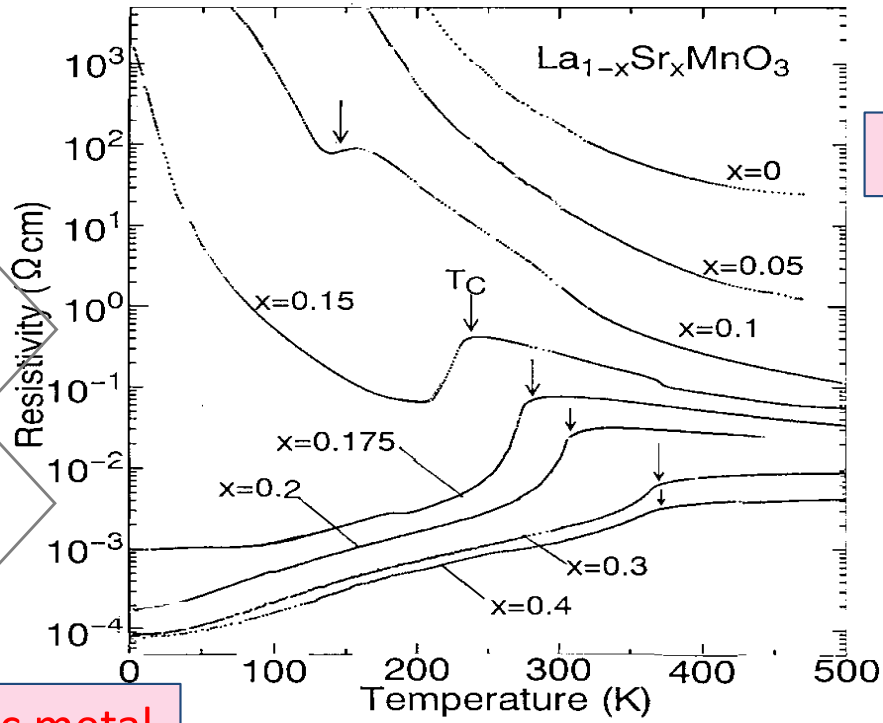
Jahn-Teller distortion suppresses hopping



Leads to insulating state with orbital and/or charge order

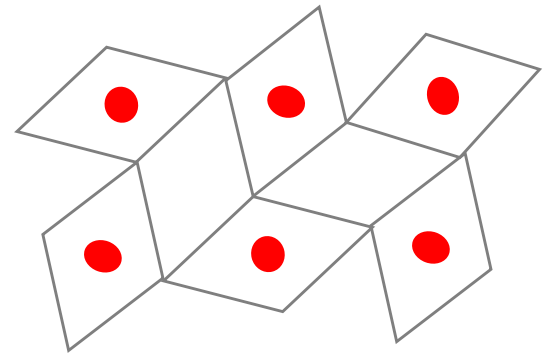


Uniform ferromagnetic metal

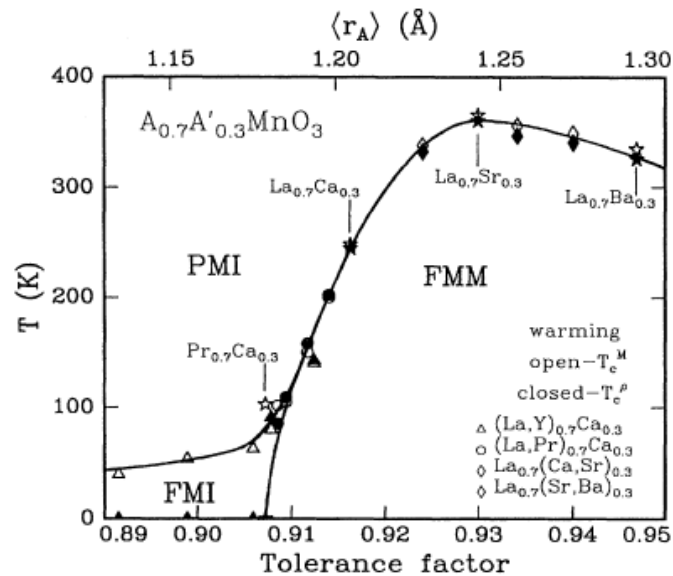


Shibara et al 1995

liquid of Jahn-Teller polarons

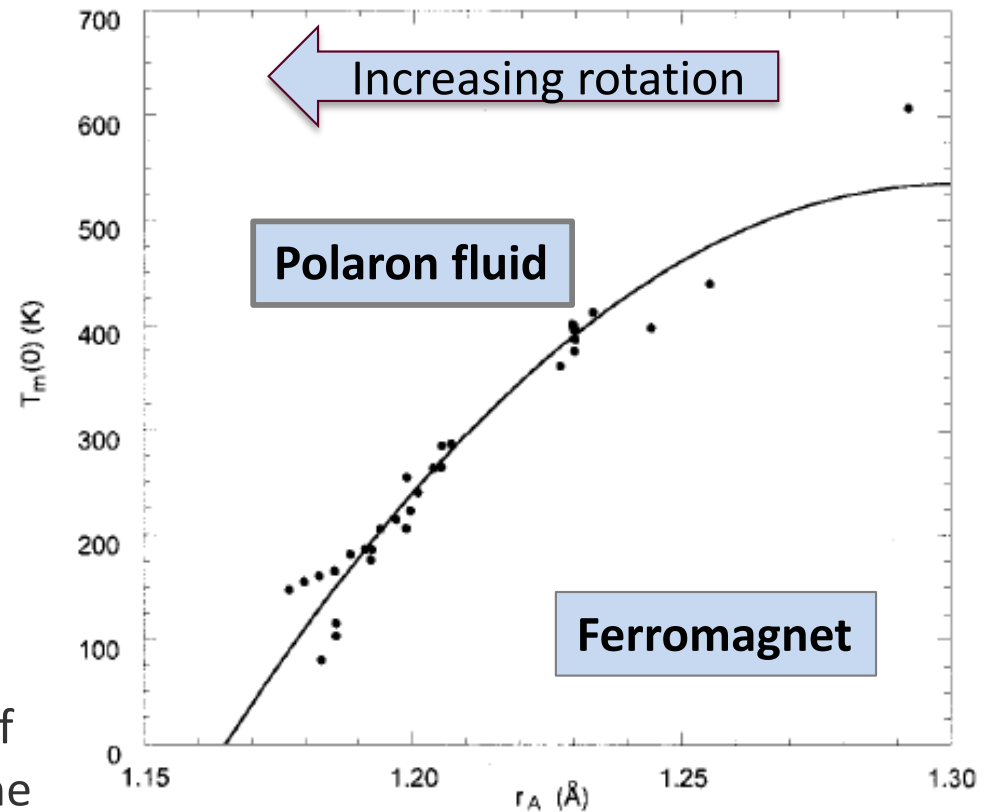


Colossal magnetoresistance in manganites controlled by size of A-site cation



Hwang et al, PRL 75, 914 (95)

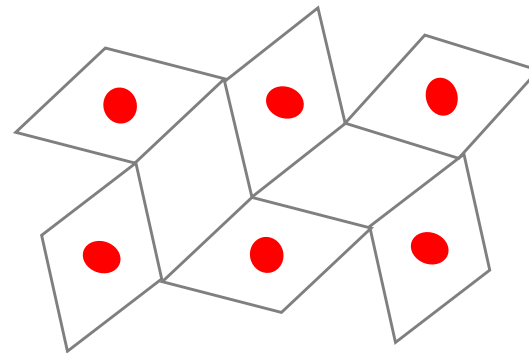
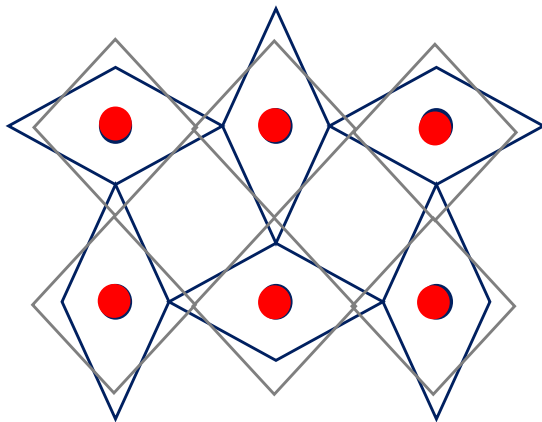
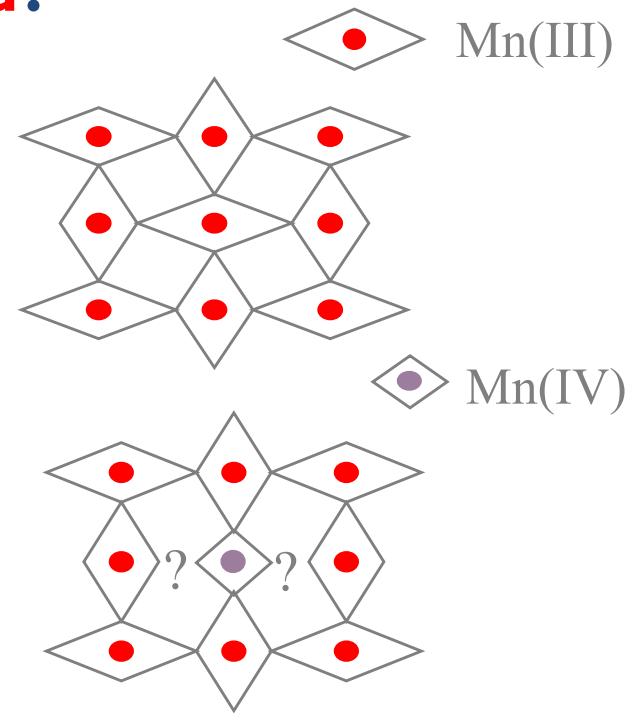
Magnetic transition temperature of $Ln_{0.7}M_{0.3}MnO_3$ varying size of the A-site cation at fixed doping



Rodriguez-Martinez and Attfield PRB 54 15622 (96)

How do you make a polaron liquid?

- In a cubic system, a local J-T distortion propagates to infinity ... can this be screened?
- With rotations of octahedra inhomogeneous patterns are allowed



“Jamming” transition in manganites

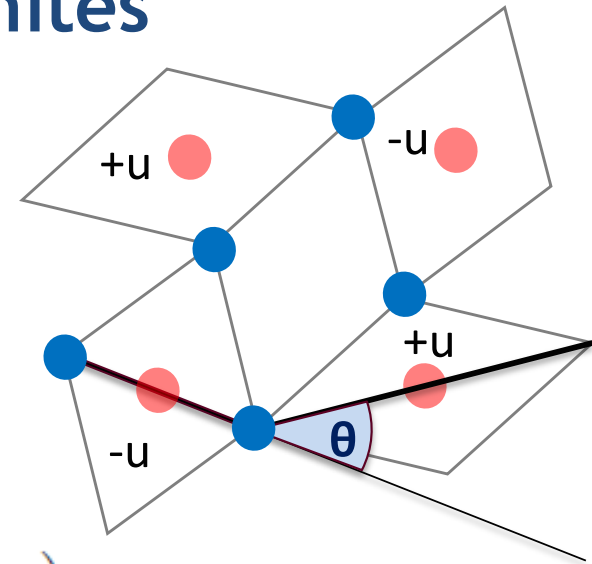
(staggered) Jahn-Teller order parameter u

Bond angle deviation on Oxygen θ

Allow for equilibrium rotation θ_0

Other degrees of freedom rigid

Consider volume strain only



$$F = \int d\mathbf{r} \left(\frac{1}{2}\alpha u^2 + \frac{1}{4}\beta u^4 + \frac{1}{2}\gamma(\nabla u)^2 + \frac{1}{2}K(\theta - \theta_0)^2 \right)$$

$$\phi_1 = \epsilon_{xx} + \epsilon_{yy} = \theta^2 + \frac{\partial u}{\partial x} + \frac{\partial u}{\partial y} \quad \Longrightarrow$$

Volume strain

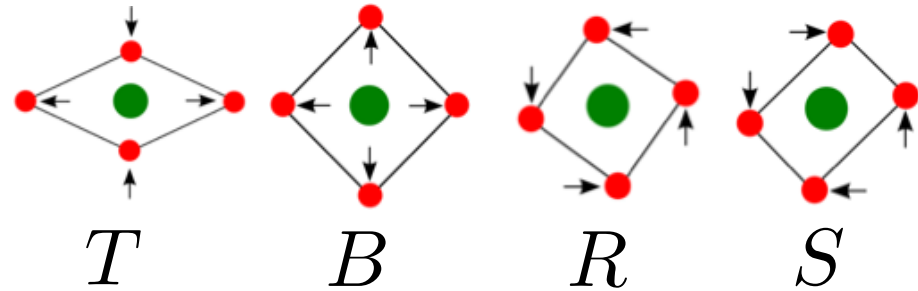
$$\nabla^2 \left[\theta^2 + \partial_x u + \partial_y u \right] = 0 \quad \Longrightarrow$$

Elastic compatibility condition

$$\rightarrow \nabla^2 \left[\theta + \frac{\partial_x u + \partial_y u}{2\theta_0} \right] = 0 \quad \Longrightarrow \quad \gamma \rightarrow \gamma + \frac{K}{2\theta_0^2}$$

(linear) rigidity diverges as equilibrium rotation $\rightarrow 0$

Microscopic theory of effective interaction



- Decompose elastic interaction into local modes

$$H = \sum_{\mathbf{k}} a_T |T_{\mathbf{k}}|^2 + a_B |B_{\mathbf{k}}|^2 + a_S |S_{\mathbf{k}}|^2$$

- Optimize with one other variable to give effective interaction
- Increase in ϕ_0 changes strength of strain interaction

$$H_{\text{Ni}} = \sum_{\mathbf{k}} \left[a_B + \frac{a_S a_T (t_x^2 + t_y^2)^2}{4a_T t_x^2 t_y^2 + a_S (t_x^2 - t_y^2)^2 + (a_T - a_S) (t_x^2 - t_y^2)^2 \sin^2 2\phi_0} \right] |B_{\mathbf{k}}|^2$$

$$H_{\text{Mn}} = \sum_{\mathbf{k}} \left[a_T + \frac{a_B a_S \cos^2 2\phi_0 (t_x^2 - t_y^2)^2}{4a_B t_x^2 t_y^2 + a_S (t_x^2 + t_y^2)^2 + a_B (t_x^2 - t_y^2)^2 \sin^2 2\phi_0} \right] |T_{\mathbf{k}}|^2$$

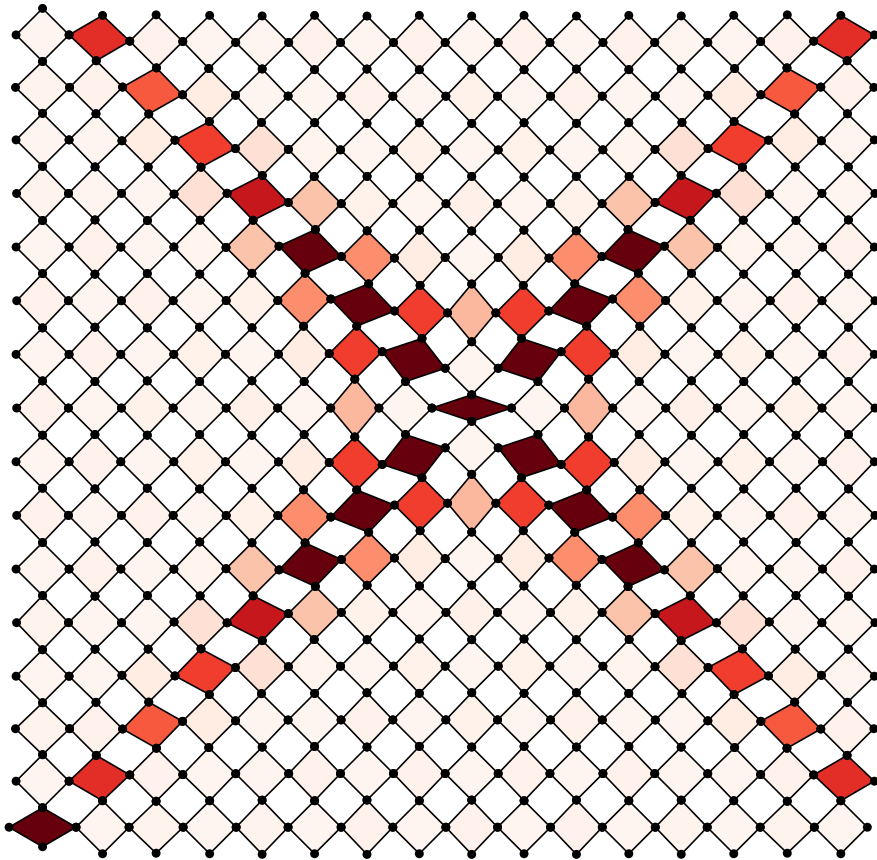
$$V(\mathbf{k})$$

$$t_i = \tan \frac{k_i L}{2}$$

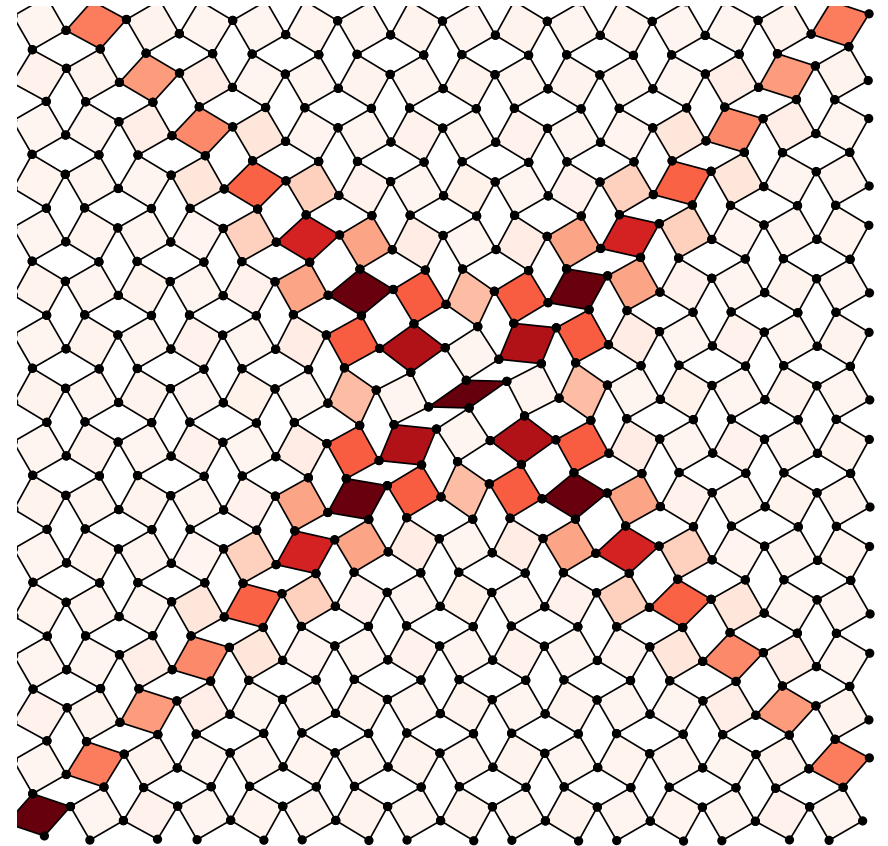
Cartoon of single Jahn-Teller polaron

$$a_T = 1, a_S = 15, a_B = 10^8$$

$$\phi_0 = 0$$



$$\phi_0 = 15^\circ$$



Model for transition

- Self-consistent phonon model

$$H = \sum_i -\kappa T_i^2 + \gamma T_i^4 + h_i T_i + \sum_{\mathbf{k}} V(\mathbf{k}) |T_{\mathbf{k}}|^2$$

- Estimate free energy variationally

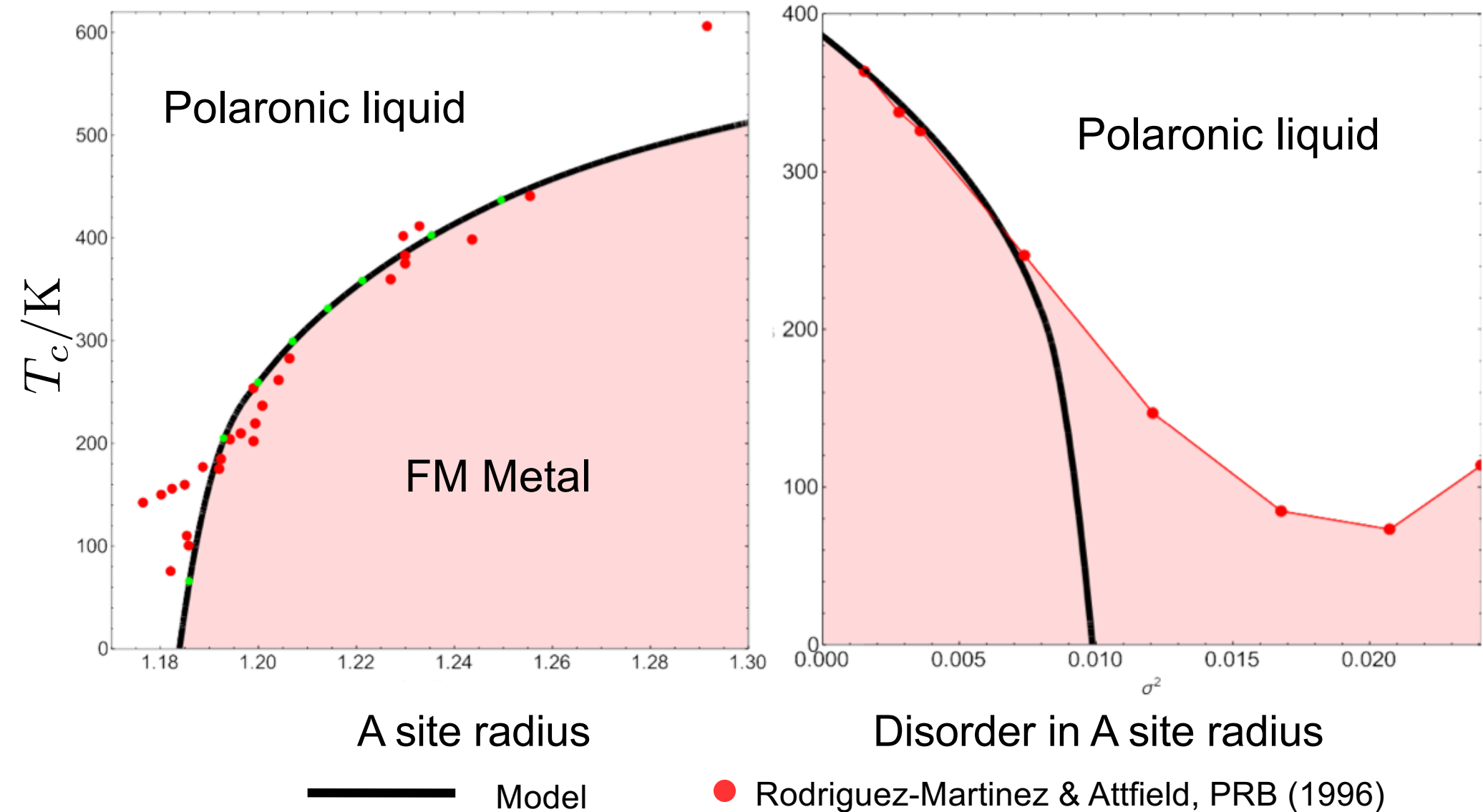
$$\overline{F}_{\text{var}} = \overline{\langle H \rangle} + T \overline{\langle k_B \ln \rho_{\text{var}} \rangle}$$

$$\rho_{\text{var}} \propto \exp \left[-\frac{\beta}{2} \left(\sum_{\mathbf{k}} \frac{\Pi_{\mathbf{k}}^2}{2M} + \frac{1}{2M} \Omega(\mathbf{k}) |T_{\mathbf{k}}|^2 - \sum_i h_i T_i \right) \right]$$

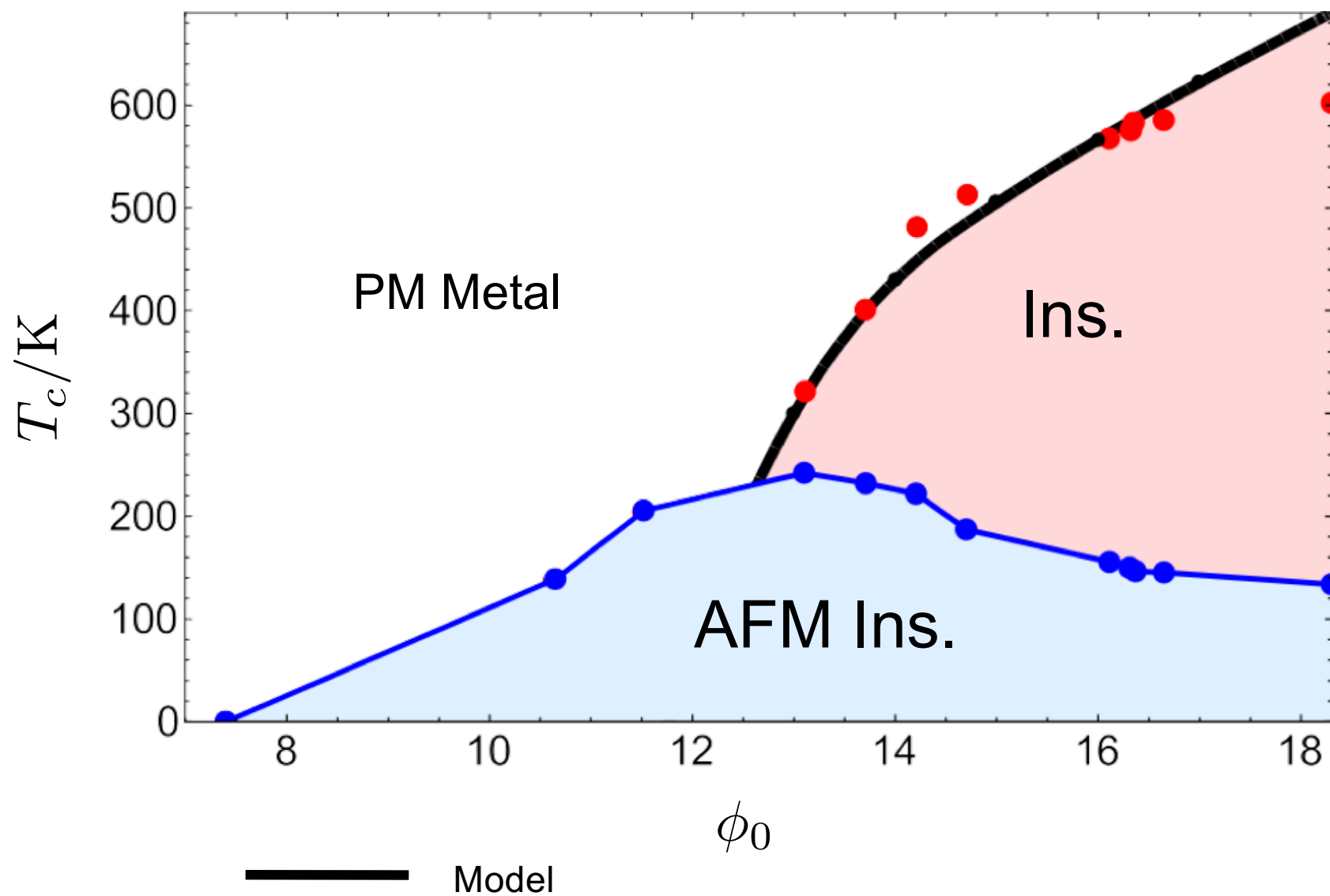
Gillis et al, Phys. Rev. (1968)

Guzman-Verri et al, PRB (2013)

Manganites: T_c variation with both average rotation and disordered rotation



Rare-earth Nickelates ReNiO_3



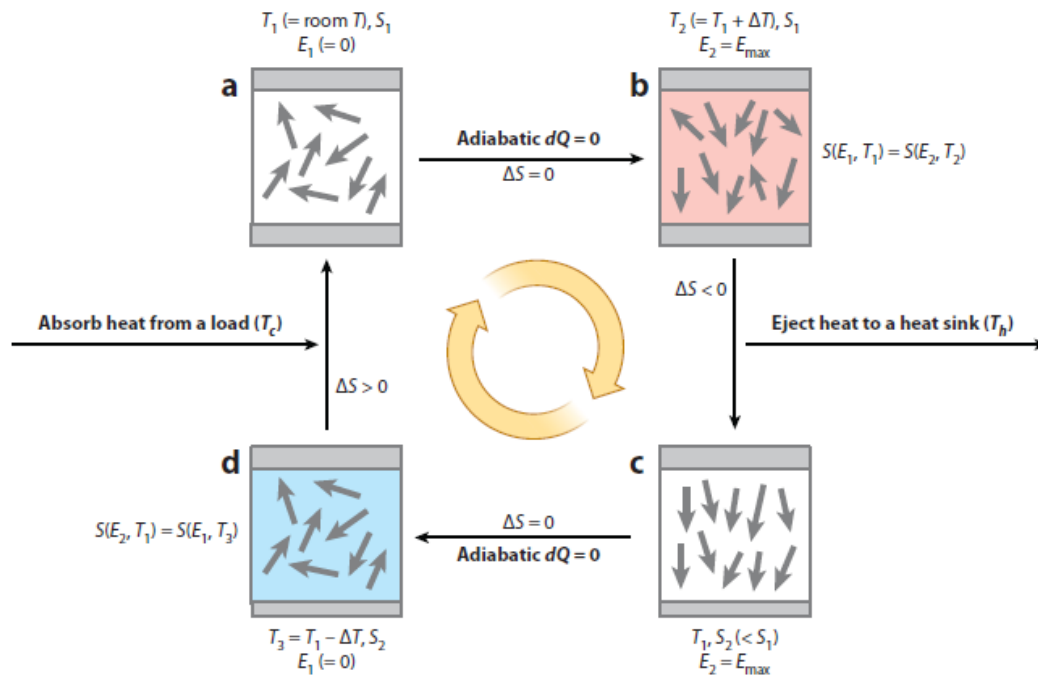
Practical advantages - where this matters?
Solid state refrigeration
Battery electrodes
Superconductivity

Electro-caloric effect and refrigeration

Electrocaloric effect = change in temperature in response to an electric field

Largest entropy changes if dipoles weakly coupled

.... but then one needs to apply electric fields to overcome thermal energies at high T

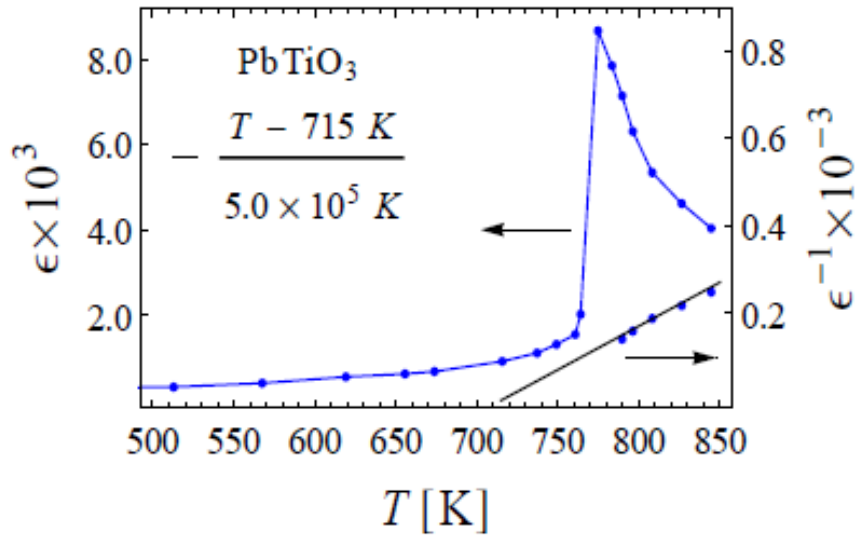


Non-equilibrium effect so needs a model

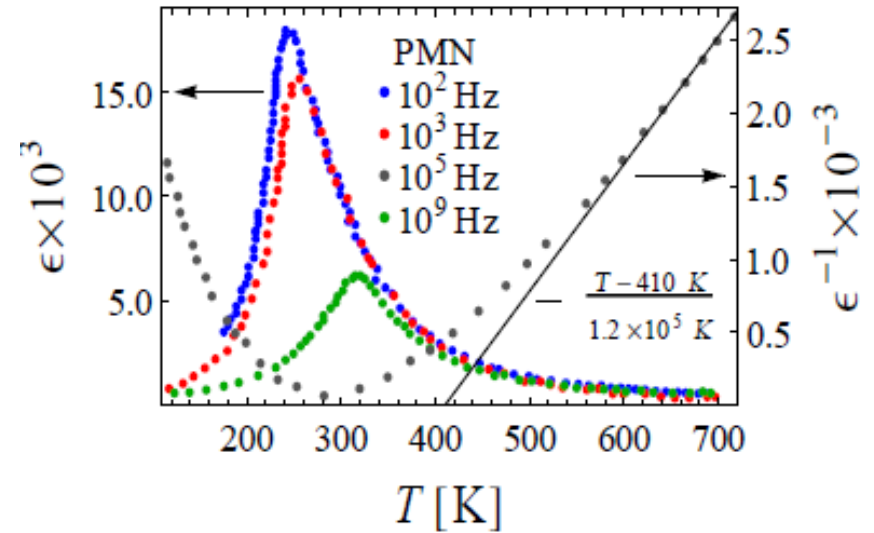
Here – relaxor dynamics from Guzman-Verri and Varma, arXiv:1212.3402

From ferroelectrics to relaxors? - broadening and modest enhancement at low fields

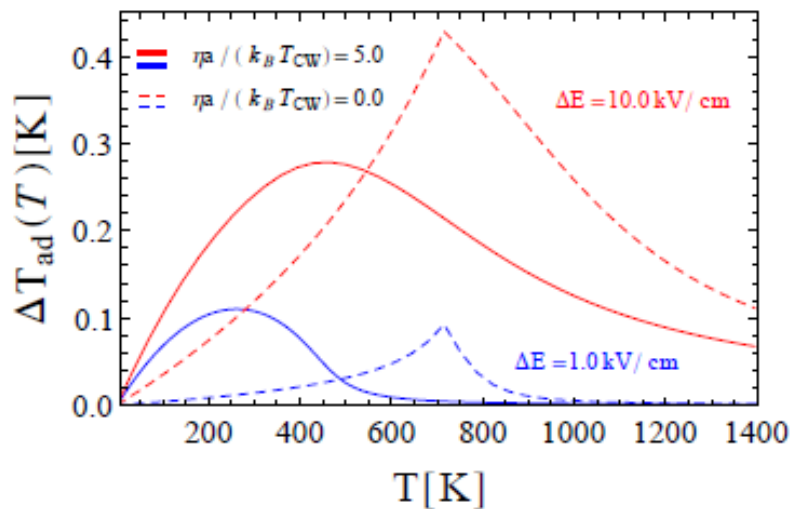
Ferroelectric



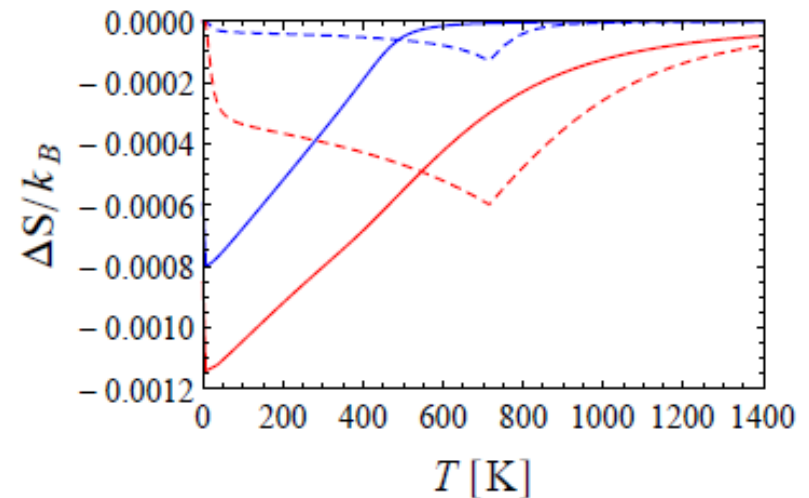
Relaxor



Adiabatic temperature change



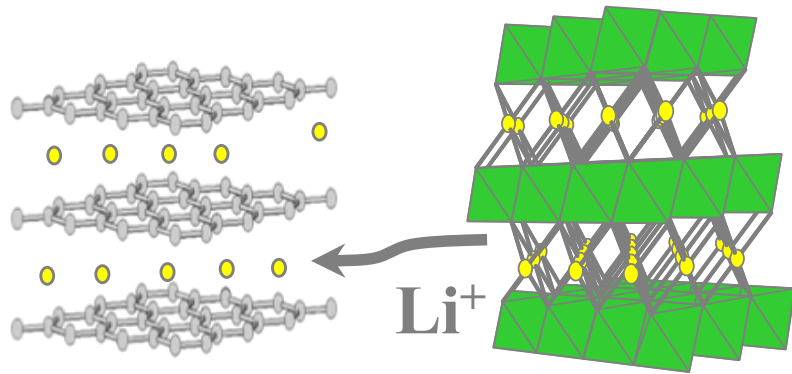
Isothermal entropy change



Tuning ionic mobilities in battery electrodes

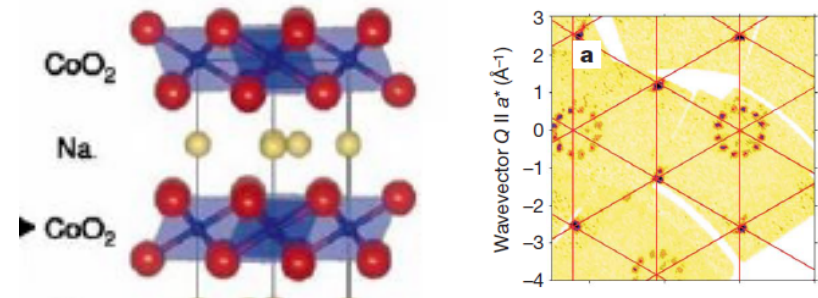
Li-ion battery, commercialised by Sony in 1991

$\text{Li}_x \text{C}_6$ (anode) / $\text{Li}_{1-x} \text{CoO}_2$ (cathode)
 x limited to ~ 0.5

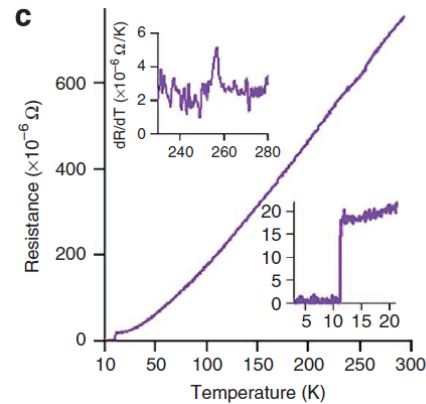
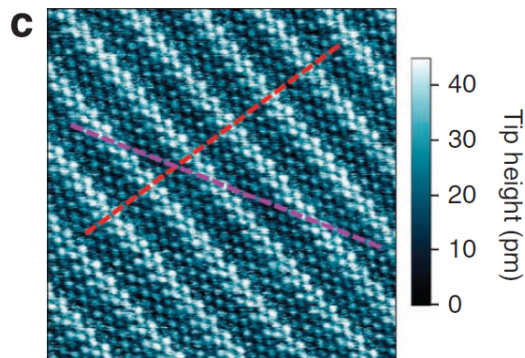


CaC_6
 12K superconductor; 250K CDW

$\text{Na}_x \text{CoO}_2$
 Enhanced thermopower, 5K superconductor
 vacancy ordered phases



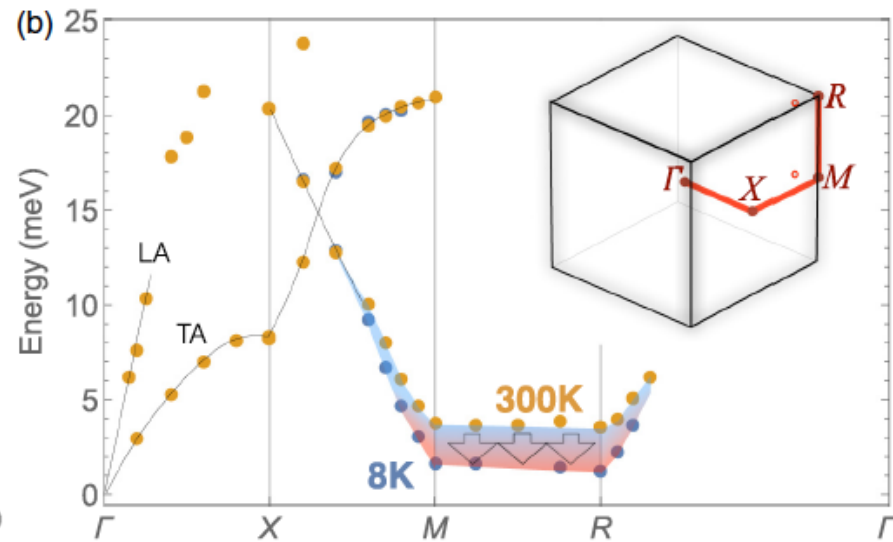
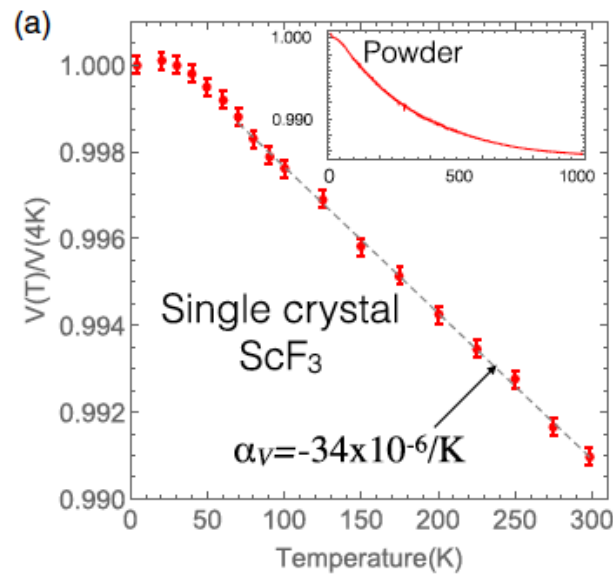
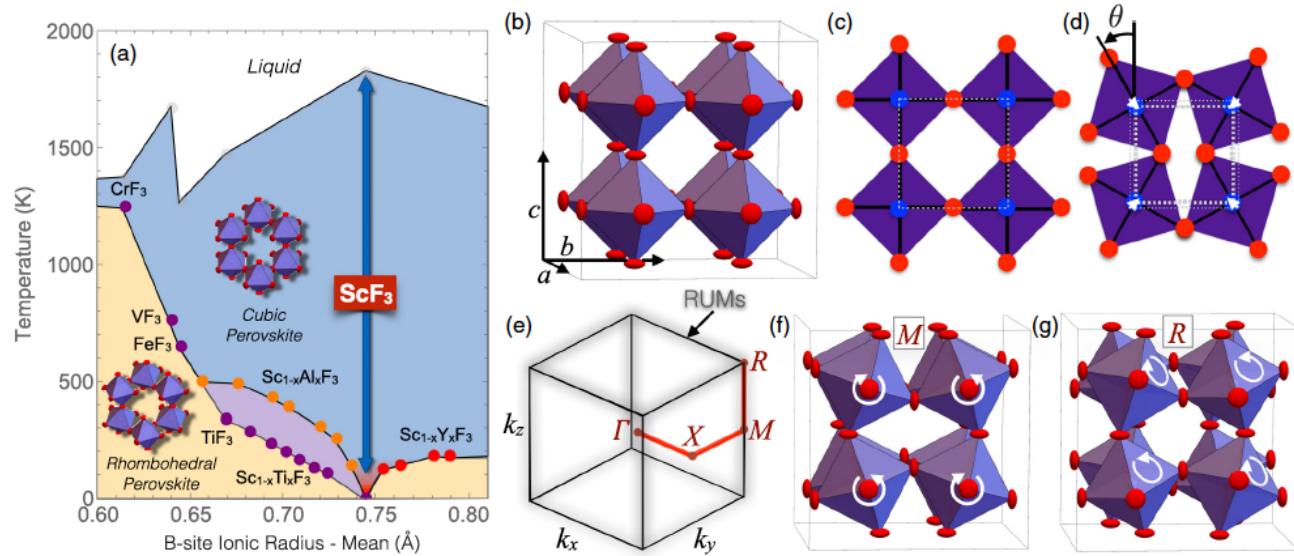
Roger et al. 2007 doi:10.1038/nature05531



Rahnejat et al 2011 DOI: 10.1038/ncomms1574

ScF₃ - quantum criticality for phonons

Sahan U. Handunkanda,¹ Erin B. Curry,¹ Vladimir Voronov,² Ayman H. Said,³ Gian G. Guzmán-Verri,^{4,5} Richard T. Brierley,⁶ Peter B. Littlewood,^{7,8} and Jason N. Hancock^{1,*}



ScF₃ predicted to have substantial barocaloric effect

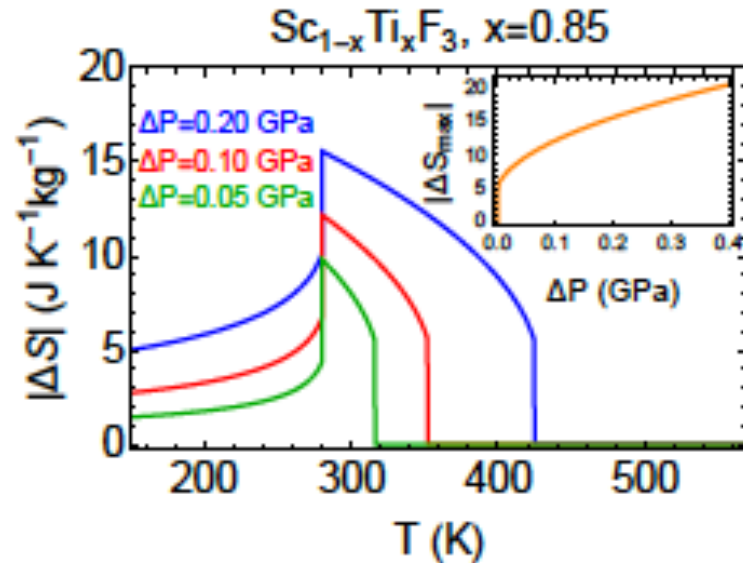


TABLE II. Transition temperatures (T_c), isothermal entropy changes (ΔS), isothermal heats ($Q = T_c \Delta S$), pressure changes (ΔP), caloric strengths ($\Delta S/\Delta P$), refrigerant capacity (RC), and $T - P$ slope (dT_c/dP) of giant barocaloric materials.

Compound	T_c [K]	ΔS [$\text{JK}^{-1}\text{kg}^{-1}$]	Q [kJkg^{-1}]	ΔP [GPa]	$\frac{\Delta S}{\Delta P}$ [$\text{JK}^{-1}\text{kg}^{-1}\text{GPa}^{-1}$]	RC [Jkg^{-1}]	$\frac{dT_c}{dP}$ [KGPa^{-1}]	Ref.
Ni _{49.26} Mn _{36.08} In _{14.66}	293	24	7.0	0.26	92	120	18	28
LaFe _{11.33} Co _{0.47} Si _{1.2}	237	8.7	2.0	0.20	43.5	81	73	29
(NH ₄) ₃ MoO ₃ F ₃	297	55	16.3	0.5	110	5200	202	30
Gd ₅ Si ₂ Ge ₂	270	11	2.9	0.20	55	180	32	31
Fe ₄₉ Rh ₅₁	308	12.5	3.8	0.11	114	105	54	32
Mn ₃ GaN	285	21.6	6.2	0.09	240	125	65	33
(NH ₄) ₂ SO ₄	219	60	13.2	0.10	600	276	45	34
BaTiO ₃	400	1.6	0.64	0.10	16	10	-58	35
[TPrA] [Mn(dca) ₃]	330	35.1	11.6	0.00689	5094	62	231	36
Sc _{1-x} Ti _x F ₃ ($x = 0.85$)	283	12	3.4	0.10	120	406	723	This work

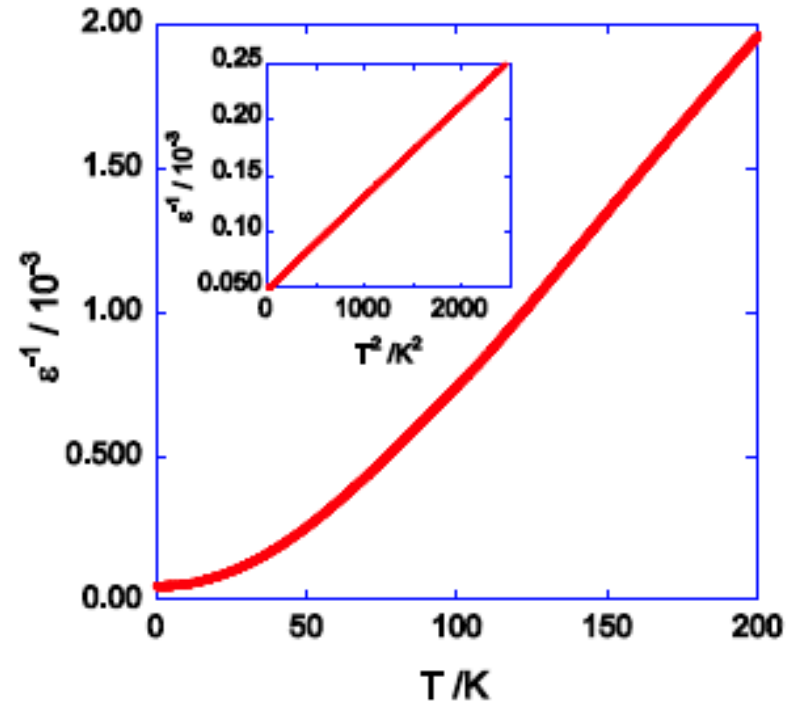
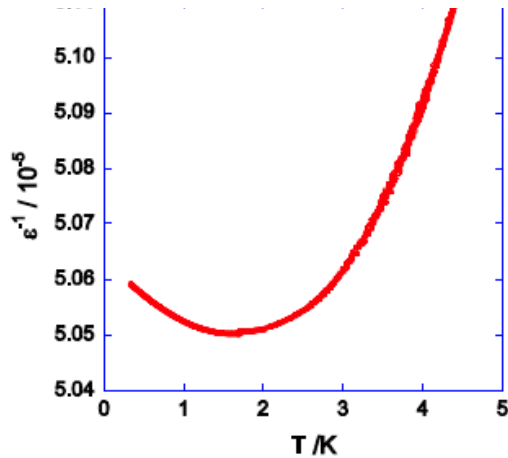
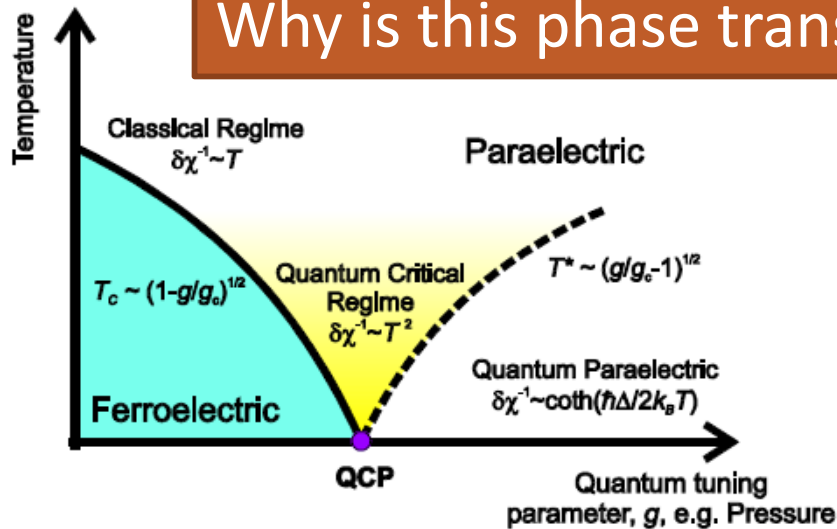
A. Corrales-Salazar, R. T. Brierley, P. B. Littlewood, G. G. Guzmán-Verri
 Phys. Rev. Materials 1, 053601 (2017)

Quantum Criticality in ferroelectrics

S.E. Rowley¹, L.J. Spalek¹, R.P. Smith¹, M.P.M. Dean¹, G.G. Lonzarich¹, J.F. Scott² and S.S. Saxena¹

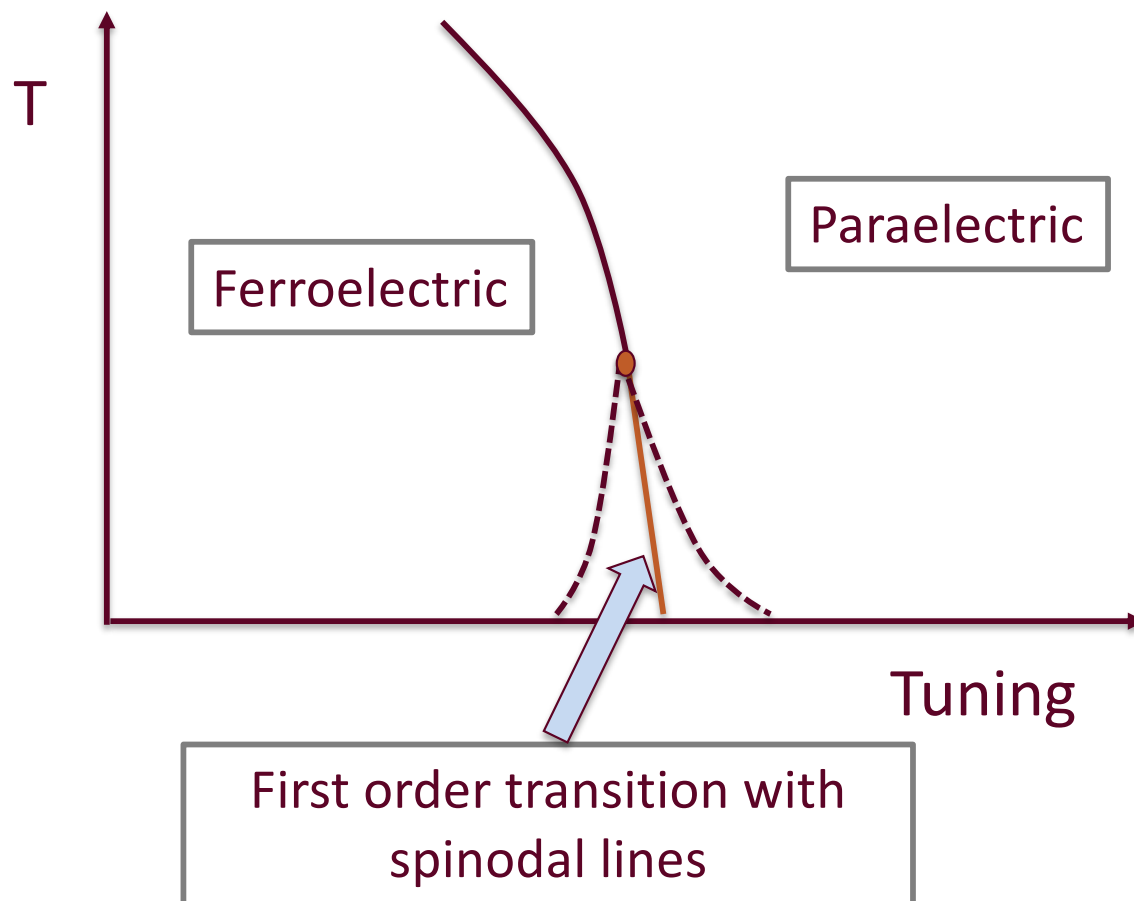
ArXiv:0903.1445

Why is this phase transition not first order?



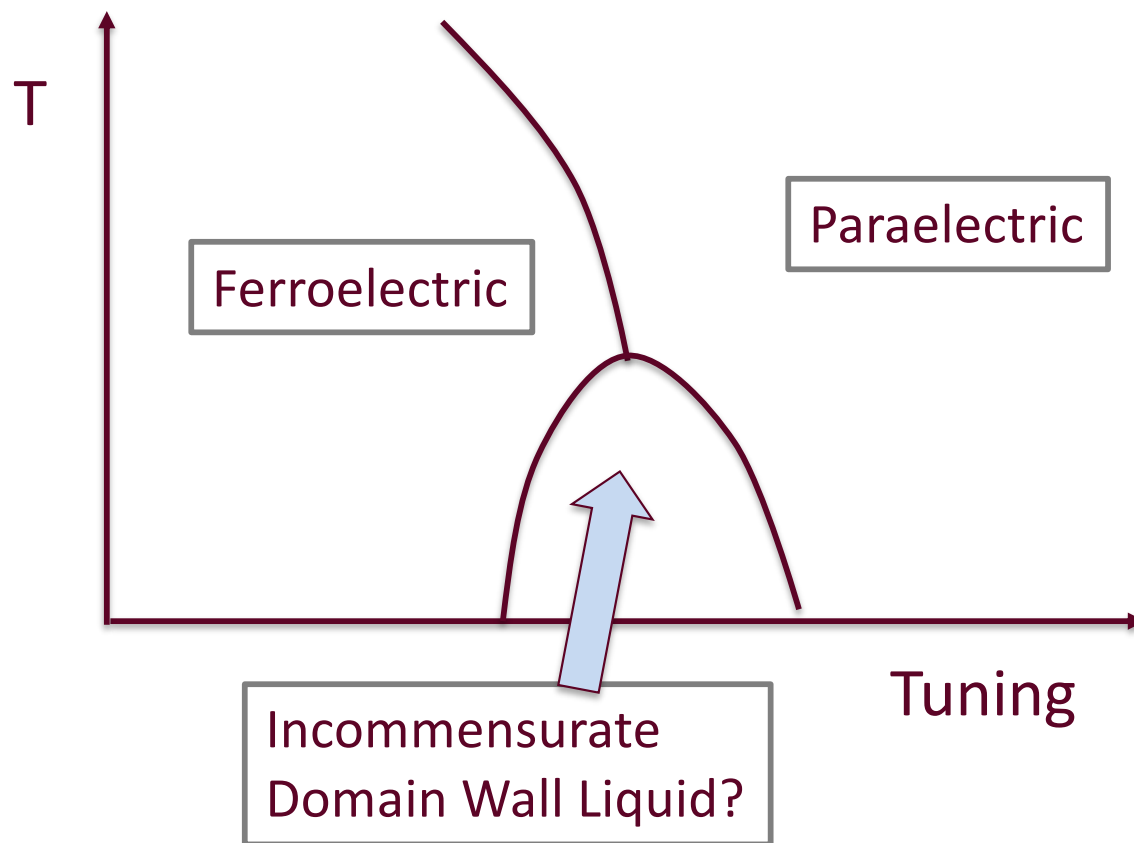
Quantum paraelectrics - possible phase diagram

Expect first order transition with critical point at low T



Quantum paraelectrics - possible phase diagram

Octahedral rotations can screen the strain fields set up by DW fluctuations – subverts expected 1st order transition



Domain walls: instanton gas

1D strip: elastic constraints make DW parallel and particle-like
Competition between (quantum) entropy and energy cost

