

1 Efficacy of prebiotics and probiotics on growth performance in poultry: A protocol for a
2 systematic review

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15 performance

16

17 **ABSTRACT**

18 The use of prebiotics and probiotics as dietary additives in poultry has been practiced widely as
19 an alternative to antibiotics and to support gut health. Despite the wealth of products available,
20 there is currently a lack of clear scientific evidence that the use of prebiotics and probiotics
21 beneficially impact growth performance in poultry. The aim of this protocol paper is to
22 document the process of a systematic review addressing the following review question: *Does*
23 *oral administration of a prebiotic (defined as dietary fiber, dietary carbohydrates,*

24 *oligosaccharides, or yeast cell wall) or probiotic (defined or undefined single or mixed cultures*
25 *of living bacteria, fungi, and/or yeast) provide beneficial effects on growth performance in*
26 *broiler chickens and turkeys?* The proposed systematic review will provide evidence on the
27 effectiveness of prebiotics and probiotics for enhancing growth performance in poultry, and will
28 help to alleviate high skepticism, criticism, and confusion over the use of prebiotics and
29 probiotics in poultry among poultry producers.

30 **BACKGROUND INFORMATION**

31 Prebiotics and probiotics have been widely used in the poultry industry as a potential alternative
32 to antibiotics, aimed to improve gut development and the overall health of growing birds.

33 Prebiotics have been defined broadly to include any non-digestible food ingredients that
34 selectively promote the growth of bacteria that are beneficial to the gut, and promote changes in
35 composition and activity of gut microbiota (Gibson and Roberfroid, 1995, Gibson et al., 2004,
36 Kolida et al., 2002). In particular, dietary fiber, indigestible oligosaccharides, and yeast cell wall
37 are often labeled as prebiotics (Teng and Kim, 2018). Probiotics are single or mixed cultures of
38 living bacteria, fungi or yeast that, when administered, may provide beneficial health effects to
39 the host in multiple ways, such as improving gut maturation (Gao et al., 2017), competitively
40 excluding pathogenic bacteria (Mountzouris et al., 2009, Ceccarelli et al., 2017), and improving
41 metabolism (Zheng et al., 2016). Lactic acid producing bacteria such as *Lactobacillus* spp. are
42 among the most commonly used probiotic bacteria in addition to *Bacillus* spp., *Enterococcus*
43 spp., and yeast (*Saccharomyces* spp.) (Karaoglu and Durdag, 2005, Yadav and Jha, 2019).

44

45 A number of studies have evaluated the effects of prebiotic and probiotic supplementation on
46 growth performance and overall poultry health (Midilli et al., 2008, Torres-Rodriguez et al.,
47 2007, Kim et al., 2011, Çınar et al., 2009). However, no consensus exists among the poultry
48 industry as to whether the use of prebiotics and probiotics provide beneficial effects in the
49 growth performance of poultry. Despite this, there is a wealth of prebiotic and probiotic products
50 available and marketed to the poultry industry. Clear scientific evidence behind these products is
51 mostly lacking, leading to a high degree of skepticism, criticism, and confusion among poultry
52 producers for these products.

53

54 **OBJECTIVES**

55 This paper describes the protocol for a systematic review that will evaluate the following
56 question: *Does oral administration of a prebiotic (defined as dietary fiber, dietary*
57 *carbohydrates, oligosaccharides, or yeast cell wall) or probiotic (defined or undefined single or*
58 *mixed cultures of living bacteria, fungi, and/or yeast) provide beneficial effects on growth*
59 *performance in broiler chickens and turkeys?* The objective of this systematic review is to
60 critically assess and summarize the presence and quality of available evidence addressing
61 whether prebiotic and probiotic use in poultry provide beneficial effects on growth performance.

62

63 **METHODS**

64 **Eligibility criteria**

65 The PICO (Population, Intervention, Comparison group, and Outcome) framework will be used
66 to develop a review question and eligibility criteria (Higgins and Green, 2011). See Table 1.

67

68 Studies with longitudinal experimental trials and observational designs (pen or field setting) and
69 with or without microbiological challenges, will be retained regardless of the random allocation
70 of treatments. Studies with cross-sectional study designs will not be retained because the
71 outcomes of interests cannot be assessed and reported in a non-longitudinal study design.

72

73 Primary research studies conducted in broiler chickens and turkeys, including thesis chapters,
74 peer-reviewed articles, and conference proceedings will be included. Research studies conducted

75 *in vitro* (i.e. a study evaluating effects of a dietary fiber in chicken intestinal cell lines) and non-
76 research studies, such as reviews, editorials, book chapters, or opinions, as well as non-peer
77 reviewed articles will be excluded.

78

79 **Information sources**

80 The following databases will be searched for studies relevant to the review question without any
81 limits on language and publication date: PubMed/MEDLINE, CAB Abstracts, Scopus, and
82 Agricola. All searches will be conducted on the same day, and the search strategies used on each
83 database will be recorded.

84

85 **Search strategy**

86 The following search strings will be used to systematically search the electronic databases
87 mentioned above:

88

89 PubMed/MEDLINE:

90 ("Chickens/growth and development"[Mesh] OR "Turkeys/growth and development"[Mesh] OR
91 "Poultry Diseases/mortality"[Mesh]) AND (("probiotics"[MeSH Terms] OR "probiotics"[All
92 Fields]) OR ("prebiotics"[MeSH Terms] OR "prebiotics"[All Fields] OR "Dietary
93 Carbohydrates"[All Fields] OR "Dietary Fiber"[All Fields] OR "fructo-oligosaccharides"[All
94 Fields] OR "galacto-oligosaccharides"[All Fields] OR "yeast cell wall"[All Fields])) where
95 'Mesh' was the Medical Subject Heading.

96

97 Scopus:

98 TITLE-ABS-KEY ((chicken* OR turkey*) AND (“feed conversion” or “growth rate” or
99 “body weight” or mortality) AND (probiotic* OR prebiotic* OR "Dietary
100 Fiber" OR "fructo-oligosaccharides" OR "galacto-oligosaccharides" OR "yeast cell wall"))
101 where ‘ABS’ represents the record’s abstract field, and ‘KEY’ represents the keyword field.

102

103 CAB Abstracts and Agricola:

104 (chicken* or turkey*).af. and (feed conversion or feed conversion efficiency or growth rate or
105 body weight or mortality).sh. and (probiotic* or prebiotic* or "Dietary Fiber" or "fructo-
106 oligosaccharides" or "galacto-oligosaccharides" or "yeast cell wall").af. where ‘af’ represents all
107 fields in the record.

108

109 **Data management**

110 EndNote X9 (Thomson Reuters) will be used to manage records retrieved from searches.
111 Duplicates will be identified and removed by EndNote during the file importing process, and
112 then manually by comparing the complete citation. Relevance and design screenings, data
113 extraction, and risk of bias assessment will be performed in Microsoft Excel 2016 (Microsoft
114 Corporation, Redmond, WA, USA). Statistical analyses, if needed, will be conducted in R (R
115 Core Team, 2013).

116

117 **Selection process**

118 The selection of relevant studies will be performed using a 2-stage screening approach: 1)
119 relevance screening and 2) design screening. Prior to each screening process, thirty studies will
120 be randomly chosen to validate the screening questions. For the relevance screening, the title and

121 abstract of each study will be reviewed independently by at least two reviewers to identify
122 studies that potentially meet inclusion criteria based on the following questions:

123 1) Do the title and abstract describe a primary research study reported in a peer-reviewed
124 journal, thesis or conference proceeding?

125 2) Is the study population a commercial breed(s) of broiler chickens and/or turkeys?

126 3) Does the study describe and specify one or more treatment groups of prebiotics and/or
127 probiotics?

128

129 Possible answers to these questions are 'Yes', 'No' and 'Unclear'. Any disagreement between
130 reviewers will be resolved through discussion. Studies that do not meet the inclusion criteria
131 (those that answer 'No' to any of the three questions) will be excluded. Studies that meet the
132 inclusion criteria (those that answer 'Yes' to all three questions) or those that cannot be
133 determined from the title and abstract will be retained for the design screening process.

134

135 Full-text of the articles that pass the relevance screening or those that could not be evaluated as
136 described above will be retrieved. For the design screening process, the materials and methods
137 section will be reviewed independently by at least two reviewers.

138

139 Studies will be screened using the following questions:

140 1) Is the article written in English? If not, is translation deemed practical?

141 2) Did the study use a commercial breed(s) of broiler chickens and/or turkeys?

142 3) Does the study describe and specify one or more treatment groups of prebiotics (any
143 mention of extracted or purified dietary fiber, dietary carbohydrates, oligosaccharides or

- 144 yeast cell wall) or probiotics (defined or undefined single or mixed cultures of living
145 bacteria, fungi, and/or yeast) that were administered orally (in feed/water or gavaged)?
- 146 4) Does the study report an appropriate comparison or control group(s), such as a negative
147 control group where no treatment (prebiotics, probiotics or any other treatments,
148 including antibiotics) was given to bird?
- 149 5) Does the study measure any of the three outcome variables (feed conversion ratio,
150 average daily gain, or livability/viability) or include raw/relevant data such that these
151 outcome variables can be computed?

152

153 Since searches were not restricted by language, translation of eligible studies in a language other
154 than English will be attempted given the resources and personnel available to the reviewers and
155 collaborators. Those studies that cannot be adequately translated will be excluded. If more than
156 one publication reports the same results from the same study population (i.e. the same results
157 published by the same research group first as a conference proceeding and later as a peer-
158 reviewed article), only the publication with the latest publication date will be included. Any
159 disagreement between reviewers will be resolved through discussion as a team. Studies that meet
160 the inclusion criteria (those that answer 'Yes' to all five questions) will be retained for data
161 extraction and risk of bias assessment.

162

163 **Data collection process**

164 A standardized data extraction form will be created to extract data from included studies for
165 assessment of quality of studies and evidence synthesis using Microsoft Excel. The data from
166 each study will be extracted independently by two reviewers and validated by a third reviewer.

167 Prior to the data extraction, reviewers will test the data extraction form using ten randomly
168 chosen studies.

169

170 The following data will be extracted from each study, when available:

- 171 1) Publication details: Title of a study, author(s), publication year, language,
172 country/geographical area
- 173 2) Study design and methods: study details (study design, study set-up,
174 objective/hypothesis), sample characteristics (sample size, breed, age, vaccination status,
175 etc.), details about comparison/control group(s), treatment details (concentration used,
176 frequency, timing and duration of treatment, route of administration), and if performed,
177 details about microbiological challenge (isolate/strain used, inoculation dose, timing and
178 frequency of challenge)
- 179 3) Outcome measures: outcome variables (feed conversion ratio, average daily gain,
180 livability/viability), statistical test(s) or model(s) used, test statistics, measure of
181 variability, *P-values*, methods to control for potential confounding or other biases

182

183 **Outcomes and prioritization**

184 The primary outcome variables will be 1) feed conversion ratio, 2) average daily gain and 3)
185 livability. Feed conversion ratio is a measure of the amount of feed it takes to grow one kilogram
186 of meat. It is also known as the feed-to-gain ratio, and is calculated as the cumulative amount of
187 feed intake over the amount of weight gain. Average daily gain is an average weight gain per day
188 over a given period of time. It is calculated as body weight gain over the number of days in a
189 growth period, where body weight gain is the difference between the final and initial weight of

190 an animal. Livability or viability is the percent of surviving birds in a flock, and can be
191 calculated as 1-mortality. When outcome variables of interests are not reported, but sufficient
192 relevant data are reported, an effort will be made to extract the data and compute the outcome
193 variables.

194

195 **Risk of bias assessment**

196 Risk of bias assessment will be performed qualitatively based on the rubric developed by
197 Williams-Nguyen et al (Table 2) (Williams-Nguyen et al., 2016). This rubric system was
198 modified from the Cochrane Collaboration Risk of Bias Tool (Higgins and Green, 2011) and was
199 chosen for its flexibility. This system assesses risk of bias based on domains of bias (selection
200 bias, information bias, and confounding) rather than specific mechanisms to control for bias
201 (random allocation, blinding of researchers/personnel, blinding of outcome assessment, etc.),
202 allowing the use of a single rubric to assess risk of bias with different study designs.

203

204 Risk of bias assessment will be based on three domains: sample selection bias, information bias
205 and confounding. Each domain will be classified as low, high, or unclear (insufficient
206 information provided to determine the risk of bias). The overall risk of bias of a study will be
207 determined by combining qualitative scores from each domain. Specifically, the highest
208 qualitative score of the three domains will be assigned as the final risk of bias score (i.e. if a
209 study is assigned unclear for sample selection bias, low for information bias, and high for
210 confounding, the overall risk of bias score is high). Risk of bias will be performed independently
211 by two reviewers. Any disagreement between reviewers will be resolved through discussion as a

212 team. The final paper will include a table showing the overall risk of bias assessment scores of
213 all included studies.

214

215 **Sample selection bias**

216 Sample selection bias, arising due to systematic differences in sample characteristics and
217 sampling methods between the comparison groups, will be assessed using the following

218 question: *Other than treatment status, were birds assigned to control and treatment groups*
219 *systematically different from each other, and did sampling methods differ systematically between*
220 *the control and treatment groups?*

221

222 Examples of low risk of sample selection bias:

223 -Birds (or broiler houses/farms) were randomly allocated to treatment and control groups;

224 -Birds used in the study were of the same breed and from the same hatchery;

225 -Experimental time points (e.g. time of the day at which daily body weight of bird was measured
226 or day at which final body weight was measured) were consistent among treatment and control
227 groups.

228

229 Examples of high risk of sample selection bias:

230 -Birds allocated to control and treatment groups were of different breeds;

231 -Birds allocated to control and treatment groups came from different hatcheries;

232 -Experimental time points were not consistent among treatment and control groups.

233

234 Risk of sample selection bias will be assigned ‘Unclear’ if insufficient information about sample
235 characteristics and sampling methods between control and treatment groups is provided to
236 determine the risk of bias.

237

238 **Information bias**

239 Information bias, which is caused by systematic differences in outcome ascertainment will be
240 assessed using the following question: *Were outcomes of interest ascertained in a way that*
241 *ensures accuracy across the control and treatment groups?*

242

243 Examples of low risk of information bias include:

244 -Laboratory staff were blinded to treatment allocation;

245 -Identical methods (e.g. using the same scale to measure body weights of birds throughout the
246 experiment or calibrating the scale each time birds were measured) to measure outcome variables
247 were applied to all samples regardless of treatment status.

248

249 Examples of high risk of information bias include:

250 -Laboratory staff were not blinded to treatment assignment;

251 -Different methods (e.g. different scales or un-calibrated scales were used to measure body
252 weight of birds) to measure outcome variables were applied depending on treatment assignment.

253

254 Risk of information bias will be assigned ‘Unclear’ if a study does not describe outcome
255 ascertainment procedures in enough detail to determine the risk of information bias.

256

257 **Confounding**

258 Confounding is a systematic error arising due to mixing of the exposure effect with other
259 additional factors resulting in distortion in the outcome measure (Greenland and Robins, 2009).
260 Risk of bias due to confounding will be assessed using the following question: *Were appropriate*
261 *measures implemented to reduce potential confounding in the study?*

262

263 Examples of low risk of confounding include:

- 264 -Treatment was randomly assigned to birds (or broiler farms/houses);
- 265 -Geographical region/area, grow-out seasonality, and other characteristics, such as husbandry
266 practices, litter type, and housing conditions of the broiler farms enrolled in a field trial were
267 matched to ensure similar characteristics between control and treated farms;
- 268 -The same starter, grower, and finisher diets were fed to all birds;
- 269 -Appropriate statistical approaches (e.g. adjusting for potential confounding variables in
270 regression analysis) were used.

271

272 Examples of high risk of confounding include:

- 273 -Treatment was not randomly assigned to birds (or broiler farms/houses);
- 274 -Geographical region/area and grow-out seasonality were matched among enrolled broiler farms,
275 but other farm characteristics that may be considered potential confounding variables were not
276 matched between the control and treatment groups;
- 277 -No statistical approaches were applied to control for potential confounding variables.

278

279 Risk of bias due to confounding will be assigned ‘Unclear’ if a study does not describe likely
280 sources of confounding and analytical methods to control for such confounding (or whether the
281 methods were applied correctly) in sufficient detail.

282

283 **Data synthesis**

284 Evidence from retained studies will be stratified into poultry type (broiler chicken and turkey)
285 then by treatment type (prebiotic and probiotic). Heterogeneity among included studies will be
286 assessed using I^2 statistics. A random-effect meta-analysis will be conducted within strata if there
287 is adequate homogeneity across included studies and $I^2 < 50\%$ is identified. Otherwise, a
288 qualitative synthesis of the evidence will be undertaken. The presence of publication bias will be
289 assessed within strata using funnel plots.

290

291 **CONCLUSION**

292 Any deviations to this protocol will be documented in the full review, which will be published in
293 a peer-reviewed journal.

294

295 The purpose of this systematic review is to critically examine and summarize the literature on the
296 use of prebiotics and probiotics and their effects on growth performance in broiler chickens and
297 turkeys. The results of this systematic review could help inform poultry producers and
298 veterinarians with an evidence-base for the use of prebiotics and probiotics to improve growth
299 performance in poultry, in addition to highlighting areas where future research is needed.

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351

352 **Table 1. Eligibility criteria of the systematic review evaluating the efficacy of prebiotics and**
353 **probiotics on growth performance in poultry using PICO framework.**

354

	Description
Population	Commercial breeds of broiler chickens and turkeys
Intervention	Oral administration of prebiotic (or dietary carbohydrate, dietary fiber, oligosaccharides, or yeast cell wall) or probiotics (defined or undefined single or mixed cultures of living bacteria, fungi or yeast)
Comparison group	Raising commercial breeds of broiler chickens and turkeys without prebiotics or probiotics
Outcome	Feed conversion ratio (FCR), Average daily gain (ADG) or livability/viability

355

356

357 **Table 2. Qualitative rubric of the overall risk of bias assessment adopted from the**
358 **Cochrane Collaboration Risk of Bias Tool (Higgins and Green, 2011) and William-Nguyen**
359 **et al. (2016).**

360

Overall risk of bias score	Criteria
Low risk of bias	The study is judged to be at low risk of bias for all three domains.
Unclear risk of bias	The study is judged to be at unclear risk of bias for one or more domains, but not enough information is provided to be judged at high risk of bias for any domain.
High risk of bias	The study is judged to be at high risk of bias for one or more domains.

361