

# **Theory of superconductor to metal transitions in highly conducting systems**

B. Spivak, P. Oreto, and SAK  
PRB **77**, 214523 (2008).

Previous work with S. Chakravarty,  
A. Luther, G. Ingold, B.I.Halperin, and A. Luther

Closely related work (here) by Feigelman and Larkin

U.M. - 2009



Thank you for (many) years of fascinating physics,  
inspiring enthusiasm,  
and being a model for how to ENJOY physics.

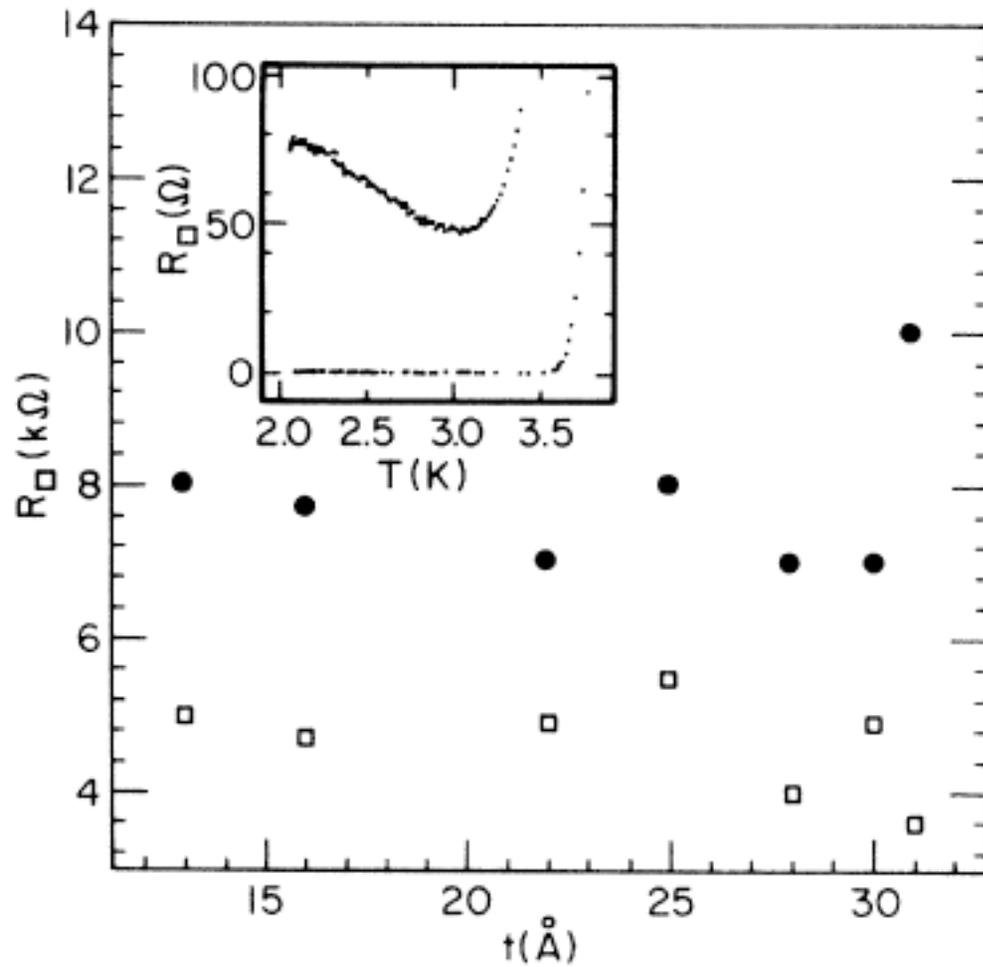


FIG. 1. Values of  $R_{\square}(T = 20 \text{ K})$  vs thickness  $t$ .

B. G. Orr, H. M. Jaeger, A. M. Goldman, and C. G. Kuper,  
 PRL **56**, 378 (1986).

# Quantization of the RSJ Model

$$S = \int d\tau \left\{ \frac{C}{2} \left( \frac{\hbar}{2e} \right)^2 \dot{\theta}^2 + V[1 - \cos(\theta)] \right\} + \left( \frac{R_Q}{R} \right) \int d\tau d\tau' \frac{|\theta(\tau) - \theta(\tau')|^2}{|\tau - \tau'|^2}$$

Transition from a gapped (superconducting) phase for  $R < R_Q$   
to

Non-superconducting (free) phase for  $R > R_Q$

A dissipation driven transition with a critical  
*normal state resistance.*

S.Chakravarty *et al*, PRL 1986

S Chakravarty PRL 1982 A. Schimid PRL 1983.

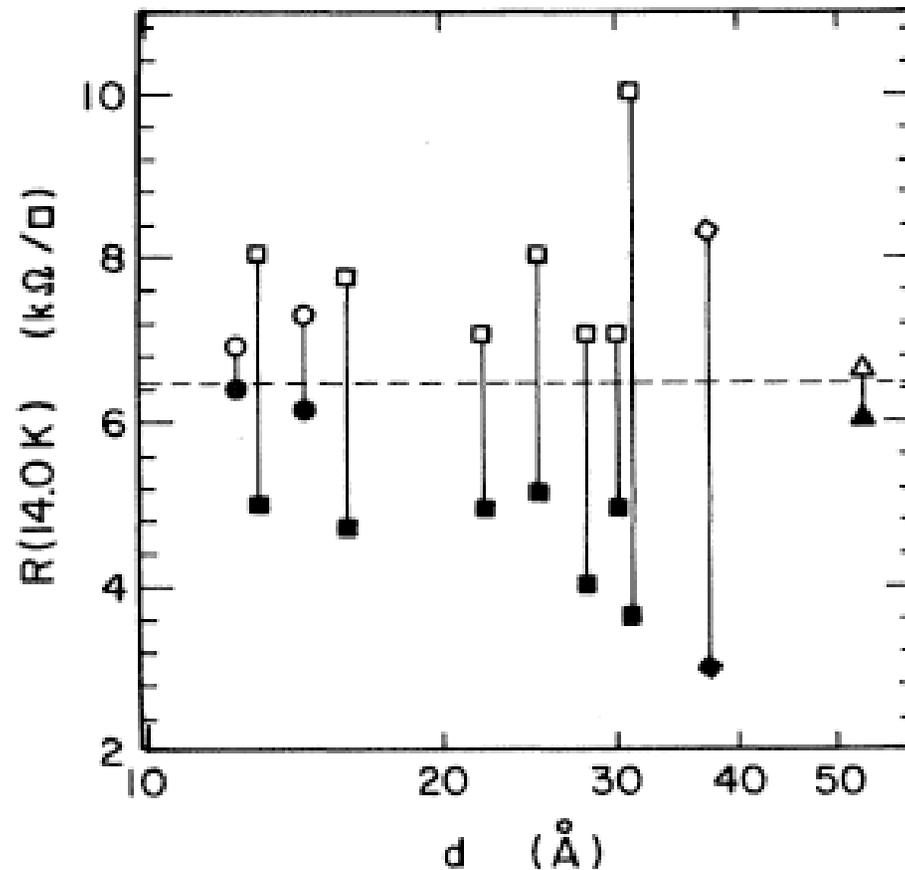


FIG. 6. The pairs of connected points represent the normal-state resistance of successive depositions in sequences of Ga ( $\circ$ ), Sn ( $\square$ ), Pb ( $\diamond$ ), and Al ( $\triangle$ ) films. The nominal thickness

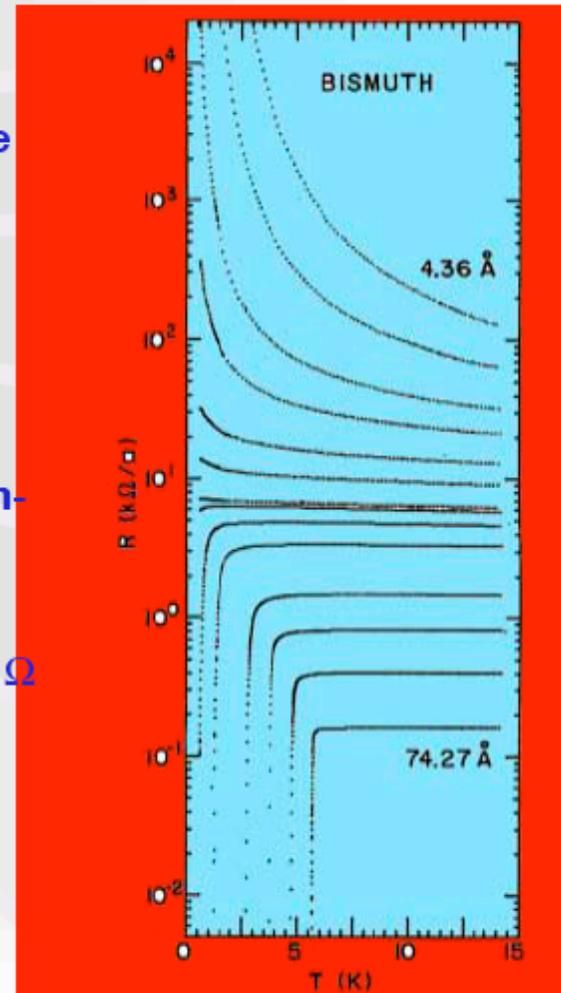
H. M. Jaeger, D. B. Haviland, B. G. Orr, and A. M. Goldman  
 Phys. Rev. B 40, 182 (1989).

Amorphous Bi grown on amorphous Ge  
at liquid helium temperatures

Cyclic evaporation leads to evolution  
of superconductivity with thickness.

Apparent separation between supercon-  
ducting and insulating behavior.

Critical resistance close to  $h/4e^2 = 6450 \Omega$



The normal state resistance is also close to  $h/4e^2$

The metallic state with  $R \sim R_Q$  is still not understood - no “small parameter.”

Progress on the superconductor to metal transition in highly conducting systems -  $R \ll R_Q$

Motivated by the anomalous metallic phase found by Kapitulnik, Mason, ...

Under what circumstances is  
there a superconductor to a metal transition?  
Why does everyone talk about the metal-  
insulator transition, instead?

1) Anderson's theorem for ordinary s-wave SC:

To destroy SC on mean-field level requires  
 $k_F l \sim 1$ .

There are many situations in which Anderson's  
theorem does not apply, so SC destroyed  
when  $k_F l \gg 1$ .

Under what circumstances is  
there a superconductor to a metal transition?  
Why does everyone talk about the metal-  
insulator transition, instead?

1b) In 2D, all single particle states are localized.

Some cases we will consider will be 3D.

Even in 2D, if  $k_F l \gg 1$ ,  
 $k_F \xi_{\text{loc}} \sim \exp[ + (\pi/2) k_F l ] \gg k_F l$

Also, deep question about whether fluctuational  
effects change even true asymptotics.

Under what circumstances is  
there a superconductor to a metal transition?  
Why does everyone talk about the metal-  
insulator transition, instead?

2) In “dirty boson” models, the usual physics gives rise to  
a superconductor to insulator transition.  
A metallic state is an exotic possibility which has  
widely been believed to be impossible to achieve\*.

\* However, see PP and D and D and MPAF and ...

Under what circumstances is  
there a superconductor to a metal transition?  
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- 2) In “dirty boson” models, the usual physics gives rise to a superconductor to insulator transition. A metallic state is an exotic possibility which has widely been believed to be impossible to achieve.

We will consider only “weak coupling” situations  
in which  $\Delta_o \ll E_F$  and  $k_F \xi_0 \gg 1$ .

There are generally gapless quasi-particles near  
criticality, so dirty boson asymptotics unclear.

Under what circumstances is  
there a superconductor to a metal transition?  
Why does everyone talk about the metal-  
insulator transition, instead?

3) Quantum fluctuations are strongly suppressed if  
 $G = \sigma/\sigma_Q = R_Q/R > 1$ .

S. Chakravarty *et al* showed that for a resistively  
shunted Josephson junction array at  $T=0$ ,  
the system remains superconducting even  
as  $J$  tends to zero for  $G > 1$ .  
(PRL - 1986; PRB 1988)

Amplification of inhomogeneity near a quantum critical point (although not as extreme as quantum Griffith phases).

Existence of a broad regime of quantum fluctuations but not universal quantum critical fluctuations near criticality.

Extreme broadening of the mean-field transition  
in a disordered superconductor:

$$T_c \sim \exp[ - 1/\lambda ]$$

Some distribution of local  $\lambda$ , even if the probability is very small, leads to extreme broadening of  $T_c$ .

Leads to an enormous violation of Anderson's theorem  
 $T_c(\text{disorder}) \gg T_c^0$

However, usually we accept that very rare events are unimportant due to fluctuation effects.

At  $T=0$  in highly conducting metals, even isolated “puddles” have an enormous superconducting susceptibility and interactions between puddles are long-ranged.

The quantum fluctuations of an isolated superconducting grain embedded in a good metal.

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\Delta(\tau) - \Delta(\tau')|^2}{[\tau - \tau']^2}$$

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\exp[i\phi(\tau)] - \exp[i\phi(\tau')]|^2}{[\tau - \tau']^2}$$

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\phi(\tau) - \phi(\tau')|^2}{[\tau - \tau']^2}$$

$$\Delta = |\Delta| \exp[i\phi]$$

The Leggett-Caldera model

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\phi(\tau) - \phi(\tau')|^2}{[\tau - \tau']^2}$$
$$\Delta = |\Delta| \exp[i\phi]$$

Phenomenological derivation - quantization of the RSJ model

“Microscopic” derivation from superconducting transmission line coupled to Josephson junction  
Chakravarty and Schmidt -

Susceptibility diverges as T tends to 0 for  $G^{eff} > 1$ .

Time-dependent GL equation:

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\Delta(\tau) - \Delta(\tau')|^2}{[\tau - \tau']^2}$$

Derivable directly from perturbation theory to lowest order in  $\Delta$ .

Valid so long as  $\Delta$  “small” i.e. Andreev length,  
 $v_F/\Delta = \xi \gg R$ .

It is the physics of the Cooper instability so  $G^{eff}$  has nothing to do with  $G$

$$G^{eff} \sim v R^D / \Delta_0$$

Time-dependent GL equation:

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\Delta(\tau) - \Delta(\tau')|^2}{[\tau - \tau']^2}$$

Dynamics of single grain equivalent to classical 1D  
inverse square XY model.

$$\chi \sim \exp[ 2\pi^2 G^{eff} ]$$

Time-dependent GL equation:

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\Delta(\tau) - \Delta(\tau')|^2}{[\tau - \tau']^2}$$

Does this lead to a quantum Griffith's phase?

$$\chi \sim \exp[ 2\pi^2 G^{eff} ]$$

$$P(R) \sim \exp[ -\alpha R^D ] \quad \text{so if } G^{eff} \sim g R^D$$

contributions to  $\langle \chi \rangle$  from rare large grains diverges  
if  $\alpha < 2\pi^2 g$ .

Time-dependent GL equation:

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\Delta(\tau) - \Delta(\tau')|^2}{[\tau - \tau']^2}$$

However, for large  $R > \xi$ , the perturbative conditions necessary to derive the effective action are violated. Instead ...

Effective action for a “large” superconducting grain embedded in a metal

$$L = \int d\tau V(|\Delta|) + G^{eff} \int d\tau d\tau' \frac{|\exp[i\phi(\tau)] - \exp[i\phi(\tau')]|^2}{[\tau - \tau']^2}$$

$$\chi \sim \exp[ 2\pi^2 G^{eff} ]$$

In 3D  $G^{eff} \sim \sigma R / (e^2/h)$

In 2D  $G^{eff} \sim [ \sigma / (e^2/h) ]^{1/2}$  (Feigelman and Larkin)

No quantum Griffith phase from this mechanism.

(However, see recent work of Sachdev *et al* and Vojta *et al*.)

In clean metal, the susceptibility of an isolated superconducting grain embedded in a metal can be exponentially large, although not infinite:

$$\chi \sim \exp[ 2\pi^2 G^{\text{eff}} ] \quad \text{at } T=0$$

Now, what about the Josephson coupling between two far separate grains,  $J(r)$  ?

$$J(\vec{r}_i, \vec{r}_j) \sim \frac{1}{|\vec{r}_i, \vec{r}_j|^D} F(\vec{r}_i, \vec{r}_j) \quad \text{at } T=0$$
$$|F(\vec{r}_i, \vec{r}_j)| \sim 1$$

A condition for global phase coherence is that between a typical pair of neighboring grains  $\chi J > 1$ .

This means that at  $T=0$ , and for  $G \gg 1$ , the SC -M transition occurs at a critical concentration

$$N_c \sim \exp[-2\pi^2 G^{\text{eff}}]$$

In a good metal, near the quantum critical point the small inhomogeneities will be amplified: there is an emergent, but non-critical long length scale.

When “most” of the sample is “normal,” there still exist rare regions which support mean-field superconducting order.

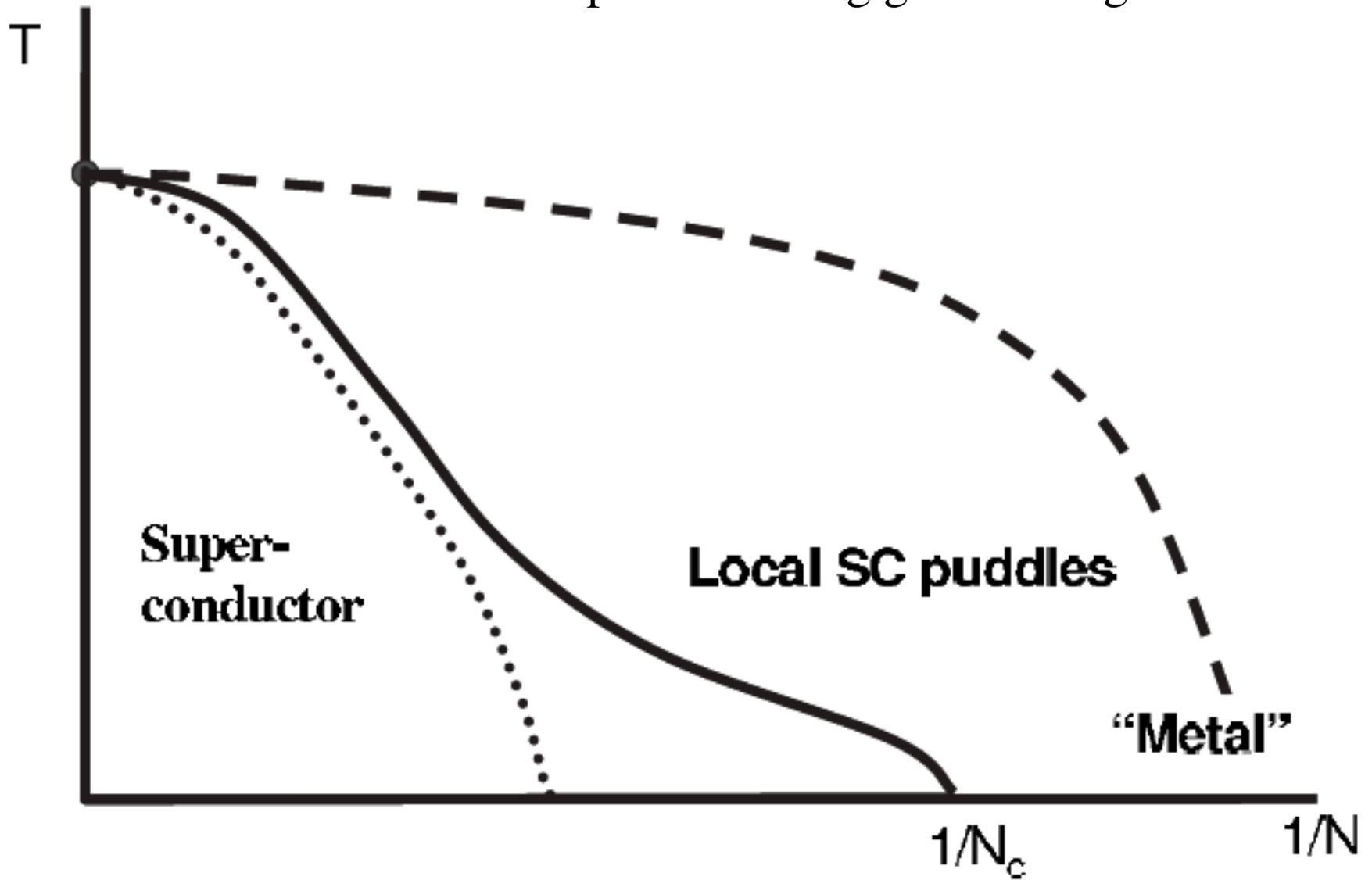
Because each such region produces a large susceptibility, and because the Josephson coupling is long-ranged, these rare regions can undergo a (quantum percolation) transition to global phase coherence, even when they are very dilute.

In a good metal, near the quantum critical point  
the small inhomogeneities will be amplified:  
there is an emergent, but non-critical long  
length scale.

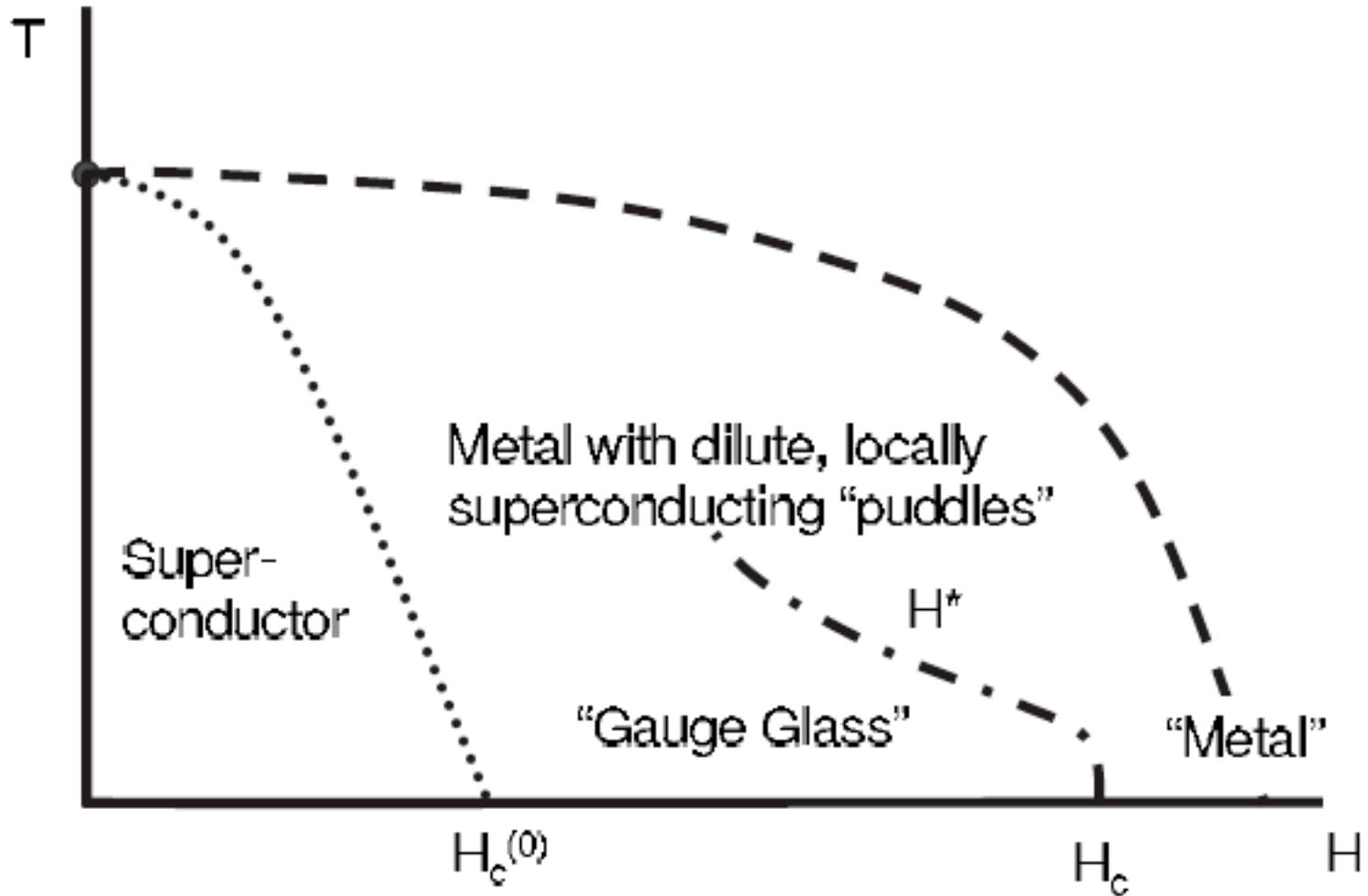
Probably cannot be approached by replica or other  
effective field theory methods.

This is probably a generic feature of quantum phase  
transitions in “good” metals.

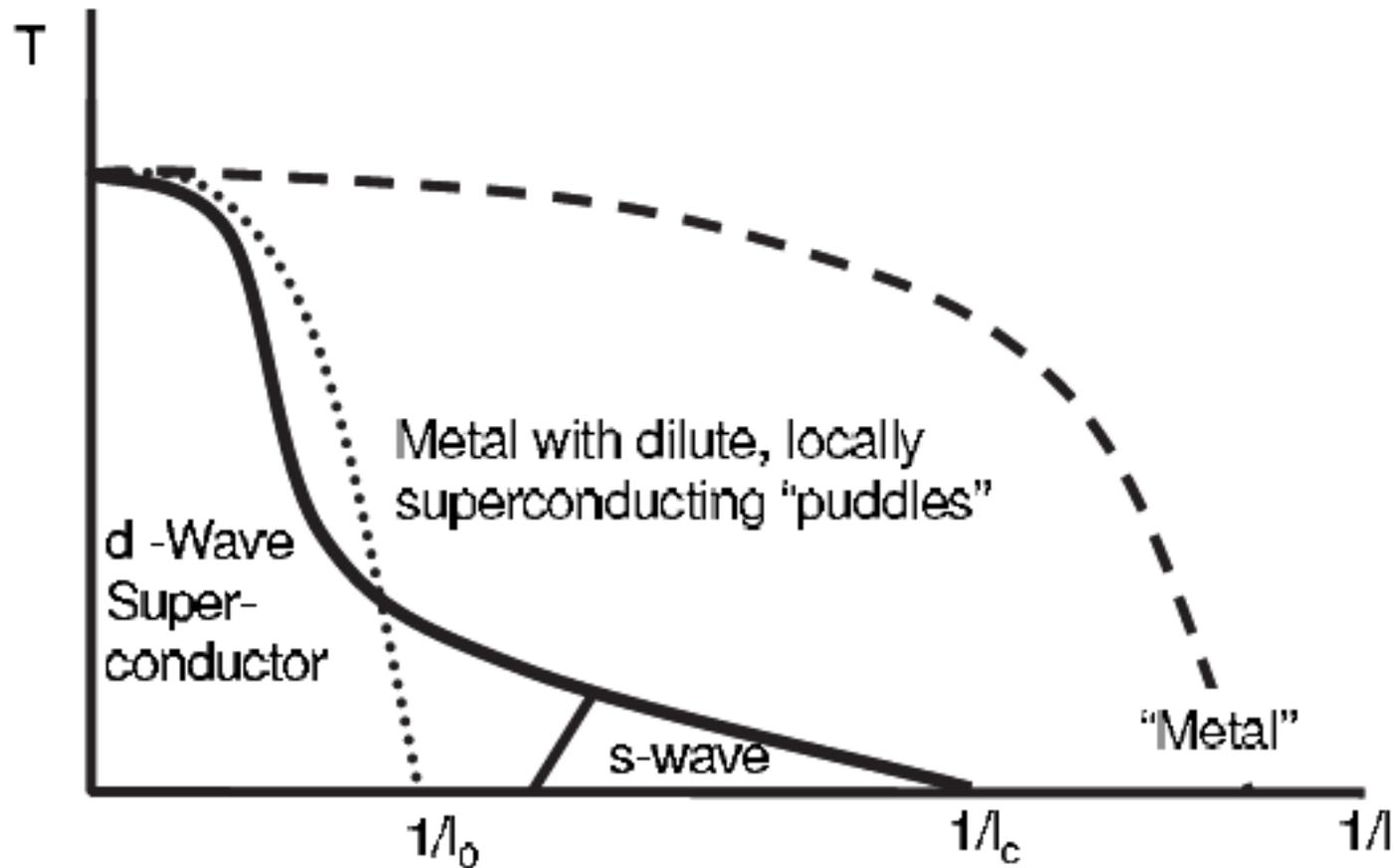
# Concentration of superconducting grains in a good metal



S-wave superconductor in a transverse magnetic field.



BCS d-wave superconductor in the presence of disorder.



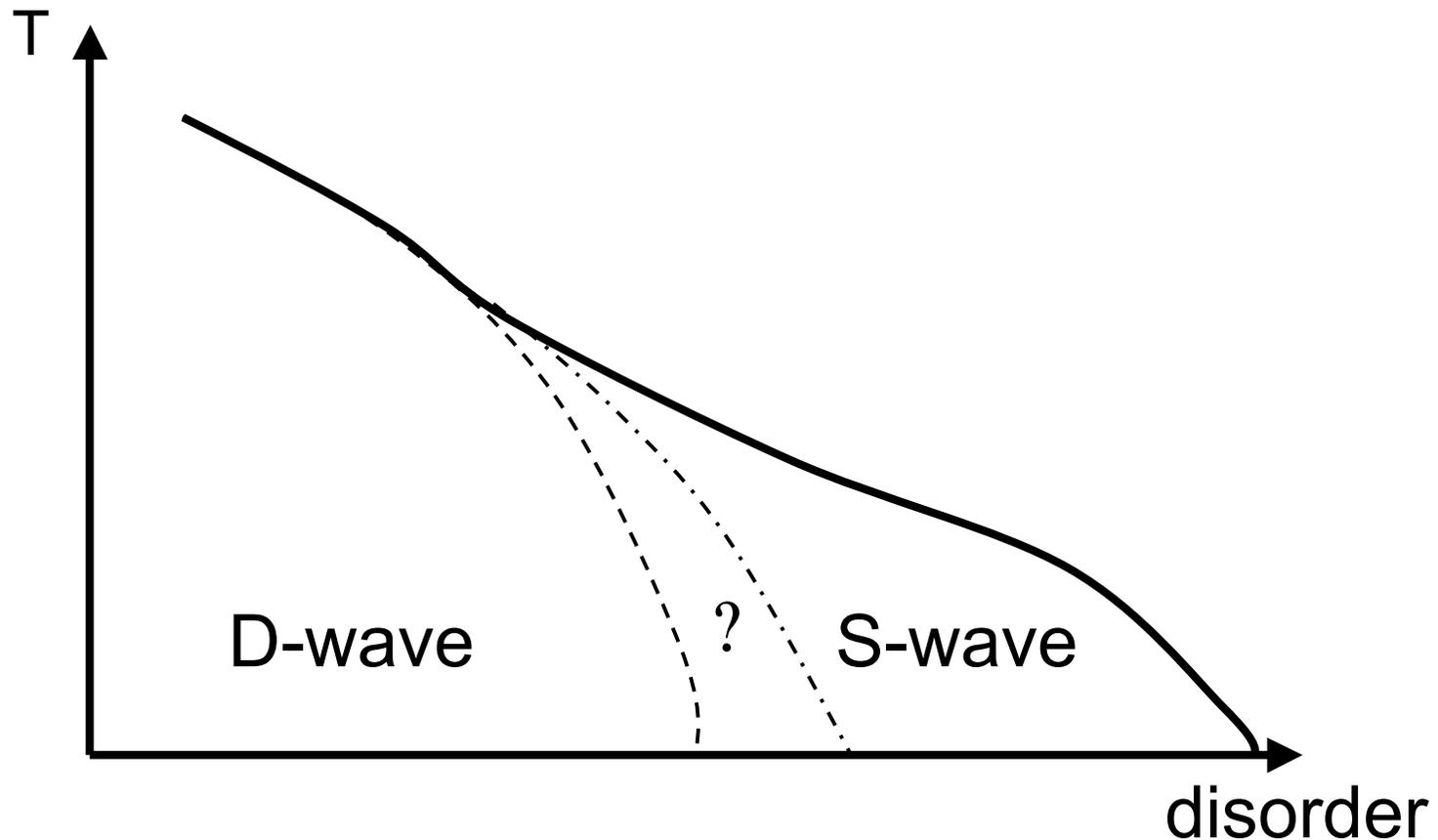
As an example, let us consider the quantum transition from a weak coupling (BCS) d-wave superconductor to a “good” metal.

We all know that

d-wave superconductivity is destroyed by disorder when  $l < 1.78 \xi_0$ .

However, even when superconductivity is quenched in most of the sample there will always exist rare regions in which  $l > 1.78 \xi_0$

# The phase diagram of a BCS d-wave superconductor



- 1) Near the  $T=0$  transition, the system effectively looks granular.
- 2) There is inevitably an intermediate globally s-wave phase.
- 3) There is probably an intermediate glassy phase.

There is a “trivial” example, which can help motivate this phase diagram (Vojta *et al*, PRB 2005)

Suppose there is an attraction  $1 \gg \lambda_d > \lambda_s > 0$  in both the d-wave and s-wave channels.

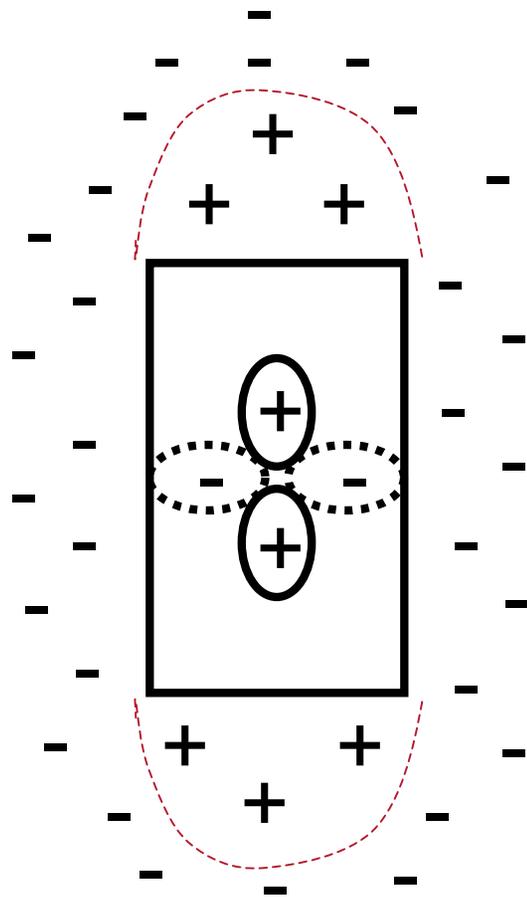
For zero disorder - d-wave dominates.

But d-wave killed by disorder, while s-wave is unaffected, so when  $T_{cd}(l) < T_{cs}(l=\infty)$  the s-wave can dominate.

We will consider case in which there is attraction only in d-wave channel, and even repulsion in s-wave.

A warm-up model: a pure d-wave superconducting puddle is embedded into a disordered normal metal.

Outside the puddle, an s-wave component of the order parameter is generated. Only this component survives on distances larger than elastic mean free path  $l$



Superconducting puddle  
with d-wave order parameter  
 $\Delta(\mathbf{k})$

+ and - indicate sign of the  
s-component of the anomalous  
Green function of the order parameter  
 $F(\mathbf{r},\mathbf{r})$

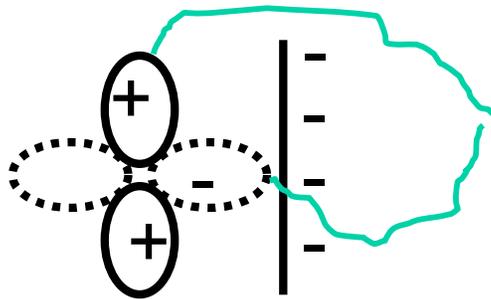
Usadel equation for s-component of the anomalous Green function  $F(r,r)$  in diffusive metal

$$D \frac{d^2 \theta(\epsilon, \vec{r})}{d^2 \vec{r}} + i \epsilon \sin \theta(\epsilon, \vec{r}) = 0$$

$$F(\vec{r}, \vec{r}, \epsilon) = -i \sin \theta(\epsilon, \vec{r})$$

*D is the electron diffusion coefficient in the normal metal*

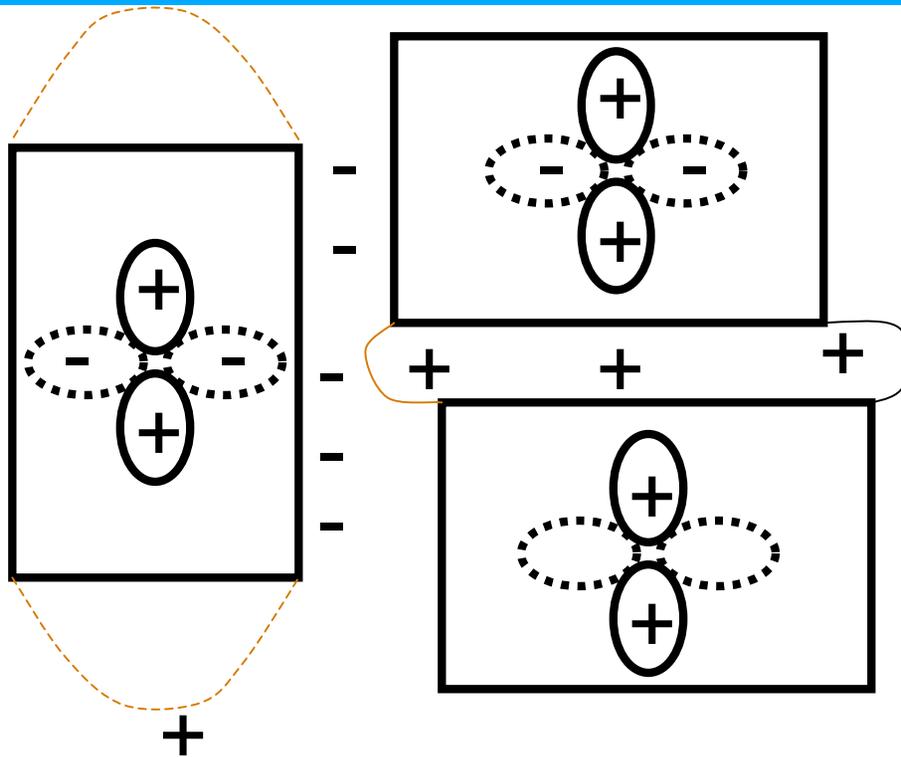
Boundary condition at d-n surface:



On large distance from the puddle decays in a long range way!

$$F \sim 1/r^D$$

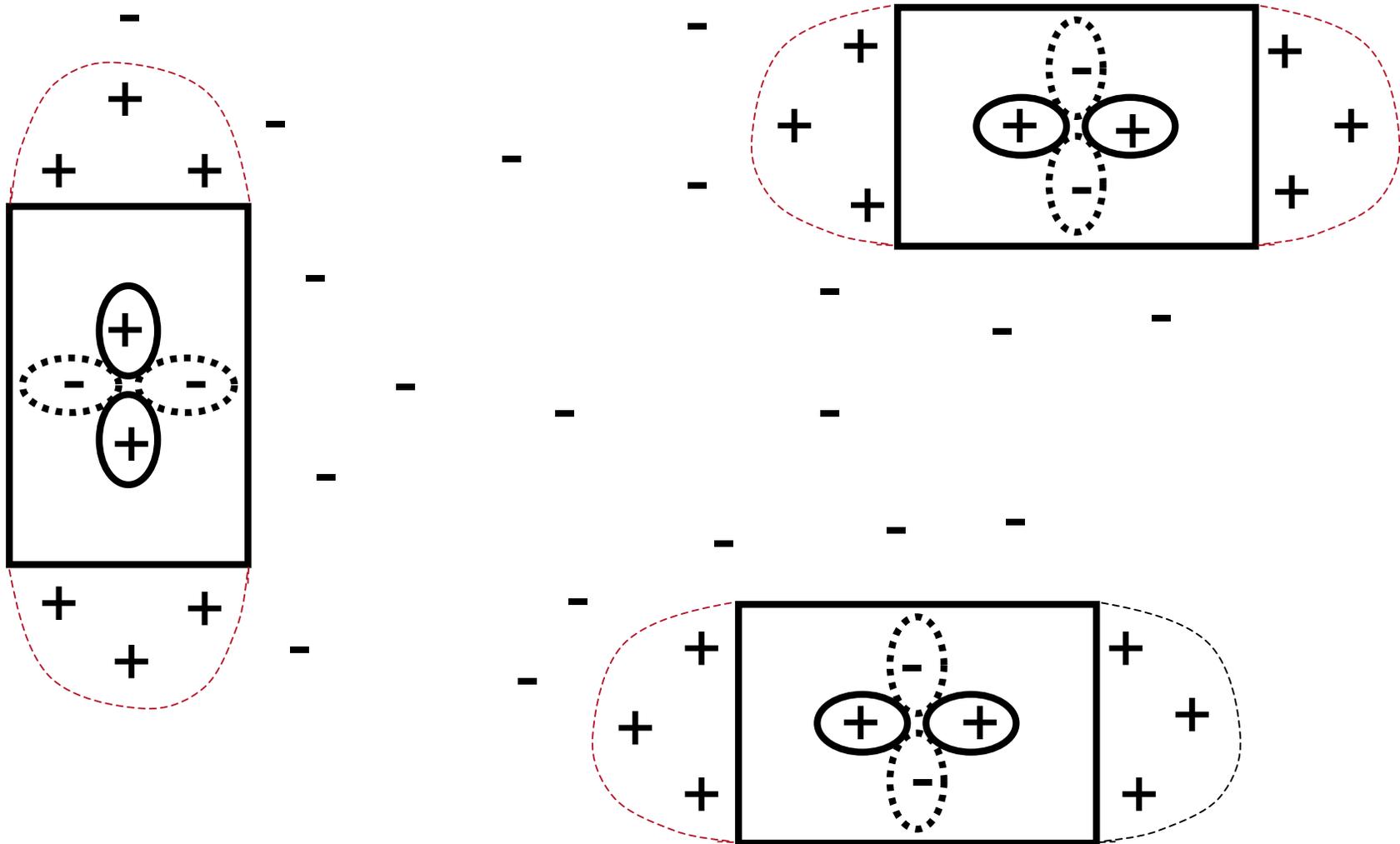
At small puddle concentrations, the order parameter has global d-wave symmetry, while s-component of the order parameter has random sample specific sign



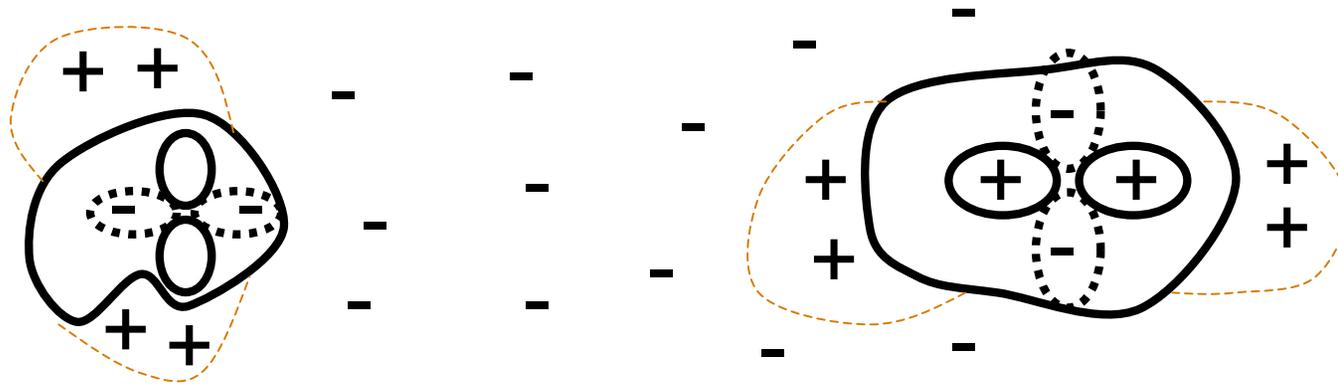
$$E = \sum_{ij} j_{ij}^{(d)} e^{i(\varphi_i - \varphi_j)} + c.c.$$

$j_{ij} > 0$  are Josephson energies

If the concentration of superconducting puddles is small the order parameter has s-wave global symmetry, while d-wave component has randomly varying sign



# More realistic picture of distribution of sign of s-component of anomalous Green function in a random system



The effective energy of the system is equivalent to Mattis model in the theory of spin glasses:

$$E = - \sum_{ij} J_{ij}^{(s)} \eta_j \eta_i e^{i(\varphi_i - \varphi_j)} + c.c.$$

$\eta_i = \pm 1$  are random

in the ground state  $e^{i\varphi_i} = \eta_i$

## An effective energy at intermediate concentration of superconducting puddles

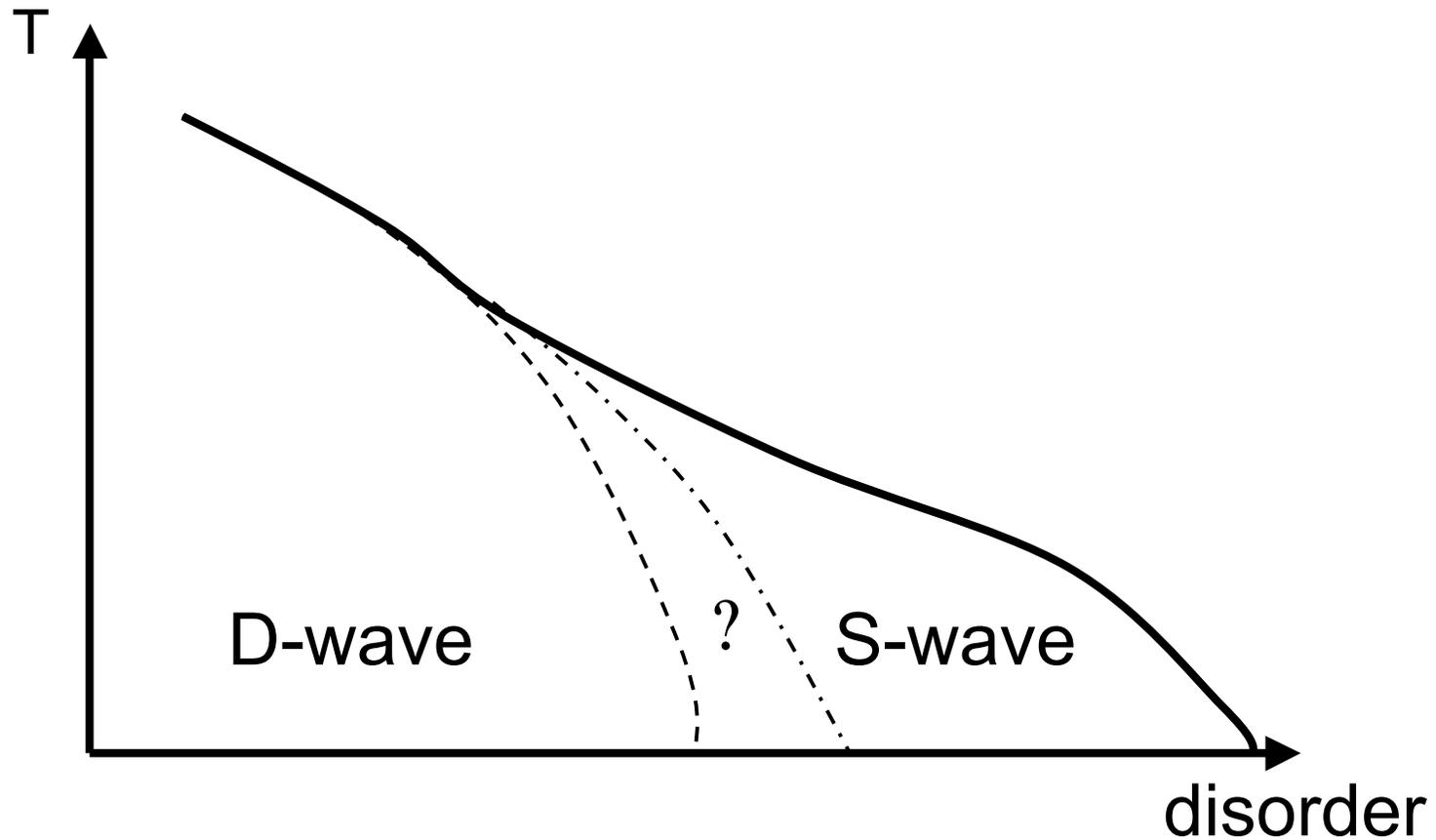
$$E = \sum_{ij} \left[ j_{ij}^{(s)} \eta_j \eta_i + j_{ij}^{(d)} \right] e^{i(\varphi_i - \varphi_j)} + c.c.$$

$\eta_i = \pm 1$  are random

$j_{ij}^{(s)}, j_{ij}^{(d)} > 0$  are Josephson coupling energies between  $s$  and  $d$  components of the order parameter respectively

Is there a superconducting glass phase when  $J^{(s)} \sim J^{(d)}$  ?

# The phase diagram of a BCS d-wave superconductor



- 1) Near the  $T=0$  transition, the system effectively looks granular.
- 2) There is inevitably an intermediate globally s-wave phase.
- 3) There is probably an intermediate glassy phase.

Non-local character of the response functions of  
a metal in space and time leads to  
entirely new physics near a quantum critical pt.

Amplification of the effective inhomogeneity.

Broad regime of substantial quantum fluctuations.

Suppression of the universal quantum critical regime  
to a very narrow regime near criticality.

$$S = \sum_j G_i^{(\text{eff})} \int d\tau d\tau' \frac{|e^{i\phi_j(\tau)} - e^{i\phi_j(\tau')}|^2}{(\tau - \tau')^2} + \int d\tau H_J[\{\phi\}],$$

$$S = \sum_j \left\{ \alpha_j \int d\tau \left[ -\frac{(\gamma - \gamma_{jc})}{2} |\Delta_j|^2 + \frac{1}{4} \frac{|\Delta_j|^4}{\Delta_0^2} \right] \right. \\ \left. + \frac{\beta_j}{2} \int d\tau d\tau' \frac{|\Delta_j(\tau) - \Delta_j(\tau')|^2}{(\tau - \tau')^2} \right\} + \int d\tau H_J[\{\Delta\}] + \dots,$$

$$H_J[\{\Delta\}] = - (1/2) \sum_{i \neq j} [J_{ij} \Delta_i^* \Delta_j + \text{c. c.}], \quad (1)$$

$$H_J[\{\phi\}] = - (1/2) \sum_{i \neq j} \tilde{J}_{ij} \cos(\phi_i - \phi_j).$$

$$\tilde{J}_{ij} \propto \tilde{C}_{ij} \frac{D_{\text{tr}} R^D}{R^2 |\mathbf{r}_i - \mathbf{r}_j|^D} \exp\left[-\frac{|\mathbf{r}_i - \mathbf{r}_j|}{L_T}\right]$$

$$J_{ij} \equiv J(\mathbf{r}_i, \mathbf{r}_j) \propto C_{ij} \frac{\nu V_i V_j}{|\mathbf{r}_i - \mathbf{r}_j|^D} \exp \left[ -\frac{|\mathbf{r}_i - \mathbf{r}_j|}{L_T} \right],$$