MicroRNA and Neuroimaging Biomarkers of Neuropathic Pain Severity After Spinal Cord Injury: Results from a Robotic-Assisted Gait Training Study

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Dedication

"Do all the good you can, by all the means you can, in all the ways you can, in all the places you can, at all the times you can, to all the people you can, as long as ever you can."

- John Wesley

To all those struggling with the excruciating and debilitating condition of neuropathic pain, may this work offer some much needed good.

Table of Contents

List of Abbreviations

3D: three-dimensional Aβ: amyloid-β ACC: anterior cingulate cortex AD: Alzheimer's disease AG: angular gyrus AIS: American Spinal Injury Association Impairment Scale AL: at-level spinal cord injury AMPK/mTOR: adenosine 5'-monophosphate-activated protein kinase/mammalian target of rapamycin signaling pathway aPaHC: anterior parahippocampal gyrus APP: amyloid precursor protein Arl2: ADP ribosylation factor-like 2 protein ATP: adenosine triphosphate B: bilateral BACE1: β-site amyloid precursor protein-cleaving enzyme 1 BCL-2: B-cell lymphoma 2 BCL2L11: Bcl-2-like 11 BDNF: brain derived neurotrophic factor BL: below-level spinal cord injury BOLD: blood-oxygen-level-dependent CIR: cerebral ischemia/reperfusion CONSORT: Consolidated Standards of Reporting Trials CSF: cerebral spinal fluid CTGF: connective tissue growth factor CRPS: complex regional pain syndrome CXC4: chemokine (C-X-C motif) receptor-4 DARTEL: Diffeomorphic Anatomical Registration Through Exponentiated Lie Algebra dmMPFC: medial prefrontal cortex division of the default mode network

dmPCC: posterior cingulate cortex division of the default mode network

- DRG: dorsal root ganglia
- EPI: echo planar imaging
- FOV: field of view
- fMRI: functional magnetic resonance imaging
- FP: frontal pole
- FWE: family-wise error
- GM: gray matter
- GMV: gray matter volume
- HC: hippocampus
- ICC: intracalcarine cortex
- IL-1 β : interleukin-1 β
- IL-6: interleukin-6
- IκB: inhibitor of NF-κB
- IKK-α: inhibitor of NF-κB kinase $α$
- ISCIPBDS: International Spinal Cord Pain Basic Dataset
- ITG: inferior temporal gyrus
- L: left
- LP: lateral parietal
- LSmean: least squares mean
- M: midline
- M1: primary motor cortex
- M1 macrophages: proinflammatory macrophages
- M2 macrophages: wound resolving macrophages
- MAS: Modified Ashworth Scale
- MFG: middle frontal gyrus
- miRNA: microRNA
- MNI: Montreal Neurological Institute
- mPFC: medial prefrontal cortex
- MR: magnetic resonance

MRI: magnetic resonance imaging

mRNA: messenger RNA

MS: multiple sclerosis

NC: nociceptive

nDSC: normalized deep sequencing count

NF-κB: nuclear factor κB

NP: neuropathic pain

NSAIDS: nonsteroidal anti-inflammatory drugs

PAG: periaqueductal gray matter

PCC: posterior cingulate cortex

PFC: prefrontal cortex

PHQ-9: Patient Health Questionnaire-9

PI: pain interference

Pmax: maximal power

pPaHC: posterior parahippocampal gyrus

R: right

RAGT: robotic-assisted gait training

RF: radiofrequency

RNA: ribonucleic acid

ROI: region of interest

rsFC: resting state functional connectivity

rs-fMRI: resting state functional magnetic resonance imaging

S1: primary somatosensory cortex

SBC: seed-based correlation

SC: spinal cord

SCI: spinal cord injury

SMA: supplementary motor area

SPL: superior parietal lobule

SPM: Statistical Parametric Mapping

TE: echo time

TFC: temporal fusiform cortex TR: repetition time TNF- α : tumor necrosis factor- α VO² max: maximal oxygen uptake VO² peak: peak oxygen uptake WM: white matter

List of Tables

Chapter 1

[Table 3.4. Neuropathic pain severity connectivity alterations](#page-82-0) ..67

[Table 3.5. Nociceptive pain severity connectivity alterations](#page-85-0) ...70

[Table 3.6. Additive pain severity connectivity alterations](#page-88-0) ...73

[Table 3.7. Contrast of pain severities connectivity alterations](#page-91-0) ...76

Chapter 4

List of Figures

Chapter 1

Chapter 2

Chapter 3

Chapter 1: Literature Review

1.1 Introduction

Spinal cord injury (SCI) results in chronic neuroinflammation which contributes to altered neural function and the development of neuropathic pain.¹ Differential expression of microRNA regulators of neuroinflammatory pathways²⁻⁵ and alterations in brain structure^{6,7} and functional connectivity⁸⁻¹⁰ may contribute to the development or severity of neuropathic pain. Exercise has been shown to reduce neuroinflammation¹¹ and chronic pain¹¹⁻¹³ and alter brain structure^{14,15} in human and animal models, yet little is known about how exercise interventions influence pain processing in human populations with SCI.

This doctoral dissertation aimed to identify 1) novel microRNA biomarkers of neuropathic pain, 2) neuropathic pain-related alterations in brain functional connectivity, and 3) the efficacy of an exercise intervention of robotic-assisted gait training to reduce neuropathic pain and alter brain volume in individuals with SCI. Successful identification of underlying mechanisms of neuropathic pain and potential exercise induced mitigation of these factors will guide the development of targeted interventions and provide useful biomarkers to predict and optimize prognosis, and subsequent care management for individuals with SCI.

1.2 Spinal Cord Injury and Neuropathic Pain

Prevalence and health impacts

Spinal cord injury (SCI) affects approximately 935,000 individuals worldwide each year, with an estimated prevalence of 27 million global cases.¹⁶ The United States alone demonstrates an estimated incidence and prevalence of 17,810 and 294,000 SCI cases respectively.17,18 SCI profoundly impacts function, independence, and quality of life and can lead to development of secondary conditions including chronic pain, depression, infections, fracture, and skin pressure injuries. 19-21 Total direct costs for all causes of SCI in the United States are estimated to be \$7.736 billion, with a total lifetime cost of medical care for an individual with SCI of up to \$969,659.²²

Chronic pain is a particularly salient secondary condition of SCI as it is reported in as high as 81% of this population.²³ SCI-related pain is categorized as nociceptive (musculoskeletal, visceral, or other pain arising from non-neural tissue) or neuropathic (stemming from somatosensory nervous system injury or disease).23-25 Neuropathic pain is typically more severe and persistent than nociceptive pain^{24,26}, with an estimated 56% of pain SCI-related pain complaints classified as neuropathic.²⁷ In individuals with SCI, pain intensity ratings are higher for neuropathic pain compared to nociceptive pain (Figure 1.1)²⁶, and a greater proportion report neuropathic pain as being severe or excruciating compared to nociceptive pain.²³

Figure 1.1. Pain intensity for the worst reported pain problem (either nociceptive or neuropathic) by type in individuals with chronic spinal cord injury for Visit 1 (A) and Visit 2 (B). Observational study visits 1 and 2 were held 6 months apart to collect data on pain symptoms and quality of life in adults with chronic spinal cord injury.²⁶ Unmodified figure utilized from Gibbs et al 2019 [\(https://creativecommons.org/licenses/by/4.0\)](https://creativecommons.org/licenses/by/4.0).

In addition to greater severity of pain, a high proportion of individuals with neuropathic pain also report pain interference with daily activities (61%), mood $(57%)$, and ability to get a good night of sleep $(76%)$. ²⁶ Pain intensity has been demonstrated to correlate with interference in these domains, as well as domains of mobility, interpersonal relationships, and self-care.²⁸ Those with higher pain intensities utilize greater healthcare services, and those with neuropathic pain are more likely to be unemployed.²⁹ Overall, individuals with SCI with pain rate lower global health than those without pain. 23

Neuropathic pain poses an additional challenge due to its resistance to pharmacologic intervention.²⁴ First line pharmacologic interventions include gabapentinoids, tricyclic antidepressants, and serotonin norepinephrine reuptake inhibitors, which offer limited efficacy.^{30,31} These drugs commonly produce negative side effects of somnolence, fatigue, dizziness, orthostatic hypotension,

edema, and urinary retention.^{30,31} When first line strategies fail, opioids are commonly prescribed. ³² These drugs also offer limited efficacy, and hold additional risk of unwanted side effects and complications such as drowsiness, nausea, constipation, respiratory depression, and potential dependency.³² As respiratory, autonomic, bladder, and bowel function are commonly impaired in individuals with SCI, pharmacologic management is not a feasible treatment option for all individuals due to further limitation of these functions.¹⁹⁻²¹ Approximately 20% of individuals with SCI-related pain will discontinue pharmacologic treatment due to unfavorable side effects.²⁶ Greater elucidation of the underlying pathophysiologic mechanisms that lead to the development of neuropathic pain, and interventions to target these mechanisms, is required to develop optimal treatment strategies and improve quality life for individuals with SCI.

Pathophysiology of Neuropathic Pain After Spinal Cord Injury

Trauma to the spinal cord initiates immediate inflammatory and immune responses similar to peripheral injuries such as damage to skin or muscle. However, unlike peripheral injuries which progress through a sequence of inflammatory, proliferative, and remodeling phases resolving in wound healing, the inflammatory response persists after SCI, resulting in a chronic state of neuroinflammation.33,34

One contributing mechanism to chronic inflammation after SCI is the observed difference in macrophage phenotype expression.³³ Macrophage

phenotypes are broadly classified as proinflammatory (M1), or wound resolving (M2).³⁴ In peripheral injuries, a cascade of signaling events elicits the transition from M1 to M2 macrophages. However, in SCI, M1 macrophage expression remains elevated compared to M2 macrophages, resulting in continued phagocytosis and production of proinflammatory cytokines (Figure 1.2).^{33,34}

Figure 1.2. Comparison of inflammatory responses and trajectories in normal wound healing and spinal cord injury.³³ Unmodified figure utilized from Gensel and Zhang 2015 [\(https://creativecommons.org/licenses/by-nc-nd/4.0/\)](https://creativecommons.org/licenses/by-nc-nd/4.0/).

Proinflammatory cytokines, such as tumor necrosis factor- α (TNF- α), $interleukin-1\beta$ (IL-1 β), and interleukin-6 (IL-6) contribute to enhanced pain states in part through the activation of nuclear factor κ B (NF- κ B).³⁵ Proinflammatory cytokines activate NF-κB, which subsequently promotes the genetic expression of additional proinflammatory cytokines, chemokines, and adhesion molecules, amplifying the neuroinflammatory response after SCI (Figure 1.3).³⁴⁻³⁶

Figure 1.3. NF-κB target genes involved in inflammation development and progression.³⁶ Unmodified figure utilized From Liu et al 2017 [\(https://creativecommons.org/licenses/by/4.0/\)](https://creativecommons.org/licenses/by/4.0/)

The NF-κB signaling pathway is considered a "master regulator of the inflammatory response" and critically regulates hundreds of immune relevant genes.^{36,37} NF-kB has been robustly demonstrated to contribute to chronic inflammation, atrophy, and neuropathic pain after SCI and other inflammatory conditions.35,37-40 NF-κB amplification and prolongation of neuroinflammatory

signaling contributes to neuropathic pain after SCI by promoting persistent glial production of proinflammatory cytokines and other downstream factors, which produce sensitization of dorsal horn neurons resulting in hyperexcitability and perception of pain elicited by non-noxious stimuli.^{1,41} Increased neuroinflammatory mediators including the expression of proinflammatory cytokines⁴¹⁻⁴³, microglia^{41,43,44}, and macrophages⁴⁴ can alter synaptic function and are associated with the development of neuropathic pain after SCI.¹

1.3 Mechanisms of Neuropathic Pain

Neuroinflammation Induced Alterations of the Sensory System

Sensation is a signal that is encoded at every level of biology from the molecular to systemic level. Chronic inflammation can alter the way signals regarding sensory stimuli are transduced in both ascending and descending pathways.⁴⁵ Exposure to noxious and non-noxious stimuli produces a cascade of events beginning with the release of ions that trigger depolarization of sensory neurons. Those neurons encode and transmit the signal, which passes through the dorsal root ganglion to the dorsal spinal cord. The spinal cord transmits the signal to the brain where it arrives at the thalamus, a relay center for a variety of stimuli and processes.⁴⁶ The thalamus projects the signal to the somatosensory cortex, where it is decoded within a somatotopic representation of the body allowing interpretation of intensity and location of the stimulus.^{45,47}

Once the signal reaches the thalamus, a cascade of activity and interaction between ascending and descending tracts, cortical and subcortical regions, and neural networks occur. These complex interactions facilitate the coordination of behavioral responses, such as programming of muscle activity to initiate a withdrawal of affected region from noxious stimuli, and regulate affective and emotional responses to pain.⁴⁷ The ascending lateral pain pathways are primarily responsible for somatosensory aspects of pain processing⁴⁵ while the descending pain pathway modulates the suppression of pain signaling.45,48,49 Of particular note, the periaqueductal gray matter has also been associated with regulating affective responses to pain, such as anxiety and depression, associated with chronic pain.⁴⁵ Other regions involved in affective and emotional aspects of pain processing include structures of the limbic system⁵⁰⁻⁵² and ascending medial pain pathway. ⁵³ The hypothalamus and amygdala, for example, contribute to autonomic function, fear, and anxiety, and also project information to midbrain and brainstem areas that modulate the activity of nociceptive neurons, creating a feedback loop.45,47

Heightened and prolonged inflammatory signaling after neural insult results in alteration of synaptic function and activity of sensory neurons.⁵⁴ Inflammatory signaling promotes neuronal circuitry changes referred to as central sensitization, or increased responsiveness to normal or subthreshold sensory input.⁴⁵ This exaggerated sensory response is caused by the amplification of nociceptor activity through long term potentiation, or the disinhibition of nociceptors due to reduced activity of inhibitory interneurons.⁴⁷ This imbalance of

neuronal activity can result in hyperalgesia (hypersensitivity to noxious stimuli) or allodynia (the interpretation of non-noxious stimuli as noxious).⁴⁷ These neuroplastic changes at the neuronal and synaptic level lead to subsequent changes throughout ascending and descending nerve tracts and can ultimately alter the structure and function of somatosensory systems and networks in the brain.⁴⁵

Changes in Brain Structure and Function in Neuropathic Pain

Alterations at the cellular level that contribute to the development of neuropathic pain, such as changes in proinflammatory cytokines, can be detected and quantified by biochemical techniques. Structural and functional changes in sensory systems associated with neuropathic pain can also be detected within the central nervous system by neuroimaging. Magnetic resonance imaging (MRI) is a non-invasive neuroimaging method commonly utilized to assess brain structure and function in human populations.

The magnetic resonance (MR) signal consists of resonant frequencies of electromagnetic waves emitted by hydrogen protons, after excitation by radiofrequency (RF) pulses produced by strong magnetic fields.⁵⁵ A vast array of MR sequences and analysis techniques can be applied to generate a variety of information about brain structure, biochemistry, and function.⁵⁵ MR signal is measured at the voxel-level. Voxels are three-dimensional (3D) parcellations and individual datapoints in the acquired 3D image of the brain. Like the number of pixels in a two-dimensional image, the size and number of voxels that comprise

the 3D image determine the image resolution. The size of the 3D image is determined by the spatial parameters of the sequence - the matrix size, field of view, and slice thickness. ⁵⁵ Temporal parameters of scan time, repetition time (time between RF pulses), and echo time (time between RF pulse and signal acquisition), as well as the number of RF pulses applied, influence the amount of data collected and subsequent signal-to-noise ratio. ⁵⁵ Repetition time, echo time, and flip angle also influence the contrast-weighting of MR sequences through production of varying sequences of RF stimuli subsequently eliciting differential responses of hydrogen protons. ⁵⁵ Contrast-weighting (e.g. T1 and T2) reflects differences in signal intensity, or brightness, in various tissues.⁵⁵

The structure of the brain is analyzed by using voxel-based morphometry to define the volume of tissues, such as the density of gray matter and the thickness of the cortex.⁷ Tissue probabilities denote the likelihood that the signal intensity in each voxel represents a specific tissue type. Differing degrees of hydrogen motion in each tissue type result in the emission of distinctive signal intensities, allowing the segmentation of MR data into gray matter, white matter, and cerebral spinal fluid.⁵⁵ For example, in T1-weighted sequences, differences in tissue signal intensities are depicted by the white matter appearing brighter, gray matter appearing darker, and cerebral spinal fluid appearing black (Figure 1.4). Differences in brain volume can be determined by comparing the number of voxels classified as a specific tissue type between groups, time points, or conditions. These comparisons can assess structural alterations across the

whole brain or in isolated regions of interest, with voxel-based morphometry most commonly applied to assess differences in gray matter volume. 55

Figure 1.4. T1-weighted magnetic resonance template image demonstrating differing signal intensities between white matter (WM), gray matter (GM), and cerebral spinal fluid (CSF).

Functional activity and connectivity of the brain is measured with

functional MRI (fMRI), which also compares voxel signals. fMRI analyzes

differences in the blood-oxygen-level-dependent (BOLD) signal, a measure of

neuronal activity.⁷ Measurement of the BOLD signal is based on the

hemodynamic response: an increase in regional blood flow to active neurons

which require additional glucose and oxygen supplied by blood.⁵⁶ fMRI can distinguish changes in blood flow in the brain due to alterations in oxyhemoglobin and deoxyhemoglobin concentrations, which are detectable in the MR signal.⁵⁶

The BOLD signal can be measured with an individual at rest, or in conjunction with performance of a task in the scanner. fMRI enables the identification of regions of greater or lesser activity, and regions with fluctuations in synchronous activity, based on alterations in the BOLD signal across voxels. 56 Synchrony or coupling of the BOLD signal between regions is indicative of related activity, and is a measure of functional connectivity between regions.⁵⁶ For example, synchronous BOLD signal fluctuations in voxels composing the posterior cingulate cortex, medial prefrontal cortex, and lateral parietal cortices at rest is a hallmark of the default mode network, which is active when the body and mind are not focused on a task (Figure 1.5).⁵⁷ The strength of correlation or anticorrelation of the BOLD signal between regions provides a measure of their functional connectivity. fMRI analyses can look for relationships in the BOLD signal across the whole brain and in specified regions of interest. One highly utilized analytic approach is seed-based correlation, in which a seed region of interest is selected and BOLD signal in all other voxels is compared with the signal in the seed region to identify connectivity relationships.⁵⁵

Figure 1.2. Functional connectivity seed-based correlation (SBC) map depicts regions demonstrating greater spontaneous synchronous neural activity (red) with the right lateral parietal (R LP) cortex seed region. R LP displays greater resting state functional connectivity to the medial prefrontal cortex (mPFC), posterior cingulate cortex (PCC), and left lateral parietal (L LP) cortex. Together, these regions compose the default mode network.

Brain regions that have been previously associated through MRI studies with neuropathic pain after SCI include areas involved in sensorimotor function^{6,58-60}, emotional regulation⁶, executive function and cognition^{6,61}, and reward motivation and goal directed action.^{59,60} Most MRI studies in individuals with SCI and neuropathic pain have been structural, demonstrating decreased gray matter volume in areas with key roles in pain processing (Table 1.1).^{46,62}

Regions demonstrating volumetric differences encompass components of the ascending pain pathways and limbic system. Findings of alterations in MRI structure in these areas in individuals with SCI have been validated by work in other neuropathic pain models.^{63,64}

Summary of literature from structural magnetic resonance imaging analysis in individuals with spinal cord injury (SCI) with neuropathic pain (NP) compared to those with SCI without pain. Correlations with pain intensity are reported in subsamples of individuals with SCI and NP.

Though few studies have explored resting state functional connectivity (rsFC) alterations associated with neuropathic pain after SCI, existing reports have demonstrated connectivity alterations of somatosensory and limbic system structures (Table 1.2). $8,9$ In individuals with SCI, stronger rsFC has been identified between limbic, executive, and sensory processing regions in those with neuropathic pain compared to those without pain.^{8,9} Additionally, positive

correlations between rsFC and neuropathic pain severity have been reported within the limbic system⁸, as well as between limbic and sensory processing regions.⁹

Summary of literature from resting state functional magnetic resonance imaging seed-based correlation analyses in individuals with spinal cord injury (SCI) with neuropathic pain compared to those with SCI without pain. + signifies a positive correlation between connectivity and pain intensity.

Altered functional activity has also been reported in individuals with multiple sclerosis (MS) and neuropathic pain with decreased coactivation in the bilateral nucleus accumbens and caudate.⁸⁰ These areas are involved in reward and behavior, suggesting a possible relationship between neuropathic pain and the dopaminergic system.⁸⁰ Additionally, individuals with chronic neuropathic orofacial pain demonstrated increased functional connectivity between the rostral ventromedial medulla, periaqueductal gray matter (PAG), and locus ceruleus, regions involved in descending pain pathways.⁶⁴ The PAG and locus ceruleus

also demonstrated increased functional connectivity with the reward center of the nucleus accumbens and with the anterior cingulate cortex, a region known to be associated with neuropathic pain in SCI. ⁶⁴ As such, these regions could be promising therapeutic targets for interventions to reduce accentuated pain signaling.

The Role of microRNA in Neuroinflammation and Neuropathic Pain

Pain can be also modulated at the molecular level by small non-coding ribonucleic acids (RNA), called microRNA (miRNA), which bind to target messenger RNAs (mRNA) and cause post-transcriptional inhibition or degradation of mRNA resulting in gene silencing.^{81,82} Each of the 78 known families of miRNAs conserved in vertebrates has approximately 300-400 targets⁸³, with a single miRNA regulating as many as 200 mRNAs.⁸⁴ Approximately 3,000 connections between 350 miRNAs and 160 diseases have been identified, demonstrating complex interactions of multiple miRNAs contributing to disease states. ⁸⁵ Preclinical animal model studies have identified differential expression of a number of miRNAs contributing to pathological processes after SCI including apoptosis $86-89$, inflammation $90-92$, and astrogliosis. $86-$ 88

miRNAs are emerging potential biomarkers of interest as they can be measured in serum or plasma and may offer the ability to elucidate pathological pathways and processes at the molecular level in clinical populations. Additional factors which make miRNAs promising biomarkers are their intra- and

extracellular stability. Compared to other RNAs with intracellular half-lives of several minutes, miRNAs are more resistant to degradation, with half-lives ranging from 8 hours to 3 weeks. 93 This stability is due to the binding of miRNAs with Argonaute proteins, which also makes them more resistant to extracellular degradation. They are highly stable in plasma and serum up to 24 hours at room temperature or 8 freeze/thaw cycles.⁹³

The tissue specificity of miRNAs further supports their potential as biomarkers in disease states. Many miRNAs are more highly expressed in specific tissues, such as neural, cardiac, kidney or liver, enabling the identification of miRNAs contributing to conditions affecting specific systems.⁹⁴ As such, miRNAs hold promise as regulatory biomarkers of inflammatory processes within neural tissues which contribute to maladaptive neuroplastic mechanisms after SCI. Identification of miRNAs that regulate genetic transcription of neuroinflammatory cascades after SCI could provide quantitative measures of neuropathology and provide potential targets for downregulation of chronic proinflammatory signaling pathways. Two miRNAs of interest, miR-15b and miR-338, have been found to influence the NF-κB signaling pathway and regulate both neuroinflammation (Table 1.3) and neuropathic pain (Table 1.4).

Summary of literature validating microRNA and downstream targets in animal, human, and human derived cell line neuroinflammatory models of spinal cord injury (SCI_, cerebral ischemia/reperfusion (CIR) injury, and Alzheimer's disease (AD). Validated targets include B-cell lymphoma 2 (BCL-2), ADP ribosylation factor-like 2 (Arl2) protein, adenosine triphosphate (ATP), β-site amyloid precursor protein-cleaving enzyme 1 (BACE1), nuclear transcription factor κB (NFκB) signaling pathway, amyloid- β (Aβ), inhibitor of NF-κB kinase α (IKK- α), connective tissue growth factor (CTGF), and adenosine 5'-monophosphate-activated protein kinase/mammalian target of rapamycin signaling pathway (AMPK/mTOR). *Liu *et al* 2010 identified an increase in expression of miR-15b which did not significantly differ from controls.

Summary of literature validating microRNA and downstream targets in oxaliplatin-induced and complex regional pain syndrome (CRPS) neuropathic pain (NP) models. Tissues studied included dorsal root ganglion (DRG) and exosomes from plasma. β-site amyloid precursor protein-cleaving enzyme 1 (BACE₁) and proinflammatory cytokine interleukin 6 (IL-6) were identified as targets.

Though limited information exists regarding the role of miR-15b and miR-338 in SCI-related neuropathic pain, existing literature in both neuroinflammatory and neuropathic pain models suggest they target the NF-κB signaling pathway. In Alzheimer's disease (AD) models of neuroinflammation, both miRNAs have known overlapping targets of the β-site amyloid precursor protein-cleaving enzyme 1 (BACE₁)/NF-kB signaling pathway.^{96,98} BACE₁ cleaves amyloid precursor protein (APP) and generates amyloid-β (Aβ).⁹⁶ Aβ activates NF-κB and the inflammatory cascade including production of proinflammatory cytokines.⁹⁶ $miR-15b$ and miR-338 inhibit BACE₁ and the subsequent downstream activation of the inflammatory effects of the NF-κB signaling pathway. Inhibition of the BACE₁/NF-_KB signaling pathway could thus reduce the expression of mediators producing central sensitization and mitigate neuropathic pain.

 $miR-15b$ can directly inhibit $BACE₁$ and NF- KB , and subsequent production of APP, Aβ, and proinflammatory cytokines in a human-derived neuronal cell line.⁹⁶ Interestingly, miR-15b was also found to directly target inhibitor of NF-κB (IκB) kinase- α (IKK- α).⁹⁶ Thus miR-15b could produce both direct inhibition of NF-κB, and indirect activation of NF-κB through inhibition of its inhibitor, IKK- α .⁹⁶ There is lack of clarity in the literature regarding the role of miR-15b in the development of neuropathic pain. Consistent with previous studies in models of neuroinflammation, miR-15b was found to be upregulated in rats with neuropathic pain, and to produce BACE_1 inhibition.³ However, contradictory to the expected reduction of neuropathic pain due to inflammation, inhibition of BACE_1 was found to be associated with the development of

neuropathic pain.³ One potential explanation for this incongruous finding is that IKK- α or other downstream targets of miR-15b were not assessed. It is possible that differential expression of other mediators of the NF-κB signaling pathway contributed to the induction of neuropathic pain in their model.

The role of miR-388 in a rodent Alzheimer's Disease model mirrors previous findings, identifying inhibition of $BACE₁$ by miR-338, and downregulation of expression of miR-388 in the hippocampus.⁹⁸ The downregulation of miR-388 in Alzheimer's disease was found to be associated with elevated BACE_1 expression, and over-expression of miR-388 demonstrated neuroprotective effects through inhibition of BACE₁ and its downstream target NF- κ B.⁹⁸ Additionally, IL-6, a proinflammatory cytokine and downstream target of the NFκB signaling pathway, was identified as a validated target of miR-338 in a neuropathic pain model.⁹⁹ Given these findings, miR-15b and miR-338 are promising potential biomarkers of neuroinflammation and neuropathic pain in individuals with SCI, through potential alteration of NF-κB pathway signaling.

1.4 Exercise as a Modulator of Neuropathic Pain

Exercise Reduces Neuroinflammation and Pain

The effects of neuroinflammation on sensory neurons is well-established, but exercise also produces known effects on synaptic activity. Exercise also stimulates a host of molecular and cellular changes that have been shown to produce cellular changes in the dorsal root ganglia (DRG).¹¹ Exercise promotes expression of neurotrophic factors in the DRG, including brain derived
neurotrophic factor (BDNF), nerve growth factor, growth associated protein-43 and neurotrophin-3 (Figure 1.6).¹¹

Figure 1.3. Exercise drives alterations in the sensory nervous system through modulation of many factors and signaling pathways, including those involved in neuroinflammation.¹¹ Unmodified figure utilized from Cooper *et al* 2016 under Creative Commons Attribution License (CC BY).

Increased expression of neurotrophic signaling may explain why exercise interventions can improve neurogenesis and recovery after neurotrauma. In rodent SCI models, exercise stimulates gene expression changes that promote neuroprotective pathways including neurogenesis and remodeling.¹⁰⁰ Exercise also generates a robust anti-inflammatory signaling cascade and secretion of anti-inflammatory cytokines, which can subsequently decrease neuroinflammation based pain (Figure 1.7).¹¹

Figure 1.4. Exercise promotes anti-inflammatory signaling and expression, which can counter expression of proinflammatory signaling and expression and resultant neuropathies of sensation. Unmodified figure utilized from Cooper et al 2016 under Creative Commons Attribution License (CC BY).

Exercise has been shown to modify the NF-κB signaling pathway in neuroinflammatory disorders.¹⁰¹⁻¹⁰³ In a rodent traumatic brain injury model, 3 weeks of treadmill training produced neuroprotective effects by inhibiting expression of proinflammatory cytokines and genes, and stimulating production of anti-inflammatory factors.¹⁰¹ Similarly, in a rodent Parkinson's disease model, 8 weeks of treadmill exercise produced a neuroprotective effect by downregulating expression of toll-like receptor 2 and subsequently reduced NF-κB signaling.¹⁰² NF-κB regulation was also elicited by 8 weeks of swimming training in a rodent model of Alzheimer's disease, which was found to mitigate neuroinflammatory responses and memory impairments.¹⁰³

The link between neuroinflammation, neuropathic pain, and exercise has also been explored in rodent SCI models. Neuropathic pain after SCI was shown to be associated with increased microglial activation and macrophage presence in the dorsal root ganglion (DRG)⁴⁴, and increased density and abnormal distribution of afferent nerve fibers.¹⁰⁴ Early wheel-walking exercise after SCI in rats reduced the number of macrophages⁴⁴, aberrant c-fiber sprouting in the DRG, and prevented the onset of pain development.¹⁰⁴ Similar beneficial effects of exercise have been identified in humans with SCI and chronic pain. A 10 week double-poling ergometer exercise program improved both neuropathic and nociceptive pain in individuals with SCI.¹³ Post-intervention, median pain intensity rating reduced by 2 points on the numeric rating scale for those with neuropathic pain, and by 4 points for those with nociceptive pain.¹³ A virtual walking intervention also reduced neuropathic pain symptoms after SCI.¹⁰⁵

Exercise Modulates microRNA Expression

Exercise has been shown to modulate the expression of a wide variety of miRNAs. ¹⁰⁶ Two miRNAs of particular interest are miR-15b and miR-338. As these miRNAs are implicated in the regulation of the NF-κB signaling pathway, they are promising targets for exercise-induced reduction of neuroinflammation and neuropathic pain in individuals with SCI.^{96,98} A summary of literature reporting exercise-induced expression alterations in miR-15b and miR-338 can be found in Table 1.5.

Summary of the literature identifying effects of exercise on miR-15b and miR-388 expression in rodent SCI and healthy human populations. Abbreviations: maximal power (Pmax), peak oxygen uptake (VO² peak), maximal oxygen uptake (VO² max).

The inverse relationship between the expression of miR-15b and miR-338 in neuropathic pain and exercise models suggests exercise could normalize their expression and subsequently reduce neuropathic pain (Table 1.6). As such, miR-15b and miR-338 could be promising biomarkers used to assess the influence of neurorehabilitation interventions on biological mechanisms of neuropathic pain.

Exercise Impacts Brain Structure and Function

Exercise has neuroprotective effects on brain structure and function across various injury and disease models (Table 1.7). Most exercise intervention studies focus on the hippocampus, with well-established neuroprotective effects on hippocampal volume with exercise and BDNF expression.^{111,112} In neurological disorders, gait may modulate regions previously identified in neuropathic pain processing. In individuals with SCI, body weight supported treadmill gait training was associated with greater post-intervention activation of primary and secondary somatosensory and cerebellar regions on fMRI.¹¹³ Similarly, robotic-assisted gait training (RAGT) was associated with greater postintervention activation of the sensorimotor and supplementary motor cortices, as well as enhanced functional connectivity within the motor network in individuals with traumatic brain injury.¹¹⁴ Individuals with stroke demonstrated increased

activity of the bilateral primary sensorimotor cortices, caudate, and the thalamus of the affected hemisphere after partial body weight supported treadmill training.¹¹⁵ Treadmill training was also associated with functional connectivity of the thalamus and the right superior frontal and left medial frontal gyri.¹¹⁶ Additionally, mental imagery of imagined stance and locomotion activated the thalamus and basal ganglia in several studies, suggesting gait may be a successful intervention to target these regions, which are also implicated in neuropathic pain.117,118

Aerobic exercise interventions have also demonstrated neuroprotective effects in regions involved in neuropathic pain processing. Adults with Alzheimer's disease who participated in moderate intensity aerobic exercise at least 1 hour a day, 5 days a week, demonstrated greater volumes of the thalamus, caudate, and amygdala.¹¹⁹ In healthy older adults, aerobic exercise was associated with increased connectivity between the sensorimotor and thalamic networks.¹²⁰ Physical activity and energy expenditure were positively associated with gray matter volume in the hippocampus, thalamus, and basal ganglia in older adults.¹¹² Adults with MS demonstrated an association between cardiorespiratory fitness ($VO₂$ max) and volumes of the basal ganglia (caudate, putamen, pallidum), and hippocampus.¹²¹ Low impact activity may also produce changes in brain activity and reduce neuroinflammation. Individuals with knee osteoarthritis who completed either Tai Chi, Baduanjin, or stationary cycling demonstrated decreased functional connectivity of the prefrontal cortex (PFC) and supplementary motor area (SMA) and increased functional connectivity

between the PFC and anterior cingulate cortex compared to controls who did not complete an exercise intervention.¹²² PFC and SMA connectivity was found to significantly negatively correlate with baseline pain outcome scores, and be associated with serum levels of programmed cell death protein-1.¹²²

Summary of findings of magnetic resonance imaging (MRI) studies assessing the effects of neuropathic pain and exercise on brain structure and functional activity and connectivity. Primary somatosensory (S1) and motor (M1) cortices, anterior cingulate cortex (ACC), prefrontal cortex (PFC), and supplementary motor area (SMA).

1.5 Summary

Prolonged pathologic neuroinflammation after SCI may contribute to the development of neuropathic pain at the molecular, cellular, and brain structural and functional level.⁴⁵ One neuroinflammatory pathway that could be a promising target for modulation of neuropathic pain is the $BACE₁ / NF-KB$ signaling pathway. Evidence supports the altered expression of miRNA mediators of this pathway, miR-15b and miR-338, in both neuroinflammatory and neuropathic pain models.3,96,98 These miRNA are of particular interest due to the ability of exercise to induce changes in their expression in an inverse direction of their differential

expression demonstrated in neuropathic pain models (Table 1.8). These findings suggest that exercise could promote normalization of expression levels of miR-15b and miR-338, subsequently reducing BACE1 / NF-κB signaling, and reducing neuroinflammation and neuropathic pain. Given the potential of miR-15b and miR-338 to predict biological changes associated with both neuropathic pain and rehabilitative exercise interventions, validation of these preclinical biomarkers in human populations with SCI is warranted.

Existing evidence also suggests exercise modulates neuropathic pain signaling within the brain in regions with roles in both movement production and pain processing (Table 1.9).^{6,63,112101,102} As the neuroplastic effects in these regions demonstrate opposite relationships between neuropathic pain and exercise intervention models, it is possible that exercise reduces pain by impacting the structure and activity of these regions. In particular, the demonstrated trophic effects of exercise on thalamus¹¹² and caudate volume^{112,115,121}, which is decreased in neuropathic pain models^{6,63}, could be especially promising. Gait training may be a particularly salient and effective exercise intervention to explore for individuals with SCI-related neuropathic pain as the thalamus and caudate hold known roles in gait function.¹²⁴⁻¹²⁷

Magnetic resonance imaging (MRI), primary somatosensory cortex (S1).

1.6 Conclusions

The negative and widespread impacts of neuropathic pain on health and well-being, and the limited efficacy of current interventions establish the need for elucidation of novel biomarkers and potential targets for treatment. Identification of miRNA and neuroimaging biomarkers of neuropathic pain in individuals with SCI could promote more targeted and efficacious interventions. Promising potential biomarkers include miR-338 and miR-15b due to their role in neuroinflammation and differential expression in neuropathic pain models. Brain regions of interest additionally include the primary somatosensory cortex, thalamus, and caudate due to established involvement in neuropathic pain processing. As exercise has been shown to modulate and mitigate the altered states of these miRNAs and brain regions in neuropathic pain models, they hold potential as biomarkers which could guide the optimization of neurorehabilitation interventions for neuropathic pain after SCI.

1.7 Aims and Hypotheses

This dissertation will build upon the existing knowledge in neuroinflammatory and maladaptive neuroplastic associations with neuropathic pain, and the neuroprotective effects of exercise, to address the following limitations in translational science : 1) validation of preclinical miRNA biomarkers of neuropathic pain in a clinical population with SCI, 2) identification of brain regions demonstrating resting state functional connectivity alterations associated with neuropathic pain after SCI, and 3) determine the effects of a robotic-assisted gait training intervention on neuropathic pain and brain structure in individuals with SCI.

Aim 1 (Chapter 2): Determine the association between miRNA levels involved in neuroinflammatory pathways and neuropathic pain severity in individuals with SCI.

Hypothesis 1: Lower expression of miR-338 and higher expression of miR-15b will be associated with neuropathic pain in individuals with SCI, consistent with findings from preclinical studies.

Aim 2 (Chapter 3): Identify resting state functional connectivity alterations associated with neuropathic and nociceptive pain phenotypes in individuals with chronic SCI**.**

Hypothesis: Neuropathic and nociceptive pain will be associated with unique patterns of functional connectivity alterations in pain processing regions.

Aim 3 (Chapter 4): Assess the effects of a robotic-assisted gait training intervention on pain presence and intensity and gray matter volume in individuals with SCI.

Hypothesis 1: Participation in robotic-assisted gait training will be associated with a reduction in neuropathic pain intensity.

Hypothesis 2: Gray matter volume in pain processing regions will be increased after participation in a robotic-assisted gait training intervention.

Chapter 2: MiR-338-5p Levels and Cigarette Smoking are Associated with Neuropathic Pain Severity in Individuals with Spinal Cord Injury: Preliminary Findings from a Genome-Wide microRNA Expression Profiling Screen

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2.1 Introduction

Approximately 53% of individuals with spinal cord injury (SCI) develop neuropathic pain, a difficult to treat condition that negatively impacts function via interference with mood, sleep, and the ability to complete daily activities. $26,128$ Neuropathic pain poses a complex challenge due to its resistance to treatment and patient intolerance of pharmacologic interventions.^{30,31} Current first line strategies prescribed to treat neuropathic pain include gabapentinoids, tricyclic antidepressants, and serotonin norepinephrine reuptake inhibitors.^{30,31} These primary interventions offer limited efficacy and often produce unwanted side effects and complications including somnolence, fatigue, dizziness, orthostatic hypotension, edema, and urinary retention.^{30,31} Novel pharmacological interventions for neuropathic pain, which offer improved efficacy and tolerability, are greatly needed. Therefore, elucidation of the underlying pathophysiologic mechanisms that lead to the development of neuropathic pain may facilitate the

development of innovative treatment strategies and improve function and quality life for individuals with SCI.

SCI causes a state of chronic inflammation that contributes to neuronal apoptosis and necrosis of the injured tissue.^{1,33,34} Neuronal apoptosis contributes to neuropathic pain development after neural injury, and mitigation of proapoptotic signaling molecules has been reported to alleviate allodynia in preclinical models.129-132 This is mediated in part by microRNAs (miRNAs), small non-coding ribonucleic acids (RNAs), which bind to target messenger RNAs (mRNAs) and cause post-transcriptional inhibition or degradation of mRNAs resulting in gene silencing.^{81,82} Preclinical animal model studies have identified differential expression of a number of miRNAs which mediate neuronal apoptosis signaling pathways^{2,12,90,133-144} and contribute to neuropathic pain after central and peripheral nerve injury²⁻⁵, but translation to clinical studies remains lacking. The ability to measure circulating miRNA levels in blood provides an opportunity to validate preclinical work in human populations. In addition to miRNAs, factors of sex¹⁴⁵⁻¹⁴⁷, age^{146,147}, and cigarette smoke exposure¹⁴⁶⁻¹⁵⁰ have been associated with neuropathic pain in human populations, as well as neuronal apoptosis in preclinical animal studies¹⁵¹⁻¹⁵⁵, and may contribute to neuropathic pain outcomes after SCI. Successful identification of the biological mechanisms and clinical factors contributing to the development of neuropathic pain after SCI could facilitate better prediction of those at risk of neuropathic pain development and identify new targets for optimized treatment interventions. Therefore, this study sought to determine whether validated miRNA mediators of neuronal

apoptosis signaling pathways in preclinical models and biologically relevant clinical variables are associated with neuropathic pain severity in individuals with SCI.

2.2 Materials and Methods

Selection and Description of Participants

We conducted a secondary analysis of data from a convenience sample of participants with SCI enrolled in one of two ongoing clinical trials assessing bone health (ClinicalTrials.gov Identifiers: NCT02533713 and NCT02946424) or in an observational study assessing surgical treatment for severe neuropathic pain. Criteria for enrollment for each study can be found in Table 2.1. For all participants, data were derived from baseline testing which occurred between 05/15/2017 and 11/05/2019. Two samples were utilized for analyses: a screening sample and a larger validation sample. Data from the screening analyses were utilized to identify differentially expressed miRNA of interest for validation in the larger sample. All analyses were conducted on de-identified data by a member of the research team not involved in data collection. The study protocol was approved by our Institutional Review Board.

American Spinal Injury Association Impairment Scale (AIS), spinal cord injury (SCI), Modified Ashworth Scale (MAS), magnetic resonance imaging (MRI).

Screening Sample

An untargeted genome wide miRNA expression profile screening on banked baseline serum samples was conducted in a cohort of individuals with chronic paraplegia based on the presence of severe neuropathic pain (pain score range 8-10) versus no neuropathic pain. Participants with neuropathic pain ($n =$ 5) were enrolled in a surgical intervention cohort study planning to undergo dorsal root entry zone lesioning surgery for alleviation of neuropathic pain. Participants without neuropathic pain $(n = 4)$ were enrolled in a randomized, controlled clinical trial (NCT02533713).

Validation Sample

Baseline miRNA and clinical data collected from two ongoing randomized, controlled trials (NCT02533713 and NCT02946424) and the surgical intervention cohort study were utilized for validation of findings from the screening sample. A total of 89 participants with SCI were enrolled across all three studies. All participants with miRNA and clinical datasets ($n = 43$) were included in the validation sample. Participant data from the screening sample were included in the validation sample.

Clinical Outcome Measures

Pain presence, type (neuropathic or nociceptive), intensity, and interference with mood, sleep, and activity were assessed with the International Spinal Cord Pain Basic Dataset (ISCIPBDS). If neuropathic and/or nociceptive

pain were reported on the ISCIPBDS, the highest rated average weekly intensity of each pain type across the three worst pain problems was defined as the participants' worst neuropathic or nociceptive pain intensity. Depressive symptoms were assessed with the Patient Health Questionnaire-9 (PHQ-9). Participant intake questionnaires included demographic factors (age, sex), injury characteristics (injury duration, level of injury), medication use, and smoking history. Smoking history was classified dichotomously (ever smoker or never smoker) by a health questionnaire based on the American Thoracic Society adult respiratory disease questionnaire.¹⁵⁶ Ever smokers were defined as smoking one or more cigarettes a day for at least one year or 20 or more packs of cigarettes in a lifetime.157,158 Spinal Injury Association Impairment Scale (AIS) classification was confirmed by physical exam.

Serum Collection and Processing

Blood samples were collected in EDTA tubes by venipuncture and immediately delivered to the core blood research laboratory at our facility. The samples were centrifuged for 15 min at 2600 rpm (1459 x g) at 40C and stored at -80C until batch analysis.

miRNA Bioinformatics Pipeline

Serum miRNA Next Generation sequencing was completed by LC Sciences (Houston, TX). Briefly, comprehensive miRNA/small RNA sequencing service included sample QC, library preparation, and sequencing (50 base pair sequencing, on average a minimum of 7-10 million reads per sample). Raw reads were subjected to an in-house program, ACGT101-miR (LC Sciences, Houston, Texas, USA) to remove adapter dimers, junk, low complexity, common RNA families and repeats. Subsequently, unique sequences with length in 18~26 nucleotide were mapped to specific species precursors in miRBase 21.0 by BLAST search to identify known miRNAs and novel 3p- and 5p- derived miRNAs. Length variation at both 3' and 5' ends and one mismatch inside of the sequence were allowed in the alignment. The unique sequences mapping to specific species mature miRNAs in hairpin arms were identified as known miRNAs. The unique sequences mapping to the other arm of known specific species precursor hairpin opposite to the annotated mature miRNA-containing arm were considered novel 5p- or 3p derived miRNA candidates. The remaining sequences were mapped to other selected species precursors (with the exclusion of specific species) in miRBase 21.0 by BLAST search, and the mapped pre-miRNAs were further BLASTed against the specific species genomes to determine their genomic locations. The unmapped sequences were BLASTed against the specific genomes, and the hairpin RNA structures containing sequences were predicated from the flank 80 nucleotide sequences using RNAfold software [\(http://rna.tbi.univie.ac.at/cgi-](http://rna.tbi.univie.ac.at/cgi-bin/RNAWebSuite/RNAfold.cgi)

[bin/RNAWebSuite/RNAfold.cgi\)](http://rna.tbi.univie.ac.at/cgi-bin/RNAWebSuite/RNAfold.cgi). The criteria for secondary structure prediction were: (1) number of nucleotides in one bulge in stem (≤12), (2) number of base pairs in the stem region of the predicted hairpin (≥16), (3) cutoff of free energy (kCal/mol ≤-15), (4) length of hairpin (up and down stems + terminal loop ≥50),

(5) length of hairpin loop (≤20), (6) number of nucleotides in one bulge in mature region (≤8), (7) number of biased errors in one bulge in mature region (≤4), (8) number of biased bulges in mature region (≤2), (9) number of errors in mature region (≤7), (10) number of base pairs in the mature region of the predicted hairpin (≥12), and (11) percent of mature in stem (≥80).

Normalization of sequence counts in each sample (or data set) was achieved by dividing the counts by a library size parameter of the corresponding sample. The library size parameter is a median value of the ratio between the counts a specific sample and a pseudo-reference sample. A count number in the pseudo-reference sample is the count geometric mean across all samples.

$$
S_j = median \left(\frac{c_{ij}}{\prod_{k=1}^m c_{ik}}\right)^{1/m}
$$

where S_i is the library size parameter;

cij is the count number of sequence i of sample j; m is the total number of samples involved.

Target Prediction Analysis

Target genes potentially regulated by hsa-miR-338-5p were predicted using the publicly available miRNA target database TargetScan (Release 7.2, Whitehead Institute for Biomedical Research). The predicted target false-positive rate was reduced by applying a cut-off of –0.3 in the context scores for TargetScan results. Targets with strong experimental evidence for hsa-miR-3385p were retrieved, following which, an extended literature search of the PubMed database was performed to further confirm neuronal apoptosis-associated validated targets.

Statistical Analyses

Normalized deep-sequencing counts from the untargeted genome wide miRNA expression profile screening were analyzed by independent t-test. Because False Discovery Rate adjusted *p*-values may be too conservative for screening studies, we determined differential expression based on log twofold concentration change and raw *p*-value < 0.05. This study is adequately powered to detect twofold differences in expression between groups.¹⁵⁹ Descriptive statistics include frequency counts and percentages for nominal variables, and log twofold changes, means, standard deviations, and ranges for continuous variables. Least squares regression was utilized to analyze univariate associations with neuropathic pain severity and multivariable associations between miR-338-5p expression level and neuropathic pain severity. Factors with a *p-*value of ≤ 0.10 in the univariate models or considered biologically relevant (age, sex, level of injury, AIS classification, injury duration and chronicity) were included in the multivariable models of neuropathic pain severity. Models and factors with a *p-*value of < 0.05 were considered statistically significant. Participants with missing data ($n = 6$ with no medication use or smoking data and 2 additional participants missing smoking data) were included in the study and all available data were used in the analyses. All analyses were

conducted using JMP version 15 (SAS Institute Inc., Cary, NC) assuming a 5% level of significance.

2.3 Results

Cohort Characteristics

Demographic data for the genome wide miRNA expression profile

screening cohort ($n = 9$) are presented in Table 2.2. Data from a total of 43

participants with SCI ($n = 28$ with neuropathic pain, $n = 15$ without neuropathic

pain) were included in analyses for subsequent validation studies (Table 2.3).

Ever smokers consumed a mean of 10.71 ± 7.57 cigarettes a day (ranging $2 - 20$

per day) over a span of an average of 14.88 ± 8.34 years (ranging 1 – 33 years).

Continuous variables are presented as mean \pm SD and categorical variables as N (%). American Spinal Injury Association Impairment Scale (AIS).

Continuous variables are presented as mean \pm SD and categorical variables as N (%). Data provided includes the full sample of participants ($n = 43$) except for active medication use ($n = 37$) and smoking history ($n = 35$). American Spinal Injury Association Impairment Scale (AIS), International Spinal Cord Injury Basic Pain Dataset (ISCIPBDS), Pain interference (PI), Patient Health Questionnaire-9 (PHQ-9).

Differential Expression of miR-338-5p Levels

A total of 2255 unique miRNA sequences were identified from serum

samples and 71 miRNAs were significantly (*p* < 0.05) up- or down-regulated in

participants with chronic paraplegia reporting severe neuropathic pain versus no pain. We selected miR-338-5p for validation based on the down-regulated expression in this subsample of participants with neuropathic pain ($n = 5$, mean miR-338-5p expression = 88) versus without neuropathic pain ($n = 4$, mean miR-338-5p expression = 187) (log twofold change = −1.09, *p* = 0.004, Figure 2.1) and its reported role in neuronal apoptosis.

Figure 2.1. Downregulated expression of miR-338-5p in a preliminary screening subset of participants with chronic paraplegia with severe neuropathic pain ($n =$ 5) and without neuropathic pain ($n = 4$).

Validated targets of miR-338-5p in preclinical models

Validated miRNA targets from preclinical studies meeting all

methodological criteria for inclusion are provided in Table 2.4. Predicted targets

inhibited by miR-338-5p include proinflammatory cytokine interleukin-6 (IL-6)⁹⁹,

chemokine (C-X-C motif) receptor-4 (CXC4)¹⁶⁰, and apoptosis facilitators Bcl-2-

like 11 (BCL2L11)¹⁶¹ and connective tissue growth factor (CTGF).⁹⁷

Univariate associations with severity of neuropathic pain

Neuropathic pain severity was negatively associated with miR-338-5p expression level (R^2 = 0.19, $F_{1,26}$ = 6.09, β = -0.03, p = 0.02). Those with paraplegia also had more severe neuropathic pain than those with tetraplegia (*R²* $= 0.21$, $F_{1,26} = 7.01$, *mean difference* = 2.53, $p = 0.01$). Though not statistically significant in univariate analyses, additional univariate factors with a p -value of \leq 0.10 which were subsequently included in multivariable analyses included AIS

level (R^2 = 0.19, $F_{2,25}$ = 3.02, p = 0.07) and history of cigarette smoking (R^2 = 0.13, $F_{1,19} = 2.89$, $p = 0.10$). Results of all univariate analyses can be found in Table 2.5.

All univariate analyses utilized the sample of participants with neuropathic pain ($n = 28$) except for active opioid, gabapentin, and spasmolytic use ($n = 22$) and cigarette use ($n = 21$). Normalized deep sequencing count (nDSC), Patient Health Questionnaire-9 (PHQ-9), American Spinal Injury Association Impairment Scale (AIS), least squares mean (LSmean).

Multivariable predictors of neuropathic pain severity

In multivariable models, neuropathic pain severity was not associated with biologically relevant factors of injury level, AIS classification, age, sex, or injury duration when considered continuously or categorically (acute vs chronic). Neuropathic pain severity remained significantly associated with both smoking status and miR-338-5p expression levels (Table 2.5, Figure 2.2). Ever cigarette smokers had significantly greater neuropathic pain severity than never smokers (*mean* difference = 1.84, $p = 0.04$). For a 1-point expression in miR-338-5p, pain severity decreased by 0.04 ($p = 0.02$). This model explained 37% of the variation in pain severity (model $p = 0.02$). This association was restricted to neuropathic pain severity as we found no association between miR-338-5p levels and most severe nociceptive pain rating on the ISCIPBDS (R^2 = 0.002, $F_{1,26}$ = 0.06, β = −0.003, *p* = 0.81).

Analyses included all participants with neuropathic pain and smoking history data ($n = 21$). Normalized deep sequencing count (nDSC), least squares mean (LSmean).

Figure 2.2. Association of neuropathic pain intensity with miR-338-5p expression level and history of cigarette use (ever/never) among individuals with spinal cord injury related neuropathic pain $(n = 21)$.

2.4 Discussion

We aimed to investigate the association between miRNA mediators of neuronal apoptosis signaling pathways, biologically relevant clinical variables, and neuropathic pain severity in a clinical population of individuals with SCI. We identified a multivariable association between lower expression of miR-338-5p, history of ever smoking cigarettes, and greater severity of neuropathic pain in individuals with SCI. This association was restricted to neuropathic pain severity as we found no association between nociceptive pain severity and miR-338-5p levels. Our results are consistent with prior studies which identified reduced expression of miR-338-5p^{99,160} or history of cigarette smoking¹⁴⁸⁻¹⁵⁰ as influential factors in clinical and preclinical neuropathic pain models.

The link between miR-338-5p expression, cigarette smoking, and neuropathic pain may stem from their role in neuroinflammatory processes

involved in sensitization and neuronal apoptosis. MiR-338-5p is well-established as a neuroprotective, anti-apoptotic, and anti-inflammatory mediator in neurological injury and disease models.97-99,144,161 Validated targets of miR-338- 5p, IL-6 and CXC4, support the neuroprotective role of this miRNA in suppression of mediators of neuroinflammation and sensory receptor sensitization. Elevated expression of the proinflammatory cytokine IL-6 is involved in the pathogenesis of neuropathic pain after central and peripheral nerve injury through an influential role in multiple inflammatory signaling pathways.¹⁶²⁻¹⁶⁵ CXC4 is a member of the G-protein coupled receptor family present in nociceptive pre- and post-synaptic sensory nerve terminals.^{166,167} Its increased expression is associated with hyperalgesia and sensitization after nerve injury.166,168 Lower expression of miR-338-5p may insufficiently suppress these pro-inflammatory mediators, subsequently increasing neuroinflammatory signaling contributing to the sensitization of sensory synapses. Cigarette smoking may additionally drive increased expression of these pro-inflammatory mediators. Current or former smoking has been positively associated with the expression of CXC4.¹⁶⁹ Cigarette smoking also promotes inflammation through the production of proinflammatory cytokines IL-6, interleukin-1, interleukin-8, and tumor necrosis factor- α (TNF- α).¹⁷⁰ Elevated levels of TNF- α have been reported in ever smokers compared to never smokers¹⁷¹, which may be an underlying factor contributing to our findings of greater neuropathic pain severity in ever smokers.

Neuronal apoptosis additionally contributes to mechanisms of pain after spinal cord injury, with heightened neuronal cell death associated with

development and longer lasting symptoms of allodynia,^{129,130} and mitigation of neuronal apoptosis associated with reduction of allodynia.^{131,132} Prior preclinical work established miR-338-5p expression and exposure to cigarette smoke as contributors in mechanisms of neuronal apoptosis. Pro-apoptotic drivers of neuronal death, BCL2L11¹⁷²⁻¹⁷⁴ and CTGF^{175,176} are validated targets inhibited by miR-338-5p.^{97,161} Reduction of the expression of these mediators of neuroinflammation and neuronal apoptosis by miR-338-5p may contribute to lower severity of neuropathic pain in individuals with SCI with higher expression of this neuroprotective miRNA. Studies in preclinical animal models have corroborated these findings and demonstrated that exposure to cigarette smoke, as well as the carcinogen acrolein, generated neuronal apoptosis^{154,155,177,178} and heightened sensitization and neuropathic pain behaviors¹⁷⁹ supporting the role of smoking in neuropathic pain.

Collectively, these findings suggest that lower miR-338-5p expression and history of smoking cigarettes may worsen neuropathic pain severity after SCI. Circulating expression of miR-338-5p is a promising biomarker of SCI-related neuropathic pain. Identification and implementation of circulating biomarkers of neuropathic pain after SCI, such as miR-338-5p, could facilitate prediction of those at risk and early intervention to mitigate the severity of neuropathic pain after SCI. Circulating biomarkers of SCI-related neuropathic pain may also serve as new targets for treatment and their measurement could aid in the optimization of rehabilitation interventions. History of ever smoking cigarettes may also serve as a clinically relevant risk factor for heightened severity of neuropathic pain after

SCI. Our findings that history of smoking cigarettes is associated with higher neuropathic pain severity are consistent with a report in individuals with herpes zoster.¹⁴⁸ Though the number of currently active smokers in our sample was limited $(n = 5)$, the majority of active smokers (80%) reported neuropathic pain suggesting smoking cessation programs for currently active smokers may aid in pain alleviation. Smoking cessation has been reported to reduce pain in various clinical populations and may benefit individuals with SCI as well.¹⁸⁰⁻¹⁸³ Further exploration of the role of miR-338-5p expression and cigarette smoking in neuropathic pain is warranted to improve pain and quality of life outcomes for individuals with SCI.

Study Limitations

This is a preliminary study with a small sample of individuals with SCI. As this is a cross-sectional analysis, no conclusions can be made regarding causality. Additionally, several participants were missing data on smoking and medication history, and it is possible that missing data influenced our findings. Therefore, larger longitudinal studies are needed to confirm and expand our findings regarding the relationship between miR-338-5p expression, smoking, and neuropathic pain. Longitudinal studies with larger sample sizes could elucidate whether smoking cessation, smoking duration, and pack years influence miR-338-5p expression levels and neuropathic pain. Additional studies are needed to directly evaluate the relationship between neuropathic pain, miR-338-5p levels and IL-6, CXC4, BCL2L11, and CGTF expression. Despite these

limitations, this study provides important insight into potential factors associated with neuropathic pain following SCI and supports future research promoting targeted interventions.

Conclusions

Reduced expression of neuroprotective miR-388-5p and history of cigarette smoking are associated with greater severity of neuropathic pain after SCI. The specificity of the association between miR-388-5p differential expression and neuropathic pain offers a potential circulating biomarker of neuropathic pain and potential target for intervention in individuals with SCI. Our findings also suggest that smoking cessation programs should be explored as potential strategies to prevent or reduce neuropathic pain after SCI.

2.5 Addendum: Assessment of the Association Between miR-15b-3p Expression and Neuropathic Pain Severity

Preliminary analyses of miR-15b were simultaneously conducted utilizing the same samples and methodology of the above publication assessing miR-338 expression. Significantly up-regulated expression of miR-15b-3p was identified in our screening sample $(n = 9)$ of individuals with chronic SCI with severe neuropathic pain (n = 5, mean miR-15b-3p expression $= 1279$) versus those without pain (mean miR-15b-3p expression $= 953$) (log twofold change = 0.42 , $p = 0.01$). However, preliminary analyses did not identify a significant association between neuropathic pain severity and expression of miR-15b-3p ($F_{1,26}$ = 0.69, R^2 = 0.03, $p = 0.42$) in the subset of individuals with SCI and neuropathic pain in the validation sample $(n = 28)$. As such, the role of miR-15b-3p was not explored further in this dissertation work. However, given the upregulated expression of miR-15b-3p in the screening sample, consistent with a preclinical neuropathic pain model³, future analyses of smaller homogeneous subsamples (e.g. only individuals with acute or chronic SCI, complete or incomplete SCI) or larger datasets should be considered to overcome potential issues of heterogeneity in the present sample. Exploration of the miR-15b-3p association with other aspects of chronic pain, such as pain interference and pain catastrophizing, or comorbidities of depression and anxiety may additionally be warranted.

Chapter 3: Resting State Functional Connectivity Differentiation of Neuropathic and Nociceptive Pain in Individuals with Chronic Spinal Cord Injury

3.1 Introduction

Chronic pain affects as many as four out of five individuals with chronic spinal cord injury (SCI) and negatively impacts participation in daily tasks, life satisfaction, and overall health and wellbeing.²⁷ The primary chronic pain complaints reported by individuals with chronic SCI are nociceptive (affects 49%) and neuropathic (affects 56%) in nature.²⁷ Nociceptive pain arises from nonneural tissues and often develops due to postural impairments and positioning, compensatory movement patterns, and musculoskeletal overuse injuries during mobility tasks such transfers and wheelchair propulsion in individuals with chronic SCI.25,184 Nociceptive pain is typically managed with nonsteroidal antiinflammatory drugs (NSAIDS) and modifications to reduce musculoskeletal stresses.¹⁸⁴ Neuropathic pain stems from neural injury and tends to be more severe in intensity and refractory to treatment in most individuals with chronic SCI.¹⁸⁵ Current pharmacologic treatment strategies for neuropathic pain consist of gabapentinoids, tricyclic antidepressants, and serotonin norepinephrine reuptake inhibitors, which offer limited efficacy.^{30,31} Opioids are not recommended as a first-line therapy for pain after SCI, but are still commonly prescribed and often at high dosages as a last resort. Concurrent use of

benzodiazepines, sedatives, and hypnotics further increase the risk of adverse outcomes.¹⁸⁶

Limitations in pain management support the need for a better understanding of brain mechanisms contributing to nociceptive and neuropathic pain processing for the development of more efficacious treatments. Prior volumetric and functional connectivity differences have been demonstrated between individuals with chronic neuropathic pain after SCI and those without pain or healthy controls, with some overlap of regions of interest, but no replication of findings across studies.^{$7-10$} These studies did not account for the possible co-occurrence of both neuropathic and nociceptive pain or medication use as confounding factors in their analyses, which may contribute to the discrepancy in results. Similar to experimental activation of a-delta and c-fiber pain, the experience of chronic neuropathic and nociceptive pain may engage both overlapping and segregated neural systems.¹⁸⁷⁻¹⁸⁹ Opioids and gabapentin, which are commonly used in this population for neuropathic pain management, may also limit replicability of findings as these drugs can alter resting state functional connectivity.¹⁹⁰⁻¹⁹² Considering the high prevalence of both pain types as well as pain medication use in individuals with chronic SCI, an analysis of potential segregated and combinatorial effects of pain phenotypes controlling for potential confounds of medication may reduce the variability in findings reported across neuroimaging studies.

Identification of resting state functional connectivity (rsFC) alterations associated with specific pain phenotypes could begin to isolate regions that

uniquely contribute to nociceptive and neuropathic pain experiences and guide the development of more specific and efficacious therapeutic interventions. This exploratory study aimed to identify rsFC alterations associated with neuropathic and nociceptive pain severity, the combinatorial effects of both pain types, and differences based on pain type in individuals with chronic SCI.

3.2 Methods

Subjects

This cross-sectional analysis included participants with chronic SCI who were at least three years post injury. Participants were enrolled in a clinical trial assessing changes in bone health (primary outcome) and brain connectivity (secondary outcome) in response to robotic-assisted gait training (ClinicalTrials.gov Identifier: NCT02533713) or in an observational study assessing surgical treatment for severe neuropathic pain (IRB ID 1235452-13). Criteria for enrollment for each study can be found in Table 3.1. For all participants, data were derived from baseline testing which occurred between 08/16/2017 and 04/02/2021. All analyses were conducted on de-identified data by a member of the research team not involved in data collection. The study protocols were approved by our Institutional Review Boards and all participants gave their written informed consent to participate. A total of 71 individuals with SCI were enrolled across both studies. Complete magnetic resonance imaging (MRI) and pain datasets were required for inclusion in analyses. MRI data were unobtainable in 32 participants due to incompatible implanted devices or other

magnetic resonance safety concerns, inability to self-transfer onto the scanning bed, or discontinued study participation. MRI data from 2 participants were excluded due to high levels of motion artifact. In total, 33 participants were excluded due to unobtainable (n=31) or poor-quality (n=2) MRI data resulting in a total sample of 37 included in this analysis.

Clinical Outcome Measures

Neuropathic and nociceptive pain presence and intensity were assessed with the International Spinal Cord Injury Pain Basic Dataset (ISCIPBDS).¹⁹³ The ISCIPBDS follows the International Spinal Cord Injury Pain Classification definitions to classify pain as nociceptive or neuropathic, and further classifies neuropathic pain as at-level or below-level SCI pain.¹⁹⁴ Participants' worst neuropathic and nociceptive pain intensities were defined as the highest rated average weekly intensity of each pain type across the three worst pain problems on the ISCIPBDS. Pain intensity was rated on a 0-10 scale, with 0 being no pain, and 10 being worst imaginable pain. Individuals who denied neuropathic and/or nociceptive pain were included in analyses with pain intensities of 0. Demographic factors (age, sex), injury characteristics (injury duration, level of injury), and pain medication use were attained from study intake questionnaires. Pain medication use was defined as current use of opioids or gabapentin. American Spinal Injury Association Impairment Scale (AIS) classification was confirmed by physical exam.
Table 3.1. Study criteria

Robotic-assisted gait training trial (NCT02533713)

Inclusion criteria

- \geq 18 years of age
- \bullet \geq 3 years post-injury
- Motor complete SCI (AIS A and B) or AIS C and D who use a wheelchair > 50% of the time
- SCI C7-T12
- Height of 155-191 cm
- Weight $<$ 113 kg
- MAS rating < 3 in bilateral lower extremities
- Sufficient upper body strength to complete sit to sit transfers

Exclusion criteria

- Enrollment in another clinical trial
- Pregnancy
- Symptomatic orthostatic hypotension
- Active grade 2 or > pressure ulcer that could be worsened by exoskeleton device
- Lower extremity contractures that interfere with device fit
- Unhealed limb or pelvic bone fracture
- Other neurological disease
- Active treatment for epilepsy or thyroid disorders
- Current use of medications potentially affecting bone health
- Women with osteoporosis
- **Surgical intervention study (IRB ID 1235452-13)**

Inclusion criteria

- \geq 18 years of age
- SCI-related neuropathic pain rated at least 9/10
- Scheduled to undergo Dorsal Root Entry Zone Lesioning

Exclusion criteria:

• MRI contraindications including MRI incompatible implants, pumps, or neurostimulators

American Spinal Injury Association Impairment Scale (AIS), spinal cord injury (SCI), Modified Ashworth Scale (MAS), magnetic resonance imaging (MRI).

Neuroimaging

Data were obtained on a 3 tesla Siemens Trio using a 12-channel head

coil. The scanning protocol sequences utilized for resting state functional

connectivity analysis consisted of a high resolution structural T1 image (1x1x1 mm) and two sets of 6-minute, 18 sec resting state echo planar imaging (EPI) datasets.

T1 weighted structural images were acquired with a gradient echo sequence with GRAPPA parallel imaging with an acceleration factor of 2, 256 mm field of view (FOV), 1x1x1 mm voxel size, 1 mm slice thickness, sagittal acquisition (interleaved), 20 ms echo time (TE), 4.92 ms repetition time (TR), flip angle of 25 degrees, and 5:17 scan time. Resting state functional images were acquired with an EPI sequence with a 216 mm FOV, 3x3x3 mm voxel size, 3 mm slice thickness, interleaved acquisition, 30 ms TE, 3,000 ms TR, flip angle of 85 degrees, 6:18 scan time (2 repeated scans collected in each subject).

Resting State Connectivity Analysis

All functional data was preprocessed and statistically analyzed using the CONN Toolbox (version 20b)¹⁹⁵, a cross-platform software operating under Statistical Parametric Mapping (SPM12)¹⁹⁶ and MATLAB (version R2020b, The Mathworks Inc., Natick, MA). Preprocessing was completed using default parameters, including slice timing, motion correction, spatial normalization to the Montreal Neurological Institute (MNI) template, spatial smoothing with an 8-mm Gaussian kernel, and high-pass temporal filtering (cutoff 128 s). The Artifact Detection Toolbox (ART, [https://www.nitrc.org/projects/artifact_detect\)](https://www.nitrc.org/projects/artifact_detect) was used to detect and remove frames with excessive motion (global signal value $z > 5$, interscan motion > 0.9 mm). Seed-based correlations were utilized to identify

brain regions correlated with seed regions of interest. Four linear regressions were conducted to identify alterations in rsFC associated with: 1) worst neuropathic pain intensity controlling for worst nociceptive pain severity, 2) worst nociceptive pain intensity controlling for worst neuropathic pain severity, 3) the sum of each participant's worst neuropathic and nociceptive pain intensities, and 4) the contrast of worst neuropathic and nociceptive pain intensities. All analyses controlled for current pain medication use. 25 seed regions were selected based on prior literature supporting their role in pain processing and consisted of the lower body representation of the primary motor and somatosensory cortices, anterior and posterior cingulate, insula, hippocampus, parahippocampal gyri, thalamus, amygdala, caudate, putamen, periaqueductal gray matter, and the default mode network. Right and left seeds were included for all bilateral structures. A 10-voxel threshold and significance level of *p*<0.05 after family-wise error (FWE) correction were utilized to define resulting regions where seed connectivity was significantly associated with pain ratings.

Descriptive Statistical Analyses

Descriptive statistics of demographic variables included percentages, mean, range, and standard deviation. Pearson's correlation was utilized to identify the relationship between neuropathic and nociceptive pain intensities. All analyses were conducted using JMP version 16 (SAS Institute Inc., Cary, NC) assuming a 5% level of significance. All available data for demographic variables not included as variables of interest in analyses were reported.

3.3 Results

Cohort Characteristics

Of the 37 participants with chronic SCI in our sample, 70.3% (n=26) reported neuropathic pain, 45.9% (n=17) reported nociceptive pain, and 16.2% (n=6) reported no pain. Specific pain presentations included only neuropathic pain 37.8% (n=14), only nociceptive pain 13.5% (n=5), and both neuropathic and nociceptive pain 32.4% (n=12). In those with each pain type, average neuropathic pain intensity (5.92 \pm 2.35, range 2-10) was higher than average nociceptive pain intensity (4.71 \pm 1.61, range 2-8). Of the 26 participants with neuropathic pain, 8 were classified as at-level and 18 as below-level SCI pain. 14 participants (37.8%) utilized opioids or gabapentin for pain management at the time of assessment. Additional demographic data (Table 3.2) and data regarding pain type, severity and location for each participant can be found in Table 3.3. For the analysis attempting to segregate neuropathic and nociceptive pain, no significant correlation between neuropathic and nociceptive pain ratings was identified in the total sample $(r = 0.03, p = 0.84)$ (Figure 3.1). However, the subgroup of participants with both pain types demonstrated a significant positive correlation between neuropathic and nociceptive pain ratings (*r* = 0.63, *p* 0.03).

Continuous variables are presented as mean \pm SD and categorical variables as N (%). *Data provided includes the full sample of participants ($n = 37$) except for AIS classification ($n = 36$). American Spinal Injury Association Impairment Scale (AIS), International Spinal Cord Injury Pain Basic Dataset (ISCIPBDS).

Figure 3.1. No significant correlation of neuropathic and nociceptive pain severities was identified ($r = 0.03$, $p = 0.84$) in participants with chronic spinal cord injury (n = 37). Data points reflect participants' neuropathic pain ratings plotted against their nociceptive pain ratings. Participants are defined as currently taking gabapentin (blue), opioids (orange), both medications (purple), or no medications (black).

Pain is defined as neuropathic (NP), nociceptive (NC), at-level (AL) or below-level (BL) of spinal cord injury NP, bilateral (B), midline (M), right (R), and left (L)

Effect of Neuropathic Pain Severity

In the linear regression assessing the effect of worst neuropathic pain intensity on rsFC controlling for worst nociceptive pain severity and medication use, we identified altered rsFC between 3 seed regions and 5 clusters (Table 3.4, Figure 3.2). Greater neuropathic pain severity was significantly associated with lower connectivity between the 1) right posterior parahippocampal gyrus (pPaHC) to the right putamen and amygdala, 2) posterior cingulate cortex component of the default mode network (dmPCC) to right occipital regions, and 3) the periaqueductal gray matter (PAG) to the left angular and supramarginal gyri. Greater neuropathic pain severity was significantly associated with greater connectivity between the dmPCC and regions in the bilateral frontal lobes.

Figure 3.2. Connectivity alterations associated with neuropathic pain severity. Color bars indicate connectivity strength with warmer colors indicating greater connectivity and colder colors indicating lower connectivity. Posterior parahippocampal gyrus (pPaHC), default mode posterior cingulate cortex division (dmPCC), middle frontal gyrus (MFG), intracalcarine cortex (ICC), periaqueductal gray matter (PAG), angular gyrus (AG). Laterality indicated by right (R) or left (L) .

Positive and negative T-values reflect positive and negative correlation between neuropathic pain severity and connectivity respectively. Laterality is defined as right (R) and left (L). Montreal Neurological Institute (MNI) system coordinates for resulting regions are provided.

Effect of Nociceptive Pain Severity

In the linear regression assessing the effect of worst nociceptive pain severity on rsFC controlling for worst neuropathic pain severity and medication use, we identified altered rsFC between 6 seed regions and 8 clusters (Table 3.5, Figure 3.3). Greater nociceptive pain severity was significantly associated with lower connectivity of the 1) right pPaHC to the right angular and supramarginal gyri and lateral occipital cortex, 2) right thalamus to the right hippocampus and amygdala, and temporal regions in both hemispheres, 3) left thalamus to right hippocampus, amygdala, anterior parahippocampal gyrus (aPaHC), and temporal regions, and 4) the medial prefrontal cortex component of the default mode network (dmMPFC) to the right cerebellum. Greater nociceptive pain severity was significantly associated with greater connectivity between the 1) left aPaHC to the right inferior temporal gyrus and 2) the left amygdala to the left superior parietal lobule and supramarginal gyrus.

Figure 3.3. Connectivity alterations associated with nociceptive pain severity. Color bars indicate connectivity strength with warmer colors indicating greater connectivity and colder colors indicating lower connectivity. Anterior (aPaHC) and posterior parahippocampal gyrus (pPaHC), default mode medial prefrontal cortex division (dmMPFC), inferior temporal gyrus (ITG), angular gyrus (AG), hippocampus (HC), temporal fusiform cortex (TFC), superior parietal lobule (SPL). Laterality indicated by right (R) or left (L).

Positive and negative T-values reflect positive and negative correlation between nociceptive pain severity and connectivity respectively. Laterality is defined as right (R) and left (L). Montreal Neurological Institute (MNI) coordinates for resulting regions are provided

Effect of Additive Pain Severities

In the linear regression assessing the effect of the sum of each participant's neuropathic and nociceptive pain severities on rsFC controlling for medication use, we identified significantly altered rsFC between 5 seed regions and 7 clusters (Table 3.6, Figure 3.4). Higher additive pain intensities were significantly associated with lower connectivity between 1) the left aPaHC to the left middle and superior temporal gyri, 2) the right pPaHC to the right putamen, amygdala, angular and supramarginal gyri, and lateral occipital cortex, and 3) the PAG to the left angular and supramarginal gyri. Higher additive pain intensities were significantly associated with greater connectivity between the 1) anterior cingulate to the left lateral occipital cortex, 2) left amygdala to the left lateral occipital cortex, inferior and middle temporal gyri, superior parietal lobule, and supramarginal gyrus.

Figure 3.4. Connectivity alterations associated with additive neuropathic and nociceptive pain severities. Color bars indicate connectivity strength with warmer colors indicating greater connectivity and colder colors indicating lower connectivity. Anterior cingulate cortex (ACC), anterior (aPaHC) and posterior parahippocampal gyrus (pPaHC), periaqueductal gray matter (PAG), lateral occipital cortex (LOC), middle temporal gyrus (MTG), angular gyrus (AG), superior parietal lobule (SPL). Laterality indicated by right (R) or left (L).

Positive and negative T-values reflect positive and negative correlation between overall pain severity and connectivity respectively. Laterality is defined as right (R) and left (L). Montreal Neurological Institute (MNI) coordinates for resulting regions are provided.

Contrast of Neuropathic and Nociceptive Pain Severities

In the linear regression assessing the difference between neuropathic and nociceptive pain severities on rsFC controlling for medication use, we identified opposing rsFC relationships between 3 seed regions and 5 clusters (Table 3.7, Figure 3.5). Connectivity was negatively correlated with neuropathic pain severity and positively correlated with nociceptive pain severity between the 1) posterior cingulate to bilateral occipital regions, right lingual gyrus, and left cerebellum, and 2) dmPCC to bilateral occipital regions (Figure 3.6 A and B). Connectivity was positively correlated with worst neuropathic pain severity and negatively correlated with worst nociceptive pain severity between the 1) posterior cingulate to the right frontal pole and superior and middle frontal gyri, 2) right thalamus to right temporal regions, and 3) dmPCC to the right frontal pole and superior and middle frontal gyri (Figure 3.6 C-E).

Figure 3.5. Connectivity alterations associated with contrast of neuropathic and nociceptive pain severities. Color bars indicate connectivity strength with warmer colors indicating greater connectivity associated with higher neuropathic pain and lower nociceptive pain intensities and colder colors indicating lower connectivity associated with higher neuropathic and lower nociceptive pain intensities. Posterior cingulate cortex (PCC), intracalcarine cortex (ICC), frontal pole (FP), temporal fusiform cortex (TFC), default mode network posterior cingulate cortex division (dmPCC). Laterality indicated by right (R) or left (L).

Positive T-values reflect positive and negative correlation between neuropathic and nociceptive pain severity and connectivity respectively. Negative T-values reflect negative and positive correlation between neuropathic and nociceptive pain severity and connectivity respectively. Laterality is defined as right (R) and left (L). Montreal Neurological Institute (MNI) coordinates for resulting regions are provided.

Figure 3.6. Differences in connectivity alterations associated with neuropathic (red) and nociceptive (blue) pain intensities identified between A) the posterior cingulate (PCC) to the bilateral occipital lobes, B) default mode posterior cingulate cortex division (dmPCC) to the bilateral occipital lobes, C) PCC to right frontal lobe, D) right thalamus to right temporal lobe, E) dmPCC to right frontal lobe.

3.4 Discussion

The present study represents a first attempt at segregating the experience of neuropathic and nociceptive pain in terms of alterations in resting state networks. To our knowledge, this is the first report of rsFC alterations associated with neuropathic and nociceptive pain phenotypes in individuals with chronic SCI, or any other clinical population. Our findings support the existence of rsFC alterations uniquely associated with pain type and severity involving regions in the limbic system, striatum, medial and lateral pain pathways, and default mode network.

Limbic System Connectivity Alterations

Across all analyses, most functional connectivity alterations involved limbic system structures. Lower intralimbic connectivity alterations between the right pPaHC and amygdala were restricted to the neuropathic and additive pain analyses. This suggests altered intralimbic connectivity may be unique to neuropathic pain, which likely drives the replicated findings in additive analyses. Prior studies suggest the parahippocampal gyrus and amygdala contribute to psychological and emotional regulation of pain processing50-52 and sensitivity^{51,52,197}, and have been implicated in other populations with neuralgia.198-200 A previously identified negative correlation between neuropathic pain severity in individuals with SCI and mean diffusivity of the amygdala suggest microstructural changes could contribute to our findings of altered amygdala connectivity.59

Limbic to striatal functional connectivity alterations may also be unique to neuropathic pain. As a negative association between neuropathic pain severity and connectivity of the right pPaHC to putamen was identified only in neuropathic and additive pain analyses, neuropathic pain may drive the overlapping findings in additive pain analyses. The striatum is involved with production of movement, reward, and multisensory integration of noxious and non-noxious stimuli.^{201,202} Alterations in R2* signal, reflective of iron content, in the parahippocampal gyrus and basal ganglia were previously identified in individuals with SCI with neuropathic pain ²⁰³, further supporting the role of these regions in neuropathic pain processing.

Altered connectivity from the limbic system was also identified in regions encompassing the parietal, temporal, occipital, and frontal lobes, and cerebellum. Limbic to parietal connectivity alterations were restricted to and consistent across nociceptive and additive analyses, suggesting nociceptive pain likely drives the replicated connectivity alterations in additive analyses. Pain intensity and functional connectivity were negatively correlated in the right pPaHC gyrus to the right angular and supramarginal gyri, and positively correlated in the left amygdala to the left supramarginal gyrus and superior parietal lobule. The angular and supramarginal gyri hold roles in multisensory integration⁷⁸ and regulating emotional and empathetic responses to pain.^{51,204} Prior studies also implicated the parietal lobe in pain experience after SCI, with greater pain intensity positively associated with mean diffusivity of the parietal cortex⁵⁹ and rsFC of the superior parietal lobule to the angular gyrus.⁸

Limbic to temporal and occipital lobe connectivity alterations were identified across multiple analyses. Temporal and occipital lobe involvement in pain, though poorly understood, has previously been identified in SCI populations. Volumetric differences of the temporal and occipital lobes 58,203, as well temporal functional connectivity⁹ and metabolic²⁰⁵ alterations have been reported in individuals with neuropathic pain after SCI, supporting their involvement in pain experience in this population.

Limbic to frontal lobe and cerebellar connectivity was differentially associated with pain type, and specifically involved the posterior cingulate. Posterior cingulate to frontal lobe connectivity demonstrated positive and negative associations with neuropathic and nociceptive pain intensities respectively. The posterior cingulate is a component of both the limbic system and the default mode network and holds a well-established role in pain experience.²⁰⁶ The frontal lobe is involved in planning and execution of movement, executive function, and pain processing.²⁰⁷ Neuropathic pain in individuals with SCI has been previously associated with differences in mean diffusivity⁵⁹, gray matter volume (GMV)²⁰³ and altered rsFC⁸ of the frontal lobe. Posterior cingulate to cerebellar connectivity demonstrated negative and positive correlations with neuropathic and nociceptive pain severity respectively. The cerebellum also holds a known but poorly understood role in pain processing¹⁸⁹ and has been previously implicated in neuropathic pain after SCI through reduced GMV²⁰³ and altered rsFC of the cerebellum⁹ in those with neuropathic pain after SCI. The identification of differential posterior cingulate to frontal and

cerebellar connectivity in our sample suggests these regions may uniquely contribute to the modulation of both pain phenotypes.

Ascending and Descending Pain Pathway Alterations

The ascending medial and lateral pain pathways contribute to the affective and sensory aspects of pain processing, while the descending pain pathway modulates pain inhibition. Both ascending and descending pathways demonstrated functional connectivity alterations uniquely associated with nociceptive and neuropathic pain respectively.

The thalamus is a sensory relay center that serves as a primary component of ascending medial and lateral pain pathways.48,49 Lower thalamic to limbic system connectivity alterations were uniquely negatively associated with nociceptive pain, with lower connectivity between the bilateral thalamus to the right hippocampus and amygdala, as well as the left thalamus to right pPaHC. Thalamic to temporal connectivity was also lower in individuals with higher levels of nociceptive pain in both nociceptive and pain type contrast analyses. Previously identified greater thalamic mean diffusivity⁵⁹ and lower GMV of the thalamus^{6,8} and temporal lobe⁸ in those with neuropathic pain after SCI suggest a potential role of microstructural changes and neuronal atrophy in diminished functional connectivity of these regions.

The PAG component of the descending pain pathway modulates the suppression of pain signaling.^{48,49} The PAG was uniquely associated with neuropathic pain and demonstrated lower connectivity with the angular and

supramarginal gyri, consistent with the majority of limbic to angular and supramarginal gyri seed based correlations. Lower connectivity of the PAG to these regions may reflect reduced descending inhibitory pain modulation associated with higher neuropathic pain severities. The PAG has been previously implicated in neuropathic pain after SCI, with a negative correlation of pain intensity and R1 signal, reflective of lower myelination, in the PAG identified in those with greater pain.²⁰³ Lower myelination could suggest a reduction in the size or number of neural tracts extending from the PAG limiting inhibitory pain modulation, which may overlap with our findings of reduced connectivity from this region.

Default Mode Network Connectivity Alterations

The default mode network is comprised of the posterior cingulate cortex, medial prefrontal cortex, and inferior parietal lobule components and is spontaneously active when the mind and body are not actively engaged in a task.⁵⁷ The default mode network is associated with internal mental processes and demonstrates altered functional connectivity in chronic pain conditions.^{208,209} Default mode network connectivity was increased between dmPCC and frontal lobe regions and decreased in occipital regions in those with higher levels of neuropathic pain in both neuropathic and pain contrast analyses. Default mode connectivity between the dmMPFC and cerebellum was lower in those with higher levels of nociceptive pain. Individuals with SCI related neuropathic pain have demonstrated differences in GMV of frontal $8,210$ and occipital regions⁵⁸, as

well as metabolic²¹⁰ and microstructural⁵⁹ alterations of the prefrontal cortex further supporting the role of these regions in pain experience after SCI.

Summary

Our findings suggest that intralimbic, limbic to striatal, and descending pain pathway connectivity alterations may be uniquely associated with neuropathic pain severity, whereas thalamic to limbic and limbic to parietal connectivity alterations may be associated specifically with nociceptive pain severity. Opposing connectivity relationships dependent upon pain type suggest the posterior cingulate and thalamus differentially modulate the experience of both neuropathic and nociceptive pain. Additional connectivity alterations associated with pain phenotypes were identified involving the limbic system and default mode network. In line with our findings, the default mode network and ascending and descending pain pathways displayed distinct neural signatures of neuropathic and non-neuropathic pain phenotypes measured by magnetoencephalography in individuals with multiple sclerosis.²¹¹ Taken together, these findings suggest altered neural activity in these regions may uniquely contribute to neuropathic and nociceptive pain experiences.

Limitations and Future Considerations

Our sample size was modest and as such, we were limited in the number of variables of interest included in our statistical models. Future studies with larger sample sizes should consider including additional factors such as age and

duration of injury²¹² and injury classification (tetraplegia vs paraplegia)^{23,213}, which may contribute to pain outcomes after SCI to further elucidate underlying pain mechanisms in this heterogeneous population. As NSAIDs were not previously found to alter rsFC¹⁹⁰ and only 2 individuals in our sample currently utilized them, we did not control for NSAID use in our sample. However, as only the short-term effects of NSAID use on connectivity have been assessed after administration in an experimental pain condition¹⁹⁰, future studies investigating the effects of long-term use of NSAIDs as well as other medications typically utilized in individuals with SCI and chronic pain may be warranted.

Conclusions

Alterations in rsFC between regions involved in sensory and emotional aspects of pain processing were found to be associated with both pain severity and phenotype in individuals with chronic pain after SCI. Unique connectivity patterns dependent upon pain phenotype suggest differential relationships between brain regions may exist for neuropathic and nociceptive pain and could guide further study and future interventions.

Chapter 4: Robotic-Assisted Gait Training Increases Subcortical Gray Matter Volume in Individuals with Chronic Spinal Cord Injury

4.1 Introduction

Prior research estimates the prevalence of neuropathic pain among individuals who have experienced spinal cord injury (SCI) is 56% ^{27,128} Individuals with neuropathic pain after SCI report poorer physical and psychological health, which can impair life satisfaction and quality.²¹⁴ The high prevalence of neuropathic pain and negative impacts on overall well-being are further complicated by lack of effective pharmacologic therapies, and the refractory nature of neuropathic pain.^{30,31} These challenges establish a need for the investigation of alternative interventions that may alleviate neuropathic pain among populations with SCI. Though its underlying mechanisms are still poorly understood, neuropathic pain is associated with alterations in gray matter volume (GMV) in sensory and pain processing regions after SCI.⁶

Epidemiological studies indicate that both muscle strengthening and aerobic exercise have dose dependent benefits on mood and depression.²¹⁵ Likewise, muscle strengthening and aerobic exercise can both be beneficial for reducing chronic pain 216 , although the evidence is moderate. Among individuals with SCI, there is a close correlation between pain and the frequency of engaging in heavy intensity physical activity. 217 This may be explained in part by pain being a barrier to engage in physical activity, thereby creating a downward spiral

of pain, depression and inactivity.²¹⁸ This cycle may be reversible, as exercise interventions can reduce musculoskeletal and neuropathic pain.13,219-225

Robotic-assisted gait training (RAGT) in particular is associated with improved markers of mobility, spasticity, and psychosocial well-being in individuals with SCI, and could be an especially salient intervention in this population.226-229 Some evidence suggests RAGT may reduce pain in individuals with SCI, but its efficacy as an intervention for pain management is largely undetermined.^{227,230-232} In individuals with multiple sclerosis, as well as in healthy elderly adults, low-intensity walking exercise led to increased GMV and greater function.233-235 Likewise, in individuals with stroke and multiple sclerosis, ambulatory interventions including RAGT, treadmill training with and without body weight support, and overground training have also been associated with neuroplastic changes in the brain measured by magnetic resonance imaging (MRI).115,116,236 These findings suggest RAGT could facilitate structural neuroplastic changes of regions which may contribute to pain experience.

Further support of the potential benefit of ambulatory exercise for the reduction of neuropathic pain and facilitation of neuroplastic changes has been reported in preclinical studies. Ambulatory exercise interventions in models of SCI induced neuropathic pain in rodents have identified reduced pain behaviors associated with increased expression of neuroprotective proteins involved in the modulation of neuroplasticity and synaptic remodeling.^{104,237,238} Additionally, ambulatory exercise in rodent SCI-induced neuropathic pain models produced analgesic effects associated with structural changes in the amygdala.²³⁹

Though evidence supports the benefit of RAGT in individuals with SCI, its potential impact on neuropathic pain and neuroplasticity has yet to be established. This study aimed to identify changes in pain intensity and neuroplastic alterations in brain volume associated with the effects of a RAGT intervention in individuals with chronic SCI.

4.2 Methods

Study Design

Effects of a RAGT intervention were assessed with a cross-over design randomized, controlled clinical trial (Effects of exoskeleton-assisted gait training on Bone Health and Quality of Life: A Randomized Clinical Trial; NCT02533713). Participants were randomized to Initial Treatment Arm 1) Up to 78 sessions of RAGT or Delayed Treatment Arm 2) 6 months of standard treatment (i.e., no restrictions on routine medical care that an individual with chronic SCI may receive during this period) (Figure 4.1). After the initial period of either RAGT or standard treatment, the groups then switched to the opposite intervention group (i.e., the RAGT group underwent 6 months of standard treatment, and the standard treatment group completed up to 78 sessions of RAGT).

Figure 4.1. Study design depicting assessment time points and timing of group assignment to either robotic-assisted gait training (RAGT) or standard treatment. Both groups received the RAGT intervention, with Arm 1 as the initial treatment arm, and Arm 2 as the delayed treatment arm.

For data analysis, study assessments for all treatment arms were defined as 4 distinct time points: 1) standard care baseline (Delayed Treatment Arm 2 only), 2) pre-gait assessment (both treatment arms), 3) post-gait assessment (both treatment arms), and 4) longitudinal follow up (Initial Treatment Arm 1 only). The study protocol was approved by our Institutional Review Board and all participants gave their written informed consent to participate. All analyses were conducted on de-identified data, collected between 08/16/2017 and 04/02/2021, by a member of the research team not involved in data collection.

Subjects

A total of 61 participants with chronic SCI, who were at least three years post-injury and met study criteria, were enrolled (Table 4.1, Figure 4.2). After enrollment, one participant was considered unsafe to use the device based on physical assessment and was removed from the study. The 60 individuals

deemed eligible to initiate the RAGT intervention were randomized to Initial

Treatment Arm 1 (n=33) or Delayed Treatment Arm 2 (n=27).

Figure 4.2. Consolidated Standards of Reporting Trials (CONSORT) flow diagram.

Table 4.1. Study criteria

Effects of exoskeleton-assisted gait training on bone health and quality of life: A randomized clinical trial (NCT02533713)

Inclusion criteria

- \geq 18 years of age
- \bullet \geq 3 years post-injury
- Motor complete SCI (AIS A and B) or AIS C and D who use a wheelchair > 50% of the time
- SCI C7-T12
- Height of 155-191 cm
- Weight $<$ 113 kg
- Modified Ashworth Scale rating < 3 in bilateral lower extremities
- Sufficient upper body strength to complete sit to sit transfers

Exclusion criteria

- Enrollment in another clinical trial
- Pregnancy
- Symptomatic orthostatic hypotension
- Active grade 2 or > pressure ulcer that could be worsened by exoskeleton device
- Lower extremity contractures that interfere with device fit
- Unhealed limb or pelvic bone fracture
- Other neurological disease
- Active treatment for epilepsy or thyroid disorders
- Current use of medications potentially affecting bone health
- Women with osteoporosis

American Spinal Injury Association Impairment Scale (AIS), spinal cord injury (SCI)

Participants who completed immediate pre- and post-gait assessments

(time points 2 and 3) and consistently participated in at least 39 of the 78 RAGT

sessions, with MRI data, were included in analyses. Of the 19 participants who

completed immediate pre- and post-gait assessments, 3 were excluded due to

unobtainable MRI data (n=2) or lack of consistent RAGT participation due to

medical issues (n=1), resulting in a total sample of 16 included in this analysis. Of

the 16 included in the analysis, 3 participants in Initial Treatment Arm 1 did not

complete the longitudinal follow up assessment after 6 months of standard treatment (time point 4). All other participants had complete datasets across 3 time points: time points 2, 3, and 4 for Initial Treatment Arm 1 and time points 1, 2, and 3 for Delayed Treatment Arm 2.

Robotic-Assisted Gait Training Intervention

Participants completed up to 78 sessions of a clinically supervised RAGT intervention. Each subject received one hour of individual walking therapy, up to three days per week, over a period of 5-8 months, supervised by an exoskeletontrained clinical physical therapist. During the first training session the fitting of the RAGT device (Indego, Parker Hannifin Corporation, Human Motion & Control, Macedonia, OH, USA; EksoNR, Ekso Bionics, Richmond, CA, USA) was maximized.

Participants were trained in donning and doffing the device. Gait training occurred in bouts of 10 minutes, with rest periods as needed, and progressed up to 60 minutes of continuous walking as tolerated. The total number of steps taken, and minutes spent walking, were recorded for each subject for each session and over the course of the intervention. Total number of sessions completed were tracked through attendance records.

Clinical Outcome Measures

Demographic and SCI characteristic data were attained from study intake questionnaires. Pain type and intensity were rated on a 0-10 scale with the

International Spinal Cord Pain Basic Dataset (ISCIPBDS). Participants' highest rated average weekly intensity of neuropathic and/or nociceptive pain on the ISCIPBDS were attained at each assessment time point. Overall pain burden was defined as the sum of each participant's highest rated neuropathic and nociceptive pain complaints. Participants without pain were included in analyses with a rating of 0. A clinically meaningful change in pain severity was defined as a 30% difference in pre- and post-intervention pain intensity ratings.²⁴⁰ American Spinal Injury Association Impairment Scale (AIS) classification was confirmed by physical exam.

Neuroimaging

Data were obtained on a 3-Tesla Siemens Trio using a 12-channel head coil. High resolution T1 weighted structural images were acquired for volumetric analyses. The T1 scanning protocol consisted of a gradient echo sequence with GRAPPA parallel imaging with an acceleration factor of 2, 256 mm field of view (FOV), 1x1x1 mm voxel size, and 1 mm slice thickness, sagittal acquisition (interleaved), 20 ms echo time (TE), 4.92 ms repetition time (TR), flip angle of 25 degrees, and 5:17 scan time.

Volumetric Analyses

All structural data were preprocessed and statistically analyzed with SPM12¹⁹⁶, a MATLAB (version R2019b, The Mathworks Inc., Natick, MA) operated MRI software. Longitudinal whole-brain volumetric analyses were
performed using voxel-based morphometry to identify brain regions demonstrating altered GMV after the RAGT intervention. T1 images from each assessment time point were serially co-registered for each participant using the SPM longitudinal registration package²⁴¹, and the resulting mean structural image (from up to 3 time points) were segmented. The gray matter segmentation was multiplied with the Jacobian determinants from each time point, and then spatially normalized to the Montreal Neurological Institute (MNI) template and smoothed with an 8 mm Gaussian kernel using Diffeomorphic Anatomical Registration Through Exponentiated Lie Algebra (DARTEL).²⁴² Normalized gray matter masked Jacobians were entered in a flexible factorial including the main effects of subject and time (four possible study time points) and covariates of age and sex. Control covariates of age and sex were included in the model due to prior reported correlations between these variables and GMV.²⁴³ Planned comparisons contrasted T changes in GMV between the immediate pre- and post-RAGT intervention assessment time points. Significance level was set at *p*<0.05 after family-wise error (FWE) correction with a 10-voxel cluster size threshold.

Statistical Analysis of Descriptive Factors

Descriptive statistics of demographic factors included percentages, mean, range, and standard deviation. Normality of continuous data distributions was assessed with Shapiro Wilk Goodness-of-Fit Tests. Proportional differences in neuropathic and nociceptive pain presence between study assessment time

points were assessed with Chi-square tests. Wilcoxon signed-rank tests assessed differences in pain ratings pre- and post-RAGT intervention. Bivariate linear regression assessed the relationship between continuous variables. All analyses were conducted using JMP version 16 (SAS Institute Inc., Cary, NC).

4.3 Results

Cohort Characteristics

Our sample consisted of 15 males (94%) and 1 female (6%), with a mean age of 37 years and an average of 9 years post-injury. Most participants reported neuropathic (75.0%) and/or nociceptive (56.3%) pain prior to initiating the RAGT intervention. Participants took an average of 145,460 steps and spent an average of 53 hours walking in the exoskeleton devices. 14 participants (87.5%) completed the full 78 sessions. Additional demographic data are presented in Table 4.2.

Pain Changes Associated with RAGT

After the RAGT intervention, there was a 28.3% reduction in the proportion of individuals who reported neuropathic pain and a clinically meaningful 1.06-point (32.0%) reduction in mean neuropathic pain intensity (*S* = -25.00 , $p = 0.21$).²⁴⁰ A 0.19-point (6.4%) reduction in nociceptive pain intensity $(S = -6.00, p = 0.89)$ was identified with no change in proportion of those reporting nociceptive pain. Overall pain burden was reduced by 1.25 points $(20.4\%, S = -21.50, p = 0.36)$. Total steps and hours spent walking in the RAGT

device did not significantly predict change in intensity of neuropathic, nociceptive,

or overall pain burden (Table 4.3).

Continuous variables are presented as mean \pm SD and range, and categorical variables as N (%). Negative change in pain values reflect decrease in pain intensity after robotic-assisted gait training (RAGT). *Data provided include the full sample of participants (n=16) except for American Spinal Injury Association Impairment (AIS) classification (n=15).

*Statistically significant association (*p* < 0.05).

Volumetric Changes Associated with RAGT

Post-RAGT intervention, increased GMV was identified in the right

caudate ($t = 6.03$, $p_{FWE} = 0.02$) and thalamus ($t = 6.03$, $p_{FWE} = 0.02$) (Table 4.4,

Figure 4.3). No significant decreases in GMV were identified post-intervention.

Total number of steps taken in the exoskeleton device were predictive of change

in GMV volume in the right caudate ($F_{1,14}$ = 8.95, p = 0.01), but not thalamus

 $(F_{1,14} = 3.54, p = 0.08)$ (Figure 4.4). No relationship was identified between total

minutes spent walking in the device and change in GMV for either region.

Montreal Neurological Institute (MNI) system coordinates for resulting regions are provided.

Figure 4.3. Clusters demonstrating increased gray matter volume after the robotic-assisted gait training intervention (axial, sagittal, and coronal views).

Figure 4.4. Bivariate linear regression demonstrated a positive predictive association between total minutes spent walking in the robotic-assisted gait training device and caudate volume change (β = 3.34e⁻⁶, p = 0.01).

4.4 Discussion

This study aimed to identify the effects of a RAGT intervention on pain intensity and brain volume in individuals with chronic SCI. A clinically meaningful reduction in neuropathic pain intensity, as well as significantly increased GMV of the right caudate and thalamus were identified after RAGT intervention. Additionally, the number of steps taken in the RAGT device were predictive of GMV change in the right caudate.

RAGT Associated Reduction of Neuropathic Pain

Average neuropathic pain intensity was reduced by 32%, constituting a clinically meaningful decrease in pain post-RAGT intervention.²⁴⁰ Additionally, the overall proportion of individuals reporting neuropathic pain decreased after the RAGT intervention, with 28.3% achieving complete relief of neuropathic pain. Our results support the findings of a prior case study which reported reduced neuropathic pain severity in two individuals with SCI after RAGT.²³⁰ Additional studies have demonstrated reductions in pain post-RAGT in SCI populations, though the effects on neuropathic or nociceptive pain were not specifically assessed.^{230,231} Smaller post-intervention reductions in overall pain burden (21%) and nociceptive pain (6%), suggest RAGT may more meaningfully influence neuropathic pain intensities. The lack of reduction in proportion and intensity of nociceptive pain post-intervention is likely due to RAGT engagement and challenge of the musculoskeletal system in positions and movements not typically performed by previously non-ambulatory individuals with SCI.

The clinically meaningful reduction in neuropathic pain post-RAGT may be due to the neuroprotective effects of ambulatory exercise previously demonstrated in clinical and preclinical studies. Ambulatory exercise in spinal cord injured rodent models has been shown to reduce neuropathic pain symptoms and onset and alter gene expression.^{44,104,237,244-247} Reduction in neuropathic pain after ambulatory exercise was associated with increased expression of neurotrophic factors in the spinal cord dorsal horn and dorsal root ganglia (DRG) sensory neurons.¹⁰⁴ Reduction of neuropathic pain after ambulatory exercise was also associated with reduced expression of proinflammatory factors known to contribute to sensitization and neuropathic pain in the DRG and dorsal horn.^{33,248} These preclinical studies establish the potential benefit of ambulatory exercise in reducing neuropathic pain as well as regulation of underlying cellular level changes in the spinal cord and DRG after SCI. Exercise induced modulation of these neuroprotective and neurodegenerative factors could contribute to mechanisms underlying reduced neuropathic pain severity demonstrated after RAGT-intervention. However, as these preclinical studies consisted primarily of wheel running that was likely at higher aerobic stress levels than walking in an RAGT device, the cellular level changes produced by RAGT may be entirely unique and require further study.

RAGT Associated Increases in Brain Volume

Brain volume significantly increased in the right caudate and thalamus after the RAGT intervention. These deep gray matter structures are both key

components of the sensorimotor system and contribute to the production of volitional movement^{249,250} as well as pain processing.^{201,251} Both structures lie in proximity to one another at the center of the brain and are components of the cortico-basal ganglia-thalamo-cortical feedback loop involved in regulation of motor, limbic, and associative function.^{249,252} The caudate nucleus and putamen form the striatum, the major input nuclei of the basal ganglia, which provides efferent signaling to the thalamus.²⁴⁹ The thalamus serves as a sensory relay center receiving afferent signaling from the ascending sensory tracts and basal ganglia, and transmits efferent signals to the sensorimotor cortex, which subsequently efferently synapses with the caudate.^{249,252,253} Alterations in this loop of transmission and integration of neural signaling in the deep gray matter have been associated with disorders of movement and sensation.^{249,253} Lower GMV of the caudate and thalamus has been previously reported in populations with neuropathic pain, suggesting the post-intervention reduction in neuropathic pain in our sample could be associated with simultaneous GMV increases in these regions.63,254

Greater ambulatory function is also associated with larger GMV of the caudate and thalamus. Prior studies identified positive correlations between gait speed and caudate volume in individuals with multiple sclerosis $(MS)^{124}$, stroke²⁵⁵, mild cognitive impairment²⁵⁶⁻²⁵⁸, type 1 diabetes²⁵⁹, and community dwelling older adults.²⁶⁰ Greater thalamic volume was also associated with faster gait speed in individuals with $MS^{125\text{-}127}$ and community dwelling older adults²⁵⁶, as well as in individuals with cerebral small vessel disease.²⁶¹ In contrast, older

adults with dual decline in cognition and gait speed demonstrated reduced thalamic volume.²⁶² Gait impairments associated with lower caudate volume include shuffling²⁶³, freezing²⁶⁴, and falls²⁶⁵ in individuals with Parkinson's disease. This well-established association between caudate and thalamic volume and gait supports their role in the regulation of ambulatory movement. Our findings of increased right caudate and thalamic volume after gait training in individuals with SCI, who were non-ambulatory for 3 years or more, provide further support that these regions are key contributors to ambulatory function.

This is the first known study to establish significant changes in GMV of the caudate and thalamus after gait training, however, higher volume in these regions has been previously associated with exercise and aerobic health. In older adults, a 12 month coordination training intervention consisting of exercises to improve balance, reaction time, and upper and lower extremity coordination was associated with increased caudate volume.¹⁴ Likewise, a moderate intensity aerobic cycling intervention was found to increase thalamic volume in individuals with traumatic brain injury.¹⁵ Participation in moderate intensity aerobic exercise has been associated with increased caudate and thalamic volume in individuals with Alzheimer's disease¹¹⁹, as well as greater thalamic volume in individuals with MS. ²⁶⁶ In older adults, greater thalamic volume was associated with longterm Tai Chi participation²⁶⁷ and higher levels of energy expenditure. 112 Caudate and thalamic volumes were also found to positively correlate with $\sqrt{O_2}$ peak and VO² max respectively in individuals with MS, suggesting higher levels of cardiorespiratory fitness are associated with greater volume of these

regions.121,268 Higher glucose uptake in the caudate of individuals who engaged in high intensity interval training compared to sedentary individuals support the existence of greater brain metabolism in the caudate associated with exercise.²⁶⁹ Our findings of increased right caudate and thalamic volume after RAGT provide support for the potential use of RAGT as an adaptive exercise intervention for individuals with SCI, capable of replicating previously reported exerciseassociated neuroplastic changes.

The effects of exercise on brain plasticity are best described for the hippocampus. It is well established that exercise in the form of voluntary wheelrunning robustly enhances cell proliferation and the number of newly generated neurons in the dentate subgranular zone of the hippocampus.²⁷⁰⁻²⁷² In humans, the impact of exercise on brain function has primarily been studied in the elderly, where higher levels of aerobic fitness are associated with increased hippocampal volume²⁷³, and aerobic exercise can halt aging-related hippocampal volume reductions, and even increase hippocampal volumes by 2% to 4%. ^{111,274} In addition to exercise induced effects on the hippocampus, various forms of exercise interventions have been correlated with a reduction in neuropathic pain and sensory dysfunction²⁷⁵, as well as volumetric alteration of the basal ganglia.14,15

We did not observe changes in hippocampal gray matter volume, as has previously been reported in multiple animal and human aerobic exercise studies. 111,270-274. This may indicate that aerobic exercise uniquely contributes to hippocampal change, while the RAGT intervention puts more emphasis on

muscle strength and motor coordination training. This appears to be in line with studies on elite athletes, where endurance athletes, but not martial arts athletes had larger hippocampi²⁷⁶, and elite sprinters had larger basal ganglia volumes that long distance runners. 277 It is also possible that this study was not adequately powered to detect changes in hippocampal gray matter volume or that a higher dose of gait training is required to induce these changes in paralyzed individuals.

Dosing of exercise intervention may also contribute to volumetric increases in gray matter structures. Increased caudate and thalamic volume was identified in ultramarathoners but not marathoners immediately after a race, suggesting the amount exercise, such as time spent running, may influence neuroplasticity of these regions.²⁷⁸ These prior findings of higher volumes associated with greater exercise duration could support the positive association we identified between right caudate volume and total number of minutes spent walking in the RAGT device.²⁷⁸ However, as this report assessed the effects of acute exertion during a single session of endurance exercise, as opposed to long-term low intensity exercise participation, the similarity in findings between the two studies may be due to other mechanisms. Further exploration of RAGT dosing parameters is warranted to determine optimal duration and frequency of use to promote neuroplasticity after SCI.

Limitations and Future Directions

Though a clinically meaningful reduction in neuropathic pain was identified, this difference did not reach statistical significance. Our sample may

have been too small and heterogeneous to detect statistically significant changes in pain severity associated with the intervention. Additional studies are planned to investigate the effects of RAGT on pain modulation with a larger sample size not restricted by those with complete MRI data, as well as additional pain outcomes such as pain interference and pain catastrophizing. Some individuals in our sample reported no improvement or increased pain after RAGT. Additional study is needed to identify characteristics of candidates likely to attain optimal outcomes from RAGT interventions. As our sample consisted exclusively of individuals with SCI, our findings may not be generalizable to other clinical populations in which RAGT devices are utilized and will require further investigation. The underlying mechanisms which contribute to the neuroplastic effects of RAGT in individuals with SCI were not assessed.

Summary and Conclusions

RAGT produced clinically meaningful reductions in neuropathic pain and increased volume of the right caudate and thalamus in individuals with SCI. These findings support the potential benefit of RAGT as an adaptive exercise intervention for pain management and facilitation of neuroplastic changes in the sensorimotor system in SCI populations. Consistent participation in at least 6 months of RAGT resulted in a 32% reduction in mean neuropathic pain intensity and facilitation of neuroplastic change in regions previously associated with exercise-related volumetric increases in other populations. The positive association between time spent walking in the RAGT device and amount of GMV

change in the caudate support the influence of RAGT dosage on striatal neuroplasticity, and the need for further exploration of optimal dosing parameters of RAGT interventions.

Chapter 5: Summary, Future Directions, and Conclusions

5.1 Summary

This dissertation work established miRNA and neuroimaging biomarkers of neuropathic pain, as well as the ability of RAGT to promote neuroplastic changes in brain structure in individuals with spinal cord injury. Results of the completed studies identified novel targets for treatment at the molecular, cellular and brain organ level, and support RAGT as an intervention capable of enacting change in sensorimotor system structures involved in pain processing.

miR-338-5p was identified as a biomarker of neuropathic pain severity in individuals with SCI. Lower expression of miR-338-5p, as well as history of cigarette smoking, were associated with higher levels of neuropathic pain severity. These findings are consistent with the reduced expression of miR-338 in preclinical models of neuropathic pain^{97,98}, elucidating its role as a neuroprotective inhibitor of pro-inflammatory mediators (IL-6⁹⁹ and CXC4¹⁶⁰) and facilitators of neuronal apoptosis (BCL2L11 161 and CTGF 97). Reduced expression of miR-338-5p was uniquely associated with the severity of neuropathic but not nociceptive pain. These findings suggest miR-338-5p could serve as a biomarker and potential target for treatment of neuropathic pain in individuals with SCI.

Neuroimaging biomarkers of neuropathic pain were also identified with resting-state functional connectivity analyses. Significant functional connectivity alterations associated with the type and severity of pain in the limbic system, striatum, medial and lateral pain pathways, and default mode network were

identified. Functional connectivity alterations associated uniquely with neuropathic pain severity suggest altered intralimbic, limbic to striatal, and descending pain pathway connectivity uniquely contribute to the experience of neuropathic pain. Likewise, thalamic to limbic and limbic to parietal connectivity alterations may be associated specifically with nociceptive pain. The identification of functional connectivity alterations exclusively associated with neuropathic pain could guide further study and future targeted pain management interventions to improve neuropathic pain outcomes after SCI.

RAGT was established as capable of promoting increased volume of the caudate and thalamus, regions which demonstrate reduced volume in populations with neuropathic pain.^{6,63} Additionally, there was a 32% reduction in neuropathic pain severity and 28% decrease in neuropathic pain presence after RAGT intervention. Volumetric increases in the caudate and thalamus, in addition to neuropathic pain reduction, suggest RAGT may promote neuroprotective plasticity and normalization of neuronal activity in regions contributing to the experience of neuropathic pain.

In summary, neuropathic pain severity was associated with reduced miR-338-5p and altered functional connectivity in limbic, striatal, and descending pain pathways. RAGT facilitated volumetric increases of subcortical gray matter structures involved in sensorimotor function, and may be an effective intervention for neuropathic pain management in individuals with SCI.

5.2 Future Directions

Expanded study is warranted to assess the relationship between exercise, such as RAGT or other adaptive exercise interventions, and miRNA expression and structural and functional neuroplastic changes within the brain. Exploration of the effects of exercise type and dosing could promote optimization of rehabilitation interventions and clinical outcomes for individuals with SCI.

Specifically, assessment of the effects of exercise on miR-338-5p could determine the ability of exercise to upregulate and normalize its expression, subsequently reducing neuropathic pain. Additionally, future work to assess the association between the expression of miR-338-5p and its validated downstream targets in human populations would further elucidate the potential role of miR-338 in modulating neuroinflammatory mechanisms of neuropathic pain after SCI. One promising validated target is IL-6⁹⁹, a proinflammatory cytokine and circulating inflammatory marker which can be measured in the blood in clinical populations.²⁷⁹ Assessment of the effects of exercise on miR-338-5p and its downstream target IL-6 could provide objective assessment of the efficacy of rehabilitative interventions to modulate neuroinflammatory signaling mechanisms contributing to neuropathic pain.

Assessment of the effects of exercise on rsFC, particularly involving limbic, striatal, and descending pain pathway structures identified to be uniquely associated with neuropathic pain severity, could assess the efficacy of exercise to normalize regional neuronal activity and altered functional connectivity associated with neuropathic pain severity. Assessment of the effects of RAGT on

limbic to striatal activity is of particular interest as connectivity alterations of these regions were specifically associated with neuropathic pain severity, and the striatum demonstrates known association with ambulatory function.^{124,255-260}

Modest reductions in neuropathic pain intensity and presence were identified after the RAGT intervention, suggesting gait training can provide clinically meaningful pain relief. Additional assessments of pain data in a larger sample of participants who completed the RAGT intervention with unobtainable MRI data could increase the power to detect significant changes in pain. These expanded analyses could additionally assess how RAGT affects other clinically relevant domains of pain-related psychoemotional function, such as pain interference with mood, sleep, and activity as well as anxiety and depression.

5.3 Conclusions

This dissertation work established miR-338-5p expression and altered functional connectivity of intralimbic, limbic to striatal, and descending pain pathway structures as biomarkers of neuropathic pain after SCI. Participation in a RAGT intervention resulted in volumetric increases of the caudate and thalamus in individuals with SCI. Results of the completed studies identified novel targets for treatment at the cellular and systemic level, and support RAGT as an intervention capable of eliciting change in sensorimotor system structures involved in pain processing. Next steps to advance current findings include investigation of the effects of additional exercise types and dosing, miR-338 downstream target expression, the effects of RAGT on functional connectivity,

and expanded analyses of the effects of RAGT on pain experience and psychoemotional health. Continued work in these domains could further elucidate the potential of these biomarkers and interventions to facilitate optimized neurorehabilitation treatment strategies and improved outcomes for individuals with SCI.

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Appendix A: INTERNATIONAL SPINAL CORD INJURY PAIN

BASIC DATA SET Version 2.0

The working-group consists of:

Eva Widerström-Noga, DDS PhD (Chair) represents the International Spinal Cord Society

(ISCoS) and the American Spinal Injury Association (ASIA). She is also a member of the International Association for the Study of Pain (IASP), the American Pain Society (APS) and the Academy of Spinal Cord Injury Professionals.

Fin Biering-Sørensen, MD, PhD represents The Executive Committee of the International Spinal Cord Injury Standards and Data Sets (ASIA/ISCoS).

Thomas N. Bryce, MD represents the ASIA. He is also a member of the IASP and the ISCoS. Diana D Cardenas, MD represents the ASIA and is a member of the Academy of Spinal Cord Injury Professionals.

Nanna Brix Finnerup, MD, PhD, represents the IASP.

Mark P Jensen, PhD, represents the APS. He is also a member of the IASP. J Scott Richards, PhD, represents the ASIA. He is also a member of the Academy of Spinal Cord Injury Professionals and the IASP.

Philip Siddall, MD, PhD, represents the IASP. He is also a member of the ISCoS.

This interdisciplinary working group was assembled based on published research expertise in the area of spinal cord injury (SCI) related pain. Individuals with expertise in SCI with regard to the clinical condition of pain, pain taxonomy, psychophysics of pain, psychology, epidemiology and assessment of pain were recommended by the presidents of some of the major organizations with an interest in SCI-related pain (i.e., the ISCoS, ASIA, APS and IASP). Most of the committee members have memberships in several of these organizations.

Chronic pain is one of the most frequently reported reasons for reduced quality of life following

SCI (Stensman 1994; Westgren & Levi, 1998). Pain taxonomies for SCI (Siddall et al., 2000; Bryce & Ragnarsson, 2001; Bryce et al., 2012a,b) classify pain as neuropathic or nociceptive, and according to level of injury. The neuropathic pains are usually associated with evoked pain, such as allodynia or hyperalgesia (Eide et al., 1996; Finnerup et al., 2001). The clinical presentation of pain associated with SCI is highly complex in that different pain types are often present simultaneously. Furthermore, the refractory nature of pain following SCI and the associated psychosocial distress emphasize the

need for a greater understanding of not only pathophysiological but also psychosocial mechanisms in the generation and maintenance of SCI-related pain and pain-related suffering. Ideally an effective treatment strategy should be tailored to specific pain-generating mechanisms in each individual. However, because of insufficient knowledge about the precise clinical symptoms and signs associated with a specific mechanism, this is not currently possible (Hansson, 2002).

In the clinical setting, information is collected that is important for the treatment decisions concerning the pain condition. Although physicians who treat individuals with SCI routinely collect clinical information, a standardized way to collect data concerning pain in persons with SCI is lacking. In order to expedite the development of beneficial treatments, it is important to evaluate the outcomes of treatments in a consistent manner. This would facilitate research collaboration between clinical centers and therefore result in larger well designed clinical pain trials in this population. The use of comparable sets of outcome measures in clinical practice and in trials would increase efficiency and greatly facilitate the translation, interpretation, and application of results to enhance the successful management of SCI related pain.

The purpose of the International Spinal Cord Injury Pain Data Set (ISCIPDS) is to standardize the collection and reporting of pain in the SCI population. The ISCIPDS contains a *basic* (ISCIPDS:B) and an *extended* (ISCIPDS:E) part. The ISCIPDS:B contains a minimal amount of clinically relevant information concerning pain that can be collected in the daily practice of healthcare professionals with expertise in SCI. In addition, the evaluation should be logistically feasible in various settings and countries. Although the intent of the ISCIPDS:B is to evaluate each separate pain problem, it may also be used to only evaluate the most significant or "worst" pain problem if there are time constraints. The ISCIPDS:E is primarily intended to be used for research purposes. The overall purpose of the ISCIPDS concurs with the purpose and vision of the International Spinal Cord Injury Data Sets (Biering-Sørensen et al., 2006) and should be used in conjunction with data in the International SCI Core Data Set (DeVivo et al., 2006). The International SCI Core Data Set includes information on date of birth and injury, gender, the cause of spinal cord lesion, and neurological status. In addition, the Core Data Set contains information on whether a vertebral injury was present, whether spinal surgery was performed, whether associated injuries were present, whether the patient with spinal cord lesion was ventilator-dependent at the time of discharge from initial inpatient care, and the place of discharge from initial inpatient care.

Background

The Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials (IMMPACT) has recommended that clinical pain trials designed to evaluate the effectiveness of a therapy, should *consider* including a core set of outcomes (Dworkin et al., 2005). It was suggested that the assessment of pain severity, physical and emotional functioning would best capture the multidimensional nature of pain. However, it was also emphasized that complementary measures should be added when appropriate for specific pain populations. After SCI, a decrease in physical function may be more related to the physical impairments of SCI rather than to pain; therefore, a decrease in function *due to* pain, i.e., pain interference should be assessed (Widerström & Turk, 2004). These outcome domains are relevant both for clinical trials and clinical practice.

The questions in the ISCIPDS:B are based upon these three domains but adapted to consider the special issues related to SCI (i.e., several simultaneous different pain problems, physical impairments, etc.). The aspects regarding the specific nature of SCI-related pain include a pain intensity rating and a classification for each specific pain. Pain interference is addressed using three questions specifically addressing pain interference with activities, mood and sleep.

Version changes of the International SCI Pain Basic Data Set.

Version 1.0 to Version 1.1:

The only change made was related to the variable **Type of pain**, where the option *"At- and below-level (Neuropathic)"* was removed and merged with the "Below-level (Neuropathic)" pain. The revised "B*elow-level (Neuropathic)"* pain category now includes pain that may be experienced below the level of injury and extends to the level of injury. This modification was made since no current evidence suggests the underlying mechanisms differ between the two categories.

The International SCI Pain Basic Data Set (ISCIPBDS) published in 2008 was Version 1.1:

Widerström-Noga E, Biering-Sørensen F, Bryce T, Cardenas DD, Finnerup NB, Jensen MP, Richards S, Siddall PJ. The International Spinal Cord Injury Pain Basic Data Set. Spinal Cord 2008;46:818-23.

Version 1.1 to Version 2.0 (2.0 version finalized 21 May, 2013):

Several changes have been made due to both updates to the pain classification scheme and desires from the field to shorten the International SCI Pain Basic Data Set to facilitate its clinical usefulness:

1. Related to the variable **Type of pain**, an extra option *"Other"* is inserted, in accordance with the changes made in the International Spinal Cord Injury Pain (ISCIP) Classification (Bryce et al. 2012a). Also only one choice of pain type should now be chosen. This manual has been updated with more detail to facilitate the pain classification according to the ISCIP Classification.

2. The variable **Number of days with pain in the last 7 days including today** has been deleted to shorten the International SCI Pain Basic Data Set.

3. The variable **How long does your pain usually last?** has been deleted to shorten the International SCI Pain Basic Data Set.

4. The variable **When is the pain most intense?** has been deleted to shorten the International SCI Pain Basic Data Set.

5. The variable **How much do you limit your activities in order to keep your pain from getting worse?** has been deleted to shorten the International SCI Pain Basic Data Set.

6. The variable **How much has your pain changed your ability to take part in recreational and other social activities?** has been deleted to shorten the International SCI Pain Basic Data Set.

7. The variable **How much has your pain changed the amount of satisfaction or enjoyment you get from family-related activities?** has been deleted to shorten the International SCI Pain Basic Data Set.

8. The 3 remaining **Pain Interference questions** shall be applied for overall pain rather than differentiated for up to 3 pain types and be scored on a 0 to 10 scale instead of 0 to 6 for consistency with the pain intensity item. Please note that the psychometric properties were evaluated with these items scored between 0 and 6. We expect minimal to no effects on these properties with the revision.

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Endorsement:

The International SCI Pain Basic Data Set Version 1.1 has been officially endorsed by the ISCoS, ASIA, IASP and the APS.

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SYLLABUS (instructions) – Version 2.0

Each variable and each response category within each variable have been specifically defined in a way that is designed to facilitate the collection of a uniform basic data set.

VARIABLE NAME: **Date of data collection**

DESCRIPTION: This variable documents the date of data collection

- CODES: YYYY/MM/DD
- COMMENTS: The collection of data on Pain may be carried out at any time after the spinal cord injury. The *Date of data collection* variable is necessary in order to identify when the data were collected. This variable provides a way to relate the collected data to other data collected on the same individual at various time points.

VARIABLE NAME: **Have you had any pain during the last 7 days including today?**

- DESCRIPTION: This variable documents the presence of any type of pain during the last 7 days.
- CODES: No
	- Yes

data sets.

COMMENTS: To be able to evaluate any present, chronic, and intermittent pain related and unrelated to the spinal cord injury. Pain is defined by the International Association for the Study of Pain (IASP) as "An unpleasant sensory and emotional experience associated with actual or potential tissue damage, or described in terms of such damage" (Merskey & Bogduk, 1994). The seven day interval was chosen in order to be able to capture current pain and both constant and intermittent chronic pain that may be clinically relevant and to have the same time frame in all

> This question can also be used as Basic Pain Question in other questionnaires, i.e. gate question to the Pain Basic Data Set.

Pain Interference

The three interference items were written for and included in the data set given the need for (1) the availability of a single item that could be used to assess the domain of pain interference on physical activity; and (2) the need to ensure assessment of pain interference on mood and sleep, two key interference domains. Widerstrom-Noga et al, 2002; Hirsch et al., 2011. Based on the results from a study testing the

psychometric properties of a self-reported version of the International SCI Pain Basic Data Set (Jensen et al., 2010) the 6 interference items exhibited excellent reliability (0.94). However, a reliability coefficient in this range suggests that some items may provide similar information and could therefore be dropped. Thus, all items were examined regarding internal reliability and validity. Three items asking about interference with day-to-day activities, mood and sleep (AMS) were selected based upon excellent reliability (0.89) and on strong association with the validity criteria (psychological functioning -0.60 and Sleep problems 0.68). Each item has been revised and is now scored on a numerical rating scale from 0 to 10. Please note that the psychometric properties were evaluated with these items scored between 0 and 6. We expect minimal to no effects on these properties with the revision.

In this section pain interference *during the last week* apply to all questions and apply to overall pain.

Pain Interference specifically related to General Activity, Mood and Sleep.

VARIABLE NAME: **In general, how much has pain interfered with your day-today activities in the last week?**

VARIABLE NAME: In general, how much has pain interfered with your overall mood in the past week?

COMMENTS: *This question concerns how a person's specific pain problem interfered with mood during the last seven days* including today. An interference item that assesses mood interference was developed for this data set because pain is known to have a significant negative impact on mood for many patients, and pain's effect on mood is somewhat distinct from its effect on other functioning domains.

VARIABLE NAME: **In general, how much has pain interfered with your ability to get a good night's sleep?**

DESCRIPTION: A 0 – 10 Numerical Rating Scale (ranging from 0 = "No interference" to a maximum of 10 = "Extreme interference") of pain interference of mood.

COMMENTS: *This question concerns how a person's specific pain problem interfered with his/her ability to get a good night's sleep during the last seven days including today.* An interference item that assesses sleep interference was developed for this data set because pain is known to have a significant negative impact on sleep for many patients, and pain's effect on sleep is somewhat distinct from its effect on other functioning domains.

VARIABLE NAME**: How many different pain problems do you have?**

- DESCRIPTION: This variable determines how many different pain problems an individual perceives that he or she has experienced during the last seven days including today. A "pain problem" is defined by the person himself as a pain that has a specific character. Please note that one pain problem can be located in one or several areas.
- CODES: 1 One pain problem
	- 2 Two pain problems
		- 3 Three pain problems
		- 4 Four pain problems
		- 5 Five or more pain problems
- COMMENTS: Data from previous studies suggest that persons with SCI rarely have more than 5 different pain problems. Persons who experience SCI related chronic pain can usually differentiate between different pain problems. Although unusual, it is possible to have two different types of pain in overlapping areas. An example would be musculoskeletal shoulder pain in a person with cervical injury and neuropathic pain at the level of injury.

Description of the three worst pain problems

COMMENTS: Each person is only required to describe the three worst pain problems he or she is currently experiencing (within the last 7 days). The reasons for this are twofold. First, most people with SCI experience three or fewer pain problems. Second, describing the details of more than three different simultaneous pain problems may induce errors in the data collection. Please note that the forms should be completed in a columnar fashion for each pain problem and not be read across.

VARIABLE NAME: **Location(s) of pain** (check all that apply including right side, midline and/or left side)

DESCRIPTION: This variable contains information concerning the location of pain.

legs/thighs; and (8) Lower legs/feet. Within each of these 8 pain

locations, further divisions into more precise locations can be made. For example, in the "arms/hand" category specification of wrist, elbow pain etc. can be made if needed. Each individual is asked to describe the location of all present pain. Please indicate right (R), midline (M) and/or left (L) side.

The descriptions of the pain locations in the Basic Pain Data Set are meant to be based on

> each individual's perception of the location of pain, and can be used to follow pain at subsequent visits. Therefore, the delineations of these areas are not defined with precise anatomical landmarks. Several locations may be given for each pain problem, e.g., neck and either shoulders, or pain in the abdomen extending into the buttocks and thighs areas and further down to the feet.

VARIABLE NAME: **Type of pain**

DESCRIPTION: This variable documents the type of pain present.

- CODES: Musculoskeletal (Nociceptive) Visceral (Nociceptive) Other (Nociceptive) At-level SCI (Neuropathic) Below-level SCI (Neuropathic) Other (Neuropathic) **Other Unknown**
- COMMENTS: Seven broad types of pain are specified based on pain types identified in previous SCI pain taxonomies (Donovan et al., 1982; Siddall et al., 2000; Bryce & Ragnarsson, 2001;

Cardenas et al., 2002; Bryce et al., 2012a,b) and based on prevalence in the SCI population. *Please note that the ASIA Impairment scale (AIS) and the associated dermatomal map (Kirshblum et al., 2011) are to be used as integral parts of the SCI pain classification.* Nociceptive pains that are less prevalent or not directly related to SCI and not categorized as musculoskeletal or visceral can be classified as "*Other (Nociceptive)*". Pains that are not associated with a lesion or disease affecting the spinal cord or nerve roots yet are nevertheless neuropathic can be classified as "*Other (Neuropathic)"*. *"Unknown"* should be used when it is not possible to classify the pain into one of the categories listed above. *"Unknown"* pain refers only to pain of unknown etiology and not to pains with both nociceptive and neuropathic qualities, nor to defined pain syndromes of unknown etiology,

like fibromyalgia. For pains that seem to have both nociceptive and neuropathic qualities the two components should be classified separately. Defined pain syndromes of unknown etiology (for example, fibromyalgia) should be coded as *"Other"*.

The type of pain should be coded using the following criteria:

Musculoskeletal (Nociceptive) pain refers to pain occurring in a region where there is preserved sensation above, at or below the neurological level of injury and which is believed to be arising from musculoskeletal structures. The presence of this type of pain is suggested by pain descriptors such as dull or aching, pain related to movement, tenderness of musculoskeletal structures on palpation, response to antiinflammatory or opioid medications and evidence of skeletal pathology on imaging consistent with the pain presentation. Examples include: mechanical pain, spinal fractures, muscular injury, shoulder overuse syndromes and muscle spasm (Donovan et al., 1982; Siddall et al., 2000; Bryce & Ragnarsson, 2001; Cardenas et al., 2002).

Visceral (Nociceptive) pain refers to pain usually located in the thorax, abdomen, or pelvis and believed to be generated in visceral structures. The presence of this type of pain is suggested by characteristics such as dull, tender, or cramping and a relationship to visceral pathology or dysfunction, e.g., infection or obstruction (Donovan et al., 1982; Siddall et al., 2000; Bryce & Ragnarsson, 2001; Cardenas et al., 2002; Bryce et al., 2012a). Examples include urinary tract infection, ureteric calculus and bowel impaction. Note: Failure to find evidence of visceral pathology or failure to respond to treatment directed at visceral pathology may indicate the presence of neuropathic pain (see below).

Other (Nociceptive) pain refers to nociceptive pains that may be present but do not fall into the musculoskeletal or visceral categories (Bryce & Ragnarsson, 2001). Examples include pain associated with ulceration of the skin and headache. These pains may be directly related to SCI (e.g., pressure areas and dysreflexic headache) or unrelated to SCI (e.g., migraine).

At-level SCI (Neuropathic) pain refers to neuropathic pain presenting in a segmental pattern. A necessary condition for this to occur is that there is a lesion or disease affecting the spinal cord or nerve roots. At-level neuropathic pain is perceived anywhere within the dermatome of the level of neurological injury and three dermatomes below this level. Pain which occurs in this distribution which cannot be attributed to a lesion or disease affecting the spinal cord or nerve roots should be classified as "*Other" (Neuropathic)*. This pain is often
characterized as hot-burning, tingling, pricking, pins and needles, squeezing, cold, electric, or shooting. Sensory changes such as allodynia, hypoalgesia, or hyperalgesia within the pain distribution are often found. The pain may be unilateral or bilateral (Siddall et al., 2000; Bryce & Ragnarsson, 2001; Bryce et al., 2012a). Note: Neuropathic pain associated with cauda equina damage is radicular in nature and therefore defined as at level (neuropathic) pain regardless of distribution. *Below-level SCI (Neuropathic)* pain refers to neuropathic pain that is present more than three dermatomes below the dermatome of the neurological level of injury; it may in addition be perceived up to the dermatome representing the neurological level of injury and the three dermatomes just below this. A necessary condition for this to occur is that there is a lesion or disease affecting the spinal cord and that the pain is believed to arise as a result of this damage. Pain which occurs in this distribution which cannot be attributed to a lesion or disease affecting the spinal cord should be classified as "*Other" (Neuropathic)*.

This pain is often characterized as hot-burning, tingling, pricking, pins and needles, squeezing, cold, electric, or shooting; it usually has a regional distribution. Sensory changes such as allodynia, hypoalgesia, or hyperalgesia may be present. If two distinct pains are distinguishable in the same region, the two pain types must be classified and documented as separate pains.

Other (Neuropathic) pain refers to neuropathic pains that are present above, at or below the neurological level of injury but are not directly related to the SCI. Examples include postherpetic neuralgia, pain associated with diabetic neuropathy, central post stroke pain, and compressive mononeuropathies (Siddall et al., 2000; Bryce & Ragnarsson, 2001). *Other pain* refers to pain that occurs when there is no identifiable noxious stimulus nor any detectable inflammation or damage to the nervous system responsible for the pain and the pain is thought to be unrelated to the underlying SCI, both temporally and mechanistically. It is unclear what causes the pain to develop or persist. Examples include: Complex Regional Pain Syndrome type I, interstitial cystitis pain, irritable bowel syndrome pain and fibromyalgia.

VARIABLE NAME: **Average pain intensity in the last week**

DESCRIPTION: A 0 – 10 Numerical Rating Scale (ranging from 0 = "No pain" to a maximum of 10 = "Pain as bad as you can imagine") of average pain intensity for (up to) three pain problems (the three worst pain problems respondents experience). Please note that "last week" specifically refers to *the last seven days including today.*

CODES: 0

1

- 8
- 9 10
-

COMMENTS: Pain intensity is the most common pain domain assessed in research and clinical settings. Although different rating scales have proven to be valid for assessing pain intensity, including the Numerical Rating Scale (NRS), the Verbal Rating Scale (VRS), and the Visual Analogue Scale (VAS), the $0 - 10$ NRS has the most strengths and fewest weaknesses of available measures (Jensen & Karoly, 2001). Moreover the 0 – 10 NRS, and specifically the 0 – 10 with the endpoints listed, has been recommended by the IMMPACT consensus group for use in pain clinical trials (Dworkin et al., 2005) and by the 2006 NIDRR SCI Pain outcome measures consensus group (Bryce et al., 2007), so using this measure will help ensure consistency in the assessment of average pain intensity across studies.

> The seven day time frame was selected to balance the need to assess pain over a long enough epoch to capture usual pain, against the need to keep the time frame short enough to maximize recall accuracy.

VARIABLE NAME: **Date of onset**

DESCRIPTION: This variable specifies the date this particular pain problem started, i.e. the worst, second worst or third worst pain problem.

CODES: YYYY/MM/DD

COMMENTS: If the day of the month is unknown, record 99. If the month of the year is unknown, record 99. The year should be given as an approximation if it is not known.

VARIABLE NAME: **Are you using or receiving any treatment for your pain problem?**

DESCRIPTION: This variable documents any treatment the patient is using or receiving for any pain.

CODES: No

Yes

COMMENTS: By "treatment" is meant any prescribed or non-prescribed medical, surgical, psychological, or physical treatment that the patient is using or receiving *for pain that has been present the last seven days* to alleviate his/her pain/pains. This variable may include chronic and intermittent drug treatment, physical therapy, relaxation training, nerve blocks etc.

INTERNATIONAL SPINAL CORD INJURY PAIN BASIC DATA SET

DATA COLLECTION FORM – Version 2.0

Date of data collection: YYYY/MM/DD

Have you had any pain during the last seven days including today:

 \Box No \Box Yes

If yes:

Please note that the time period during the last week applies to all pain interference questions.

In general, how much has pain interfered with your day-to-day activities in the last week? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8 - \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your overall mood in the last week? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8 - \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your ability to get a good night's sleep? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8 - \Box 9 - \Box 10 Extreme interference

How many different pain problems do you have? \Box 1; \Box 2; \Box 3; \Box 4; \Box >5

Please describe your three worst pain problems:

Worst pain problem:

Third worst pain problem:

Training case 1

Date: May 26, 2008

This is a 34 year old man with a C6 AIS B cervical injury after a diving accident in 2000. He experiences two different pains, one in the legs and the other in the center of the abdomen. The pain in his abdomen started shortly about 6 years after his SCI and is the most problematic problem of the two. He describes this pain as "cramping" and "shooting" with an average intensity of 7/10. The pain occurs daily, but is intermittent, with periods of pain "flares" followed by periods of being free from the abdominal pain. Although the hourlong pain flares are usually worse in the afternoon compared to the morning, evening, or nighttime, they seem to be related to constipation. He has tried opioids and antidepressants but does not recall the names or doses, and they did not help. He has not tried anticonvulsants. Currently, he takes no medication for this pain.

The second pain located in his legs from his thighs down to his toes is perceived as "sharp," "aching," and "squeezing." This pain began 1 to 3 months after injury. The intensity of this pain is 1/10 on average, but may increase to 10/10 for brief periods (up to 5 minutes at a time). This pain is present only in relation to severe spasms, but occurs up to 10 times a day. There is no consistent temporal pattern to this pain; it tends to occur throughout the day with no time period being better or worse. He is taking baclofen for this pain and reports that this medication is very helpful.

He does not feel that pain affects his overall day-to-day activities and upon inquiry he rates interference with activities as very low, perhaps 1/10. He also does not feel that his mood is affected and rates the influence of mood as 0/10. He does, however, mention that he frequently wakes up but that this is not related to his pain, and he rates sleep interference as 0/10.

Note: In an assessment situation these questions and the endpoints are read verbatim to the patient and he or she answers the question by choosing the appropriate number. Please also note that this training case is not a real case. Furthermore, the treatments used in these cases do not reflect recommendations by the Pain dataset committee but are merely examples of common treatments used to relieve pain in this population.

INTERNATIONAL SPINAL CORD INJURY PAIN BASIC DATA SET – FORM -

Version 2.0 CASE 1

Date of data collection: 2008/05/26

Have you had any pain during the last 7 days including today: \Box No X Yes

If yes:

Please note that the time period during the last week applies to all pain interference questions.

In general, how much has pain interfered with your day-to-day activities in the last week? No interference \Box 0 - **X 1** - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8- \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your overall mood in the last week?

No interference **X 0** - \Box 1 - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8- \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your ability to get a good night's sleep? No interference **X 0** - \Box 1 - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8- \Box 9 - \Box 10 Extreme interference

How many different pain problems do you have? \Box 1; X 2; \Box 3; \Box 4; \Box >5

Please describe your three worst pain problems:

Worst pain problem:

Second worst pain problem:

Training case 2

Date: October 26, 2008

This is a 25 year old woman with a C5 AIS A spinal cord injury following a traffic accident Aug 25 2005. She experiences three different kinds of pains, one located in the arms and hands, a second pain located in the buttocks and upper legs, and a third pain located in the shoulders.

She feels that the pain that she experiences in her arms and hands (upper arms through fingers) is the worst because it has a particularly unpleasant electric quality. It began within a month after her injury. She describes the pain in her arms as very intense, rating it as 8/10, on average. Light touching of the

skin, touch by clothes and taking a shower trigger an intense electric burning pain. She has this pain every day on a continuous basis, although this pain is worse in the afternoon compared to the morning or evening. The pain gets a little better when she lies down or when she is thinking about something else. She takes an anticonvulsant medication and applies topical patches including a local anesthetic for this pain with partial benefit.

She describes the pain in the upper legs and buttocks as "burning," "pricking" and "pulsating." This pain started about one year after injury. This pain is also very intense; she rates it as a 7/10, on average. The pain is always present, independent of movements or muscle spasms, but usually is more severe in the evening as compared to the morning or afternoon. The anticonvulsant she is taking has no effect on this pain problem.

The pain in the shoulders is aching and started about two years after injury and is not quite as intense as the other two pains. This pain is usually only present in the afternoon and evening after workout or after periods of prolonged wheelchair propulsion or working at the computer. In the last week, pain was present for a total of 5 days. It usually lasts a couple of hours, and resolves after rest. She rates it as a 4/10, on average. She takes paracetamol or NSAIDS for this pain once or twice per week; she finds both of these medications somewhat helpful for the shoulder pain.

She reports that her pain interferes with her activities every day and she rates this interference as 8/10. Similarly, she also mentions that her pain makes her feel sad on a daily basis and she rates it as 7/10 interfering significantly with mood. She wakes up several times every night because of pain and this is a very difficult problem for her. She rates it as 10/10.

Note: In an assessment situation these questions and the endpoints are read verbatim to the patient and he or she answers the question by choosing the appropriate number. Please also note that this training case is not a real case. Furthermore, the treatments used in these cases do not reflect recommendations by the Pain dataset committee but are merely examples of common treatments used to relieve pain in this population.

INTERNATIONAL SPINAL CORD INJURY PAIN BASIC DATA SET – FORM -

Version 2.0 CASE 2

Date of data collection: 2008/10/26

Have you had any pain during the last 7 days including today: \Box No X Yes

If yes:

Please note that the time period during the last week applies to all pain interference questions.

In general, how much has pain interfered with your day-to-day activities in the last week? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 -4 - 5 - 6 - 7 - **X 8**- 9 - 10 Extreme interference

In general, how much has pain interfered with your overall mood in the past week? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - **X 7** - \Box 8 - \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your ability to get a good night's sleep? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 -5 - 6 - 7 - 8 - 9 - **X 10** Extreme interference

How many different pain problems do you have? \Box 1; \Box 2; X 3; \Box 4; \Box >5

Please describe your three worst pain problems:

Worst pain problem:

Second worst pain problem:

Third worst pain problem:

Training case 3

Date: September 3, 2008

This is a 20 year old female who sustained a T10 AIS A spinal cord injury on July 8, 2004. She has a one level zone of partial preservation of light touch and pinprick sensation. She underwent a three level posterior decompression with fusion and instrumentation at the time of injury. She experiences two different types of pain of which a daily "sharp" attack-like lower back pain triggered by flexion of the spine is the worst. This pain came on insidiously over the last year and she cannot identify an inciting event. She describes this pain as very intense and brief, lasting less than one minute at a time and she rates it at an average of 8/10. It is most intense in the morning, afternoon and evening and is not present when she lays flat in bed at night. On physical exam, she exhibits tenderness to palpation over the low back both centrally and adjacent to the midline in paraspinal muscles. Portions of the hardware can be palpated over her low back. Opioid medication is somewhat effective in decreasing the severity of the pain, although it does not take it away completely.

In addition, she has a second pain that she describes as a constant pressure and as a "tight girdle" that is felt about the lower abdomen. This pain has been present since approximately 4 weeks after injury and does not vary in intensity. This pain is constant and rated at 4/10. The opioid medication does not relieve this pain.

She describes that pain does not really affect her day-to-day activities since she has to "get things done." She rates the pain interference with activities as 1/10. She does, however, mention that pain affects her mood to a moderate degree and rates the pain interference as 5/10 since she does not feel that way every day. Sleep is also interrupted by pain and she rates sleep interference as 5/10.

Note: In an assessment situation these questions and the endpoints are read verbatim to the patient and he or she answers the question by choosing the appropriate number. Please also note that this training case is not a real case. Furthermore, the treatments used in these cases do not reflect recommendations by the Pain dataset committee but are merely examples of common treatments used to relieve pain in this population.

INTERNATIONAL SPINAL CORD INJURY PAIN BASIC DATA SET – FORM -

Version 2.0 CASE 3

Date of data collection: 2008/09/03

Have you had any pain during the last 7 days including today: \Box No X Yes

If yes:

Please note that the time period during the last week applies to all pain interference questions.

In general, how much has pain interfered with your day-to-day activities in the last week? No interference \Box 0 - **X 1** - \Box 2 - \Box 3 - \Box 4 - \Box 5 - \Box 6 - \Box 7 - \Box 8 - \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your overall mood in the past week?

No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 - **X 5** - \Box 6 - \Box 7 - \Box 8 - \Box 9 - \Box 10 Extreme interference

In general, how much has pain interfered with your ability to get a good night's sleep? No interference \Box 0 - \Box 1 - \Box 2 - \Box 3 - \Box 4 -**X 5** - \Box 6 - \Box 7 - \Box 8 - \Box 9 - \Box 10 Extreme interference

How many different pain problems do you have?

 \Box 1; X 2; \Box 3; \Box 4; \Box >5

Please describe your three worst pain problems:

Worst pain problem:

Second worst pain problem:

Appendix B: MRI Protocols

Structural T1-weighted 3D GRAPPA

SIEMENS MAGNETOM TrioTim syngo MR B19

 $3/4$

SIEMENS MAGNETOM TrioTim syngo MR B19

Resting-state fMRI EPI

SIEMENS MAGNETOM TrioTim syngo MR B19

 $11/4$

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Chapter 1:

Figure 1.1

Original Publication: Gibbs K, Beaufort A, Stein A, Leung TM, Sison C, Bloom O. Assessment of pain symptoms and quality of life using the International Spinal Cord Injury Data Sets in persons with chronic spinal cord injury. *Spinal Cord Ser Cases*. 2019;5:32. doi:10.1038/s41394-019-0178-8

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Figure 1.2

Original Publication: Gensel JC, Zhang B. Macrophage activation and its role in

repair and pathology after spinal cord injury. *Brain Res*. Sep 4 2015;1619:1-11.

doi:10.1016/j.brainres.2014.12.045

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Figure 1.3

Original Publication: Liu T, Zhang L, Joo D, Sun SC. NF-κB signaling in inflammation. *Signal Transduct Target Ther*. 2017;2:17023-.

doi:10.1038/sigtrans.2017.23

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Figures 1.6 and 1.7

Original Publication: Cooper MA, Kluding PM, Wright DE. Emerging

Relationships between Exercise, Sensory Nerves, and Neuropathic Pain. *Front*

Neurosci. 2016;10:372. doi:10.3389/fnins.2016.00372

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Chapter 2:

Original Publication: Kowalski JL, Nguyen N, Battaglino RA, Falci SP, Charlifue S, Morse LR. miR-338-5p Levels and Cigarette Smoking are Associated With Neuropathic Pain Severity in Individuals With Spinal Cord Injury: Preliminary Findings From a Genome-Wide microRNA Expression Profiling Screen. *Arch Phys Med Rehabil*. Apr 2022;103(4):738-746. doi:10.1016/j.apmr.2021.09.005

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