

Marine DOC Modeling Suggests the Importance of  
Hydrothermal Vents and Initial DOC Production

A THESIS SUBMITTED TO THE FACULTY OF THE  
UNIVERSITY OF MINNESOTA

BY

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IN PARTIAL FULFILLMENT OF THE REQUIREMENTS  
FOR THE DEGREE OF  
MASTER OF SCIENCE

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JUNE 2021

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**Acknowledgements**

This work was supported by the Department of Earth & Environmental Sciences and the US National Science Foundation (grant OCE-1827948 to K. Matsumoto). This work was carried out in part using computing resources at the University of Minnesota Supercomputing Institute. The temperature- and NPP-dependent export production scheme in MESMO 2 was coded by T. Tanioka.

**Abstract**

Marine DOC represents the largest ocean reservoir of reduced carbon, holding > 200 times the carbon inventory of marine biomass, or an amount of carbon roughly equal to that in the atmosphere. Therefore, the DOC reservoir is significant in terms of long-term climate change. The largest fraction of DOC is characterized as refractory ( $\text{DOC}_R$ ) and radiocarbon ages indicate that this fraction survives multiple deep ocean mixing cycles. While  $\text{DOC}_R$  production is understood to be tied mainly to primary production in the surface ocean, the mechanisms for  $\text{DOC}_R$  removal are less well-understood, which has caused difficulty in quantifying the dynamics of this reservoir. However, photodegradation and hydrothermal vent degradation have been identified as likely mechanisms. In this study, DOC dynamics were incorporated into a well-calibrated dynamic ocean model, which explicitly represents important DOC processes: DOC production through primary production and degradation via photodegradation, hydrothermal vent degradation, and slow background degradation. A model simulation using literature values for key model parameters (Literature Value Run) resulted in large discrepancies from observation in both DOC concentration and the gradient in DOC concentration along the path of deep ocean circulation. These discrepancies suggest that the current state of knowledge of the underlying processes related to these observations is inadequate. After tuning model parameters, most notably  $\text{DOC}_R$  production and the flux of seawater through hydrothermal vents, a Tuned Run was achieved. From this run, sensitivity tests were performed to examine how dependent model results were to changes in key model parameters. Between the Literature Value Run, the Tuned Run, and the sensitivity tests, three conclusions were drawn: (1) it is likely that the current literature value for the fraction of NPP that becomes

$\text{DOC}_R$  is too large, by approximately five times, (2) the literature value for hydrothermal vent flux is likely too small and may be five times the current value, and (3) hydrothermal vents are a likely source of radiocarbon-depleted DOC to the deep ocean.

## Table of Contents

List of Tables.....	v
List of Figures.....	vi
Introduction.....	1
Methods.....	11
Model Results.....	17
Discussion.....	22
Conclusions.....	28
Figure Descriptions.....	29
Figures.....	32
Bibliography.....	42

## List of Tables

Table 1: Key Model Parameters.....	32
Table 2: Key Observational Targets.....	33

## List of Figures

Figure 1: Schematic diagram of model additions.....	34
Figure 2: Literature Value Run.....	35
Figure 3: Tuned Run.....	36
Figure 4: Sensitivity of Surface DOC <sub>T</sub> Concentration.....	37
Figure 5: Sensitivity of Deep DOC <sub>T</sub> Concentration.....	38
Figure 6: Sensitivity of Deep DOC <sub>T</sub> Gradient.....	39
Figure 7: Sensitivity of Deep Pacific $\Delta^{14}\text{C}$ .....	40
Figure 8: Potential Resolution to $\Delta^{14}\text{C}$ Conundrum.....	41

## 1. Introduction

At 662 Pg C, marine dissolved organic carbon (DOC) represents the largest ocean reservoir of reduced carbon, holding greater than 200 times the carbon inventory of living marine biomass (Hansell et al., 2009), or an amount roughly equivalent to that in the atmosphere (750 Pg C; Fasham et al., 2001). DOC refers to the carbon that is part of the more encompassing dissolved organic matter (DOM) pool, which also includes dissolved organic nitrogen (DON) and dissolved organic phosphorous (DOP). Operationally speaking, DOC is too small to sink through the water column and is therefore transported in the ocean passively by ocean circulation. Conversely, particulate organic carbon (POC) is large enough in size to sink through the water column. Marine DOC is believed to be produced autochthonously through photosynthesis in the surface ocean at a rate of  $\sim 27$  Pg C  $\text{yr}^{-1}$  (Hansell, 2013), where it serves as a source of food for heterotrophic microbes. Chemosynthesis also contributes to DOC production, but the magnitude is thought to be small relative to photosynthesis. Most ( $25$  Pg C  $\text{yr}^{-1}$ ) of the freshly produced surface DOC is rapidly remineralized to carbon dioxide in the surface ocean as it supports heterotrophic respiration. The remainder of DOC escapes rapid remineralization and accumulates in the ocean over time scales of years to millennia.

DOC is eventually transported by ocean mixing below the biologically active surface (130 m) at a rate of approximately  $1.9$  Pg C  $\text{yr}^{-1}$ ,  $\sim 20\%$  of global export production (Laws et al., 2000; Dunne et al., 2007), and helps fuel heterotrophic metabolism in the upper mesopelagic (200-500 m). As a result, DOC exported below 500m is much smaller than at 130m ( $\sim 0.2$  Pg C  $\text{yr}^{-1}$ ). Once DOC is exported to the deep ocean, it can be sequestered for centuries to millennia (Druffel et al, 1992). Due to the large size of the

DOC pool and its significant contributions to marine carbon sequestration, the controls on marine DOC production and degradation have important implications for regulating the global carbon cycle and thus climate (Walker et al., 2016a,b).

DOC exists as a complex mixture of hundreds of thousands of organic compounds with distinct chemistries and structures, and this complexity increases in the deep ocean (Dittmar & Stubbins, 2014). Hansell et al. (2009) approached this problem by modeling DOC as five fractions with lifetimes ranging from hours to millennia. The modeling work performed in the current study resembles the strategy of Hansell et al. (2009), but with two DOC fractions: semi-labile DOC ( $\text{DOC}_{\text{SL}}$ ), which persists on the order of a few months to years; and refractory DOC ( $\text{DOC}_{\text{R}}$ ), which persists on the order of thousands to tens of thousands of years. The sum of  $\text{DOC}_{\text{SL}}$  and  $\text{DOC}_{\text{R}}$  gives the total DOC ( $\text{DOC}_{\text{T}}$ ). Labile DOC ( $\text{DOC}_{\text{L}}$ ), which largely supports surface heterotrophic respiration, is not considered here because it is consumed as rapidly as it is produced and cannot be observed in nature as a result. In addition, its lifetime is too short to be captured in the model to be described below. The  $\text{DOC}_{\text{SL}}$  fraction supplements the  $\text{DOC}_{\text{L}}$  pool in supporting microbial production, and it is able to accumulate due to its longer lifetime relative to  $\text{DOC}_{\text{L}}$ , leading to a global  $\text{DOC}_{\text{SL}}$  inventory of  $\sim 6$  Pg C (Hansell, 2013). Since  $\text{DOC}_{\text{SL}}$  accumulates, it is subjected to lateral transport via ocean circulation.  $\text{DOC}_{\text{SL}}$  can be vertically transported to some extent, but this export typically ends in the mesopelagic zone ( $< 500$  m) where  $\text{DOC}_{\text{SL}}$  is remineralized by subsurface microbial production (Abell et al., 2000). The  $\text{DOC}_{\text{R}}$  fraction has a significantly longer lifetime (centuries to millennia) relative to  $\text{DOC}_{\text{SL}}$ . This causes  $\text{DOC}_{\text{R}}$  to exist ubiquitously in the global ocean, which results in a global inventory of  $\sim 630$  Pg C (Hansell, 2013), roughly equal to the amount of carbon in the atmosphere.

Due to the absence of  $\text{DOC}_{\text{SL}}$  in the interior ocean, along with the persistent nature of  $\text{DOC}_{\text{R}}$ ,  $\text{DOC}_{\text{T}}$  in the deep ocean ( $\sim 40 \mu\text{mol kg}^{-1}$ ) is considered to be composed entirely of  $\text{DOC}_{\text{R}}$ , while surface  $\text{DOC}_{\text{T}}$  is a combination of the background  $\text{DOC}_{\text{R}}$  signal ( $\sim 40 \mu\text{mol kg}^{-1}$ ) and  $\text{DOC}_{\text{SL}}$  (Hansell, 2013).

$\text{DOC}_{\text{T}}$  exists in the ocean at concentrations ranging between  $\sim 34$  and  $80 \mu\text{mol kg}^{-1}$  (Fig. 2; Hansell, 2013).  $\text{DOC}_{\text{T}}$  concentrations are highest in the euphotic zone where  $\text{DOC}_{\text{T}}$  is produced and where  $\text{DOC}_{\text{SL}}$  accumulates. Within the euphotic zone,  $\text{DOC}_{\text{T}}$  concentrations are highest in the most productive waters, typically along the eastern upwelling margins of ocean basins (Dittmar & Stubbins, 2014). Elevated  $\text{DOC}_{\text{T}}$  concentrations are also found in subtropical gyres, where long residence times combined with low nutrient levels allow  $\text{DOC}_{\text{T}}$  to accumulate without being rapidly remineralized. In addition, surface waters with strong vertical stratification (and therefore a shallow mixed-layer depth), such as those at mid and low latitudes, allow for more  $\text{DOC}_{\text{T}}$  accumulation and typically exhibit concentrations between  $65$ - $80 \mu\text{mol kg}^{-1}$  while higher latitudes typically have lower  $\text{DOC}_{\text{T}}$  concentrations ( $\sim 40$ - $50 \mu\text{mol kg}^{-1}$ ) due to dilution caused by deeper vertical mixing (Hansell, 2013). Globally,  $\text{DOC}_{\text{T}}$  concentration attenuates with depth until approximately 1,000 meters, below which concentrations remain stable at  $\sim 35$ - $40 \mu\text{mol kg}^{-1}$  (Fig. 2; Hansell, 2013). However, a distinct gradient in  $\text{DOC}_{\text{T}}$  concentration (29% reduction in concentration) exists along the deep ocean pathway from the North Atlantic to the North Pacific (Druffel et al., 2016; Hansell & Carlson, 1998). According to Hansell & Carlson (1998),  $\text{DOC}_{\text{T}}$  concentration is about  $50 \mu\text{mol kg}^{-1}$  in freshly formed North Atlantic Deep Water (NADW) east of Greenland. This water is heavily influenced by surface water, which helps explain the elevated  $\text{DOC}_{\text{T}}$  concentration

relative to other deep water. Hansell & Carlson (1998) found  $\text{DOC}_T$  in the mid-latitude region of the Atlantic Ocean to be  $\sim 43 \mu\text{mol kg}^{-1}$  and to  $\sim 40 \mu\text{mol kg}^{-1}$  in the Southern Ocean.  $\text{DOC}_T$  concentration continues to decrease as deep water moves northward through the Pacific Ocean, reaching a minimum concentration of  $\sim 34 \mu\text{mol kg}^{-1}$  off the southern coast of Alaska. This monotonic, decreasing trend in  $\text{DOC}_T$  indicates that one or more  $\text{DOC}_R$  degradation processes exists in the deep ocean. Given the slowly evolving nature of the  $\text{DOC}_R$  pool, illustrated by the low rate of marine  $\text{DOC}_R$  production ( $0.043 \text{ Pg C yr}^{-1}$ ; Hansell, 2013), even a low rate of removal can impact  $\text{DOC}_T$  dynamics substantially.

Radiocarbon ( $^{14}\text{C}$ ) is produced in the atmosphere, and the relative abundance of  $^{14}\text{C}$  in dissolved inorganic carbon (DIC) indicates the ventilation times of water masses in the ocean. Gas exchange between the atmosphere and the surface ocean provides newly produced  $^{14}\text{CO}_2$  that is quickly converted to  $\text{H}^{14}\text{CO}_3^-$  and  $^{14}\text{CO}_3^{2-}$  through the carbonate equilibrium reactions. The net atmosphere-to-ocean flux of isotopically young  $\text{DI}^{14}\text{C}$  maintains the most positive (i.e., youngest)  $\Delta^{14}\text{C}$  values at the ocean surface. It is important to note the testing of atomic weapons throughout the mid 20<sup>th</sup> century led to an excess of  $^{14}\text{C}$  in the atmosphere (“bomb  $^{14}\text{C}$ ”), which has since penetrated the surface ocean and parts of the deep ocean. This has resulted in anomalously high  $\Delta^{14}\text{C}$  values, especially in the surface ocean. For example, Broecker & Peng (1982) found  $\Delta^{14}\text{C}$  in surface DIC to be around +110‰, with the most positive  $\Delta^{14}\text{C}$  found in the mid-latitudes. After correcting for “bomb  $^{14}\text{C}$ ”, surface DIC  $\Delta^{14}\text{C}$  dropped to -50‰. Since the conclusion of atomic weapon testing, the abundance of bomb  $^{14}\text{C}$  in the atmosphere has steadily declined due to diffusion into the ocean, which is reflected in more recent  $\Delta^{14}\text{C}$  measurements (+50‰; Druffel et al., 2015; Druffel et al., 2016). Bomb  $^{14}\text{C}$  is not incorporated into the model to

be described below. However, bomb  $^{14}\text{C}$  has not reached the deep North Pacific (Druffel et al., 2019), which makes it a useful place to compare model output to observation.

Once surface water is advected to the deep ocean ( $> 1,000$  m) and cut off from atmospheric  $^{14}\text{C}$ , radioactive decay acts on  $\text{DI}^{14}\text{C}$  along its path through the deep ocean, causing DIC  $\Delta^{14}\text{C}$  to become more negative (i.e., older). Recent DIC radiocarbon measurements taken in the Atlantic (Druffel et al., 2016), Pacific and Southern Oceans (Druffel et al., 2015) confirm this. DIC  $\Delta^{14}\text{C}$  of freshly formed North Atlantic Deep Water (NADW) is around  $+50\text{‰}$ . Traversing the deep ocean pathway, DIC  $\Delta^{14}\text{C}$  drops to  $-125\text{‰}$  in the South Atlantic. In the Southern Ocean, DIC  $\Delta^{14}\text{C}$  values are around  $-150\text{‰}$ . The South Pacific Ocean exhibits DIC  $\Delta^{14}\text{C}$  values around  $-200\text{‰}$  and the North Pacific has the most negative DIC  $\Delta^{14}\text{C}$  values ( $-250\text{‰}$ ) for any marine water mass. Overall, the gradient in DIC from North Atlantic to North Pacific is  $\sim 200\text{‰}$ .

The global distribution of  $\Delta^{14}\text{C}$  in  $\text{DOC}_T$  generally follows that of DIC because both are transported through the ocean by advection and diffusion. However,  $\text{DOC}_T \Delta^{14}\text{C}$  measurements by Druffel et al. (1992, 2015, 2016) indicate that DOC is older and the gradient between North Atlantic and North Pacific is smaller than for DIC. As with DIC, the youngest  $\text{DOC}_T \Delta^{14}\text{C}$  values are found in the surface ocean, with typical surface  $\text{DOC}_T \Delta^{14}\text{C}$  values across the global ocean near  $-200\text{‰}$ .  $\Delta^{14}\text{C}$  values steadily decline with depth until stabilizing around 1,000 m. In the deep ocean,  $\text{DOC}_T \Delta^{14}\text{C}$  becomes increasingly depleted along the deep ocean transit. According to Druffel et al. (2016), NADW has  $\text{DOC}_T \Delta^{14}\text{C}$  values around  $-400\text{‰}$  immediately after subduction in the North Atlantic, and  $-475\text{‰}$  in the South Atlantic. In the Southern Ocean, Druffel et al. (2015) measured  $\text{DOC}_T \Delta^{14}\text{C}$  values of  $-500\text{‰}$ , while both the South and North Pacific exhibit  $\text{DOC}_T \Delta^{14}\text{C}$  values around

-525‰. A more recent study by Druffel et al. (2019) shows that  $\text{DOC}_T \Delta^{14}\text{C}$  in the deep North Central Pacific is actually in the range of (-550‰) – (-570‰). Overall, the gradient in DOC from North Atlantic to North Pacific is -150‰, about 50‰ smaller than the gradient for DIC.

The underlying reason for the persistent nature of the  $\text{DOC}_R$  pool has been a topic of debate for a few decades now. One of the leading explanations is the recalcitrance hypothesis, which posits that a small fraction of  $\text{DOC}_T$  is resistant to degradation because of the inherent chemistries and molecular structures of its constituents. Calling on the Microbial Carbon Pump (MCP) (Jiao et al., 2010; Jiao et al., 2011), this hypothesis proposes that microbes consume  $\text{DOC}_T$  and exude structurally and chemically distinct  $\text{DOC}_R$ . Whereas the Biological Pump mainly concerns new production, the MCP concerns regenerated production, converting low concentrations of reactive DOC to high concentrations of recalcitrant DOC by altering its composition (C:N:P). A critical aspect of the MCP is the Microbial Loop (ML) (Azam et al., 1983), which describes a set of ecological relationships between phytoplankton, bacteria, and flagellates that allow organic and inorganic nutrients to be recycled many times over in the surface ocean. DOC released by phytoplankton is utilized by heterotrophic bacteria, which are subsequently preyed on by flagellates, which are then preyed on by larger predators for a net movement of  $\text{DOC}_T$  up the trophic pyramid. Predation releases inorganic nutrients back into the water column where they can be fixed by phytoplankton into more organic matter. Therefore, DOC can be processed by the MCP, recycled by the ML, and re-processed by the MCP, leading to the production of increasingly recalcitrant DOC fractions. This constant and efficient reworking of materials results in the production of a fraction of organic carbon, however

small it may be, that is chemically inaccessible to microbes and therefore able to escape the ML. This specific fraction would be exported to the deep ocean as DOC<sub>R</sub>.

Additional support for the recalcitrance hypothesis comes from a link between particle size and  $\Delta^{14}\text{C}$ , where the largest organic matter (including both POC and DOC) tends to have the youngest  $\Delta^{14}\text{C}$  ages (Amon & Benner, 1996; Walker et al., 2016a,b). This size-age link supports the idea that organic matter is broken down over time from POC to successively smaller DOC, as heterotrophic bacteria consume and break down sinking POC. It has also been shown that the C:N:P ratio of DOC<sub>R</sub> (3,511:202:1) is much higher than the C:N:P for DOC<sub>L</sub> (199:20:1) or POC (106:16:1) (Jiao et al., 2010). The large deviation of DOC<sub>R</sub> stoichiometry from that of more fresh organic matter indicates preferential remineralization of nutrients N and P over C through repeated consumption. The C:N:P stoichiometry of DOC<sub>R</sub> thus may indicate the chemical compositions which are less energetically favorable (i.e., refractory) to microbes.

The dilution hypothesis, another explanation for the persistence of DOC<sub>R</sub>, argues that DOC<sub>T</sub> is inherently labile but so chemically and structurally diverse that the concentration of a specific DOC<sub>T</sub> structure is too low for any microbe to effectively utilize it (Arrieta et al., 2015). The enormous diversity of DOC<sub>T</sub> is indicated by the fact that while less than 10% of the DOC pool has been analytically characterized, thousands of molecules have already been identified based on mass spectrometer analysis (Hertkorn et al., 2006). This means that the concentration of individual types of DOC<sub>T</sub> molecules will be on the order of picomoles kg<sup>-1</sup>. Lechtenfeld et al. (2015) showed that marine microbes produce thousands of structurally unique DOC compounds after being fed only simple organic molecules, which provides a mechanism for the incredible diversity. Furthermore, Arrieta

et al. (2015) showed that deep ocean microbial communities, which did not grow under *in situ* conditions, grew measurably when deep water was concentrated. These results suggest that perhaps no matter what the molecular structure, if the concentration of a specific DOC<sub>T</sub> molecule is high enough, it will be metabolized by microbes. Despite the recent effort to reconcile the recalcitrance and dilution hypotheses (Jiao et al., 2018), it remains to be seen whether or to what degree they complement each other in explaining the persistent nature of DOC<sub>R</sub>.

Photodegradation in the surface ocean is widely considered to play a critical role in removal of marine DOC<sub>R</sub> (Benner & Biddanda, 1998; Mopper et al., 1991; Stubbins et al., 2012). The importance of photodegradation is indicated by the significant compositional differences between surface and deep DOM. Surface ocean DOM is enriched in lower molecular weight compounds with relatively lower amounts of C and H compared to deep ocean DOM. In addition, deep ocean DOM is characterized by high levels of Polycyclic Aromatics (PCA's) and aromatic compounds in general, which are highly photodegradable (Stubbins et al., 2012; Stubbins & Dittmar, 2015). Conversely, surface ocean DOM is enriched with unsaturated aliphatic compounds, which have been shown to be photoproducts. These compositional differences lead to the conclusion that upon upwelling and exposure to irradiation, DOC<sub>R</sub> from depth is transformed either to DIC or more labile organic forms (DOC<sub>SL</sub> and DOC<sub>L</sub>) (Medeiros et al., 2015). An abiotic photodegradation pathway would remineralize DOC<sub>R</sub> directly to DIC in the form of CO and CO<sub>2</sub>. In a second photodegradation pathway, DOC<sub>R</sub> is transformed into DOC<sub>SL</sub> and DOC<sub>L</sub>, which are then metabolized to DIC by heterotrophic microbes. The combined magnitude of the two photodegradation pathways is estimated to be at least equivalent to

the annual global input of riverine DOC<sub>T</sub> (0.21 Pg C yr<sup>-1</sup>; Dai et al., 2012) (Miller et al., 2002; Wang et al., 2004).

Measurements of DOC<sub>T</sub> concentration and  $\Delta^{14}\text{C}$  at depth suggest that some DOC<sub>R</sub> must also be degraded in the deep ocean. Hydrothermal systems have been shown to alter the major and minor components of seawater (Mottl & Holland, 1978; Seyfried & Mottl, 1982; Seyfried, 1987), which has made them a primary focus for deep ocean DOC<sub>T</sub> degradation (Lang et al, 2006; McCarthy et al, 2011; Hawkes et al, 2015; Druffel & Griffin, 2015). Broadly speaking, there are three different types of hydrothermal systems and their net effect on DOC<sub>T</sub> varies (Lang et al, 2006). High-temperature vents (black smokers) are considered to be sinks for DOC<sub>R</sub>, removing an estimated  $0.7\text{-}1.4 \times 10^{10}$  g DOC<sub>R</sub> yr<sup>-1</sup> from the deep ocean through thermal ablation. Diffuse vents are thought to be sources of DOC<sub>T</sub> to the deep ocean, perhaps through biological production and desorption from subsurface sediments, providing an estimated  $3\text{-}28 \times 10^{10}$  g DOC<sub>R</sub> yr<sup>-1</sup>. However, the estimated DOC<sub>R</sub> fluxes for high-temperature and diffuse vents pale in comparison to that of off-axis vents ( $2.3 \times 10^{12}$  g DOC<sub>R</sub> yr<sup>-1</sup>) due to greater seawater flux. Off-axis vents process  $\sim 1,000$  times more seawater ( $4.8 \times 10^{16}$  kg<sub>sw</sub> yr<sup>-1</sup>; Johnson & Pruis, 2003) than high-temperature vents ( $3.0\text{-}5.9 \times 10^{13}$  kg<sub>sw</sub> yr<sup>-1</sup>; Mottl, 2003). Since off-axis vents typically exist many kilometers away from the ridge axis, seawater circulating in these vents must travel along an extended subsurface pathway compared to high-temperature and diffuse vents. The resulting elongated vent residence time ( $\sim 10^3$  years; Walter et al, 2018), combined with cooler fluid temperatures, makes sorption to minerals and heterotrophic consumption likely processes for DOC<sub>T</sub> removal.

While our understanding of ocean DOC<sub>T</sub> has advanced significantly in recent years, DOC<sub>T</sub> remains difficult to characterize analytically because of its diverse chemistry and structure. Furthermore, incubation experiments require months to years to reveal information about the reactivity of DOC's various fractions. In this study, we took a modeling approach to complement observational studies. Our goal is to gain a global perspective on the important sources and sinks of DOC<sub>R</sub> that can help explain large scale observations of DOC<sub>T</sub> concentrations and  $\Delta^{14}\text{C}$ , and we build on previous model studies that have represented or examined DOC in more limited ways. For example, Yamanaka and Tajika (1997) employed a global ocean model with two DOC pools with spatially uniform degradation timescales to examine photodegradation as a potentially important DOC degradation mechanism. Murnane et al. (1999) included a semi-labile DOC fraction in a global model meant to examine the significance of the biological pump in air-sea CO<sub>2</sub> fluxes. Bendtsen et al. (2002) also focused on photodegradation using a global model implemented with a mechanistic microbial loop to explain the elevated DOC concentration in the North Atlantic. Using a box model, Hansell et al. (2012) modeled three DOC fractions (semi-labile, semi-refractory, and refractory) with the goal of estimating DOC removal rates that are consistent with DOC distributions. More recently, Letscher et al. (2015) applied variable stoichiometry to a DOM pool within a global ocean model to examine the impact of variable stoichiometry on export production. Wilson and Arndt (2017) used a one-box model of the deep ocean box to test the validity of the dilution hypothesis of DOC<sub>R</sub> and found the hypothesis capable of explaining the observed  $\Delta^{14}\text{C}$  values of DOC with judicious choice of the dilution threshold estimate (the DOC concentration below which microbial consumption does not occur).

This study employs a 3D earth system model with well-calibrated deep ocean ventilation and explicitly represents  $\text{DOC}_{\text{SL}}$  and  $\text{DOC}_{\text{R}}$  fractions. We represent DOC production occurring in the surface ocean and at depth from the degradation of sinking POC. DOC removal varies spatially, with photodegradation at the surface, hydrothermal degradation around vents, and general background degradation everywhere else in the ocean. We focus on the dynamics of the  $\text{DOC}_{\text{T}}$  pool over tens of thousands of years, with an emphasis on the relative contributions of suspected removal processes.

## 2. Methods

### 2.1. *MESMO 2*

The model used in this study is the second version of Minnesota Earth System Model for Ocean biogeochemistry (*MESMO 2*), an intermediate complexity earth system model (EMIC) (Matsumoto et al., 2008; 2013). *MESMO 2* has a 3D dynamical ocean, energy-moisture balance atmosphere, dynamic and thermo-dynamic sea ice, and marine biogeochemistry. The ocean component of the model is a 36x36 equal area grid with 10° increments in longitude, and latitude increments ranging from ~3° at the equator to ~20° at the poles. There are 16 depth levels in *MESMO 2* that increase in layer thickness with depth. There are two layers in the top 100 m where biological production takes place. *MESMO 2* is well calibrated with respect to deep ocean ventilation in terms of  $\Delta^{14}\text{C}$  values of DIC and the uptake of transient tracers like CFCs and anthropogenic carbon.

As an EMIC, *MESMO 2* occupies a modeling niche that allows it to provide ocean dynamics and spatial resolution that box models cannot, without requiring the significant computational resources of high-resolution comprehensive coupled models. This is a

critical advantage for studying  $\text{DOC}_T$  in a 3D model. For example, a 6,010 year spin up run performed with NCAR's Community Earth System Model with a  $3^\circ$  grid resolution took 7 months of constant running on a supercomputer and global  $\text{DOC}_T \Delta^{14}\text{C}$  values had yet to satisfy the criterion for steady state of  $<0.001\%$  drift in  $\Delta^{14}\text{C}$  (Jahn et al., 2015). In comparison, the same simulation in MESMO 2 would take  $\sim 7$  hours of wall time ( $\sim 70$  minutes per 1,000 years). With MESMO 2 we are thus able to reach steady state, which is necessary to properly study  $\text{DOC}_T \Delta^{14}\text{C}$  (Jahn et al. 2015) and conduct multiple sensitivity experiments. For this study, MESMO 2 runs were performed between 20,000 and 50,000 years until the slowly evolving  $\text{DOC}_R$  reservoir reached steady state.

In the biological formulation of MESMO 2 (Matsumoto et al., 2013), two size classes of phytoplankton carry out primary production in the top two layers. Production is limited by the nutrient in the shortest supply among phosphate, nitrate, iron, as well as silicic acid for the large phytoplankton class. Production further depends on ice cover, light availability, mixed layer depth, and temperature. Prior to the model modifications described in subsequent paragraphs, organic carbon consisted of POC and a short-lived DOC (effectively  $\text{DOC}_{\text{SL}}$ ). Organic carbon production was divided between POC and DOC according to a prescribed split ( $F_{\text{DOC}}$ ) of 1:2 (Yamanaka & Tajika, 1997). POC was directly remineralized to DIC as it sank through the water column. DOC was prescribed a timescale of decay on the order of a few months, allowing it to accumulate only in the upper  $\sim 500$  m.

## *2.2. New DOC Modeling Features*

New features were added to MESMO 2 in this study to introduce  $\text{DOC}_R$  and represent a more complete ocean  $\text{DOC}_T$  cycle (Fig. 1). The salient features of the  $\text{DOC}_T$ -enabled model are summarized in Table 1 and described below. Table 1 also lists the observational constraints on key model parameters as “Literature Values” and calibrated parameter values as “Tuned Values.”

### 2.2.1. Temperature and Primary Production dependent $F_{\text{DOC}}$

Instead of having a global prescribed value for  $F_{\text{DOC}}$ , the DOC versus POC split of primary production is now formulated as a function of primary production and temperature according to Dunne et al. (2005). According to the new  $F_{\text{DOC}}$  formulation, local  $F_{\text{DOC}}$  ranges from 0.28-0.72%, where low primary production and high ocean temperature favor of DOC. Without the new formulation, surface  $\text{DOC}_T$  concentrations essentially mimic the Primary Production signal, with excessively high concentrations at the equator and subpolar waters and low concentrations at the mid-latitudes. The new formulation effectively smooths out the  $\text{DOC}_T$  distribution in the surface ocean, which better matches observed spatial patterns (Hansell, 2013).

### 2.2.2. Addition of the $\text{DOC}_R$ Pool

We now have two fractions of DOC:  $\text{DOC}_R$  and  $\text{DOC}_{\text{SL}}$ , and consequently a new parameter ( $F_{\text{DOC}_T}$ ) was created to determine the split between  $\text{DOC}_{\text{SL}}$  and  $\text{DOC}_R$  production (Fig. 1). The magnitude of  $F_{\text{DOC}_T}$  is poorly constrained by direct observation due to the difficulty in measuring the concentrations of distinct DOC fractions *in situ*, but previous modeling efforts have found that a split of roughly 99:1 between  $\text{DOC}_{\text{SL}}:\text{DOC}_R$  reproduces

the observed DOC distribution reasonably well (Yamanaka & Tajika, 1997; Hansell, 2013). Similarly, an incubation experiment with artificial seawater inoculated with a marine microbial assemblage showed a split of 99.4:0.6 (Osterholz et al., 2015). Our choice of  $F_{\text{DOC}_r}$  (Table 1) is guided by these studies.

Here,  $\text{DOC}_R$  has a general background decay timescale on the order of  $10^4$  years to represent slow removal in the interior ocean, as well as two more specific decay timescales representing photodegradation and hydrothermal vent degradation.

### 2.2.3. Conversion of POC to $\text{DOC}_T$ at depth

In previous versions of MESMO, sinking POC was remineralized directly to DIC. Here, sinking POC is converted to  $\text{DOC}_{\text{SL}}$  and  $\text{DOC}_R$  according to  $F_{\text{DOC}_r}$ . This was done in an effort to more accurately represent the current understanding that POC is broken down into DOC.

### 2.2.4. Photodegradation of $\text{DOC}_R$

Photodegradation of  $\text{DOC}_R$  is represented in the model by  $\tau_{\text{photo}}$ , a degradation timescale on the order of a few hundred years for  $\text{DOC}_R$  in the top layer of the ocean model where light intensity is greatest. The basic method for calculating the approximate timescale for photodegradation (to be more carefully tuned later) was derived following Yamanaka & Tajika (1997), who calculated the residence time of  $\text{DOC}_R$  in the surface ocean by assuming all  $\text{DOC}_R$  removal occurs in the surface ocean via photodegradation. In this case, the residence time must be much shorter than the global  $\text{DOC}_R$  turnover time to reflect the significantly smaller size of the surface ocean relative to the total ocean.

Yamanaka & Tajika accounted for this by combining the global marine  $\text{DOC}_R$  turnover time with the ratio of average surface depth to average ocean depth to estimate a degradation timescale of  $\sim 70$  years in the surface ocean (Eq. 1).

$$\tau_{\text{DOC}_R, \text{surface}} = 5000 \text{ yrs} \times \frac{50 \text{ m}}{3700 \text{ m}} \approx 70 \text{ yrs} \quad (1)$$

Here we tailored Eq. 1 for MESMO 2 (Eq. 2). Instead of assuming the global ocean to be 1D (Eq. 1), we take a 3D approach by finding the ratio of surface depth (45 m) to total depth ( $\text{depth}_{ij}$ ) at each grid point. Also, an average residence time of 5,600 years (Druffel et al., 1992) was used instead of 5,000 years. These adjustments result in a  $\tau_{\text{photo}}$  of 120 years. As with the calculation by Yamanaka & Tajika (1997), this result assumes that  $\text{DOC}_R$  is degraded exclusively via photodegradation so a  $\tau_{\text{photo}} < 120$  years would not make physical sense.

$$\tau_{\text{photo}} = 5600 \text{ yrs} \times \frac{1}{N} \int_{i=1}^{36} \int_{j=1}^{36} \frac{45 \text{ m}}{\text{depth}_{ij}} \approx 120 \text{ yrs} \quad (2)$$

The daily average light threshold, above which photodegradation occurs in MESMO, is set to  $5 \text{ Wm}^{-2}$ . The low threshold essentially allows photodegradation to occur ubiquitously in the surface ocean and accounts for the fact that MESMO is driven by daily average insolation. In our formulation  $\text{DOC}_R$  is directly converted to DIC instead of  $\text{DOC}_{\text{SL}}$ , because  $\tau_{\text{sl}} \ll \tau_{\text{bg}}$  and any  $\text{DOC}_{\text{SL}}$  created during this process would be rapidly converted to DIC.

### 2.2.5. Hydrothermal vent degradation of $\text{DOC}_R$

As described above, hydrothermal vents were implemented as a  $\text{DOC}_R$  degradation process. In our model, this degradation occurs in grid locations that correspond to known ridges [Beaulieu et al., 2015]. The annual global seawater flux through vents ( $H_{\text{flux}}$ ) of  $4.8 \times 10^{16} \text{ kg}_{\text{sw}} \text{ yr}^{-1}$  (Table 1) is divided equally amongst all prescribed ridge locations. We assume seawater that goes through the vents will lose all  $\text{DOC}_R$ . The vent grid cells have a total seawater mass which is much greater than the prescribed seawater mass that fluxes through vents in those cells in one model timestep ( $\sim 10^{21} \text{ kg}$  vs.  $\sim 10^{13} \text{ kg}$ ). Therefore, the seawater mass in the vent grid cells that does not flux through the vents is subjected to background degradation with  $\tau_{\text{bg}}$ .

### *2.3. Observational Targets*

To assess how well these literature values can explain observations of  $\text{DOC}_T$  and to further develop a well-calibrated model of  $\text{DOC}_T$ , we compare our model results to four key observational targets (Table 2): (1) surface DOC concentration; (2) deep ocean DOC concentration, (3) the gradient in DOC concentration along the deep ocean pathway, and (4) deep Pacific  $\Delta^{14}\text{C}$  values. Here, the deep ocean pathway is defined as beginning with deep water formation in the North Atlantic and ending in the North Pacific. The deep gradient is calculated as the percent difference in DOC concentration between the North Atlantic and North Pacific at 3,000 m, which assumes that any change in deep ocean DOC concentration is a result of the background decay ( $\tau_{\text{bg}}$ ) and hydrothermal vent degradation ( $\tau_{\text{vent}}$ ).

### 3. Model Results

#### 3.1. Literature Value Run (LVR)

LVR (experiment 811012c) was simulated with MESMO with key DOC model parameters set to the literature values (Table 1). Since there is little in the literature to constrain  $\tau_{\text{vent}}$ , the parameter was set to 0.05 years, which is short enough to completely remove  $\text{DOC}_T$  in seawater passing through vents in a single timestep (MESMO time step is 0.05 years). The aim of LVR was to evaluate how well the model simulates the four observational targets with the currently available knowledge. The LVR model output (Figure 2) exhibits large deviations from observation for all key targets selected for this study except  $\Delta^{14}\text{C}$  of  $\text{DOC}_T$ . Most notably, Figure 2a shows that  $\text{DOC}_T$  concentrations are an order of magnitude higher than observation in both the surface ( $\sim 300 \mu\text{M}$  vs. 60-80  $\mu\text{M}$ ) and deep ocean ( $\sim 160 \mu\text{M}$  vs. 35-40  $\mu\text{M}$ ) reported by Hansell (2013). In addition, the LVR deep ocean gradient in  $\text{DOC}_T$  is only 14%, less than half the observed 29% (Hansell & Carlson, 1998), which indicates sluggish  $\text{DOC}_T$  removal in the modeled deep ocean. Relative to  $\text{DOC}_T$  concentration, LVR model output for  $\Delta^{14}\text{C}$  values (Fig. 2b) compares more favorably to observations made by Druffel et al. (1992), showing approximately -230‰ (observations=-190‰,) in the surface ocean and -520‰ (-550‰ to -570‰) in the deep ocean. An important note on radiocarbon in the model is that DIC is less depleted along the deep ocean pathway in MESMO 2 (-120‰) than is observed (-200‰). To account for this, all reported DOC  $\Delta^{14}\text{C}$  values have had an additional 80‰ subtracted so that comparison to observation is valid.

As previously stated, our simulations do not account for bomb  $\Delta^{14}\text{C}$ , which is present in modern observations. Bomb  $\Delta^{14}\text{C}$  drives  $\Delta^{14}\text{C}$  (especially in the surface ocean) to more positive values, which explains why surface DOC  $\Delta^{14}\text{C}$  in the model is depleted relative to observations. Bomb  $\Delta^{14}\text{C}$  has not reached the deep Pacific though (Druffel et al., 2019), so the model-observation mismatch in deep Pacific  $\Delta^{14}\text{C}$  listed above is significant and represents a shortcoming in the model configuration.

The inability of the model to reproduce the large-scale distributions in  $\text{DOC}_T$  concentration and  $\Delta^{14}\text{C}$  indicates that the key model parameters obtained from the literature are either not applicable to the global ocean or as of yet unidentified  $\text{DOC}_T$  sink or source terms are missing from the model. Assuming the former for now, we seek a set of model parameters that would yield more reasonable  $\text{DOC}_T$  concentrations and ages. Tuning was guided by the analysis of LVR and systematically sweeping the parameter space with ensemble model runs, with the goals of understanding the sensitivity of our model results to our choice of DOC model parameters and creating an optimum overall match between observations and model output with respect to the four targets (Table 2).

### 3.2. Tuned Run (TR): The tuned model

The DOC model parameters were tuned with the goal of bringing model output closer to observations (Table 1), resulting in TR (experiment 901012c). The most pronounced parameter changes are a six-fold reduction of  $F_{\text{DOCr}}$  and a four-fold increase in  $H_{\text{flux}}$ . Smaller changes include a 50% increase in  $\tau_{\text{bg}}$  and a 50% increase in  $\tau_{\text{photo}}$ . The results of TR are in better agreement with observations than LVR for all key targets except  $\Delta^{14}\text{C}$ . Surface and deep DOC concentrations (Fig. 3a) are consistent with observed

concentrations of approximately 70 and 35  $\mu\text{mol kg}^{-1}$ . The deep ocean  $\text{DOC}_T$  gradient shows a 25% reduction in  $\text{DOC}_T$  concentration from the deep North Atlantic to the deep Pacific (Fig. 3a), which compares favorably to the observed 29%. TR  $\Delta^{14}\text{C}$  of  $\text{DOC}_T$  (Fig. 3b) are slightly depleted relative to observations in the surface ocean (-230‰ vs. -190‰), but this can be largely attributed to the absence of the bomb component. However, deep Pacific  $\Delta^{14}\text{C}$  values have deviated further from observations (-470‰ vs. -550‰~-570‰) than in LVR (-520‰ vs. -550‰ to -570‰), which cannot be explained by the bomb component.

### 3.3. Sensitivity Tests

Sensitivity tests were performed to examine the sensitivity of every target to changes in  $F_{\text{DOC}_r}$ ,  $\tau_{\text{bg}}$ ,  $\tau_{\text{photo}}$ , and  $H_{\text{flux}}$ .  $\tau_{\text{DOC}_{\text{sl}}}$  was not included because it is relatively inconsequential for  $\text{DOC}_T$  cycling. Also,  $\tau_{\text{vent}}$  was not included because it is treated as a constant due to lack of literature constraint. In Figures 5-8, the parameter space around the TR parameter values was explored by varying each parameter from 1-200% of their TR value. The entire parameter space is shown in these figures so the behavior of the model can be better understood, but analysis is guided using literature values as lower limits for  $\tau_{\text{bg}}$  (16,000 yrs) and  $\tau_{\text{photo}}$  (120 yrs). These lower limits were implemented on the basis that values lower than these would result in an even greater deviation from observed  $\Delta^{14}\text{C}$ . Furthermore, a  $\tau_{\text{photo}}$  of 120 represents complete DOC removal via photodegradation in the surface ocean, so values below this threshold would not make physical sense. The upper limits for  $\tau_{\text{bg}}$  and  $\tau_{\text{photo}}$  are assumed to be the end of the parameter space (48,000 years and 360 years), three times the literature values.

The effects of parameter variation on surface  $\text{DOC}_T$  concentration show that TR surface  $\text{DOC}_T$  concentration ( $\sim 64 \mu\text{mol kg}^{-1}$ ) falls on the lower edge of the acceptable region ( $60\text{-}80 \mu\text{mol kg}^{-1}$ ) (Figure 4). Surface  $\text{DOC}_T$  concentration is at its lowest ( $< 20 \mu\text{mol kg}^{-1}$ ) when  $F_{\text{DOCr}}$  is small (Fig. 4a,b), and at its highest ( $\sim 130 \mu\text{mol kg}^{-1}$ ) when  $F_{\text{DOCr}}$  is large (enhanced  $\text{DOC}_R$  production, Fig. 4a,b). Large  $\tau_{\text{bg}}$  (Fig. 4a) and  $\tau_{\text{photo}}$  (Fig. 4b) also result in elevated surface  $\text{DOC}_T$  concentration, but to a lesser extent than  $F_{\text{DOCr}}$ . For example, doubling  $F_{\text{DOCr}}$  results in a 75% increase in surface  $\text{DOC}_T$  concentration while doubling  $\tau_{\text{photo}}$  ( $\tau_{\text{bg}}$ ) only results in a 14% (5%) increase. Conversely, doubling  $H_{\text{flux}}$  results in an 18% decrease in surface  $\text{DOC}_T$  concentration (Fig 4c). These results demonstrate that surface  $\text{DOC}_T$  concentration is primarily controlled by  $\text{DOC}_R$  production (i.e.,  $F_{\text{DOCr}}$ ) and secondarily by vent degradation.

The results of the sensitivity tests for deep  $\text{DOC}_T$  concentration show that it has similar controls as surface  $\text{DOC}_T$  concentration (Fig. 5). TR deep  $\text{DOC}_T$  concentration ( $\sim 40 \mu\text{mol kg}^{-1}$ ) lies on the upper end of the acceptable region in all subplots. As with the surface, deep  $\text{DOC}_T$  concentration is most dependent on  $F_{\text{DOCr}}$  (doubling results in 98% increase in deep  $\text{DOC}_T$  concentration, Fig. 5a), followed by  $H_{\text{flux}}$  (32% decrease with doubling, Fig. 5c),  $\tau_{\text{photo}}$  (19% increase with doubling, Fig. 5b), and  $\tau_{\text{bg}}$  (10% increase with doubling, Fig. 5a).

As for the deep  $\text{DOC}_T$  gradient,  $H_{\text{flux}}$  is clearly the most influential parameter in the model (Figure 6). TR deep gradient ( $\sim 24\%$ ) lies below the observed value (29%). The deep gradient is highest when  $H_{\text{flux}}$  is large and  $\tau_{\text{bg}}$  is small. Doubling  $H_{\text{flux}}$  results in a 54% increase in the deep  $\text{DOC}_T$  gradient, while doubling  $\tau_{\text{bg}}$  only causes a 7% decrease (Fig. 6c). The deep gradient is entirely insensitive to changes in  $F_{\text{DOCr}}$  (Fig. 6a,b), while  $\tau_{\text{photo}}$

minimally affects it (doubling results in 1% increase, Fig. 6b). So, while surface and deep  $\text{DOC}_T$  concentration are dependent on all four selected parameters, the deep  $\text{DOC}_T$  gradient is predominately influenced by  $H_{\text{flux}}$ , with a minor contribution from  $\tau_{\text{bg}}$ .

The sensitivity of deep Pacific  $\text{DOC}_T \Delta^{14}\text{C}$  to the selected parameters is shown in Figure 7. TR  $\Delta^{14}\text{C}$  ( $\sim -470\text{‰}$ ) is quite distant from the literature value ( $-550\text{‰}$  to  $-570\text{‰}$ ).  $\Delta^{14}\text{C}$  is at its lowest when the sinks for  $\text{DOC}_R$  are small (small  $H_{\text{flux}}$ , Fig. 7c; large  $\tau_{\text{bg}}$  and  $\tau_{\text{photo}}$ , Fig. 7d). Like the deep  $\text{DOC}_T$  gradient, deep Pacific  $\text{DOC}_T \Delta^{14}\text{C}$  is independent from  $F_{\text{DOC}_r}$  (Fig 7a,b) and  $H_{\text{flux}}$  is the most influential parameter for deep Pacific  $\text{DOC}_T \Delta^{14}\text{C}$ , with smaller contributions from  $\tau_{\text{photo}}$  and  $\tau_{\text{bg}}$ . Doubling  $H_{\text{flux}}$  causes  $\Delta^{14}\text{C}$  to become younger by 19%. In the model,  $\tau_{\text{photo}}$  and  $\tau_{\text{bg}}$  are less important in controlling the deep Pacific  $\text{DOC}_T \Delta^{14}\text{C}$ ; doubling them causes  $\Delta^{14}\text{C}$  to become older by only 7% and 4%, respectively (Fig. 7d).

Figures 4-7 can be used to further constrain model parameter values obtained from the literature (Table 1). For surface  $\text{DOC}_T$  concentration, the acceptable range corresponds to an  $F_{\text{DOC}_r}$  range of 0.15-0.25% (Fig. 4a) and 0.14-0.29% (Fig. 4b), and an  $H_{\text{flux}}$  range of  $1.0\text{-}3.0 \times 10^{17} \text{ kg}_{\text{swyr}}^{-1}$  (Fig. 4c). For  $\tau_{\text{photo}}$ , only a lower bound of 125 years can be determined because the acceptable range is not completely captured in the parameter space (Fig. 4d). From the sensitivity plots for deep  $\text{DOC}_T$  concentration, an acceptable  $F_{\text{DOC}_r}$  range of 0.14-0.19% (Fig. 6a) and 0.13-0.21% (Fig. 5b) are deduced. The acceptable range for  $H_{\text{flux}}$  is  $1.3\text{-}2.4 \times 10^{17} \text{ kg}_{\text{swyr}}^{-1}$  (Fig. 5c) and that for  $\tau_{\text{photo}}$  is 125-275 years (Fig. 5d). The deep  $\text{DOC}_T$  gradient indicates that the acceptable  $H_{\text{flux}}$  range is  $2.5\text{-}3.0 \times 10^{17} \text{ kg}_{\text{swyr}}^{-1}$  (Fig. 6c). The acceptable region in Fig. 6b is completely outside of the parameter space, which means  $\tau_{\text{photo}} > 360$  years. From the deep Pacific  $\text{DOC}_T \Delta^{14}\text{C}$  sensitivity plots, we find the

acceptable  $H_{flux}$  range to be  $0-0.6 \times 10^{17} \text{ kg}_{sw} \text{ yr}^{-1}$  (Fig. 8c),  $\tau_{photo} > 360$  (Fig. 7d), and  $\tau_{bg} > 48,000$  (Fig. 7a). Such large values for  $\tau_{photo}$  and  $\tau_{bg}$  are beyond the upper bounds defined above and seem highly unrealistic. The TR value for deep Pacific  $\Delta^{14}\text{C}$  deviates significantly from observation while TR values for the other key targets are in general agreement with observation, which strongly suggests that the greatest deficiency of the model is related to processes that control  $\Delta^{14}\text{C}$ , and it is likely that a key process is missing.

#### 4. Discussion

LVR output exhibits large mismatches from observations, especially  $\text{DOC}_T$  concentrations and deep  $\text{DOC}_T$   $\Delta^{14}\text{C}$  gradient. Modeled  $\text{DOC}_T$  concentrations in the surface and deep ocean are an order of magnitude too high, and the deep  $\text{DOC}_T$  gradient is half the observed value. Tuning resolved deviations in concentration but was unable to do the same for radiocarbon age. The discussion is subdivided to address the implications of those results. Parts I and II discuss suggested changes to literature values for  $H_{flux}$  and  $F_{\text{DOC}_r}$ , respectively, based on successful tuning of parameter values. Part III discusses processes missing from MESMO that could contribute to satisfying the radiocarbon age constraint. Part IV introduces potential directions for future work.

##### 4.1. Deep DOC gradient & $H_{flux}$

The logical place to begin parameter tuning was to focus on the deep  $\text{DOC}_T$  gradient because it depends on the least number of parameters (largely dependent on  $H_{flux}$  with a minor contribution from  $\tau_{bg}$ , Fig. 6). Only two possible explanations exist for the insufficient deep  $\text{DOC}_T$  gradient within the framework of MESMO: a) vent degradation

( $H_{\text{flux}}$ ) is too low or b) the timescale of background degradation ( $\tau_{\text{bg}}$ ) is too high. Figure 6c shows both that  $H_{\text{flux}}$  is much more significant in establishing the deep gradient than  $\tau_{\text{bg}}$ , and an  $H_{\text{flux}}$  of  $\sim 2.5\text{-}3.0 \times 10^{17} \text{ kg}_{\text{sw}}\text{yr}^{-1}$  is required to maintain the observed deep ocean gradient of 29%, independent of any other parameter choice. This  $H_{\text{flux}}$  is an order of magnitude larger than the maximum literature value ( $4.8 \times 10^{16} \text{ kg}_{\text{sw}}\text{yr}^{-1}$ ), even while assuming 100% removal of  $\text{DOC}_{\text{R}}$  in vents. If modeled  $\text{DOC}_{\text{R}}$  removal in vents aligned more closely to observation (50%), the required  $H_{\text{flux}}$  would be even larger.

Literature values for  $H_{\text{flux}}$  are based on the discrepancy between actual heat flow measurements at the ocean floor and values predicted from thermal models of the cooling lithosphere (Stein & Stein, 1994; Lang et al., 2006), but these estimates have been extrapolated globally from measurements taken from a few locations. Substantial spatial variability in vent types (high-temperature, off-axis, diffuse) and their effect on DOC make it possible that these extrapolations are underestimating the significance of hydrothermal vents in  $\text{DOC}_{\text{R}}$  removal. For example, if the global heat flow discrepancy is caused by hydrothermal circulation through high temperature vents (350 °C), Lang et al. (2006) estimates  $H_{\text{flux}}$  would be  $2.9 \times 10^{13} \text{ kg}_{\text{sw}} \text{ yr}^{-1}$ . Mottl (2003) estimated a similar value for high temperature vents of  $3.0\text{-}5.9 \times 10^{13} \text{ kg}_{\text{sw}} \text{ yr}^{-1}$ . If, instead, flow through diffuse vents (20 °C) is assumed, the  $H_{\text{flux}}$  estimate by Lang et al. (2006) increases by two orders of magnitude to  $2.3 \times 10^{15} \text{ kg}_{\text{sw}} \text{ yr}^{-1}$ . The largest  $H_{\text{flux}}$  estimate is for off-axis vents, at  $4.8 \times 10^{16} \text{ kg}_{\text{sw}} \text{ yr}^{-1}$  (Johnson & Pruis, 2003).

In addition to uncertainties in the magnitude of seawater flux through vents mentioned above, it is unclear what happens to DOC while it passes through vents. Complete ablation at high-temperature vents is perhaps the most intuitive  $\text{DOC}_{\text{T}}$

interaction, but sorption to/desorption from sediments and microbial production/consumption are also thought to contribute to varying degrees (Lang et al., 2006) and it is difficult to tease apart these affects. Subsurface DOC interactions are currently poorly understood, but advancements could help clarify the role of hydrothermal vents in  $\text{DOC}_R$  cycling.

The deep gradient is successfully established in MESMO only with a large  $H_{\text{flux}}$ , but the required  $H_{\text{flux}}$  is so large that in TR hydrothermal vent degradation accounts for ~50% of the total  $\text{DOC}_R$  removal (with 30% coming from photodegradation and 20% from background decay). This goes against the current understanding that photodegradation, not hydrothermal vents, are the most significant sink for DOC. Furthermore, Hansell et al. (2013) argue that hydrothermal vents only account for 4% of the global removal of exported  $\text{DOC}_R$ . However, many of the ocean's hydrothermal vent fields have yet to be discovered and examined, and with this progress will come more certainty and perhaps a new way of thinking about their significance in  $\text{DOC}_T$  cycling.

The modeling work presented here suggests that hydrothermal vents are not only helpful, but necessary in maintaining the deep ocean DOC gradient, but if the key to the deep ocean  $\text{DOC}_T$  gradient lies elsewhere, then perhaps  $\text{DOC}_T$  adsorption to sinking particles or the formation of organic gels from  $\text{DOC}_T$  (Verdugo & Santchi, 2010) may provide a solution.

#### *4.2. DOC concentration & $F_{\text{DOC}_R}$*

We suggest two possible explanations for the oversized  $\text{DOC}_T$  pool in LVR: a) degradation of  $\text{DOC}_R$  is too slow, b) production of  $\text{DOC}_R$  is too high, or some combination

of both. In the case where slow degradation is the issue, decreasing the degradation timescales for  $\tau_{bg}$  and  $\tau_{photo}$  would lead to minor increases in concentration, but it would also drive  $DOC_T \Delta^{14}C$  to more positive values that are undesirable since LVR already exhibits positive  $\Delta^{14}C$  deviations from observation. On the other hand, the sensitivity analyses indicate  $F_{DOCr}$  is by far the most influential parameter for  $DOC_T$  concentration (surface and deep) and does not affect the deep gradient or deep Pacific  $\Delta^{14}C$ . These traits make  $F_{DOCr}$  an ideal (and necessary) parameter to tune to produce realistic  $DOC_T$  concentrations.

Between LVR and TR,  $F_{DOCr}$  was reduced by over 5x (Table 1), which resulted in surface and deep  $DOC_T$  concentrations consistent with observations (70 and 35  $\mu\text{mol kg}^{-1}$ , respectively; Hansell, 2013). Averaging the  $F_{DOCr}$  ranges deduced from Figures 4a,b and 5a,b gives an  $F_{DOCr}$  range of 0.14-0.24%. This reduction is 5x less than the 1% used by Hansell (2013) and more than 4-fold smaller than the 0.75% used by Yamanaka & Tajika (1997). These literature values are derived from modeling and are in line with incubation experiments (Brophy et al., 1989; Ogawa et al., 2001). However, there is also evidence to suggest  $F_{DOCr}$  should be much smaller than these modeled literature values. A 3-year mesocosm incubation experiment [Osterholz et al., 2015] that analyzed DOC in unprecedented molecular detail found  $DOC_R$  production to be between 0.18-0.36% of Net Community Production (NCP), which provides physical context for the current modeling results. Ultimately, the current study suggests that the production of  $DOC_R$  is of a much lower magnitude than most literature values. If this is indeed the case, it suggests the capability of the ML to overcome the incredibly small fraction of  $DOC_T$  that becomes

DOC<sub>R</sub> by continuously recycling DOC<sub>SL</sub> while producing very small amounts of DOC<sub>R</sub> during each iteration of the loop, ultimately resulting in a significant pool of carbon.

### 4.3. Addressing the $\Delta^{14}\text{C}$ mismatch

The deviation between model output and observed values for deep Pacific  $\Delta^{14}\text{C}$  could not be resolved in the current configuration of MESMO 2 (Fig. 3b & 7) without causing deviations in the other three key targets, so we require a new process. Rather than add a completely new process, we modified the hydrothermal vent sink so it removes DO<sup>14</sup>C at a higher rate. An acceleration factor ( $f_{\text{acc}}$ ) was added to the natural decay of DO<sup>14</sup>C in locations where hydrothermal vent degradation occurs so DOC<sub>T</sub> passing through vents ages as if it has been entrained in subsurface hydrothermal circulation for an amount of years equal to  $f_{\text{acc}}$ . This addition was informed by work done by Walter et al. (2018), who measured DOC  $\Delta^{14}\text{C}$  at two points along a hydrothermal vent system and found the residence time of DOC<sub>T</sub> to be ~2,000 years.  $f_{\text{acc}}$  is limited to radiocarbon, so it does not exert any influence on DOC<sub>T</sub> concentration. Vent effluent could also contain radiocarbon-dead DOC<sub>T</sub> fixed by subsurface microbes from mantle carbon, which can be represented by an  $f_{\text{acc}}$  longer than 2,000 years, adding to the aging effect associated with vents.

It is necessary for some DOC<sub>R</sub> to survive vent circulation, otherwise all DOC<sub>R</sub> would be degraded instead of aged. Therefore,  $\tau_{\text{vent}}$  was increased by a factor of two ( $\tau_{\text{vent}} = 0.1$  years) so that only half of DOC<sub>R</sub> passing through vents is degraded. To isolate the effect of aging,  $H_{\text{flux}}$  was also increased by a factor of 2 ( $H_{\text{flux}} = 3.84 \times 10^{17}$  kg<sub>sw</sub> yr<sup>-1</sup>). In other words, slower degradation in vents is counteracted by a larger seawater flux, resulting in a net neutral effect on modeled DOC<sub>T</sub> concentration. The overall trend in the results

(Fig. 8) is that a larger  $f_{\text{acc}}$  results in older deep Pacific  $\Delta^{14}\text{C}$  values and if  $\tau_{\text{vent}}$  were increased further so that more  $\text{DOC}_T$  survived hydrothermal vent circulation, the aging effect would be magnified. The key to the aging effect, though, is the contribution of radiocarbon-dead carbon  $\text{DOC}_T$  in the vent effluent. Even a small contribution has the potential to drive  $\Delta^{14}\text{C}$  to much more negative values.

#### *4.4. Future directions*

The design of this study assumes that  $\text{DOC}_T$  remineralization follows the Recalcitrance Hypothesis, where  $\text{DOC}_R$  escapes rapid metabolism due to its inherent structure and chemistry causing it to become inaccessible to microbes. Within this framework,  $\text{DOC}_T$  spends the bulk of its lifetime being remineralized very slowly in the deep ocean (background degradation), with periods of accelerated remineralization related to exposure to capable degradation processes (photodegradation, vent alteration, ideally suited microbial metabolism, etc.). Shen and Benner (2018) propose that if  $\text{DOC}_T$  cycling operates with this spatial dependence, then the magnitude of the  $\text{DOC}_T$  pool should scale with the Meridional Overturning Circulation (MOC). This was not addressed in the current study, but the current configuration of MESMO could be used to examine this hypothesis by varying only the strength of the MOC and analyzing the response of the  $\text{DOC}_T$  pool.

Another future topic could be modeling  $\text{DOC}_T$  following the Dilution Hypothesis, where  $\text{DOC}_T$  cycling is driven by its own concentration. Rather than having process-dependent remineralization timescales,  $\text{DOC}_T$  remineralization would have one remineralization timescale that scales with its local concentration. Lower  $\text{DOC}_T$  concentrations would result in lower  $\text{DOC}_T$  remineralization rates, and a threshold

concentration would define the concentration beneath which remineralization does not occur. A potential future study could evaluate the viability of the Recalcitrance and Dilution Hypotheses by running MESMO under each scenario and comparing how well their results explain key observational targets, as well as how sensitive those results are to changes in model parameters.

## 5. Conclusions

Our modeling results suggest that marine  $\text{DOC}_T$  concentration is controlled by initial  $\text{DOC}_T$  production in the surface ocean and the magnitude of this production is overestimated in the literature by 4-5 times. Additionally, the modeled deep ocean  $\text{DOC}_T$  gradient cannot be maintained without an  $H_{\text{flux}}$  that is about an order of magnitude larger than the highest literature estimate. Finally, a combination of radiocarbon-dead  $\text{DOC}_T$  and  $\text{DOC}_T$  aged along the vent circulation pathway may help resolve the question of how  $\text{DOC}_T$  has an old apparent radiocarbon age. Ideally, these model-based suggestions will motivate the collection of more DOC observations, especially those related to hydrothermal vents, that will provide some clarity on these still open-ended questions.

## 6. Figure Descriptions

### **Figure 1: Schematic diagram of model additions**

Illustration of MESMO model development with regards to DOC. In previous versions (left panel), Organic Carbon was formed based on primary production and a prescribed split sent  $\sim 1/3$  to POC and  $\sim 2/3$   $\text{DOC}_{\text{SL}}$ . POC sank through the water column and was ultimately remineralized to DIC, while  $\text{DOC}_{\text{SL}}$  was quickly remineralized to DIC in the surface ocean. In the new version of MESMO (MESMO2; right panel), a new, longer-lived DOC fraction is added ( $\text{DOC}_{\text{R}}$ ). Organic Carbon formation still depends on primary production, but the POC/DOC split is no longer prescribed and instead depends on temperature and primary production. The split between  $\text{DOC}_{\text{SL}}$  and  $\text{DOC}_{\text{R}}$  is prescribed. Sinking POC is now converted to DOC and subsequently split between  $\text{DOC}_{\text{SL}}$  and  $\text{DOC}_{\text{R}}$ , rather than being remineralized directly to DIC. Three sinks were implemented, photodegradation in the surface ocean, degradation associated with hydrothermal vents, and a generic background degradation everywhere else.

### **Figure 2: LVR output**

3a)  $\text{DOC}_{\text{T}}$  concentration ( $\mu\text{mol kg}^{-1}$ )

Longitudinally averaged  $\text{DOC}_{\text{T}}$  concentration in both the surface and deep ocean for the Atlantic, Southern, and Pacific Ocean basins.  $\text{DOC}_{\text{T}}$  is an order of magnitude higher than observation.  $\text{DOC}_{\text{T}}$  concentration decreases from the North Atlantic to the North Pacific at about half the observed rate. Black regions indicate the ocean floor.

3b)  $\text{DOC}_{\text{T}} \Delta^{14}\text{C}$  (‰)

Longitudinally averaged  $\text{DOC}_T \Delta^{14}\text{C}$  in both the surface and deep ocean for the Atlantic, Southern, and Pacific Ocean basins.  $\text{DOC}_T$  radiocarbon values generally agree with observation, with slightly positive (negative) deviation in the surface (deep).

### **Figure 3: TR output**

#### 4a) DOC Concentration ( $\mu\text{mol kg}^{-1}$ )

Longitudinally averaged  $\text{DOC}_T$  concentration in both the surface and deep ocean for the Atlantic, Southern, and Pacific Ocean basins.  $\text{DOC}_T$  concentration in both the surface and deep ocean is consistent with observation. In addition, the  $\text{DOC}_T$  gradient from the North Atlantic to the North Pacific agrees with observation.

#### 4b) $\text{DOC} \Delta^{14}\text{C}$ (‰)

Longitudinally averaged  $\text{DOC}_T \Delta^{14}\text{C}$  in both the surface and deep ocean for the Atlantic, Southern, and Pacific Ocean basins.  $\text{DOC}_T$  radiocarbon values in the surface ocean generally agree with observation, but deep ocean values are  $\sim 150\%$  younger than observation have deviated further from observation relative to LVR results.

### **Figures 4-7: Sensitivity Tests**

Model sensitivity tests showing which combinations of key parameter values result in model output that is reasonably close to observational constraints. The axes are the four most significant parameters for  $\text{DOC}_T$  cycling ( $F_{\text{DOC}_T}$ ,  $H_{\text{flux}}$ ,  $\tau_{\text{photo}}$ , and  $\tau_{\text{bg}}$ ), and the contour lines represent the model output for the four target observations (Surface [ $\text{DOC}_T$ ], Deep

[DOC<sub>T</sub>], Deep  $\Delta$ [DOC<sub>T</sub>], and Deep Pacific  $\Delta^{14}\text{C}$ . The gray shading indicates where model output agrees with observation. The “x” in the center of each plot indicate the TR.

**Figure 8: Potential Resolution to  $\Delta^{14}\text{C}$  Conundrum**

Deep Pacific  $\Delta^{14}\text{C}$  values become older with accelerated DOC<sub>R</sub> ageing in vents. An  $f_{\text{acc}}$  of 2,000 years means that the radioactive decay of DO<sup>14</sup>C<sub>R</sub> circulating through vents is accelerated so that DOC<sub>R</sub> exiting hydrothermal vents is 2,000 years older in  $\Delta^{14}\text{C}$  age than DOC<sub>R</sub> entering vents. The range of  $f_{\text{acc}}$  explored here is much greater than the observed vent circulation time (2,000 years) to account for the contribution of radiocarbon-dead DOC<sub>T</sub> fixed from mantle carbon.

## 7. Figures

**Table 1: Key model parameters**

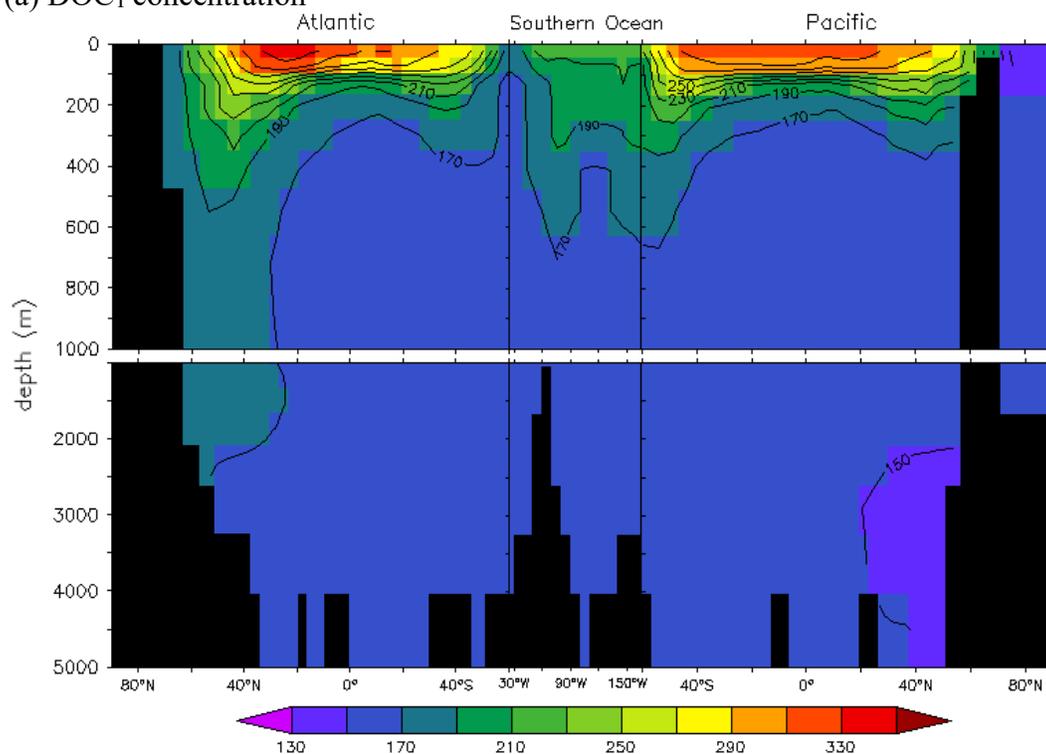
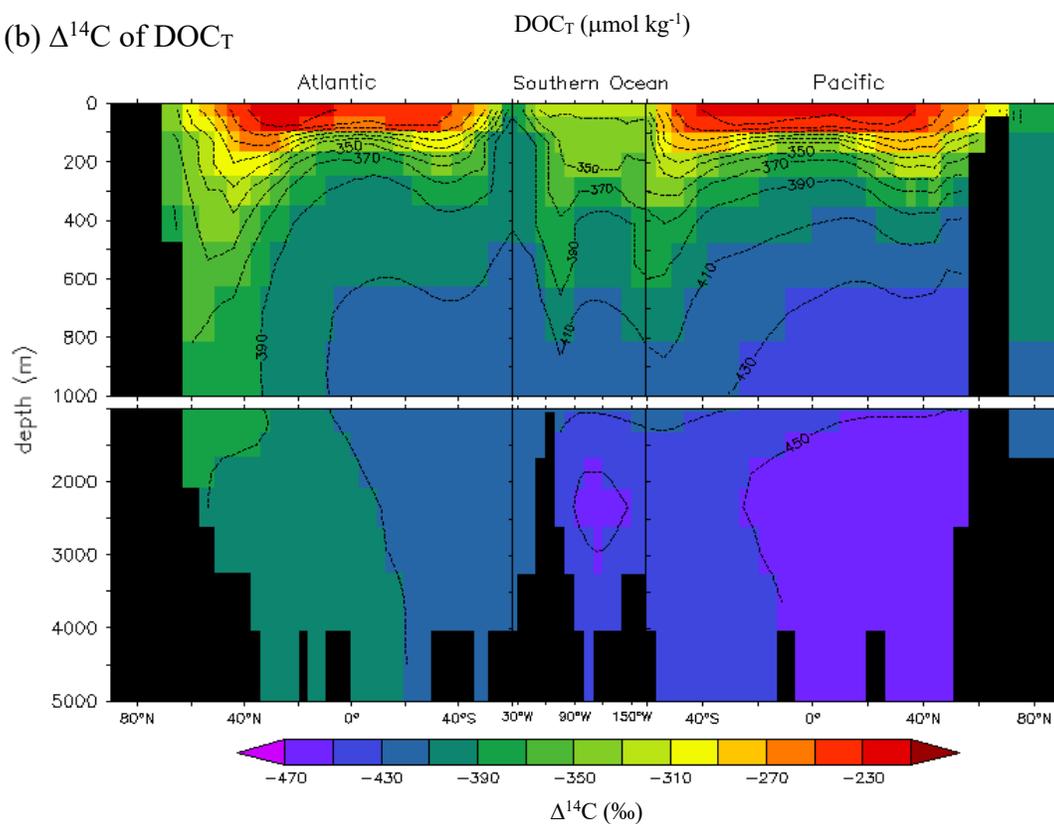
\*Note:  $DOC = DOC_{SL} + DOC_R$

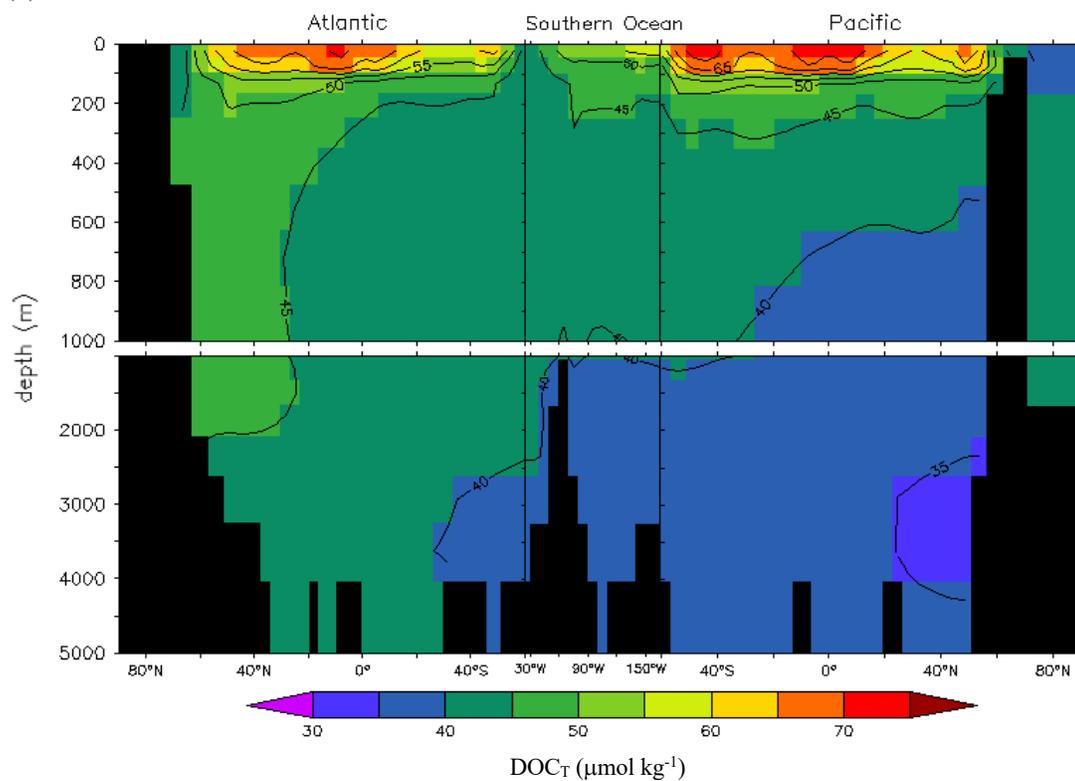
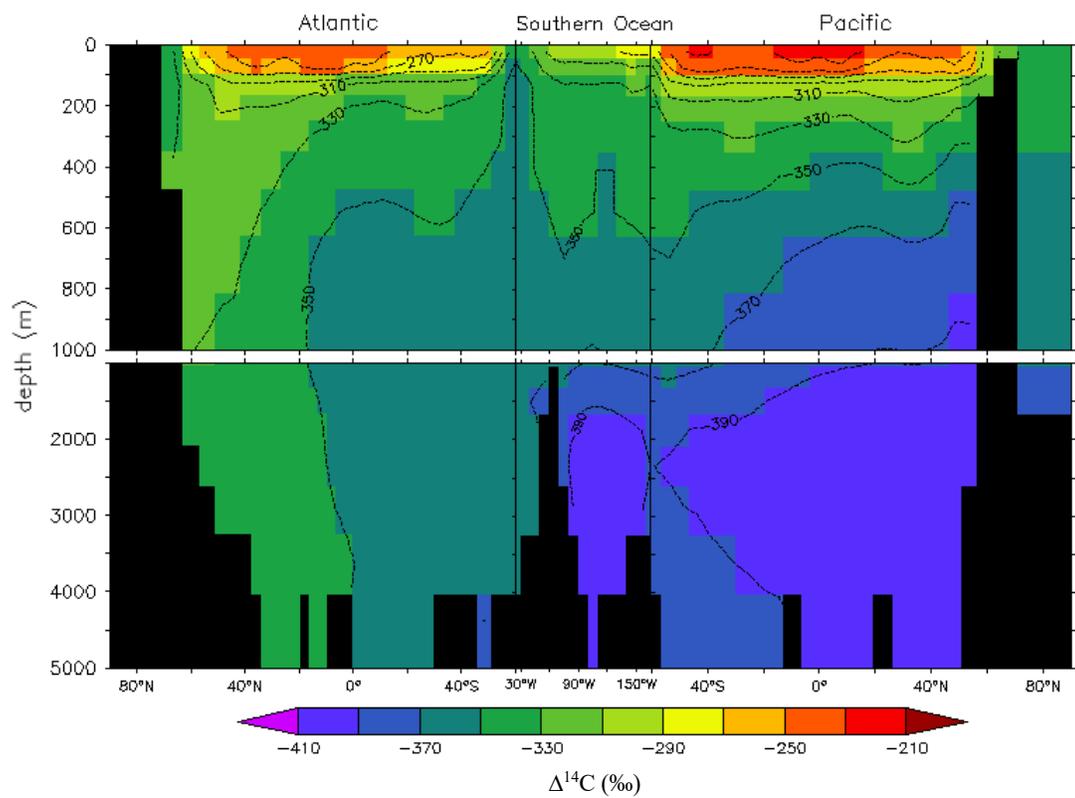
Parameter	Tuned Value	Literature Value	Primary Reference
$F_{DOC}$	N/A	$0.28 < F_{DOC} < 0.72$ )	Dunne et al., 2005
$F_{DOCr}$	0.175%	1%	Hansell, 2013
$\tau_{DOCsl}$	0.0833 yrs (1 mo)	1.5 yrs	Hansell, 2013
$\tau_{bg}$	24000 yrs	16000 yrs	Hansell, 2013
$\tau_{photo}$	180 yrs	70 yrs	Yamanaka & Tajika, 1997
$\tau_{vent}$	0.05 yrs	N/A	N/A
$H_{flux}$	$1.92 \times 10^{17} \text{ kg}_{sw} \text{ yr}^{-1}$	$4.8 \times 10^{16} \text{ kg}_{sw} \text{ yr}^{-1}$	Lang et al., 2006

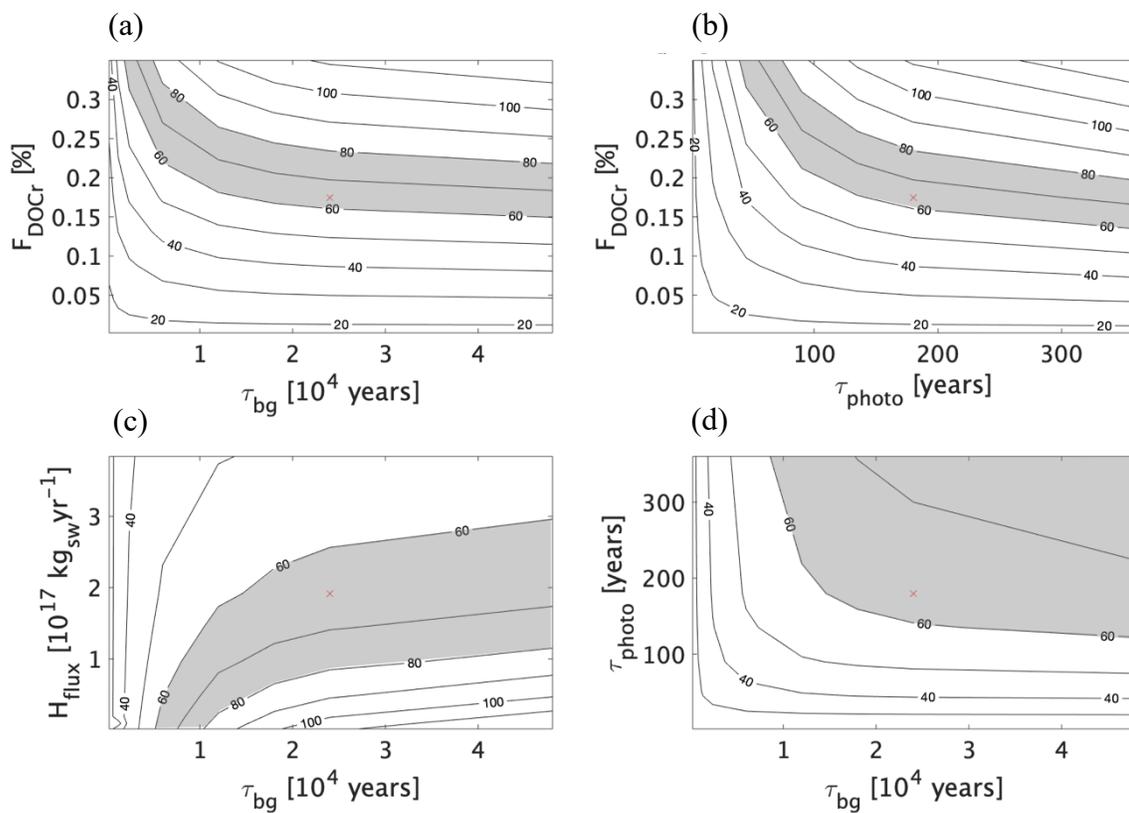
**Table 2: Key Observational Targets**\*Note:  $\text{DOC} = \text{DOC}_{\text{SL}} + \text{DOC}_{\text{R}}$ 

<b>Target</b>	<b>Literature Value</b>	<b>Primary Reference</b>
Surface $[\text{DOC}_{\text{T}}]$ (0-200 m)	60-80 $\mu\text{mol kg}^{-1}$	Hansell, 2013
Deep $[\text{DOC}_{\text{T}}]$ (1000-6000 m)	35-40 $\mu\text{mol kg}^{-1}$	Hansell, 2013
Deep $\Delta[\text{DOC}_{\text{T}}]$	29% reduction	Hansell & Carlson, 1998
Deep Pacific $\Delta^{14}\text{C}$	-550 to -570‰	Druffel et al., 2019

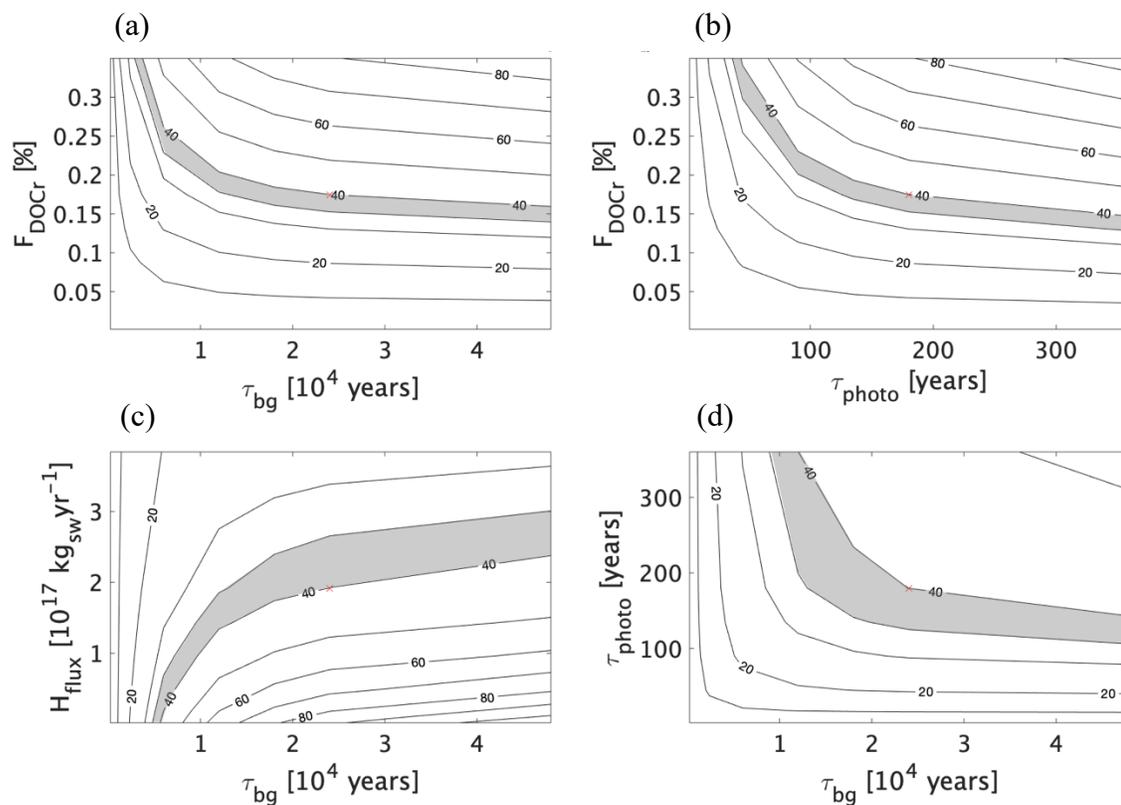


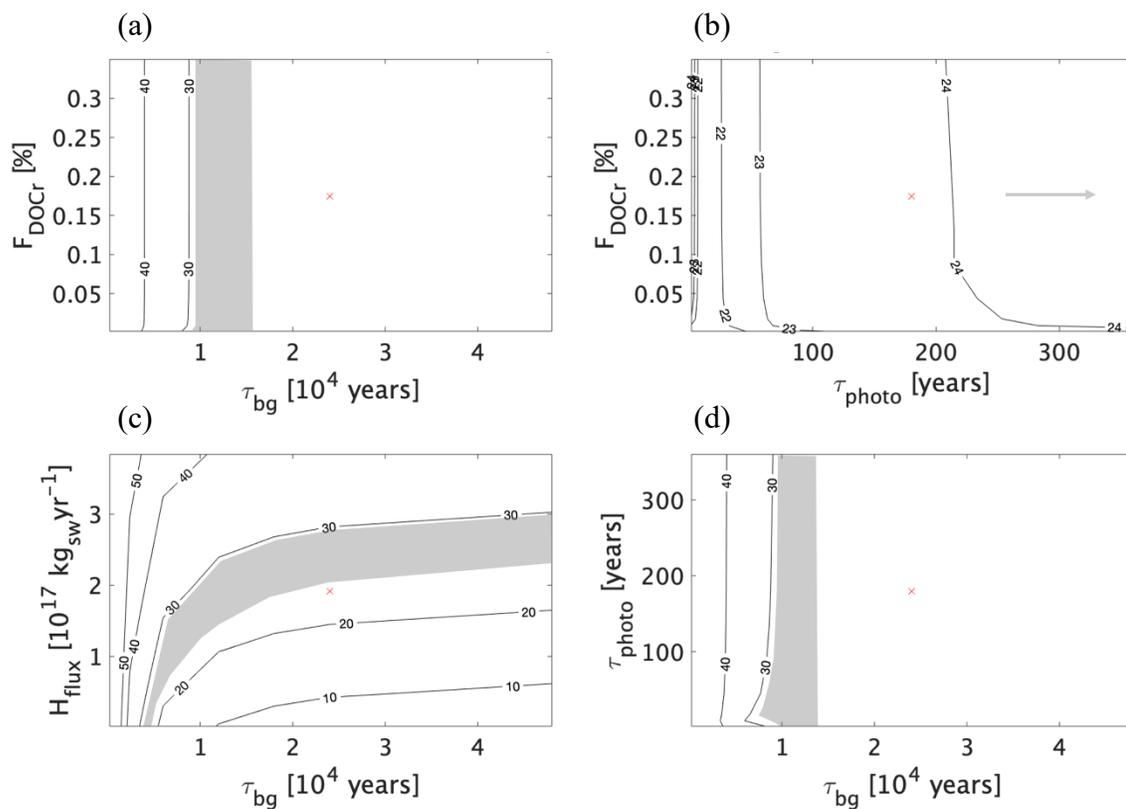
**Figure 2: Literature Value Run**(a)  $\text{DOC}_T$  concentration(b)  $\Delta^{14}\text{C}$  of  $\text{DOC}_T$ 

**Figure 3: Tuned Run****(a) DOC<sub>T</sub> concentration****(b) Δ<sup>14</sup>C of DOC<sub>T</sub>**

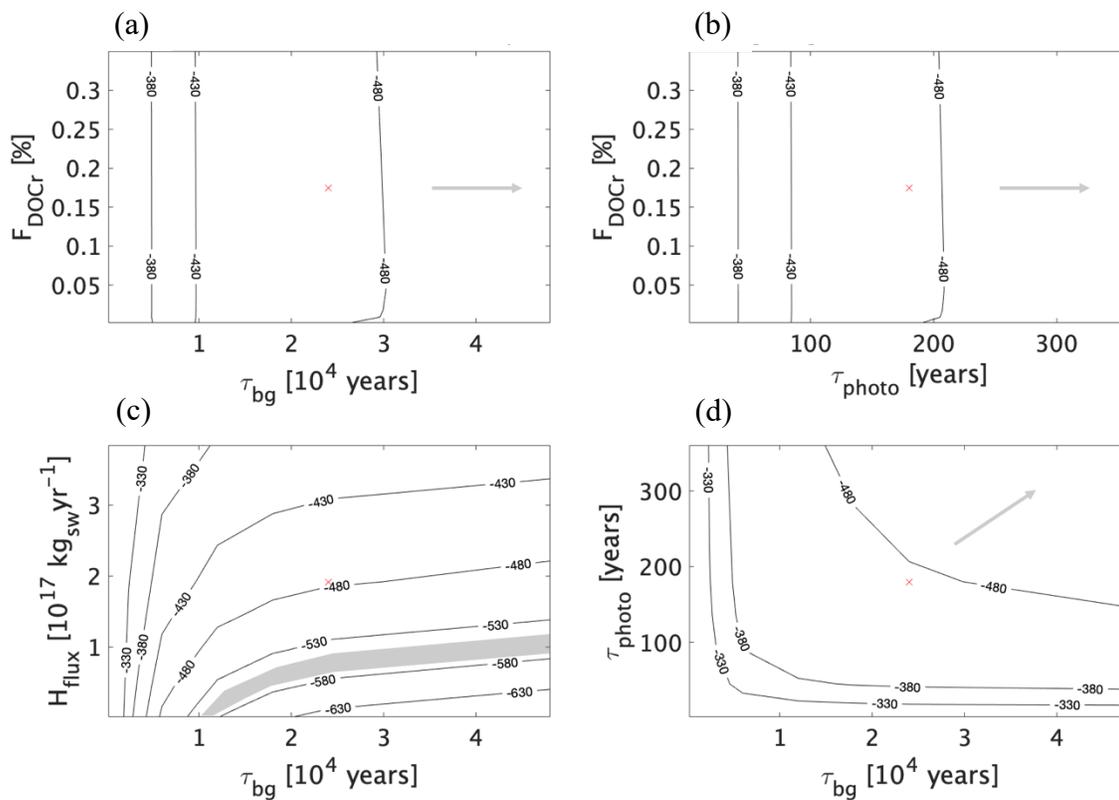
**Figure 4: Sensitivity of Surface  $\text{DOC}_T$  Concentration ( $\mu\text{mol kg}^{-1}$ )**

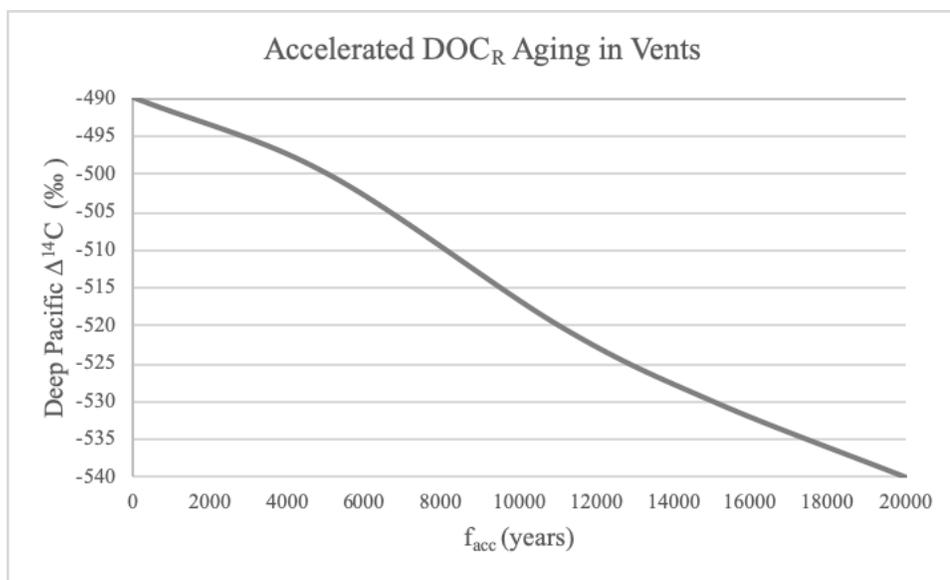
**Figure 5: Sensitivity of Deep  $\text{DOC}_T$  Concentration ( $\mu\text{mol kg}^{-1}$ )**



**Figure 6: Sensitivity of Deep DOC<sub>T</sub> Gradient (%)**

**Figure 7: Sensitivity of Deep Pacific  $\text{DOC}_T \Delta 14\text{C}$  (‰)**



**Figure 8: Potential Resolution to  $\Delta^{14}\text{C}$  Conundrum**

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