

Exterior Cube L-function for  $GL_6$

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# Chapter 1

## Introduction

In this paper, we study the analytic properties of exterior cube L-function for the group  $GL_6$  introduced by Ginzburg and Rallis in [1]. More precisely, let  $\pi = \otimes_v \pi_v$  be a cusp form of  $GL_6(A_F)$ , we can associate to each unramified representation  $\pi_v$  a semisimple conjugacy class  $t_v$  in the L-group, then we can define the local L-function as

$$L_v(\pi_v \otimes \chi_v, \Lambda^3, s) = \det(I - \Lambda^3(t_v)\chi_v(p)q^{-s})^{-1}$$

where  $I$  is the  $20 \times 20$  identity matrix. Let  $S$  be a finite set of places in  $F$  including the archimedean ones such that  $\pi_v$  and  $\chi_v$  are unramified outside  $S$ , so we can define the partial exterior L-function as

$$L^S(\pi \otimes \chi, \Lambda^3, s) = \prod_{v \notin S} L_v(\pi_v \otimes \chi_v, \Lambda^3, s)$$

Our goal is to show that the partial L-function is entire for all characters  $\chi$  and for all generic cusp forms  $\pi$  on  $GL_6(A)$  except when  $\omega_\pi^2 \chi^4 = 1$  and  $\omega_\pi \chi^2 \neq 1$ . We also generalized the analytic properties to the general cases.

Our method is to use the Rankin-Selberg method. In section 2, we will introduce the Langlands L-function Conjecture, and describe the typical steps in constructing Rankin-Selberg integrals and their properties. For more details can be found in [2]. In section 3, we will talk about the basic case of Rankin-Selberg integral: Tate's integral following [3], which will be used to show the convergence and meromorphic continuation of our integral in section 7. In section 4, we will discuss the Fourier-Whittaker Expansion of cusp forms for  $GL_n$  following [4], and we will use a similar approach when constructing the global integral.

We will follow through the steps in [1] in the rest of the section with detailed proofs. In section 5, we will construct the exterior cube integral for  $GL_6$  with Siegel-Eisenstein series. In section 6, we will calculate the integral with unramified data. In section 7, we will give a new proof about the convergence and meromorphic continuation of our integral

using Gauge theory [5]. We will also prove that we can choose the data nicely so that the integral is nonzero at  $s = s_0$ . In section 8, we prove the properties of the partial exterior cube L-function that the partial L-function is entire for all characters  $\chi$  and for all generic cusp forms  $\pi$  on  $GL_6(A)$  except when  $\omega_\pi^2 \chi^4 = 1$  and  $\omega_\pi \chi^2 \neq 1$ .

## Chapter 2

# Langlands Conjecture and Rankin-Selberg Convolutions

### 2.1 Satake correspondence

In Langlands' program, Satake correspondence gives a correspondence between unramified representation of a reductive group  $G$  over a local field and conjugacy classes in the Langlands dual group  ${}^L G$ .

Let  $F$  be a local field with ring of integers  $\mathcal{O}$  and a uniformizer  $\varpi$ .  $\mathbf{G}$  is a split connected reductive group,  $K$  be a compact open subgroup of  $G$ ,  $T$  be the torus group of  $G$ ,  $T_0 = T \cap K$ .  $H(G, K)$  denotes the spherical function algebra.

**Theorem 2.1.1** (Satake Isomorphism). *There is an algebra isomorphism  $\mathcal{H}(F, K) \rightarrow \mathcal{H}(T, T_0)$  given by*

$$f \mapsto (\mathcal{S}f)(t) = \delta(t)^{1/2} \int_N f(tn) dn$$

where  $\delta(t) = |\det(\text{Ad}(t)|_{\mathfrak{n}})|^{-1}$ .

**Corollary 2.1.1.1.** *(Unramified Local Langlands) Fix an embedding  $\hat{T} \subset GL_n(\mathbb{C})$ , there is a bijection between isomorphism classes of irreducible unramified representations of  $G$  and semisimple unramified representations  $\phi : W_F \rightarrow GL_n(\mathbb{C})$ , where  $W_F$  is the Weyl group.*

### 2.2 L-function data

Let  $G$  be a connected reductive linear algebraic group over  $F$ ,  $\pi = \otimes_v \pi_v$  be an irreducible unitary automorphic cuspidal representation of  $G(A)$ ,  $r$  be a finite dimensional representation of the L-group  ${}^L G$  of  $G$ . These data are referred to as the L-function data  $(G, \pi, r)$ . The data is called unramified at a finitely place  $v$  if  $G$  is unramified over  $F_v$  and  $\pi_v$  is unramified.

In this case,  $\pi_v$  corresponds to a semisimple conjugacy class  $t_v$  in the local Langlands dual group  ${}^L G_v$  by the Satake homomorphism, so we can define the local Langlands L-factor as

$$L(s, \pi_v, r_v) = [\det(1 - r_v(t_v)q_v^{-s})]^{-1}$$

Let  $S$  be a finite set of places of  $F$  such that for  $v \notin S$ , the L-function data are unramified. Then Langlands defined the global L-function as

$$L_S(s, \pi, r) = \prod_{v \notin S} L(s, \pi_v, r_v)$$

In [6], he proved the convergence of the Euler product  $L_S(s, \pi, r)$  when  $\Re s > s_0$  for some  $s_0$  for irreducible uninary representation  $\pi$ . Later in [7], he extended the  $L_S(s, \pi, r)$  to a meromorphic function in  $\mathbb{C}$  for automorphic cuspidal  $\pi$ .

Later he conjectured[8]:

**Conjecture 1.** *Given any  $G, \pi, r, S, L(s, \pi, r)$  (in our case it means  $L_S$ ) is a meromorphic function in the entire complex plane  $\mathbb{C}$  with only a finite number of poles and satisfies the functional equation*

$$L(s, \pi, r) = \epsilon(s, \pi, r) L(1 - s, \pi, \tilde{r})$$

and

$$\epsilon(s, \pi, r) = \prod_v \epsilon(s, \pi_p, r_p, \psi).$$

For the generalization of this conjecture, there are two approaches proven successful . One is by Langlands' original approach using the theory of Eisenstein series. The other one is to understand the analytic properties of Zeta integrals, which could give an explicit construction of the L-functions. We will introduce the second approach in a very simple case  $G = GL_2$  to show the basic ideas and standard steps.

## 2.3 $GL_2$ Jacquet-Langlands method

Let  $\pi$  be an automorphic cuspidal representation of  $GL_2$  over  $A_{\mathbb{Q}}$ , which is the generalization of a cusp form

$$f(z) = \sum_{n=1}^{\infty} a_n e^{2\pi i n z}$$

Define the "complete" L-function attached to  $f$  as

$$L(s, f) = (2\pi)^{-s} \Gamma(s) \sum_{n=1}^{\infty} \frac{a_n}{n^s} = \int_0^{\infty} f(iy) y^{s-1} dy$$

$f$  corresponds to automorphic cuspidal representation  $\pi_f = \otimes \pi_p$ , where unramified  $\pi_p$  of  $GL_2(\mathbb{Q}_p)$  is of the form  $Ind_{B_p}^{GL_2(\mathbb{Q}_p)} \mu_1 \otimes \mu_2$  ( $\mu_i$  are unramified characters in the form of  $|x|_p^{s_i}$   $i=1,2$ ).

The L-group of  $GL_2$  is  ${}^L G = GL_2(\mathbb{C})$ , and the conjugacy class  $t_p$  is  $\begin{pmatrix} \mu_1(p) & \\ & \mu_2(p) \end{pmatrix}$ .

Let  $r$  be the standard representation of  ${}^L G$ . Then

$$L(s, \pi_p, r) = [\det(I - r(t_p)p^{-s})]^{-1} = (1 - a_p p^{-s'} + p^{k-1-2s'})^{-1}, \quad s' = \frac{k-1}{2} + s$$

then

$$\prod_{p < \infty} L(s, \pi_p, r) = \sum_{n=1}^{\infty} \frac{a_n}{n^{s'}}.$$

Define the global Zeta-integral

$$Z(\varphi, s) = \int_{\mathbb{Q}^* \backslash \mathbb{A}^*} \varphi \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} \right) |a|_{\mathbb{J}}^{s-\frac{1}{2}} d^* a$$

where  $\varphi$  is a function in the subspace of  $L_0^2(\chi)$  realizing  $\pi$ , then  $\varphi$  is rapidly decreasing at infinity, i.e. for any compact set  $C$  in  $G_{\mathbb{A}}$ , and  $t > 0$ , there exists  $C_N$  such that

$$\varphi \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} g \right) \leq C_N |a|^{-N}, \quad g \in C, |a| > t$$

Now we want to relate  $Z(\varphi, s)$  to the automorphic L-function  $L(s, \pi)$ . By using the Fourier expansion, we can write a cusp form as

$$\varphi(g) = \sum_{\xi \in \mathbb{Q}^*} W_{\varphi} \left( \begin{pmatrix} \xi & \\ & 1 \end{pmatrix} g \right)$$

where  $\psi$  is a nontrivial character on  $\mathbb{Q} \backslash \mathbb{A}$ , and

$$W_{\varphi}(g) = \int_{\mathbb{Q} \backslash \mathbb{A}} \varphi \left( \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} g \right) \bar{\varphi}(x) dx$$

Then  $W_{\varphi}(g)$  is rapidly decreasing at infinity, and for  $\Re s$  large enough,

$$Z(\varphi, s) = \int_{\mathbb{A}^*} W_{\varphi} \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^* a$$

Since the Whittaker model  $W(\pi, \psi)$  equals the tensor product of local Whittaker models  $W(\pi_p, \psi_p)$ , we have

$$W_{\varphi}(g) = \prod_p W_p(g)$$

where  $W_p \in W(\pi_p, \psi_p)$  depends on  $\varphi$ . The local Zeta-integral

$$Z(W_p, s) = \int_{\mathbb{Q}_p^*} W_p \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^* a$$



It converges absolutely for  $\Re s > s_0$ , so we obtain the Euler product expansion for  $\Re s$  large enough

$$Z(\varphi, s) = \prod_{p \leq \infty} Z(W_p, s)$$

The following general results in local theory are expected for Zeta/Rankin-Selberg integrals:

- (a)  $Z(W, s)$  converges for  $\Re s > s_0$ .
- (b)  $Z(W, s)$  is a rational functions in  $p^{-s}$ , hence meromorphic in  $\mathbb{C}$ .
- (c)  $\{Z(W, s)\}$  admits a common denominator as a polynomial  $P$  such that  $P(p^{-s})Z(W, s) \in \mathbb{C}[p^{-s}, p^s]$ , for all  $W \in W(\pi_p)$ .
- (d) There exists  $W_0$  such that  $Z(W_0, s) \equiv 1$ .
- (e) There exists a meromorphic function  $\gamma(\pi_p, \psi_p, s)$ , such that

$$\tilde{Z}(W^w, 1-s) = \gamma(\pi_p, \psi_p, s)Z(W, s)$$

where  $W^w(g) = W(gw)$ ,  $\tilde{Z}(W, s) = \int_{\mathbb{Q}_p^*} \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} \chi^{-1}(a) d^*a$ , where  $\chi_p$  is the central character of  $\pi_p$ .

- (f) If  $\pi_p$  is unramified, then for  $K$ -invariant  $W^0$ ,

$$Z(W^0, s) = \det[I - r(t_\pi)p^{-s}]^{-1} = L(s, \pi_p, r_p).$$

From the theory of asymptotic expansions[?], we can derive the first four results.

Now we go to the global theory. Since we haven't define  $L(s, \pi_p)$  for ramified places, so we first restrict them to unramified places, i.e. partial L-function

$$L_S(s, \pi, r) = \prod_{r \text{ unramified}} L(s, \pi_p, r_p)$$

For ramified  $p$ , pick  $W_p$  such that  $Z(W_p, s) \equiv 1$ . Then for  $W = \prod_p W_p$ , where  $W_p = W_p^0$  (the unique right  $K$ -invariant function such that  $W^0(k) \equiv 1$  for all  $k \in K_p$ ) for unramified  $p$ , we have

$$Z(\varphi, s) = e^{g(s)} L_S(s, \pi)$$

where  $g(s)$  is some holomorphic function, thus we can deduce the holomorphy of  $L_S(s, \pi)$  from the holomorphy of the Zeta integral  $Z(\varphi, s)$ .

As for the functional equation of  $L_S(s, \pi)$ , we first recall the functional equation of Zeta integral  $Z(\varphi, s)$ , then

$$\begin{aligned} Z(\varphi, s) &= \prod_{p \leq \infty} Z(W_p, s) \\ &= \left( \prod_{p \in S} Z(W_p, s) \right) L_S(s, \pi) \\ &= \left( \prod_{p \in S} \gamma^{-1}(\pi_p, \psi_p, s) \right) \prod_{p \in S} \tilde{Z}(W_p^w, 1-s) L_S(s, \pi) \end{aligned}$$

By the global functional equation:

$$Z(\varphi, s) = \tilde{Z}(\varphi^w, 1-s) = \prod \tilde{Z}(W_p^w, 1-s) = \prod_{p \in S} \tilde{Z}(W_p^w, 1-s) L_S(s, \pi)$$

For  $W_p = W_p^0$ ,

$$\tilde{Z}(W_p^w, s) = [(1 - \mu_1^{-1}(p)p^{-s})(1 - \mu_2^{-1}(p)p^{-s})]^{-1} = \det[I - r(\tilde{t}_p)p^{-s}]^{-1}$$

where  $\tilde{t}_p = \begin{pmatrix} \mu_1^{-1}(p) & \\ & \mu_2^{-1}(p) \end{pmatrix}$  is the conjugacy class in  $GL_2(\mathbb{C})$  associated to the contragredient representation  $\tilde{\pi} = \text{ind} \mu_1^{-1} \otimes \mu_2^{-1}$ . Choose  $W_p$  so that  $\tilde{Z}(W_p^w, 1-s)$  is nonvanishing for  $p \in S$ , so

$$L_S(s, \pi, r) = \left( \prod_{p \in S} \gamma(\pi_p, \psi_p, s) \right) L_S(1-s, \pi, \tilde{r})$$

Next we want to explain that  $L(s, \pi_p)$  can be defined as the greatest common divisor of the family of Zeta integrals  $Z(W_p, s)$ .

We know from earlier that there exists a polynomial  $P$  such that  $P(p^{-s})Z(W, s) \in \mathbb{C}[p^{-s}, p^s]$  for all  $W \in W(\pi_p)$ . Let  $A_p$  denote the  $\mathbb{C}[p^s, p^{-s}]$  module generated by all the Zeta integrals  $Z(W_p, s)$  for  $W_p \in W(\pi_p, \psi_p)$ . Since  $A_p$  is a subspace of  $\mathbb{C}(p^{-s})$  and there is a common denominator  $P$  such that  $PA_p \subset \mathbb{C}[p^s, p^{-s}]$ , so  $A_p$  is a fractional ideal of  $\mathbb{C}[p^s, p^{-s}]$ . Denote  $L(s, \pi_p)$  as any of these generators, so it can be written as  $\frac{Q}{R}$ , with  $Q, R \in \mathbb{C}[p^{-s}]$  relatively prime, and  $Q$  has constant term 1. We know that  $Z(W, s) = 1$  for at least one  $W_p$ , so the numerator cannot have any zeroes, hence  $L(s, \pi_p)$  can be uniquely defined by  $\frac{1}{Q(p^{-s})}$ , with  $Q(0) = 1$ . Then  $A_p = L(s, \pi)\mathbb{C}[p^s, p^{-s}]$ , so for unramified  $\pi_p$ ,

$$L(s, \pi_p) = Z(s, W_p^0) = \det[I - r(t_p)p^{-s}]^{-1}$$

And  $L(s, \pi_p)$  is indeed the greatest common divisor of the family of the Zeta integrals  $Z(W_p, s)$ . Similarly, define  $L(s, \tilde{\pi}_p) = \frac{1}{\tilde{Q}(p^{-s})}$  as the greatest common divisor of the contragredient Zeta integrals  $\tilde{Z}(W_p, s)$ .

For the functional equation  $L(s, \pi) = \prod_p L(s, \pi_p)$ , choose  $W_p$  so that  $Z(W_p, s) = L(s, \pi_p)$ . We have

$$\begin{aligned}
L(s, \pi) &= \prod Z(W_p, s) = Z(\varphi, s) = \tilde{Z}(\varphi^w, 1-s) \\
&= \left( \prod_{p \in S} \tilde{Z}(W^w, 1-s) \right) \prod_{p \notin S} L(1-s, \tilde{\pi}_p) \\
&= \left( \prod_{p \in S} \frac{\tilde{Z}(W^w, 1-s)}{L(1-s, \tilde{\pi})_p} \right) L(1-s, \tilde{\pi}) \\
&= \left( \prod_{p \in S} \frac{\gamma(\pi_p, \psi_p, s) Z(W, s)}{L(1-s, \tilde{\pi})_p} \right) L(1-s, \tilde{\pi}) \\
&= \left( \prod_{p \in S} \epsilon(\pi_p, \psi_p, s) \right) L(1-s, \tilde{\pi})
\end{aligned}$$

where  $\epsilon(\pi_p, \psi_p, s) = \frac{\gamma(\pi_p, \psi_p, s) Z(W, s)}{L(1-s, \tilde{\pi})_p}$ , and by checking that  $\epsilon(s, \pi)$  has no zeros or poles, we have

**Theorem 2.3.1.** *The automorphic  $L$ -function  $L(s, \pi) = \prod L(s, \pi_p)$  can be extended to an entire function of  $s$  in  $\mathbb{C}$ , and satisfies the functional equation*

$$L(s, \pi) = \epsilon(s, \pi) L(1-s, \tilde{\pi})$$

where  $\epsilon(s, \pi) = \prod_{p \in S} \epsilon(s, \pi_p, \psi_p)$  is a monomial function of  $s$ .

## Chapter 3

# Tate thesis-local zeta function (non-Archimedean case)

Let  $F$  be a non-Archimedean local field, and  $|\cdot|_F$  be the normalized absolute value. Let  $\mathfrak{o}_F$  be the ring of integers in  $F$ ,  $\mathfrak{p} \subset \mathfrak{o}_F$  be the maximal ideal,  $\pi_F$  be the uniformizer of  $\mathfrak{o}_F$ .

**Definition 3.0.1.** For  $f \in \mathcal{S}(F)$  and quasi-character  $\chi : F^\times \rightarrow \mathbb{C}^\times$ , define the associated local zeta function as

$$Z(f, \chi) = \int_{F^\times} f(x)\chi(x)d^*x$$

**Theorem 3.0.1.** For  $f \in \mathcal{S}(F)$ ,  $\chi = \tilde{\chi}|\cdot|^s$  where  $\tilde{\chi}$  is the unitary part of  $\chi$ . Let  $\sigma = \Re(s)$ , then

- (a)  $Z(f, \chi)$  is holomorphic and absolutely convergent if  $\sigma > 0$ .
- (b) If  $0 < \sigma < 1$ , then there is a functional equation

$$Z(\hat{f}, \hat{\chi}) = \gamma(\chi, \psi, dx)Z(f, \chi)$$

for some  $\gamma(\chi, \psi, dx)$ , which is independent of  $f$  and meromorphic as a function of  $s$ . So  $Z(f, \chi)$  admits a meromorphic continuation to the whole  $s$  plane.

- (c) There exists a  $\epsilon$ -factor  $\epsilon(\chi, \psi, dx) \in \mathbb{C}^\times$  satisfying:

$$\gamma(\chi, \psi, dx) = \epsilon(\chi, \psi, dx) \frac{L(\hat{\chi})}{L(\chi)}$$

Therefore,

$$L(\chi)Z(\hat{f}, \hat{\chi}) = \epsilon(\chi, \psi, dx)L(\hat{\chi})Z(f, \chi)$$

Hence,  $L(\chi) = Z(f_0, \chi)$  for some  $f_0$ .

*Proof.* (a) Since  $F$  is non-Archimedean, let  $q$  be the order of the residue field  $k_F = \mathfrak{o}_F/\mathfrak{p}\mathfrak{o}_F$ . Since  $f \in \mathcal{S}(F)$ , then  $f$  factors through the finite quotient group  $\mathfrak{p}^{-m}/\mathfrak{p}^n$ ,  $m, n \in \mathbb{Z}$ ,  $-m \leq n$ . So it is enough to prove for  $f = \chi_{\mathfrak{p}^n}$ . Let  $\pi_F$  be a uniformizer of  $\mathfrak{p}$ . Since

$$\pi_F^n \mathfrak{o}_F - \{0\} = \bigcup_n^{\infty} \pi_F^k \mathfrak{o}_F^{\times}$$

let  $d^*x = cdx$ , we have

$$\begin{aligned} |Z(f, \chi)| &= c \int_{F-\{0\}} |f(x)| |x|_F^{\sigma-1} dx \\ &= c \int_{F-\{0\}} \chi(\pi_F^n) |x|_F^{\sigma-1} dx \\ &= c \sum_{k=n}^{\infty} \int_{\pi_F^k \mathfrak{o}_F^{\times}} |x|_F^{\sigma} d^*x \\ &= \sum_{k=n}^{\infty} \int_{\mathfrak{o}_F^{\times}} |\pi_F^k x|_F^{\sigma} d^*x \\ &= \sum_{k=n}^{\infty} q^{-k\sigma} \int_{\mathfrak{o}_F^{\times}} d^*x \\ &= \frac{q^{-n\sigma}}{1 - q^{-\sigma}} \text{Vol}(\mathfrak{o}_F^{\times}, d^*x) \end{aligned}$$

which is finite for  $\sigma > 0$ , therefore  $Z(f, \chi) = Z(f, \tilde{\chi}, x)$  is holomorphic and absolutely convergent for  $\sigma > 0$ .

(b)

**Lemma 3.0.2.** *For all  $\chi \in X(F^{\times})$  with  $0 < \sigma < 1$ , we have*

$$Z(f, \chi)Z(\hat{g}, \hat{\chi}) = Z(\hat{f}, \hat{\chi})Z(g, \chi)$$

In fact,

$$\begin{aligned} Z(f, \chi)Z(\hat{g}, \hat{\chi}) &= \int_{F^{\times}} \left( \int_{F^{\times}} f(x) \hat{g}(xy) |x|_F d^{\times}x \chi(y^{-1}) |y|_F d^{\times}y \right) \\ &= \int_{F^{\times}} \left( \int_F \int_F f(x) \hat{g}(z) \phi(xyz) dz dx \chi(y^{-1}) |y|_F d^{\times}y \right) \end{aligned}$$

The above integral is symmetric about  $f$  and  $g$ , thus we finished the proof of lemma 3.2.  $\square$

Fix a Schwartz function  $f_0 \in \mathcal{S}(F)$ , let

$$\gamma(\chi, \psi, dx) = \frac{Z(\hat{f}_0, \hat{\chi})}{Z(f_0, \chi)}.$$

By the lemma above,  $\gamma$  is independent of the choice of  $f_0$ , and hence we have

$$Z(\hat{f}, \hat{\chi}) = \gamma(\chi, \psi, dx)Z(f, \chi)$$

Since  $\gamma(\chi, \psi, dx)$  is independent of  $d^*x$ , and Haar measure is unique up to a constant, so

$$\begin{aligned} \gamma(\chi, \psi, dx) &= \frac{Z(\hat{f}, \hat{\chi})}{Z(f, \chi)} \\ &= \frac{\int_{F^\times} \hat{f}(x)\hat{\chi}(x)d^*x}{\int_{F^\times} f(x)\chi(x)d^*x} \\ &= \frac{\int_{F^\times} \hat{f}(x)\hat{\chi}(x)d^\times x}{\int_{F^\times} f(x)\chi(x)d^\times x} \end{aligned}$$

We will show in part (c) that  $\gamma(\chi, \psi, dx)$  is meromorphic as a function of  $s$ . Since  $Z(f, \chi)$  is holomorphic for  $\sigma > 0$  and  $Z(\hat{f}, \hat{\chi})$  is holomorphic for  $\sigma < 1$ , so there is a meromorphic continuation of  $Z(f, \chi)$  to the entire complex plane.

(c) For test function  $f \in \mathcal{S}(F)$ , define an entire nonzero function  $h$  such that

$$Z(f, \chi) = h(f, \chi, \psi, dx)L(\chi)$$

$$Z(\hat{f}, \hat{\chi}) = h(\hat{f}, \hat{\chi}, \psi, dx)L(\hat{\chi})$$

We fix again  $d^*x = dx/|x|_F$ , so

$$\gamma(\chi, \psi, dx) = \frac{Z(\hat{f}, \hat{\chi})}{Z(f, \chi)} = \frac{h(\hat{f}, \hat{\chi}, \psi, dx)L(\hat{\chi})}{h(f, \chi, \psi, dx)L(\chi)}$$

We are going to show that  $\gamma(\chi, \psi, dx)$  is a meromorphic function of  $s$  and independent of  $f$ , and

$$\epsilon(\chi, \psi, dx) = \frac{h(\hat{f}, \hat{\chi}, \psi, dx)}{h(f, \chi, \psi, dx)}$$

Since every quasi-character  $\chi$  is in the form of  $|\cdot|_F^s \tilde{\chi}$ , where  $\tilde{\chi}$  is a unitary character, let  $p$  be the unique prime of  $F$ ,  $U_n$  be the subgroup of the form  $1 + p^n$ , denote  $\chi_{s,n}(x) = |x|_F^s \tilde{\chi}(\tilde{x})$ ,  $\tilde{x} \in \mathfrak{o}_F^\times$  is defined as  $x = \tilde{x}\pi_F^{\nu(x)}$ , where  $p^n$  is the conductor of  $\tilde{\chi}$ . Define the standar non-trivial additive character on a local field to be  $\psi = \psi_P(\text{tr}_{F/\mathbb{Q}_p}(\cdot))$ , where  $p$  is the prime lying below  $\mathfrak{p}$  and  $\psi_p$  is the standard non-trivial additive character on  $\mathbb{Q}_p$ .

Define  $f_n(x) = \psi(x)\text{char}_{p^{-d-n}}(x)$ .

If  $n = 0$  (i.e. unramified case), since  $\pi_F^{-d}o_F - \{0\} = \bigcup_{k=-d}^{\infty} \pi_F^k o_F^\times$ , so

$$\begin{aligned}
Z(f_0, \chi_{s,0}) &= \int_{F^\times} f_0(x) \chi_{s,0}(x) d^*x \\
&= \int_{\pi_F^{-d}o_F - \{0\}} |x|_F^s d^*x \\
&= \sum_{k=-d}^{\infty} \int_{\pi_F^k o_F^\times} |x|_F^s d^*x \\
&= \sum_{k=-d}^{\infty} q^{-ks} \text{Vol}(o_F^\times, d^*x) \\
&= \text{Vol}(o_F^\times, d^*x) \frac{q^{ds}}{1 - q^{-s}} \\
&= q^{ds} \text{Vol}(o_F^\times, d^*x) (1 - |\pi_F|_F^s)^{-1} \\
&= q^{ds} \text{Vol}(o_F^\times, d^*x) L(\chi_{s,0})
\end{aligned}$$

If  $n > 0$  (i.e. the ramified case), since  $\widetilde{\pi_F^k u} = \tilde{u}$ , then

$$\begin{aligned}
Z(f_n, \chi_{s,n}) &= \int_{F^\times} f_n(x) \chi_{s,n}(x) d^*x \\
&= \int_{\pi_F^{-d-n}o_F - \{0\}} \psi(x) \tilde{\chi} \tilde{x} |x|_F^s d^*x \\
&= \sum_{k=-d-n}^{\infty} \int_{o_F^\times} \psi(\pi_F^k u) \tilde{\chi}(\pi_F^k u) |\pi_F^k u|_F^s d^*u \\
&= \sum_{k=-d-n}^{\infty} q^{-ks} \int_{o_F^\times} \int_{o_F^\times} \psi(\pi_F^k u) \tilde{\chi}(\tilde{x}) d^*u
\end{aligned}$$

For any multiplicative character  $\omega : o_F^\times \rightarrow S^1$  and any additive character  $\lambda : o_F \rightarrow S^1$ , define the associated Gauss sum to be:

$$g(\omega, \psi) = \int_{o_F^\times} \omega(u) \lambda(u) d^*u$$

so we have

$$Z(f_n, \chi_{s,n}) = \sum_{k=-d-n}^{\infty} q^{-ks} g(\tilde{\chi}, \psi_{\pi_F^k})$$

where  $\psi_{\pi_F^k}(x) = \psi(\pi_F^k x)$ . We have the following lemma about Gauss sums:

**Lemma 3.0.3.** *Let  $\omega$  and  $\lambda$  be taken as above with conductors  $p^n$  and  $p^r$ , respectively. Let  $c > 0$  be the number such that  $d^*x = cd^*x$ . Then the following statements hold:*

(a) *If  $r < n$ , then  $g(\omega, \lambda) = 0$ .*

(b) *If  $r = n = 0$ , then  $|g(\omega, \lambda)|^2 = \text{Vol}(o_F^\times, d^*x)^2$ .*

(c) If  $r = n$ , then  $|g(\omega, \lambda)|^2 = c \text{Vol}(o_F, dx) \text{Vol}(U_r, d^*x)$ .

(d) If  $r > n$ , then  $|g(\omega, \lambda)|^2 = c \text{Vol}(o_F, dx) (\text{Vol}(U_r, d^*x)) - q^{-1} \text{Vol}(U_{r-1}, d^*x)$ .

Since the conductor of  $\psi$  is  $p^{-d}$ , then the conductor of  $\psi_{\pi_k}$  is  $(p^{-d-k})$ . By part (a), we have then since for  $k > -d - n$ ,  $g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) = 0$ . So

$$Z(f_n, \chi_{s,n}) = q^{(d+n)s} g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})$$

Since  $\tilde{\chi}$  and  $\psi_{\pi_F^{-d-n}}$  have conductor  $p^n$ , then from part (b) and (c) in the lemma above, we have  $g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) \neq 0$ . As such,  $X(f_n, \chi_{s,n})$  is essentially an exponential function with neither zeros nor poles. Recall that for  $n > 0$ ,  $L(\chi_{s,n}) = 1$  since  $\chi_{s,n}$  is not ramified. Thus

$$Z(f_n, \chi_{s,n}) = q^{(d+n)s} g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) L(\chi_{s,n})$$

Now compute the Fourier transform of the test function  $f$  to determine  $Z(\hat{f}, \hat{\chi}_{s,n})$ .

**Lemma 3.0.4.** For  $n = 0$ , we have  $\hat{f}_0(y) = \text{Vol}(p^{-d}, dx) \text{char}_{o_F}(y)$ , where  $\text{char}_{o_F}(y)$  is the characteristic function of  $o_F$ . For  $n > 0$ , we have  $\hat{f}_n(y) = \text{Vol}(p^{-d-n}, dx) 1_{p^n-1}(y)$ , where  $\text{char}_{p^n-1}(y)$  is the characteristic function of  $p^n - 1$ .

We have computed  $Z(f, \chi_{s,n})$  for the unramified and ramified cases, now compute  $Z(\hat{f}, \hat{\chi}_{s,n})$  for both cases. If  $n = 0$ , using the lemma and  $o_f - \{0\} = \bigcup_{k=0}^{\infty} \pi^k o_F^\times$ , we have

$$\begin{aligned} Z(\hat{f}, \hat{\chi}_{s,n}) &= \int_{F^\times} f_0(y) \hat{\chi}_{s,0}(y) d^*y \\ &= \text{Vol}(p^{-d}, dx) \int_{o_F - \{0\}} \hat{\chi}_{s,0}(y) d^*y \\ &= \text{Vol}(p^{-d}, dx) \sum_{k=0}^{\infty} \int_{\pi^k o_F^\times} |y|_F^{1-s} d^*y \\ &= \text{Vol}(p^{-d}, dx) \text{Vol}(o_F^\times, d^*x) \sum_{k=0}^{\infty} q^{-k(1-s)} \\ &= \text{Vol}(p^{-d}, dx) \text{Vol}(o_F^\times, d^*x) \frac{1}{1 - q^{1-s}} \\ &= \text{Vol}(p^{-d}, dx) \text{Vol}(o_F^\times, d^*x) \frac{1}{1 - \hat{\chi}_{s,0}(\pi_F)} \\ &= \text{Vol}(p^{-d}, dx) \text{Vol}(o_F^\times, d^*x) L(\hat{\chi}_{s,0}) \\ &= q^d \text{Vol}(o_F, dx) \text{Vol}(o_F^\times, d^*x) L(\hat{\chi}_{s,0}) \end{aligned}$$

Consequently, from equation about  $Z(f_0, \chi_{s,0})$ , we have

$$\gamma(\chi_{s,0}, \psi, dx) = q^{-d(s-1)} \text{Vol}(o_F, dx) \frac{L(\hat{\chi}_{s,0})}{L(\chi_{s,0})}$$



and

$$\epsilon(\chi_{s,0}, \psi, dx) = q^{-d(s-1)} \text{Vol}(o_F, dx)$$

Now consider  $n > 0$ . by definition  $\chi_{s,n}^\wedge = \chi_{s,n}^{-1} \cdot |_F = \tilde{\chi}^{-1} \cdot |_F^{1-s}$ . Since  $\tilde{\chi}$  is unitary, then  $\tilde{\chi}^{-1} = \bar{\tilde{\chi}}$ . Note that the conductor of  $\chi_{s,n}^\wedge$ , which is just the conductor of  $\tilde{\chi}^{-1}$ , is also  $n$ .

Using this fact and the lemma, and that  $L(\chi_{s,n}) = 1$ , we have

$$\begin{aligned} Z(\hat{f}_n, \chi_{s,n}^\wedge) &= \text{Vol}(p^{-d-n}, dx) \int_{p^{n-1}} \bar{\tilde{\chi}}(\hat{y}) |y|_F^{1-s} d^*y \\ &= \text{Vol}(p^{-d-n}, dx) \int_{p^{n-1}} \bar{\tilde{\chi}}(y) d^*y \\ &= \text{Vol}(p^{-d-n}, dx) \int_{1+p^n} \bar{\tilde{\chi}}(-y) d^*y \\ &= q^{d+n} \text{Vol}(o_F, dx) \bar{\tilde{\chi}}(-1) \int_{1+p^n} \bar{\tilde{\chi}} d^*y \\ &= q^{d+n} \text{Vol}(o_F, dx) \text{Vol}(1+p^n, d^*x) \bar{\tilde{\chi}}(-1) L(\chi_{s,n}) \end{aligned}$$

If  $n > 0$ , then by applying the translation invariance of the Haar measure, we have

$$\text{Vol}(U_n, d^*x) = \int_{U_n} d^*x = c \int_{(1+p^n) - \{0\}} |x|_F^{-1} dx = c \int_{p^n} dx = c \text{Vol}(p^n, dx) = cq^{-n} \text{Vol}(o_F, dx).$$

As such,

$$Z(\hat{f}_n, \chi_{s,n}^\wedge) = cq^d \text{Vol}^2(o_F, dx) \bar{\tilde{\chi}}(-1) L(\chi_{s,n})$$

Therefore, we have

$$\epsilon(\psi_{s,n}, \psi, dx) = \gamma(\chi_{s,n}, \psi, dx) = \frac{cq^d q^{-(d+n)s} \text{Vol}^2(o_F, dx) \bar{\tilde{\chi}}(-1)}{g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})}$$

Applying the translation invariance of the Haar measure  $d^*u$ , we obtain

$$\begin{aligned} \overline{g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})} &= \overline{\int_{o_F^\times} \tilde{\chi}(u) \psi(\pi_F^{-d-n} u) d^*u} \\ &= \overline{\int_{o_F^\times} \bar{\tilde{\chi}}(u) \psi(-\pi_F^{-d-n} u) d^*u} \\ &= \bar{\tilde{\chi}}(-1) \overline{\int_{o_F^\times} \bar{\tilde{\chi}}(u) \psi(\pi_F^{-d-n} u) d^*u} \\ &= \bar{\tilde{\chi}}(-1) \overline{g(\bar{\tilde{\chi}}, \psi_{\pi_F^{-d-n}})} \end{aligned}$$

Since the conductor of  $\tilde{\chi}$  and  $\psi_{-d-n}$  is  $p^n$ , then

$$\overline{g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})} \overline{g(\bar{\tilde{\chi}}, \psi_{\pi_F^{-d-n}})} = c \text{Vol}(o_F, dx) \text{Vol}(1+p^n, d^*x) = c^2 q^{-n} \text{Vol}^2(o_F, dx)$$

Consequently, for  $n > 0$  we have

$$\begin{aligned}\epsilon(\psi_{s,n}, \psi, dx) &= \frac{cq^d q^{(-d-n)s} \text{Vol}^2(o_F, dx) \tilde{\chi}(-1)}{g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})} \frac{\tilde{\chi}(-1) g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})}{g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})} \\ &= \frac{cq^d q^{(-d-n)s} \text{Vol}^2(o_F, dx) g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})}{c^2 q^{-n} \text{Vol}^2(o_F, dx)} \\ &= \frac{1}{c} q^{(-d-n)(s-1)} g(\tilde{\chi}, \psi_{\pi_F^{-d-n}})\end{aligned}$$

Consider the Gauss sum of  $\tilde{\chi}$  and  $\psi_{\pi_F^{-d-n}}$  defined by

$$g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) = \int_{o_F^\times} \tilde{\chi}(u) \psi_{\pi_F^{-d-n}}(u) d^*u$$

Since  $\psi$  has conductor  $p^{-d}$ , then  $\psi_{\pi_F^{-d-n}}$  defined by  $\psi_{\pi_F^{-d-n}}(x) = \psi(\pi_F^{-d-n}x)$ , has conductor  $p^n$ . For  $a \in U/U_n$  and  $1 + n\pi_F^n \in U_n$ , we have

$$\psi_{\pi_F^{-d-n}}(a(1 + \pi_F^n b)) = \psi_{\pi_F^{-d-n}}(a) \psi_{\pi_F^{-d-n}}(a\pi_F^n b) = \psi_{\pi_F^{-d-n}}(a)$$

because  $p^n = \pi_F^n o_F$  is the conductor of  $\psi_{\pi_F^{-d-n}}$ . As such,

$$\begin{aligned}g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) &= \sum_{x \in U/U_n} \psi_{\pi_F^{-d-n}}(x) \tilde{\chi} d^*u \\ &= \text{Vol}(U_n, d^*x) \sum_{x \in U/U_n} \tilde{\chi}(x) \psi_{\pi_F^{-d-n}}(x) \\ &= cq^{-n} \text{Vol}(o_F, dx) \sum_{x \in U/U_n} \tilde{\chi}(x) \psi_{\pi_F^{-d-n}}(x)\end{aligned}$$

Therefore,

$$\epsilon(\psi_{s,n}, \psi, dx) = \frac{1}{c} q^{(-d-n)(s-1)} g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) = q^{(-d)(s-1)} q^{-ns} \text{Vol}(o_F, dx) \sum_{x \in U/U_n} \tilde{\chi}(x) \psi_{\pi_F^{-d-n}}(x)$$

For  $F$  non-Archimedean case, we have

$$h(f_n, \chi_{s,n}, \psi, dx) = \begin{cases} q^{ds} \text{Vol}(o_F^\times, d^*x) & n = 0 \\ q^{(d+n)s} g(\tilde{\chi}, \psi_{\pi_F^{-d-n}}) & n > 0 \end{cases}$$

and

$$h(\hat{f}_n, \hat{\chi}_{s,n}, \psi, dx) = \begin{cases} q^d \text{Vol}(o_F, dx) \text{Vol}(o_F^\times, d^*x) & n = 0 \\ cq^d \text{Vol}^2(o_F, dx) \tilde{\chi}(-1) & n > 0 \end{cases}$$

where  $p$  is the unique prime ideal of  $F$ ,  $q$  is the order of the residue field  $o_F/po_F$ ,  $p^{-d}$  is the conductor of the additive character  $\psi$ ,  $p^n$  is the conductor of  $\chi_{s,n}$ , and  $\tilde{\chi}$  is the restriction of  $\chi_{s,n}$  to  $o_F$ . Furthermore, note the dependence of  $h$  on  $dx$  and on  $d^*x$ .

Therefore, for p-adic characteristic zero local fields, we have

$$\gamma(\chi, \psi, dx) = \epsilon(\chi, \psi, dx) \frac{L(\hat{\chi})}{L(\chi)}$$

where  $\epsilon(\chi, \psi, dx)$  is an entire function of  $s$  whose image lies in  $\mathbb{C}^\times$ . Applying (b), we have

$$L(\chi)Z(\hat{f}, \hat{\chi}) = \epsilon(\chi, \psi, dx)Z(f, \chi)$$

Since  $L(\chi)$ ,  $L(\hat{\chi})$  and  $\epsilon(\chi, \psi, dx)$  do not have zeros, then the poles of  $Z(f, \chi)$  are no worse than those of  $L(\chi)$ , which is independent of  $f$ .  $\square$

## Chapter 4

# Fourier-Whittaker Expansions of Cusp Forms for $GL_n$

Let  $k$  denotes a global field,  $\mathbb{A}$  its ring of adeles and  $\psi$  denotes a continuous additive character of  $\mathbb{A}$  which is trivial on  $k$ .  $(\pi, V_\pi)$  is a cuspidal automorphic representation of  $GL_n(\mathbb{A})$ .

Let  $\varphi(g) \in V_\pi$  be a cusp form in the space of  $\pi$ . If  $f(\tau)$  is a holomorphic cusp form on the upper half plane  $\mathfrak{h}$  with respect to  $SL_2(\mathbb{Z})$ , then  $f$  is invariant under integral translation,  $f(\tau + 1) = f(\tau)$  and thus has a Fourier expansion of the form

$$f(\tau) = \sum_{n=1}^{\infty} a_n e^{2\pi i n \tau}$$

If  $\varphi(g)$  is a smooth cusp form on  $GL_2(\mathbb{A})$ , then the translations correspond to the maximal unipotent subgroup  $N_2 = \left\{ n = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \right\}$  and  $\varphi(ng) = \varphi(g)$  for  $n \in N_2(k)$ . So, if  $\psi$  is any continuous character of  $k \backslash \mathbb{A}$  we can define  $\psi$ -Fourier coefficient or  $\psi$ -Whittaker function by

$$W_{\varphi, \psi}(g) = \int_{k \backslash \mathbb{A}} \varphi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi^{-1}(x) dx$$

We have the corresponding Fourier expansion

$$\varphi(g) = \sum_{\psi} W_{\varphi, \psi}(g)$$

If we fix a single non-trivial character  $\psi$  of  $k \backslash \mathbb{A}$ , then by standard duality theory, the additive characters of the compact group  $k \backslash \mathbb{A}$  are isomorphic to  $k$  via the map  $\gamma \in k \mapsto \psi_\gamma$  where  $\psi_\gamma$  is the character  $\psi_\gamma(x) = \psi(\gamma x)$ . By changing variables, we have  $W_{\varphi, \psi_\gamma}(g) = W_{\varphi, \psi} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} g \right)$  if  $\gamma \neq 0$ . If we set  $W_\varphi = W_{\varphi, \psi}$  for our fixed  $\psi$ , then the Fourier

expansion of  $\varphi$  becomes

$$\varphi(g) = W_{\varphi, \psi_0}(g) + \sum_{\gamma \in k^\times} W_\varphi \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

Since  $\varphi$  is cuspidal

$$W_{\varphi, \psi_0}(g) = \int_{k \setminus \mathbb{A}} \varphi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx \equiv 0$$

so the Fourier expansion for  $\varphi$  is

$$\varphi(g) = \sum_{\gamma \in k^\times} W_\varphi \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

As for the Fourier expansion for a cusp form  $\varphi$  on  $GL_n(\mathbb{A})$ , the translation correspond to the maximal unipotent subgroup  $N_n$ . Fix the non-trivial continuous character  $\psi$  of  $k \setminus \mathbb{A}$  as above. Extend it to a character of  $N_n$  by setting  $\psi(n) = \psi(x_{1,2} + \dots + x_{n-1,n})$  and define the associated Fourier coefficient or Whittaker function by

$$W_\varphi(g) = W_{\varphi, \psi}(g) = \int_{N_n(k) \setminus N_n(\mathbb{A})} \varphi(ng) \psi^{-1}(n) dn$$

Since  $\varphi$  is continuous and the integration is over a compact set, this integral is absolutely convergent, uniformly on compact sets.

**Theorem 4.0.1.** *Let  $\varphi \in V_\pi$  be a cusp form on  $GL_n(\mathbb{A})$  and  $W_\varphi$  its associated  $\psi$ -Whittaker function. Then*

$$\varphi(g) = \sum_{\gamma \in N_{n-1}(k) \setminus GL_{n-1}(k)} W_\varphi \left( \begin{pmatrix} \gamma & \\ & 1 \end{pmatrix} g \right)$$

*converges absolutely and uniformly on compact sets.*

*Proof.* Denote  $P_n$  the mirabolic subgroup stabilizing  $e_n = (0, \dots, 0, 1) \in k^n$  as

$$P_n = \left\{ p = \begin{pmatrix} h & y \\ & 1 \end{pmatrix} \mid h \in GL_{n-1}, y \in k^{n-1} \right\} \simeq GL_{n-1} \ltimes Y_n$$

where

$$Y_n = \left\{ y = \begin{pmatrix} I_{n-1} & y \\ & 1 \end{pmatrix} \mid y \in k^{n-1} \right\} \simeq k^{n-1}$$

□

Since  $P_n \supset N_n$ , we may define a Whittaker function attached to a cuspidal function  $\varphi$  on  $P_n(\mathbb{A})$  by

$$W_\varphi(p) = \int_{N_n(k) \setminus N_n(\mathbb{A})} \varphi(np) \psi^{-1}(n) dn.$$

We will prove by induction that for a cuspidal function  $\varphi$  on  $P_n(\mathbb{A})$ , we have

$$\varphi(p) = \sum_{\gamma \in N_{n-1}(k) \backslash GL_{n-1}(k)} W_\varphi \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} p \right)$$

converges absolutely and uniformly on compact sets.

The function on  $Y_n(\mathbb{A})$  given by  $y \mapsto \varphi(y p)$  is invariant under  $Y_n(k)$  since  $Y_n(k) \subset P_n(k)$  and  $\varphi$  is automorphic on  $P_n(\mathbb{A})$ . Hence by abelian Fourier theory for  $Y_n \simeq k^{n-1}$  we have

$$\varphi(p) = \sum_{\gamma \in (k^{n-1} \backslash \widehat{\mathbb{A}^{n-1}})} \varphi_\lambda(p)$$

where

$$\varphi_\lambda(p) = \int_{Y_n(k) \backslash Y_n(\mathbb{A})} \varphi(y p) \lambda^{-1}(y) dy$$

By duality theory,  $(k^{n-1} \backslash \widehat{\mathbb{A}^{n-1}}) \simeq k^{n-1}$ . In fact, if we let  $\langle, \rangle$  denote the pairing  $k^{n-1} \times k^{n-1} \rightarrow k$  by  $\langle x, y \rangle = \sum x_i y_i$  we have

$$\varphi(p) = \sum_{x \in k^{n-1}} \varphi_x(p)$$

so,

$$\varphi_x(p) = \int_{k^{n-1} \backslash \widehat{\mathbb{A}^{n-1}}} \varphi(y p) \psi^{-1}(\langle x, y \rangle) dy$$

$GL_{n-1}(k)$  acts on  $k^{n-1}$  with two orbits:  $\{0\}$  and  $k^{n-1} - \{0\} = SL_{n-1}(k) \cdot {}^t e_{n-1}$  where  $e_{n-1} = (0, \dots, 0, 1)$ . The stabilizer of  ${}^t e_{n-1}$  in  $GL_{n-1}(k)$  is  ${}^t P_{n-1}$ , therefore  $\varphi(p)$  can be written as

$$\varphi(p) = \varphi_0(p) + \sum_{\gamma \in GL_{n-1}(k) / {}^t P_{n-1}(k)} \varphi_{\gamma \cdot {}^t e_{n-1}}(p)$$

Since  $\varphi(p)$  is cuspidal and  $Y_n$  is a standard unipotent subgroup of  $GL_n$ ,

$$\varphi_0(p) = \int_{Y_n(k) \backslash Y_n(\mathbb{A})} \varphi(y p) dy \equiv 0$$

On the other hand,

$$\varphi_{\gamma \cdot {}^t e_{n-1}}(p) = \varphi_{{}^t e_{n-1}} \left( \begin{pmatrix} {}^t \gamma & 0 \\ 0 & 1 \end{pmatrix} p \right)$$

so

$$\varphi(p) = \sum_{\gamma \in P_{n-1}(k) \backslash GL_{n-1}(k)} \varphi_{{}^t e_{n-1}} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} p \right)$$

For  $n = 2$ , it is true for  $GL_2$  as we showed earlier.

We have  $P_n = GL_{n-1} \times Y_n$  and  $N_n = N_{n-1} \times Y_n$ , so  $N_{n-1} \backslash GL_{n-1} \simeq N_n \backslash P_n$ . Let  $\tilde{P}_{n-1} = P_{n-1} \times Y_n \subset P_n$ , then  $P_{n-1} \backslash GL_{n-1} \simeq \tilde{P}_{n-1} \backslash P_n$ , so

$$\varphi_{{}^t e_{n-1}}(y p) = \psi(y_{n-1}) \varphi_{{}^t e_{n-1}}(p), \quad y \in Y_n(\mathbb{A}) \simeq \mathbb{A}^{n-1}$$

so

$$\varphi(p) = \sum_{\gamma \in P_{n-1}(k) \backslash GL_{n-1}(k)} \varphi_{t_{e_{n-1}}} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} p \right) = \sum_{\delta \in \tilde{P}_{n-1}(k) \backslash P_n(k)} \varphi_{t_{e_{n-1}}}(\delta p)$$

Fix  $p \in P_n(\mathbb{A})$ , consider  $\varphi'(p') = \varphi'_p(p')$  on  $P_{n-1}(\mathbb{A})$  given by

$$\varphi'(p') = \varphi_{t_{e_{n-1}}} \left( \begin{pmatrix} p' & 0 \\ 0 & 1 \end{pmatrix} p \right)$$

$\varphi'$  is left invariant by  $P_{n-1}(k)$  and cuspidal on  $P_{n-1}(\mathbb{A})$ , then apply the inductive assumption:

$$\varphi'(p') = \sum_{\gamma' \in N_{n-2}(k) \backslash GL_{n-2}(k)} W_{\varphi'} \left( \begin{pmatrix} \gamma' & 0 \\ 0 & 1 \end{pmatrix} p' \right) = \sum_{\delta \in N_{n-1}(k) \backslash P_{n-1}(k)} W_{\varphi'}(\delta' p')$$

Therefore

$$\begin{aligned} \varphi(p) &= \sum_{\delta \in \tilde{P}_{n-1}(k) \backslash P_n(k)} \varphi_{t_{e_{n-1}}}(\delta p) \\ &= \sum_{\delta \in \tilde{P}_{n-1}(k) \backslash P_n(k)} \varphi'_{\delta p}(1) \\ &= \sum_{\delta \in \tilde{P}_{n-1}(k) \backslash P_n(k)} \sum_{\delta' \in N_{n-1} \backslash P_{n-1}} W_{\varphi'_{\delta p}}(\delta') \end{aligned}$$

Then

$$\begin{aligned} W_{\varphi'_{\delta p}}(\delta') &= \int_{N_{n-1}(k) \backslash N_{n-1}(\mathbb{A})} \varphi'_{\delta p}(n' \delta') \psi^{-1}(n') dn' \\ &= \int_{N_{n-1}(k) \backslash N_{n-1}(\mathbb{A})} \int_{Y_n(k) \backslash Y_n(\mathbb{A})} \varphi'(yn' \delta' \delta p) \psi^{-1}(y_{n-1}) \psi^{-1}(n') dy dn' \\ &= \int_{N_n(k) \backslash N_n(\mathbb{A})} \varphi(n \delta' \delta p) \psi^{-1}(n) dn \\ &= W_{\varphi}(\delta' \delta p) \end{aligned}$$

so

$$\begin{aligned} \varphi(p) &= \sum_{\delta \in \tilde{P}_{n-1}(k) \backslash P_n(k)} \sum_{\delta' \in N_{n-1} \backslash \tilde{P}_{n-1}} W_{\varphi}(\delta' \delta p) \\ &= \sum_{\delta \in N_n(k) \backslash P_n(k)} W_{\varphi}(\delta p) \\ &= \sum_{\gamma \in N_{n-1}(k) \backslash GL_{n-1}(k)} W_{\varphi} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} p \right) \end{aligned}$$

If  $\varphi$  is a cusp form on  $GL_n(\mathbb{A})$ , then for  $g$  in a compact subset  $\Omega$ ,  $\varphi_g(p) = \varphi(pg)$  form a compact family of cuspidal functions on  $P_n(\mathbb{A})$  under the weak operator topology, so

$$\varphi_g(1) = \sum_{\gamma \in N_{n-1}(k) \backslash GL_{n-1}(k)} W_{\varphi_g} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} \right)$$

converges absolutely and uniformly, hence  $\varphi(g) = \sum_{\gamma \in N_{n-1}(k) \backslash GL_{n-1}(k)} W_{\varphi} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} g \right)$

converges absolutely and uniformly for  $g \in \Omega$ .



## Chapter 5

# The Global Construction for $GL_6$

Let  $F$  be a global field, and  $\mathbb{A}$  its ring of adeles. Let

$$J = \begin{pmatrix} & & & & & 1 \\ & & & & & \\ & & & & & \\ & & & & 1 & \\ & & & & & \\ & & & -1 & & \\ & & -1 & & & \\ -1 & & & & & \end{pmatrix}.$$

Define

$$GSp_6 = \{g \in Gl_6 : {}^t g J g = \mu(g) J, \mu(g) \text{ a scalar}\}$$

where  ${}^t g$  denotes the transpose of  $g$ . Let  $Q$  denote the Siegel parabolic of  $GSp_6$ , i.e.,

$$Q = (GL_1 \times GL_3)R$$

We can identify  $GL_1 \times GL_3$  with

$$m : (\alpha, g) \mapsto \begin{pmatrix} \alpha g & \\ & g^* \end{pmatrix}, \quad \alpha \in GL_1, g \in GL_3$$

where  $g^* = \begin{pmatrix} & & 1 \\ & 1 & \\ 1 & & \end{pmatrix} {}^t g^{-1} \begin{pmatrix} & & 1 \\ & 1 & \\ 1 & & \end{pmatrix}$ .

$R$  can be identified with

$$S = \{Y \in M_{3,3} : {}^t Y \begin{pmatrix} & & 1 \\ & 1 & \\ 1 & & \end{pmatrix} = \begin{pmatrix} & & 1 \\ & 1 & \\ 1 & & \end{pmatrix} Y\}$$

by the inverse of

$$Y \mapsto u(Y) = \begin{pmatrix} I & Y \\ & I \end{pmatrix}, Y \in S$$

Let  $\varphi$  be a nontrivial additive character of  $F \backslash \mathbb{A}$ , let  $N$  be the maximal standard unipotent subgroup of  $GL_6$ , thus  $N$  consists of all upper unipotent matrices. Given  $n = (n_{ij}) \in N$ , we define

$$\psi_N(n) = \psi(n_{12} + n_{23} + n_{34} - n_{45} + n_{56})$$

Let  $\pi$  be an irreducible cuspidal representation on  $GL_6(\mathbb{A})$ , with a central character  $\omega_\pi$ , we shall denote its space as  $V_\pi$  in  $L_0^2(Z(\mathbb{A})GL_6(F) \backslash GL_6(\mathbb{A}), \omega_\pi)$ , where  $Z$  is the center of  $GL_6$ . We assume that  $\pi$  is generic, which means that the space of the functions generated by

$$W_\varphi(g) = \int_{N(F) \backslash N(\mathbb{A})} \varphi(n g) \psi_N(n) dn, \quad \varphi \in V_\pi, g \in GL_6(\mathbb{A})$$

is not identically zero. We call the space of functions above form the Whittaker model of  $\pi$  and denote it by  $\mathcal{W}(\pi, \psi)$ .

To construct the Eisenstein seires, we define a character  $\chi_\pi$  of  $Q(\mathbb{A})$  as follows. Let  $\chi$  be a unitary character of  $F^* \backslash \mathbb{A}^*$ . Define  $\chi_\pi$  on the embedding of  $GL_1(\mathbb{A}) \times GL_3(\mathbb{A})$  in  $GS p_6(\mathbb{A})$  as

$$\chi_\pi(m(\alpha, g)) = (\omega_\pi \chi^3)(\alpha) (\omega_\pi \chi^2)(\det g)$$

where  $\alpha \in GL_1(\mathbb{A})$  and  $g \in GL_3(\mathbb{A})$ . We can extend  $\chi_\pi$  to  $Q(\mathbb{A})$  by letting it act trivially on  $R(\mathbb{A})$ .

Given  $s \in \mathbb{C}$ , set

$$I(s, \chi) = \text{Ind}_{Q(\mathbb{A})}^{GS p_6(\mathbb{A})} \delta_Q^s \chi_\pi$$

where  $\delta_Q$  is the modular function on  $Q$ . Given  $f_s \in I(s, \chi_\pi)$ , then it satisfies

$$f_s(m(\alpha, g) r h) = (\omega_\pi \chi^3)(\alpha) (\omega_\pi \chi^2)(\det g)$$

Define the Siegel Eisenstein series as (for  $\Re(s)$  large)

$$E(g, f_s, \chi, s) = \sum_{\gamma \in Q(F) \backslash GS p_6(F)} f_s(\gamma g) \quad g \in GS p_6(\mathbb{A})$$

The theory of Langlands on Eisenstein series shows that the above series converges absolutely for  $\Re s$  large enough, has a meromorphic continuation to the whole complex plane, and satisfies a certain functional equation.

Let

$$w = \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 0 & 1 & & \\ & & 1 & 0 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \in \mathrm{GL}_6$$

and set  $j(g) = wgw^{-1}$  for  $g \in \mathrm{GSp}_6$ . Our global integral is

$$I(\varphi, f_s, \chi, s) = \int_{Z(\mathbb{A})\mathrm{GSp}_6(F)\backslash\mathrm{GSp}_6(\mathbb{A})} \varphi(j(g))E(g, f_s, \chi, s)dg$$

Here  $Z$  is the center of  $\mathrm{GSp}_6$ , which is also the center of  $\mathrm{GL}_6$ . We have

**Theorem 5.0.1.** *The integral  $I(\varphi, f_s, \chi, s)$  converges absolutely for all  $s \in \mathbb{C}$  except where the Eisenstein series has a pole.*

*Proof.* Given an integer  $N$ , we have

$$|\varphi(j(g)\omega)| \leq C_{N,\varphi}|g|^N$$

where  $C_{N,\varphi}$  is a constant depending on  $N$  and  $\varphi$ , and  $\omega$  is in any given compact set. From reduction theory, we can write  $\mathrm{GSp}_6(\mathbb{A}) = Z(\mathbb{A})\mathrm{GSp}_6(F)T_\epsilon\Omega$ , where  $\Omega$  is a compact set and

$$T_\epsilon = \left\{ t = \begin{pmatrix} abc & & & & & \\ & ab & & & & \\ & & a & & & \\ & & & 1 & & \\ & & & & b^{-1} & \\ & & & & & b^{-1}c^{-1} \end{pmatrix} : |a|, |b|, |c| > \epsilon \right\}$$

We may assume that every  $t \in T_\epsilon$  has entry 1 at all the finite places, and some fixed positive real number at the infinite places. Then we can write our integral as

$$I = \int_{\Omega} \int_{T_\epsilon} \varphi(j(t))E(t\omega, f_s, \chi, s)dt d\omega$$

Since  $|\omega_\pi| = 1$ , we may pull out the center, and using the fact that  $E(t\omega, f_s, \chi, s)$  is a slowly increasing function, we finished the proof of the absolute convergence of  $I$  for  $s \in \mathbb{C}$  which is not a pole of the Eisenstein series.  $\square$

The next step is to prove  $I$  is Eulerian:

**Theorem 5.0.2.** For  $\Re(s)$  large we have

$$I(\varphi, f_s, \chi, s) = \int_{Z(\mathbb{A})V(\mathbb{A})\backslash GSp_6(\mathbb{A})} \int_{\mathbb{A}} W_\varphi(X(r)j(g))f_s(g)drdg$$

where  $V$  is the maximal unipotent of  $GSp_6$  such that  $V \subset N$ .

*Proof.* We will use these notations for the rest of this proof:

$$\begin{aligned} R^3 &= R \\ R^2 &= \{r_2(y_1, y_2) \in V : r_2(y_1, y_2) = I_6 + y_1(e_{12} - e_{56}) + y_2(e_{13} - e_{46})\} \\ R^1 &= \{r_1(y) \in V : r_1(y) = I_6 + y(e_{23} - e_{45})\} \\ R_c^3 &= \{r_3^c(y_1, y_2, y_3) \in V : r_3^c(y_1, y_2, y_3) = I_6 + y_1e_{26} + y_2e_{35} + y_3e_{46}\} \\ R_c^2 &= \{r_2^c(y_1, y_2) \in V : r_2^c(y_1, y_2) = I_6 + y_1e_{12} + y_2e_{13}\} \\ R_c^1 &= \{r_1^c(y_1) \in V : r_1^c(y_1) = I_6 + y_1e_{23}\} \\ V^3 &= R^3R_c^3 \\ V^2 &= R^2R_c^2V^3 \\ V^1 &= R^1R_c^1V^2 = V \end{aligned}$$

We first carry out the standard unfolding process of the Eisenstein series.

$$\begin{aligned} I(\varphi, f_s, \chi, s) &= \int_{ZGSp_6(F)\backslash GSp_6(\mathbb{A})} \varphi(j(g))E(g, f_s, \chi, s)dg \\ &= \int_{ZGSp_6(F)\backslash GSp_6(\mathbb{A})} \varphi(j(g)) \left( \sum_{\gamma \in Q(F)\backslash GSp_6(F)} f_s(\gamma g) \right) dg \\ &= \int_{Z(\mathbb{A})Q(F)\backslash GSp_6(\mathbb{A})} \varphi(j(g))f_s(g)dg \\ &= \int_{Z(\mathbb{A})GL_3(F)R^3(\mathbb{A})\backslash GSp_6(\mathbb{A})} \int_{R^3(F)\backslash R^3(\mathbb{A})} \varphi(j(r_3g))f_s(g)dr_3dg \end{aligned}$$

Consider the Fourier expansion with respect to  $j(R_c^3)(F\backslash\mathbb{A})$ , then

$$\begin{aligned} I(\varphi, f_s, \chi, s) &= \int \sum_{\alpha, \beta, \gamma} \int \varphi [j((r_3^c(y_1, y_2, y_3))r_3g)] \psi(\alpha y_1 + \beta y_2 + \gamma y_3) f_s(g) dy_i dr_3 dg \\ &= \int \sum_{\alpha, \beta, \gamma} \int \varphi \left[ j \left( \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} r_3g \right) \right] \psi(\alpha y_1 + \beta y_2 + \gamma y_3) f_s(g) \\ &\quad dy_i dr_3 dg \end{aligned}$$

where  $y_i$  are integrated on  $F \setminus \mathbb{A}$ ,  $r_3$  is integrated on  $R^3(F) \setminus R^3(\mathbb{A})$ , and  $g$  is integrated on  $Z(\mathbb{A})GSp_6(F) \setminus GSp_6(\mathbb{A})$ .

Since  $\varphi$  is invariant under  $GL_6(F)$ , we have

$$\varphi(x_3(\alpha, \beta, \gamma)h) = \varphi(h), \quad h \in GL_6(\mathbb{A}), \alpha, \beta, \gamma \in F$$

Denote  $v_3 = r_3^c r_3$ , then

$$\begin{aligned} I(\varphi, f_s, \chi, s) &= \int \sum_{\alpha, \beta, \gamma} \int \varphi [j((r_3^c(y_1, y_2, y_3)) r_3 g)] \psi(\alpha y_1 + \beta y_2 + \gamma y_3) f_s(g) dy_i dr_3 dg \\ &= \int \sum_{\theta} \int \varphi [j(v) r g] \theta(v) f_s(g) dv dg \\ &= \int \sum_{\theta} \int_{M_{3,3}(F) \setminus M_{3,3}(\mathbb{A})} \varphi \left( j \left( \begin{pmatrix} I & X \\ & I \end{pmatrix} \right) g \right) f_s(g) dX \end{aligned}$$

here  $\theta$  is summed up for all characters on  $R_c^3$ , hence can be considered on all  $X \in M_{3,3}$ ,  $g$  is integrated on  $Z(\mathbb{A})GSp_6(F) \setminus GSp_6(\mathbb{A})$ .

The group  $GL_1 \times GL_3$ , the Levi part of  $Q(F)$ , acts on the group of characters of  $R_c^3$  modulo  $R^3$  with two orbits, one is the trivial orbit, and we can choose a representative of the open orbit  $\psi_1$  as  $\psi_1(X) = \psi(x_4 - x_8)$ .

For the orbit with respect to the trivial character, we get

$$\int \int_{M_{3,3}(F) \setminus M_{3,3}(\mathbb{A})} \varphi \left( j \left( \begin{pmatrix} I & X \\ & I \end{pmatrix} \right) g \right) f_s(g) dX dg$$

where the inner integral  $\int_{M_{3,3}(F) \setminus M_{3,3}(\mathbb{A})} \varphi \left( j \left( \begin{pmatrix} I & X \\ & I \end{pmatrix} \right) g \right) dX = 0$  since  $\varphi$  is cuspidal.

As for the other orbit, the representative  $\psi_1$  as  $\psi_1(X) = \psi(x_4 - x_8)$  has stabilizer in  $GL_1 \times GL_3$  as  $GL_2(F)R^2(F)GL_2(F)R^2(F)$ , embedded in  $GSp_6$  as

$$\begin{pmatrix} |g| & & & & & \\ & g & & & & \\ & & g^* & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & y_1 & y_2 & & & \\ & 1 & 0 & & & \\ & & 1 & & & \\ & & & 1 & 0 & -y_2 \\ & & & & 1 & -y_1 \\ & & & & & 1 \end{pmatrix}$$

where  $g \in GL_2$  and  $y_1, y_2 \in F$ .

$$\begin{aligned} I(\varphi, f_s, \chi, s) &= \int_{Z(\mathbb{A})GL_2(F)R^2(F)R^3(\mathbb{A}) \setminus GSp_6(\mathbb{A})} \int_{M_{3,3}(F) \setminus M_{3,3}(\mathbb{A})} \varphi \left( j \left( \begin{pmatrix} I & X \\ & I \end{pmatrix} \right) g \right) \psi_1(X) \\ &\quad f_s(g) dX dg \end{aligned}$$

Now consider the Fourier expansion with respect to  $j(R_c^2)(F \backslash \mathbb{A})$ .  $GL_2(F)$  act on the character group of  $j(R_c^2)(F) \backslash j(R_c^2)(\mathbb{A})$  with two orbits: one is the trivial orbit, and we can choose a representative of the open orbit as  $\psi_2(n) = \psi(n_{12} + n_{24} - n_{35} + n_{56})$ , since  $GL_1 \cdot R_1$  is the stabilizer of  $\psi_2$  in  $GL_2 R^2$ , where  $GL_1 \cdot R_1$  is embedded in  $GS p_6$  as

$$\left( \begin{array}{cccc} \alpha & & & \\ & \alpha & & \\ & & 1 & \\ & & & \alpha \\ & & & & 1 \\ & & & & & 1 \end{array} \right) \left( \begin{array}{cccc} 1 & & & \\ & 1 & \beta & \\ & & 1 & \\ & & & 1 & -\beta \\ & & & & 1 \\ & & & & & 1 \end{array} \right) \quad \alpha \in GL_1, \beta \in F.$$

The trivial orbit contributes

$$\int \int \varphi(j/ng)) f_s(g) dndg$$

which will be zero since  $\varphi$  is cuspidal.

So we have

$$I(\varphi, f_s, \chi, s) = \int_{Z(\mathbb{A})GL_1(F)R^1(F)V^3(\mathbb{A}) \backslash GS p_6(\mathbb{A})} \int_{V^2(F) \backslash V^2(\mathbb{A})} \varphi(j/ng)) \psi_2(n) f_s(g) dndg.$$

where  $V^2 = R^2 R_c^2 V^3$ . Consider the Fourier expansion with respect to the unipotent group  $R_c^1 = \{j(I + ye_{23})\}$ , then

$$\int_{V^2(F) \backslash V^2(\mathbb{A})} \varphi(j/ng)) \psi_{V^2}(n) dn = \int_{V^2(F) \backslash V^2(\mathbb{A})} \sum_{\alpha \in F} \int_{F \backslash \mathbb{A}} \varphi(j(X_1(m)ng)) \psi_2(n) \psi(\alpha m) dmdn$$

where  $X_1(m) = I + me_{23}$ . Let  $N_3$  be the unipotent subgroup of  $N_2$  for which  $n_{34} = 0$ . Thus

$$N_2 = N_3 \left( \begin{array}{cccc} 1 & & & \\ & 1 & & \\ & & 1 & r \\ & & & 1 \\ & & & & 1 \\ & & & & & 1 \end{array} \right)$$

Notice that

$$X(r) = j \left( \begin{array}{cccc} 1 & & & \\ & 1 & & \\ & & 1 & r \\ & & & 1 \\ & & & & 1 \\ & & & & & 1 \end{array} \right)$$

Since  $\varphi$  is invariant under rational points, it equals to

$$\int_{N_3(F)\backslash N_3(\mathbb{A})} \sum_{\alpha \in F} \int_{(F\backslash\mathbb{A})^2} \varphi[X(\alpha)j(X_1(m)n)X(r)j(g)]\psi_{N_3}(n)\psi(\alpha m)drdmdn$$

then by conjugating  $X(\alpha)j(X_1(m)n)X(r)$ , it equals to

$$\int_{N_3(F)\backslash N_3(\mathbb{A})} \sum_{\alpha \in F} \int_{(F\backslash\mathbb{A})^2} \varphi[j(X_1(m)n)X(\alpha+r)X(\alpha+r)^{-1}j(X_1(m)n)^{-1}X(\alpha)j(X_1(m)n)X(r)j(g)]\psi_{N_3}(n)drdmdn$$

and by changing the variable for  $g$ , it is

$$\int_{N_3(F)\backslash N_3(\mathbb{A})} \sum_{\alpha \in F} \int_{(F\backslash\mathbb{A})^2} \varphi[j(X_1(m)n)X(\alpha+r)j(g)]\psi_{N_3}(n)drdmdn$$

Collapsing the summation and integration over  $\alpha$  and  $r$ , we have

$$\int_{\mathbb{A}} \int_{N_4(F)\backslash N_4(\mathbb{A})} \varphi(j(n)X(r)j(g))\psi_{N_4}(n)dndr$$

where  $N_4$  is the unipotent subgroup of  $N$  given by all matrices of the form

$$\begin{pmatrix} 1 & & & & & \\ & 1 & & * & & \\ & & 1 & 0 & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix}$$

Also  $\psi_{N_4}$  is extended trivially from  $\psi_{N_3}$ . Consider the Fourier expansion with respect to  $j(I + me_{43})$  with  $m \in F\backslash\mathbb{A}$ , the trivial orbit is 0 by cuspidality as before, and by taking the representative  $\psi_4(I + ym_{34}) = \psi(y)$ , then

$$\begin{aligned} I(\varphi, f_s, \chi, s) &= \int_{\mathbb{A}} \int_{\mathbb{A}} \sum_{\alpha \in F} \int_{F\backslash\mathbb{A}} \int_{N_4(F)\backslash N_4(\mathbb{A})} \varphi(j((I + me_{43})n)X(r)j(g)) \times \psi_{N_4}(n) \\ &\quad \psi(\alpha m)f_s(g)dndmdrdg \\ &= \int_{Z(\mathbb{A})V(\mathbb{A})\backslash GSp_6(\mathbb{A})} \int_{\mathbb{A}} \int_{N(F)\backslash N(\mathbb{A})} \varphi(nX(r)j(g))\psi_N(n)f_s(g)dndg \\ &= \int_{Z(\mathbb{A})V(\mathbb{A})\backslash GSp_6(\mathbb{A})} \int_{\mathbb{A}} W_\varphi(X(r)j(g))f_s(g)drdg \end{aligned}$$

which is the identity we need to prove.

Now we need to justify the unfolding process by showing the absolute convergence of the above integrals for  $\Re s$  large.

We started by showing the integral

$$\int |W_\varphi[j(X(r))g]f_s(g)|drdg$$

converges for  $\Re s$  large. Here  $g$  is integrated over  $Z(\mathbb{A})V(\mathbb{A})\backslash GSp_6(\mathbb{A})$ ,  $r$  over  $\mathbb{A}$ . From the Iwasawa decomposition of  $GSp_6(\mathbb{A})$ , it is enough to show the convergence of

$$\int |W_\varphi[j(X(r))t'k]|a^2b^4c^8|s\delta_{B_0}^{-1}(t')|drdt'dk$$

Here  $r$  is integrated over  $\mathbb{A}$ ,  $k$  over the maximal standard compact subgroup of  $GSp_6(\mathbb{A})$ ,  $t'$  over the maximal torus of  $GSp_6(\mathbb{A})$  modulo  $Z(\mathbb{A})$  parametrized by

$$\begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & a & & & \\ & & & 1 & & \\ & & & & c^{-1} & \\ & & & & & b^{-1}c^{-1} \end{pmatrix} \in GSp_6$$

We denote by  $\delta_{B_0}$  the modular function of the Borel subgroup of  $GSp_6$  such that  $Q \supset B_0$ . Conjugate  $t'$  to the left, changing variables we obtain  $j(\bar{X}(rt'k)) = j(t't'^{-1}\bar{X}(r)t'k) = j(t'\bar{X})(a^{-1}r)k$ , hence

$$\int |W_\varphi(tj(X(r))j(k))||t|^{\mu_s}|dtdrkd$$

where  $t = j(t')$  and

$$|t|^{\mu_s} = |a|^{2s-4}|b|^{4s-6}|c|^{8s-10}$$

The integration in  $t$  is on  $(\mathbb{A}^*)^3$ . For this proof only, we denote  $R = X(r)$ . Write the Iwasawa decomposition of  $r \in R$  as  $r = u_R t_R k_R$ , where  $u_R$  is the unipotent part,  $t_R$  the toral part, and  $k_R$  is in the maximal standard compact subgroup of  $GSp_6(\mathbb{A})$ . Thus we need to prove the convergence of

$$\int |W_\varphi(tt_R k_R j(k))||t|^{\mu_s}|dtdrkd$$

where the integration over  $r$  is on  $R(\mathbb{A})$ . Let  $f$  be a smooth function with compact support on  $GSp_6(\mathbb{A})$ . It follows from [4] that every  $W_\varphi$  is a finite sum of convolutions of the type

$$\int W_0(gh)f(h)dh$$

the integration over  $GSp_6(\mathbb{A})$ . Thus, it is enough to prove the convergence for these functions. For such functions

$$|W_\varphi(tt_R k_R j(k))| = \left| \int W_0(tt_R h)f(j(k)^{-1}k_R^{-1}h)dh \right|.$$

Write

$$\int_{GSp_6(\mathbb{A})} = \int_{V(\mathbb{A})\backslash GSp_6(\mathbb{A})} \int_{V(\mathbb{A})}$$



where  $V$  denotes the maximal unipotent of  $GS\mathfrak{p}_6$ . Thus, the right-hand side is equal to the absolute value of

$$\int W_0(tt_R h) \left( \int f(j(k)^{-1} k_R^{-1} u h) \bar{\psi}(tt_R u t_R^{-1} t^{-1}) du \right) dh$$

where  $u$  is integrated over  $V(\mathbb{A})$  and  $h$  over  $V(\mathbb{A}) \backslash GS\mathfrak{p}_6(\mathbb{A})$ . Since  $W_0$  is a Fourier coefficient of a cusp form, it is bounded uniformly, and hence the above integral is bounded by the absolute value of

$$\int f(j(k)^{-1} k_R^{-1} u h) \bar{\psi}(tt_R u t_R^{-1} t^{-1}) du dh$$

Following the same reasoning as in [8], we deduce that it is bounded by

$$\int_{R(\mathbb{A})} \int_{(\mathbb{A}^*)^3} \Phi\left(\frac{bt_1}{t_2}, \frac{ct_2}{t_3}, \frac{at_3}{t_4}, \frac{ct_4}{t_5}, \frac{bt_5}{t_6}\right) |t|^{\mu_s} d^* t dr \quad (5.1)$$

Here  $t_i$  are the torus elements of  $t_R$ , and  $\Phi$  is a fixed positive-valued Schwartz Bruhat function. Thus we may assume that  $\Phi$  is factorizable and, we are reduced to studying the local version. A change of variables in  $a, b, c$  shows that the local integrals equals

$$\int_{R(F)} \int_{(F^*)^3} \varphi\left(\frac{t_1}{t_2}, \frac{t_3}{t_4}, \frac{t_5}{t_6}, a, b, c\right) |a^2 b^4 c^8|^s |a^4 b^6 c^{10}|^{-1} \alpha_s(t_1, \dots, t_6) d^* a d^* b d^* c dr$$

where  $\varphi \geq 0$  a local Schwartz-Bruhat function, and  $\alpha_s$  depends on the absolute value of  $t_i$  of  $1 \leq i \leq 6$ . Here  $F$  stands for an arbitrary local field. This integral is convergent by Iwasawa-Tate thesis, and we will prove it later in details in local theory.  $\square$

## Chapter 6

# Unramified Computation

Let  $F$  be a nonarchimedean field. We will assume all data to be unramified in this section. Let  $\pi = \pi_v$  be an unramified admissible generic irreducible representation of  $GL_6$ , let  $K(GL_6)$  be the standard maximal compact subgroup. By this assumption, there exists a vector  $\xi \in V_\pi$ , such that  $\pi(k)\xi = \xi$ . The vector  $\xi$  is unique up to a scalar. Since we assumed that  $\pi$  is generic, there exists a unique vector  $W_v \in \mathcal{W}(\pi_v, \psi_v)$  such that  $W_v(k) = W_v(e) = 1$  for all  $k \in K(GL_6)$ . Let  $f_s$  denote the unramified vector in  $I(s, \chi_\pi)$  with  $f_s(e) = 1$ . Thus the central character  $\omega_\pi$  and  $\chi$  are unramified characters.

Since  $\pi$  is unramified, we may assume  $\pi = \text{Ind}_B^{GL_6} \delta_B^{1/2} \mu$  where  $B$  is the standard Borel subgroup of  $GL_6$ ,  $\delta_B^{1/2}$  is the modular function of  $B$  and  $\mu$  is an unramified character. Let  $T$  be the maximal torus of  $GL_6$ , and we may identify  $t \in T$  as  $t = \text{diag}(t_1, t_2, \dots, t_6)$ , so we can write  $\mu$  as

$$\mu(\text{diag}(t_1, t_2, \dots, t_6)n) = \prod_{i=1}^6 \mu_i(t_i), \quad t_i \in F^*, n \in N$$

From the general theory,  ${}^LGL_6 = \Lambda^3$ , where  $\Lambda^3$  is the exterior cube representation of  $GL_6(\mathbb{C})$ , and this representation has dimension 20. So to each  $\pi$ , we may attach a semi-simple conjugacy class  $t_\pi$  in  $GL_6(\mathbb{C})$  whose representative is chosen to be  $\text{diag}(\mu_1(p), \mu_2(p), \dots, \mu_6(p))$ . Define the local twisted exterior cube L-function by

$$L(\pi \otimes \chi, \Lambda^3, s) = \det[I - \Lambda^3(t_\pi)\chi(p)q^{-s}]^{-1},$$

where  $I$  is the  $20 \times 20$  identity matrix. In terms of coordinates, we have

$$L(\pi \otimes \chi, \Lambda^3, s) = \prod_{1 \leq i < j < k \leq 6} (1 - (\mu_i \mu_j \mu_k)(p)\chi(p)q^{-s})^{-1}$$

For a given character  $\omega$  of  $F^*$ , denote

$$L(\omega, s) = (1 - \omega(p)q^{-s})^{-1}.$$

Then we have

**Theorem 6.0.1.** *For all unramified data and for  $\Re s$  large,*

$$I(W, f_s, \chi, s) = \frac{L(\pi \otimes \chi, \Lambda^3, 2s - 1/2)}{L(\omega_\pi \chi^2, 4s) L(\omega_\pi^2 \chi^4, 8s - 2)}$$

*Proof.* The Iwasawa decomposition of  $GS p_6$  is  $GS p_6 = ZVT'K$ , where  $K$  is the maximal compact subgroup of  $GS p_6$ ,  $ZT'$  is the maximal torus of  $GS p_6$ . Let  $B'$  denote the Borel subgroup of  $GS p_6$ , so that  $B' = ZT'V$ . We can parametrize an element  $t' \in T$  as

$$t' = \text{diag}(abc, ac, 1, a, c^{-1}, b^{-1}c^{-1}) \quad a, b, c \in F^*$$

We have

$$\delta_{B'}(t') = |a^4 b^6 c^{10}|, \quad \delta_P(t') = |a^2 b^4 c^8|$$

Thus

$$I(W, f_s, \chi, s) = \int_{(F^*)^3} \int_F W \left[ \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & x & 1 \\ & & & & & 1 \\ & & & & & & 1 \end{pmatrix} j(t') \right] |a^2 b^4 c^8|^s \chi(ab^2 c^4) \omega_\pi(bc^2) |a^4 b^6 c^{10}|^{-1} dx d^* a d^* b d^* c$$

Here the measure on  $K$  is chosen so that  $\int_K dk = 1$ . Conjugating the torus to the left we obtain

$$\begin{aligned}
I(W, f_s, \chi, s) &= \int_{(F^*)^3} \int_F W \left[ j(t') \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & ax & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right] |a^2 b^4 c^8|^s \chi(ab^2 c^4) \omega_\pi(bc^2) |a^4 b^6 c^{10}|^{-1} \\
&\quad dx d^* a d^* b d^* c \\
&= \int_{(F^*)^3} \int_F W \left[ j(t') \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & y & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right] |a^2 b^4 c^8|^s \chi(ab^2 c^4) \omega_\pi(bc^2) |a^4 b^6 c^{10}|^{-1} \\
&\quad d \frac{y}{a} d^* a d^* b d^* c \\
&= \int_{(F^*)^3} \int_F W \left[ j(t') \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & y & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right] |a^2 b^4 c^8|^s \chi(ab^2 c^4) \omega_\pi(bc^2) |a^5 b^6 c^{10}|^{-1} \\
&\quad dy d^* a d^* b d^* c
\end{aligned}$$

We have, for  $|x| > 1$

$$\begin{aligned}
\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & x & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} &= \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & x^{-1} & & \\ & & & x & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & x & \\ & & & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \\
&\quad \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 0 & -1 & \\ & & 1 & x^{-1} & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix}
\end{aligned}$$

with  $\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 0 & -1 & \\ & & 1 & x^{-1} & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \in K$ . And since  $\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & x & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \in K$  when  $|x| \leq 1$ , we have

$$I(W, f_s, \chi, s) = \int_{(F^*)^3} W(j(t')) |a^2 b^4 c^8|^s |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4) \omega_\pi(bc^2) d^* ad^* bd^* c + \\ + \int_{(F^*)^3} \int_{|x| > 1} W \left( j(t') \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & x^{-1} & & \\ & & & x & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & x & \\ & & & 1 & \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right) \\ \times |a^2 b^4 c^8|^s |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4) \omega_\pi(bc^2) dx d^* ad^* bd^* c$$

Here the measure on  $F$  is chosen so that  $\int_{|x| \leq 1} dx = 1$ . Denote

$$t = j(t') = \text{diag}(abc, ac, a, 1, c^{-1}, b^{-1}c^{-1})$$

Changing variables  $a \rightarrow ax^2$ ,  $c \rightarrow cx^{-1}$  we obtain

$$\int_{(F^*)^3} W \left( \begin{pmatrix} abcx & & & & \\ & acx & & & \\ & & ax & ax^2 & \\ & & & x & \\ & & & & c^{-1}x \\ & & & & & b^{-1}c^{-1}x \end{pmatrix} \right) |a^2 b^4 c^8 x^{-4}|^s |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4 x^{-2}) \\ \omega_\pi(bc^2 x^{-4}) d^* ad^* bd^* c \\ = \int_{(F^*)^3} W \left( \begin{pmatrix} x & & & & \\ & x & & & \\ & & x & ax & \\ & & & x & \\ & & & & x \\ & & & & & x \end{pmatrix} t \right) |a^2 b^4 c^8 x^{-4}|^s |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4 x^{-2}) \omega_\pi(bc^2 x^{-2}) d^* ad^* bd^* c \\ = \int_{(F^*)^3} W(t) \omega_\pi(x) \psi(ax) |a^2 b^4 c^8|^s |x|^{-4s} |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4) \chi(x)^{-2} \omega_\pi(bc^2) \\ \omega_\pi(x)^{-2} d^* ad^* bd^* c \\ = |x|^{-4s} \chi(x)^{-2} \omega_\pi(x)^{-1} \int_{(F^*)^3} W(t) \psi(ax) |a^2 b^4 c^8|^s |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4) \chi(x)^{-2} \omega_\pi(bc^2) d^* ad^* bd^* c$$

Therefore,

$$I(W, f_s, \chi, s) = \int_{(F^*)^3} W(t)\omega_\pi(bc^2)\chi(ab^2c^4)|a^2b^4c^8|^s|a^5b^6c^{10}|^{-1}H(a)d^*ad^*bd^*c$$

where

$$H(a) = 1 + \int_{|x|>1} \omega_\pi^{-1}\chi^{-2}(x)|x|^{-4s}\psi(ax)dx$$

For  $|\epsilon| = 1$ , we have  $H(a\epsilon) = H(a)$ , so we only need to compute  $H(p^m)$ ,  $m \geq 0$ , where  $p$  is the generator of the maximal ideal in the ring of integer of  $F$ ,  $q^{-1} = |p|$ , since

$$\int_{|x|=q^k} \psi(x) = \begin{cases} (1-q)q^k & k \leq 0 \\ -1 & k = 1 \\ 0 & k \geq 2 \end{cases}$$

we have

$$\begin{aligned} H(p^m) &= 1 + \int_{|x|>1} \omega_\pi^{-1}\chi^{-2}(x)|x|^{-4s}\psi(p^m x)dx \\ &= 1 + \sum_{k=1}^{\infty} q^{-4k} \int_{|x|=q^k} (\omega_\pi^{-1}\chi^{-2})(x)\psi(p^m x)dx \\ &= 1 + \sum_{k=1}^{\infty} q^{-4k+m} \int_{|x|=q^{k-m}} (\omega_\pi^{-1}\chi^{-2})(p^{-m}x)\psi(x)dx \\ &= \frac{1 - \omega_\pi\chi^2(p)q^{-4s}}{1 - \omega_\pi\chi^2(p)q^{-4s+1}} (1 - \omega_\pi\chi^2(p)q^{(-4s+1)(m+1)}) \end{aligned}$$

hence,

$$H(a) = \frac{1 - \omega_\pi\chi^2(p)q^{-4s}}{1 - \omega_\pi\chi^2(p)q^{-4s+1}} (1 - \omega_\pi\chi^2(a)|a|^{4s-1}\omega_\pi\chi^2(p)q^{(-4s+1)})$$

and

$$\begin{aligned} I(W, f_s, \chi, s) &= \frac{L(\omega_\pi\chi^2, 4s-1)}{L(\omega_\pi\chi^2, 4s)} \int_{|a|,|b|,|c|\leq 1} W(t)\omega_\pi(bc^2)\chi(ab^2c^4)|a^2b^4c^8|^s|a^5b^6c^{10}|^{-1} \\ &\quad (1 - \omega_\pi\chi^2(a)|a|^{4s-1}\omega_\pi\chi^2(p)q^{(-4s+1)})d^*ad^*bd^*c \end{aligned}$$

Let  $K(t) = \delta_B^{-1/2}W(t)$  where  $B$  is the standard Borel subgroup of  $GL_6$ . Thus  $\delta_B(t) = |a^9b^{10}c^{16}|$ . Write  $a = p^{n_1}\epsilon_1$ ,  $b = p^{n_2}\epsilon_2$ ,  $c = p^{n_3}\epsilon_3$ , where  $n_i$  are nonnegative integers and  $|\epsilon_i| = 1$ ,  $1 \leq i \leq 3$ , write

$$d(n_1, n_2, n_3) = \text{diag}(p^{n_1+n_2+n_3}, p^{n_1+n_3}, p^{n_1}, 1, p^{-n_3}, p^{-n_2-n_3}).$$

Since  $W(t) = 0$  if  $|a| > 1$  or  $|b| > 1$  or  $|c| > 1$  we obtain

$$\begin{aligned} I(W, f_s, \chi, s) &= \frac{L(\omega_\pi\chi^2, 4s-1)}{L(\omega_\pi\chi^2, 4s)} \sum_{n_1, n_2, n_3=0}^{\infty} K(d(n_1, n_2, n_3))\chi(p)^{n_1+2n_2+4n_3} \times \\ &\quad \times \omega_\pi(p)^{n_2+2n_3} q^{(-2s+1/2)n_1+(-4s+1)n_2+(-8s+2)n_3} (1 - (\omega_\pi\chi^2)(p)^{n_1+1}) \\ &\quad q^{(-4s+1)(n_1+1)} \end{aligned}$$

Here we choose the measure on  $a, b, c$  such that  $\int_{|\epsilon|=1} d\epsilon = 1$ . Let  $x = \chi(p)q^{-2s+1/2}$ . Thus

$$I(W, f_s, \chi, s) = \frac{L(\omega_\pi \chi^2, 4s-1)}{L(\omega_\pi \chi^2, 4s)} \sum_{n_1, n_2, n_3=0}^{\infty} K(d(n_1, n_2, n_3)) \times \\ \times \omega_\pi(p)^{n_2+2n_3} x^{n_1+2n_2+4n_3} (1 - \omega_\pi(p)^{n_1+1} x^{2(n_1+1)})$$

Now consider the right-hand-side. By the Poincare identity, we have

$$L(\pi \otimes \chi, \Lambda^3, 2s-1/2) = \det(I - \Lambda^3(t_\pi) \chi(p) q^{2s-1/2}) \\ = \sum_{n=0}^{\infty} \text{tr} S^n(t_\pi) \chi(p)^n q^{(-2s+1/2)n}$$

where  $S^n$  denotes the symmetric  $n$ th power operation. Thus we need to prove the identity

$$(1 - \omega_\pi(p)x^2)(1 - \omega_\pi^2(p)x^4) \sum_{n=0}^{\infty} \text{tr} S^n(t_\pi) x^n = \sum_{n_1, n_2, n_3=0}^{\infty} K(d(n_1, n_2, n_3)) \omega_\pi(p)^{n_2+2n_3} \\ x^{n_1+2n_2+4n_3} (1 - \omega_\pi(p)^{n_1+1} x^{2(n_1+1)})$$

Let  $\tilde{\omega}_i$   $1 \leq i \leq 5$  denote the  $i$ th fundamental representation of  $GL_6(\mathbb{C})$ . Let  $(0, \dots, 1, \dots, 0)$ , one in the  $i$ th position and zero elsewhere, denote the character of the representation  $\tilde{\omega}_i$  evaluated at  $t_\pi$ . By the Casselman-Shalika formula[9],

$$K(d(n_1, n_2, n_3)) = (n_2, n_3, n_1, n_3, n_2)$$

Thus we need to prove

$$\sum_{n=0}^{\infty} \text{tr} S^n(t_\pi) x^n = (1 - \omega_\pi(p)x^2)^{-1} (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_1, n_2, n_3=0}^{\infty} (n_2, n_3, n_1, n_3, n_2) \omega_\pi(p)^{n_2+2n_3} \\ x^{n_1+2n_2+4n_3} (1 - \omega_\pi(p)^{n_1+1} x^{2(n_1+1)})$$

Let  $\bar{V}$  denote the 20-dimensional complex vector space that  $GS p_6$  acts via the exterior cube representation. Let  $\mathbb{C}[\bar{V}]$  denote the symmetric algebra, by Brion[10],  $\mathbb{C}[\bar{V}]^{\bar{N}}$  is a polynomial algebra generated by 1, where  $\bar{N}$  is the maximal unipotent of  $GS p_6(\mathbb{C})$ . It follows from Brion [10] that

$$\text{tr} S^r(t_\pi) = \sum (n_2, n_3, n_1 + n_4, n_3, n_2) \omega_\pi(p)^{n_2+2n_3+n_4+2n_5}$$

where the sum is over all  $n_i \in N$ ,  $1 \leq i \leq 5$  satisfying  $n_1 + 2n_2 + 4n_3 + 3n_4 + 4n_5 = r$ . Thus

$$\begin{aligned}
\sum_{r=0}^{\infty} \text{tr} S^r(t_\pi) x^r &= \sum_{n_i=0, 1 \leq i \leq 5}^{\infty} (n_2, n_3, n_1 + n_4, n_3, n_2) \omega_\pi(p)^{n_2+2n_3+n_4+2n_5} \\
&\quad x^{n_1+2m_2+4n_3+3n_4+4n_5} \\
&= (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_i=0, 1 \leq i \leq 4}^{\infty} (n_2, n_3, n_1 + n_4, n_3, n_2) \omega_\pi(p)^{n_2+2n_3+n_4} \\
&\quad x^{n_1+2m_2+4n_3+3n_4} \\
&= (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_4=0}^{\infty} \sum_{r=n_4}^{\infty} \sum_{n_2=0, n_3=0}^{\infty} (n_2, n_3, r, n_3, n_2) \omega_\pi(p)^{n_2+2n_3+n_4} \\
&\quad x^{r+2m_2+4n_3+2n_4}
\end{aligned} \tag{6.1}$$

The right-hand-side of (6.1) is

$$\begin{aligned}
&(1 - \omega_\pi(p)x^2)^{-1} (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_1, n_2, n_3=0}^{\infty} (n_2, n_3, n_1, n_3, n_2) \omega_\pi(p)^{n_2+2n_3} x^{n_1+2n_2+4n_3} \\
&\quad (1 - \omega_\pi(p)^{n_1+1} x^{2(n_1+1)}) \\
&= (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_1, n_2, n_3=0}^{\infty} (n_2, n_3, n_1, n_3, n_2) \omega_\pi(p)^{n_2+2n_3} x^{n_1+2n_2+4n_3} \frac{1 - \omega_\pi(p)^{n_1+1} x^{2(n_1+1)}}{1 - \omega_\pi(p)x^2} \\
&= (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_1, n_2, n_3=0}^{\infty} (n_2, n_3, n_1, n_3, n_2) \omega_\pi(p)^{n_2+2n_3} x^{n_1+2n_2+4n_3} \\
&\quad \sum_{r=0}^{n_1} (1 + \omega_\pi x^2 + \dots + \omega_\pi^{n_1} x^{2n_1}) \\
&= (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_1, n_2, n_3=0}^{\infty} \sum_{r=0}^{n_1} (n_2, n_3, n_1, n_3, n_2) \omega_\pi(p)^{n_2+2n_3} x^{n_1+2n_2+4n_3} \omega_\pi^r x^{2r} \\
&= (1 - \omega_\pi^2(p)x^4)^{-1} \sum_{n_1, n_2, n_3=0}^{\infty} \sum_{r=0}^{n_1} (n_2, n_3, n_1, n_3, n_2) \omega_\pi(p)^{n_2+2n_3+r} x^{n_1+2n_2+4n_3+2r}
\end{aligned}$$

which is the exactly (2) after exchanging the position of  $r$  and  $x_1$ . Therefore, we proved (1) and hence the theorem.  $\square$



# Chapter 7

## Local Theory

In this section, we will study the local integrals which come from our global constructions.

Let  $F$  be a nonarchimedean local field,  $\mathcal{O}$  will denote the ring of integers in  $F$ ,  $p$  a generator of the maximal ideal in  $\mathcal{O}$ , with  $q = |p|^{-1}$ .

Let  $\pi$  be an admissible generic representation of  $GL_6(F)$  with central character  $\omega_\pi$ . Let  $\chi$  be a unitary character of  $F^*$ . Let  $I(s, \chi_\pi) = \text{Ind}_Q^{\text{GSp}_6} \delta_Q^s \chi_\pi$ . Thus  $f_s \in I(s, \chi_\pi)$  is a smooth function which satisfies

$$f_s((\alpha, g)rh) = (\omega_\pi \chi^3)(\alpha)(\omega_\pi \chi^2)(\det g) \delta_Q^s((\alpha, g)) f_s(h)$$

for all  $(\alpha, g) \in GL_1 \times GL_3, r \in R, h \in \text{GSp}_6$ . Let  $K$  be the standard maximal compact subgroup of  $\text{GSp}_6$ . If  $\mu$  is an unramified character of  $F^*$ , let  $L(\mu, s) = (1 - \mu(p)q^{-s})^{-1}$ .

We are studying the local integral

$$I(W, f_s, \chi, s) = \int_{ZV \backslash \text{GSp}_6} \int_F W(X(r)j(g)) f_s(g) dr dg$$

where  $W \in \mathcal{W}(\pi, \psi), f_s \in I(s, \chi), X(r) = \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & r & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix}$ ,  $Z$  is the center of  $\text{GSp}_6$ ,

$V$  is the maximal unipotent of  $\text{GSp}_6$  such that the maximal standard unipotent subgroup of  $GL_6$   $N$  (all upper unipotent matrices) and  $V \subset N$ , which is the center of  $GL_6, j(g) = wgw^{-1}$ ,

$$\text{where } w = \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 0 & 1 & & \\ & & 1 & 0 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \in \text{GSp}_6$$

## 7.1 Convergence, Meromorphic Continuation

**Definition 7.1.1.** A gauge  $\xi$  on  $GL_6$  is defined as a positive function, invariant on the left under  $N$ , on the right under  $K$ , given on  $A$ , which is the maximal torus, by

$$\xi(a) = \phi(\alpha_1(a), \alpha_2(a), \dots, \alpha_5(a)) |\alpha_1(a)\alpha_2(a)\dots\alpha_5(a)|^{-k}.$$

With the Iwasawa decomposition of  $\text{GSp}_6$ , let  $T'$  be the maximal torus in  $\text{GSp}_6$ , the parametrization of  $t' \in T'$  is  $t' = \text{diag}(abc, ac, 1, a, c^{-1}, b^{-1}c^{-1})$ ,  $a, b, c \in F^*$ ,

$$\text{GSp}_6 = ZVT'K$$

Let  $B'$  denote the Borel subgroup of  $\text{GSp}_6$ ,  $P$  be the parabolic group, then

$$\delta_{B'}(t') = |a^4b^6c^{10}|, \quad \delta_P(t') = |a^2b^4c^8|$$

Take  $K^\circ$  as an open compact subgroup of  $K$  which stabilizes  $W$  and  $f_s$ . Then we can write  $K$  as a finite union  $\bigcup_i K^\circ k_i$ , let  $W_i = \rho(j(k_i))W$ ,  $f_{s,i} = R(k_i)f_s$ . We have

$$\begin{aligned} I(W, f_s, \chi, s) &= \int_{T'} \int_K \int_F W(X(r)j(t'k)) f_s(t'k) dr dk dt' \\ &= \sum_i \int_{T'} \int_{K^\circ} \int_F W(X(r)j(t'k^\circ)j(k_i)) f_s(t'k^\circ k_i) dr dk^\circ dt' \\ &= c \cdot \sum_i \int_{T'} \int_F W_i(X(r)j(t')) f_{s,i}(t') dr dt' \end{aligned}$$

where  $c$  is the volume of  $K^\circ$ . By proposition 2.2 in [11], for any generic representation of  $G(F)$ , there exists a finite set  $X$  of finite functions  $\{\lambda\}$  defined on the torus and a corresponding set of Schwartz functions  $\{\phi_\lambda\} \subset \mathcal{S}(F^{r-1})$  with the following property: for any  $W$  in  $\mathcal{W}(\pi; \psi)$ ,

$$W(a) = \sum_{\lambda \in X} \lambda(a_1, a_2, \dots, a_{r-1}) \phi_\lambda(a_1, a_2, \dots, a_{r-1}) \quad (7.1)$$

for

$$a = \text{diag}(a_1 a_2 \dots a_{r-1}, a_2 \dots a_{r-1}, \dots, a_{r-1}, 1),$$

which means  $W$  can be considered to be the finite combination of the gauges.

**Theorem 7.1.1.** For  $\xi$  a gauge on  $GL_6$ ,

$$\xi \left[ \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & x & 1 & \\ & & & & & 1 \\ & & & & & & 1 \end{pmatrix} j(t') \right] \neq 0, \quad x \in F, t' \in T'$$

implies that  $x$  belongs to a compact set independent of  $t' \in T'$

*Proof.* Write  $t' \in T'$  as

$$t' = \begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & 1 & & & \\ & & & a & & \\ & & & & c^{-1} & \\ & & & & & b^{-1}c^{-1} \end{pmatrix}$$

then,

$$\xi \left[ \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & x & 1 & \\ & & & & & 1 \\ & & & & & & 1 \end{pmatrix} j(t') \right] = \xi \left[ \begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & a & & & \\ & & & ax & 1 & \\ & & & & & c^{-1} \\ & & & & & & b^{-1}c^{-1} \end{pmatrix} \right]$$

If we rewrite  $ax$  as  $\lambda x'$ , which gives a change of variable  $x' = ax/\lambda$ , and the Iwasawa

decomposition of  $\begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & a & & & \\ & & & \lambda x' & 1 & \\ & & & & & c^{-1} \\ & & & & & & b^{-1}c^{-1} \end{pmatrix}$  is

$$\begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & -\frac{a}{\lambda x'} & -a & & \\ & & & -\lambda x' & & \\ & & & & c^{-1} & \\ & & & & & b^{-1}c^{-1} \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 0 & 1 & & \\ & & 1 & 0 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & \frac{1}{\lambda x'} & \\ & & & & & 1 \\ & & & & & & 1 \end{pmatrix}$$

$$\text{Then } \xi \left[ \begin{pmatrix} abc & & & & \\ & ac & & & \\ & & a & & \\ & & \lambda x' & 1 & \\ & & & & c^{-1} \\ & & & & & b^{-1}c^{-1} \end{pmatrix} \right] \text{ is nonzero implies}$$

$$\xi \left[ \begin{pmatrix} abc & & & & \\ & ac & & & \\ & & -\frac{a}{\lambda x'} & & \\ & & & -\lambda x' & \\ & & & & c^{-1} \\ & & & & & b^{-1}c^{-1} \end{pmatrix} \right] \text{ is nonzero, hence } \left| \frac{ac}{-\frac{a}{\lambda x'}} \right| = |c\lambda x'| \text{ and } \left| \frac{\frac{a}{\lambda x'}}{den} \right|$$

is bounded. Since our choice for  $\lambda$  is any nonzero number, we can let  $\lambda = 1/c \in F^\times$ , we have  $|x'|$  is bounded, so  $x'$  must land in a compact set independent of  $t' \in T'$ .  $\square$

**Theorem 7.1.2.** *Let  $\pi$  be a generic representation, let  $W \in \mathcal{W}(\pi, \psi)$ , then the integral  $I(W, f_s, \chi, s)$  is absolutely convergent for  $\Re s$  large, and it has a meromorphic continuation to the complex plane.*

*Proof.* Since

$$I(W, f_s, \chi, s) = \text{vol}(K^\circ) \cdot \sum_i \int_{T'} \int_F W_i(X(r)j(t')) f_{s,i}(t') dr dt',$$

we only need to prove for each of the  $W_i$  and  $f_{s,i}$  that  $\int_{T'} \int_F W_i(X(r)j(t')) f_{s,i}(t') dr dt'$  is absolutely convergent for  $\Re s$  large and admit a meromorphic continuation to the complex plane. By (3), we can reduce the problem to the case when  $W$  is a gauge function. By Now back to  $I(W, f_s, \chi, s)$ , By Theorem 1,  $W(X(r)j(t')) \neq 0$  implies that  $r$  belongs to a compact set independent of  $t'$ . Thus the integral  $I(W, f_s, \chi, s)$  is a finite sum of integrals of the form

$$\int_{T'} W(j(t)) f_s(t') dt'$$

with  $W \in \mathcal{W}(\pi, \psi)$ ,  $f_s \in I(s, \chi_\pi)$ . In fact, this integral is computed as

$$\int_{(F^*)^3} W \begin{pmatrix} abc & & & & \\ & ac & & & \\ & & a & & \\ & & & 1 & \\ & & & & c^{-1} \\ & & & & & b^{-1}c^{-1} \end{pmatrix} f_s \begin{pmatrix} abc & & & & \\ & ac & & & \\ & & 1 & & \\ & & & a & \\ & & & & c^{-1} \\ & & & & & b^{-1}c^{-1} \end{pmatrix} d^* ad^* bd^* c$$

Since  $f_s \in I(s, \chi_\pi)$ ,

$$f_s \begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & 1 & & & \\ & & & a & & \\ & & & & c^{-1} & \\ & & & & & b^{-1}c^{-1} \end{pmatrix} \\ = (\omega_\pi \chi^3)(\alpha) (\omega_\pi \chi^2)(\det g) \delta_Q^s((\alpha, g)) f_s(I_6) = \mu_2(a, b, c) \nu(a, b, c)^s$$

where  $\mu_2(a, b, c) = |a^5 b^6 c^{10}|^{-1} \chi(ab^2 c^4) \omega_\pi(bc^2)$ ,  $\nu(a, b, c) = |a^2 b^4 c^8|$ . Since here  $W$  is a gauge, we have for  $\text{diag}(abc, ac, a, 1, c^{-1}, b^{-1}c^{-1}) \in T'$

$$W \begin{pmatrix} abc & & & & & \\ & ac & & & & \\ & & a & & & \\ & & & 1 & & \\ & & & & c^{-1} & \\ & & & & & b^{-1}c^{-1} \end{pmatrix} = \phi(a, b, c) \mu_3(a, b, c)$$

where  $\phi$  is a Schwartz function of  $a, b, c$ ,  $\mu_3(a, b, c)$  is a finite function. Let  $\mu = \mu_2 \cdot \mu_3$ , then the integral is in the form of

$$\int_{(F^*)^3} \phi(a, b, c) \mu(a, b, c) \nu(a, b, c)^s d^* a d^* b d^* c$$

which according to Tate's one dimensional case [as in the lemma below], the integral above converges and admits meromorphic continuation, and is a rational function of  $q^{-s}$ . □

## 7.2 Nonvanishing result

**Theorem 7.2.1.** *Let  $f_s$  be a  $K$  standard section, i.e. its restriction to the standard maximal compact group  $K$  is independent of  $s$ . Let  $W$  be a smooth vector in the Whittaker space of  $\pi$ . Then given  $s_0 \in \mathbb{C}$ , there is a choice of  $W$  and a  $K$  finite section  $f_s$  such that  $I(W, f_s, \chi, s)$  is nonzero at  $s = s_0$ .*

*Proof.* Assume that  $I(W, f_s, \chi, s)$  is zero at  $s = s_0$  at all choice of data, then

$$I(W, f_{s_0}, \chi, s_0) = \int_{ZV \backslash GSp_6} \int_F W(X(r)j(g)) f_{s_0}(g) dr dg = 0$$

By Iwasawa Decomposition,  $g = m(\alpha, g_1)k$  for  $k \in K$ , then

$$f_s(m(\alpha, g)k) = \omega_\pi \chi^3(\alpha) \omega_\pi \chi^2(\det g) |\alpha|^{6s-6} |\det g|^{4s-4} f_s(k).$$

We have

$$\begin{aligned} I(W, f_s, \chi, s) &= \int_{ZV \backslash GSp_6} \int_F W(X(r)j(g)) f_s(g) dr dg \\ &= \int_{Z(V \cap GL_3) \backslash GL_1 \times GL_3} \int_F \int_K W(X(r)j(m(\alpha, g)k)) \omega_\pi \chi^3(\alpha) \omega_\pi \chi^2(\det g) |\alpha|^{6s-6} \\ &\quad |\det g|^{4s-4} f_s(k) dk dr d^* \alpha dg \end{aligned}$$

Define for  $\Re s$  large,

$$\begin{aligned} I_1(W, \chi, s, k) &= \int_{Z(V \cap GL_3) \backslash GL_1 \times GL_3} \int_F W(X(r)j((\alpha, g))k) \omega_\pi \chi^3(\alpha) \omega_\pi \chi^2(\det g) |\alpha|^{6s-6} \\ &\quad |\det g|^{4s-4} d^* \alpha dg \end{aligned}$$

Here  $k \in K(G)$  and  $(\alpha, g) \in GL_1 \times GL_3$ . Then for  $\Re s$  large,

$$I(W, f_s, \chi, s) = \int_{GL_3 \cap K \backslash K} I_1(W, \chi, s, k) f_s(k) dk$$

So  $I_1$  admits a continuation in  $s$  and such continuation in  $s$  as a function in  $k$  is locally constant.

Therefore, for all  $K$ -finite function  $\sigma$ , we have

$$\int I_1(W, \chi, s, k) \sigma(k) dk$$

is zero at  $s = s_0$  for all smooth functions  $\sigma$  on  $(GL_3 \cap K) \backslash K$ . Thus  $I_1(W, \chi, s, k)$  is zero at  $s = s_0$  for all  $W$ . In particular, it is true for  $k = e$ , then

$$\begin{aligned} I_1(W, \chi, s, e) &= \int_{ZV \cap GL_3 \backslash GL_1 \times GL_3} \int_F W(X(r)j((\alpha, g))) \omega_\pi \chi^3(\alpha) \omega_\pi \chi^2(\det g) |\alpha|^{6s-6} \\ &\quad |\det g|^{4s-4} dr d^* \alpha dg \end{aligned}$$

is zero at  $s = s_0$  for all  $W$ . Define a Whittaker function  $W_1$  by

$$W_1(g) = \int_{F^3} W \left( gj \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \right) \phi(r_1, r_2, r_3) dr_1 dr_2 dr_3$$

where  $\phi$  is a smooth function with compact support on  $F^3$ . Then

$$I_1(W_1, \chi, s, e) = \int_{Z(V \cap GL_3) \backslash GL_1 \times GL_3} \int_F \int_{F^3} W(X(r)j \begin{pmatrix} (\alpha, g) & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix}) \phi(r_1, r_2, r_3) \mu_1(\alpha, g, s) dr f^* \alpha dg$$

where  $\mu_1(\alpha, g, s) = \omega_\pi \chi^3(\alpha) \omega_\pi \chi^2(\det g) |\alpha|^{6s-6} |\det g|^{4s-4} dr d^* \alpha dg$ . Now conjugating

$$\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \text{ to the left, we obtain for } \Re s \text{ large,}$$

$$\begin{aligned}
I_1(W_1, \chi, s, e) &= \int_{Z(V \cap GL_3) \setminus GL_1 \times GL_3} \int_F \int_{F^3} W(X(r)j \left( m(\alpha, g) \begin{pmatrix} 1 & 0 & 0 & 0 \\ & 1 & 0 & 0 & r_1 \\ & & 1 & 0 & r_2 & r_3 \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \right) \\
&\quad \phi(r_1, r_2, r_3) \mu_1(\alpha, g, s) dr f^* \alpha dg \\
&= \int_{Z(V \cap GL_3) \setminus GL_1 \times GL_3} \int_F \int_{F^3} W(X(r)j \left( (m(\alpha, g) \begin{pmatrix} 1 & 0 & 0 & 0 \\ & 1 & 0 & 0 & r_1 \\ & & 1 & 0 & r_2 & r_3 \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \right) \\
&\quad (X(r)j(m(\alpha, g))^{-1}(r)j(m(\alpha, g))\phi(r_1, r_2, r_3)\mu_1(\alpha, g, s) dr f^* \alpha dg \\
&= \int_{Z(V \cap GL_3) \setminus GL_1 \times GL_3} \int_F \int_{F^3} W(X(r)j(m(\alpha, g)) \\
&\quad \psi \left( X(r)j(m(\alpha, g)) \begin{pmatrix} 1 & 0 & 0 & 0 \\ & 1 & 0 & 0 & r_1 \\ & & 1 & 0 & r_2 & r_3 \\ & & & 1 & \\ & & & & 1 \end{pmatrix} (X(r)j(m(\alpha, g))^{-1} \phi(r_1, r_2, r_3) \right) \\
&\quad \mu_1(\alpha, g, s) dr f^* \alpha dg \\
&= \int_{Z(V \cap GL_3) \setminus GL_1 \times GL_3} \int_F \int_{F^3} W(X(r)j(m(\alpha, g))\psi(\alpha g_{11}r_1 + \alpha g_{12}r_2 + \alpha g_{13}r_3) \\
&\quad \phi(r_1, r_2, r_3)\mu_1(\alpha, g, s) dr f^* \alpha dg
\end{aligned}$$

Let  $\mu_2$  be the restriction of  $\mu_1$  to  $GL_2$ , since

$$\int_{F^3} \psi(\alpha g_{11}r_1 + \alpha g_{12}r_2 + \alpha g_{13}r_3)\phi(r_1, r_2, r_3)\mu_1(\alpha, g, s) dr_1 dr_2 dr_3$$



is an arbitrary smooth function on  $GL_2R^2 \backslash GL_1 \times GL_3$  ( $GL_2R^2$  is embedded in  $GL_6$  as

$$\begin{pmatrix} |g| & & & & & \\ & g & & & & \\ & & g^* & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & y_1 & y_2 & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & -y_2 & \\ & & & & 1 & -y_1 \\ & & & & & 1 \end{pmatrix}.$$

so let

$$I_2(W, \chi, s) = \int_{(V \cap GL_2) \backslash GL_2} \int_F (W(X(r)j(g))\mu_2(g, s) dr dg$$

we have the meromorphic continuation of  $I_2(W, \chi, s_0) = 0$  for all data.

Let

$$W_2(g) = \int_{F^2} W \left( g j \begin{pmatrix} 1 & y_1 & y_2 & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & -y_2 & \\ & & & & 1 & -y_1 \\ & & & & & 1 \end{pmatrix} \right) \phi(y_1, y_2) dy_i$$

Then we have

$$I_2(W_2, \chi, s) = \int_{F^2} \int_{(V \cap GL_2) \backslash GL_2} \int_F W(X(r)j \begin{pmatrix} 1 & y_1 & y_2 & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & -y_2 & \\ & & & & 1 & -y_1 \\ & & & & & 1 \end{pmatrix} ) \phi(y_1, y_2) \mu_2(g, s) dr dg dy_1 dy_2$$

We can write  $g \in GL_2$  as  $g = \begin{pmatrix} a & \\ & 1 \end{pmatrix} g_0$  by conjugating to the left,

$$\begin{aligned}
I_2(W_2, \chi, s) &= \int_{F^2} \int_{(V \cap GL_2) \backslash GL_2} \int_F W(X(r)j) \left( g \begin{pmatrix} 1 & y_1 & y_2 & \\ & 1 & & \\ & & 1 & \\ & & & 1 & -y_2 \\ & & & & 1 & -y_1 \\ & & & & & & 1 \end{pmatrix} \right) \phi(y_1, y_2) \\
&\quad \mu_2(g, s) dr dg dy_1 dy_2 \\
&= \int_{F^2} \int_{(V \cap GL_2) \backslash GL_2} \int_F W(X(r)j) \left( \begin{pmatrix} a & & & \\ & a & & \\ & & 1 & \\ & & & a \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} g_0 \begin{pmatrix} 1 & y_1 & y_2 & \\ & 1 & & \\ & & 1 & \\ & & & 1 & -y_2 \\ & & & & 1 & -y_1 \\ & & & & & & 1 \end{pmatrix} \right) \\
&\quad \phi(y_1, y_2) \mu_2(g, s) dr dg dy_1 dy_2 \\
&= \int_{F^2} \int_{(V \cap GL_2) \backslash GL_2} \int_F W(X(r)j) \left( \begin{pmatrix} a & & & \\ & a & & \\ & & 1 & \\ & & & a \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} g_0 \begin{pmatrix} 1 & y_1 & y_2 & \\ & 1 & & \\ & & 1 & \\ & & & 1 & -y_2 \\ & & & & 1 & -y_1 \\ & & & & & & 1 \end{pmatrix} \right) \\
&\quad \left( X(r) \left( \begin{pmatrix} a & & & \\ & a & & \\ & & 1 & \\ & & & a \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right) \right)^{-1} \left( X(r) \begin{pmatrix} a & & & \\ & a & & \\ & & 1 & \\ & & & a \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right) \\
&\quad \phi(y_1, y_2) \mu_2(g, s) dr dg dy_1 dy_2 \\
&= \int_F^* \int_F W \left[ X(r) \begin{pmatrix} a & & & \\ & a & & \\ & & a & \\ & & & 1 \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right] \mu_3(a, s) dr d^* a
\end{aligned}$$

where  $\mu_3$  is the restriction of  $\mu_2$  to  $Z(GL_3)$ . Therefore, the meromorphic continuation of

$$I_3(W, \chi, s) = \int_{F^*} \int_F W \left[ X(r) \begin{pmatrix} a & & & \\ & a & & \\ & & a & \\ & & & 1 \\ & & & & 1 \\ & & & & & 1 \end{pmatrix} \right] \mu_3(a, s) dr d^* a$$

is zero for all  $W$ , so  $W(e) = 0$  for all  $W$ . This is a contradiction.  $\square$

## Chapter 8

# The Analytic Properties of the Partial L-function

Let  $\pi = \otimes_v \pi_v$  and  $I(s, \chi_\pi) = \otimes_v I_v(s, \chi_\pi)$ . Let  $S$  be a finite set including the Archimedean places such that outside of  $S$  all data is unramified. Given a character  $\mu = \otimes_v \mu_v$  of  $F^* \backslash \mathbb{A}^*$ , we denote  $L^S(\mu, s) = \prod_{v \notin S} L_v(\mu_v, s)$ , where  $L_v(\mu_v, s)$  is the local degree one  $L$ -function of  $\mu_v$ . Set

$$E^*(g, f_s, \chi, s) = L_S(\omega_\pi \chi^2, 4s) L_S(\omega_\pi^2 \chi^4, 8s - 2) E(g, f_s, \chi, s)$$

and

$$I^*(\varphi, f_s, \chi, s) = L_S(\omega_\pi \chi^2, 4s) L_S(\omega_\pi^2 \chi^4, 8s - 2) I(\varphi, f_s, \chi, s)$$

**Lemma 8.0.1.** *Let  $\varphi$  be a cusp form on  $GS_{p_6}(\mathbb{A})$ , then*

$$\int_{GS_{p_6}(F) \backslash GS_{p_6}(\mathbb{A})} \varphi(j(g)) E(g, f, s) dg = 0$$

*Proof.* Let  $Q$  denote the parabolic subgroup of  $GS_{p_6}$  whose Levi part is  $GL_1 \times GS_{p_4}$ . Let  $I(s) = \text{Ind}_{Q(\mathbb{A})}^{GS_{p_6}(\mathbb{A})} \delta_Q^s$ , and for every  $f \in I(s)$ , define for  $\Re(s)$  large

$$E(g, f, s) = \sum_{\gamma \in Q(F) \backslash GS_{p_6}(F)} f(\gamma g, s)$$

For  $\Re(s)$  large, by unfolding the Eisenstein series, we have

$$\int_{GL_1(F) GS_{p_4}(F) R_3(F) \backslash GS_{p_6}(\mathbb{A})} \varphi(g) f_s(g) dg$$

where  $R_3$  is the unipotent radical subgroup of  $Q$ , and the embedding of  $GL_1 \times GS_{p_4}$  in  $GS_{p_6}$  is as

$$(\alpha, h) \rightarrow \begin{pmatrix} \alpha & & & \\ & h & & \\ & & & \\ & & & \alpha^{-1} \end{pmatrix} \quad \alpha \in GL_1, h \in GS_{p_4}.$$

Define

$$V^2 = \{v \in V : v = I_6 + r_1 e_{12} + r_2 e_{13} + r_3 e_{14} + r_4 e_{15}\}$$

Take the Fourier expansion with respect to  $V^2(F) \backslash V^2(\mathbb{A})$ , then the integral becomes (the last step is by cuspidality)

$$\int_{GL_1(F)GSp_4(F)R(F) \backslash GSp_6(\mathbb{A})} \int_{V^2(F) \backslash V^2(\mathbb{A})} \varphi(v_2 j(g)) \varphi\left(\sum_{i=1}^4 \alpha_i r_i\right) f_s(g) dv dg$$

$$\int_{GL_1(F)GSp_2(F)R_2(F)R_3(\mathbb{A}) \backslash GSp_6(\mathbb{A})} \int_{V_6(F) \backslash V_6(\mathbb{A})} \varphi(v j(g)) f_s(g) dv dg = 0.$$

□

**Theorem 8.0.2.** *Let  $f_s$  be a standard  $K$  finite section which is unramified outside of  $S$ , then*

- (a) *If  $\omega_\pi \chi^2 = 1$  or  $\omega_\pi^2 \chi^4 \neq 1$ , then  $I^*(\varphi, f_s, \chi, s)$  is entire.*
- (b) *If  $\omega_\pi^2 \chi^4 = 1$  but  $\omega_\pi \chi^2 \neq 1$ , then  $I^*(\varphi, f_s, \chi, s)$  can have at most a simple pole at  $s = 1/4$  or  $s = 3/4$ .*

*Proof.* We first state the result from Ikeda [12]:

**Lemma 8.0.3** (Ikeda). *Suppose that  $k$  is a number field. If  $f^{(s)}$  is a good section of  $I(\omega, s)$ , then the pole of  $E(h, f^{(s)})$  are at most simple. The set of possible poles is as follows:*

- (a) *If  $\omega$  is principal, say  $\omega = 1$ :*

$$\{(n+1)/2 - m \mid m \in \mathbb{Z}, 0 \leq m \leq n+1, m \neq (n+1)/2\}$$

- (b) *If  $\omega$  is not principal, but  $\omega^2$  is principal, say  $\omega^2 = 1$ :*

$$\{(n-1)/2 - m \mid m \in \mathbb{Z}, 0 \leq m \leq n-1, m \neq (n-1)/2\}$$

- (c) *If  $\omega^2$  is not principal, then  $E(h, f^{(s)})$  is entire.*

We need to study the poles of  $E^*$  and its residue. By the functional equation of  $E^*$  and the fact that if  $f^{(s)}$  is a good section of  $I(\omega, s)$ , then  $M_{w_0} f^{(s)}$  is a good section of  $I(\omega^{-1}, -s)$  (where  $M_{w_0}$  is the intertwining operator), it is enough to prove for the case when  $\Re(s) \geq 1/2$ . By lemma above, the poles are as following:  $E^*(g, f, \chi, s)$  has at most simple poles, and for  $\Re(s) \geq 1/2$ , we have

- (a) *If  $\omega_\pi^2 \chi^4 \neq 1$ ,  $E^*(g, f, \chi, s)$  is entire.*
- (b) *If  $\omega_\pi^2 \chi^4 = 1$ , but  $\omega_\pi \chi^2 \neq 1$ ,  $E^*(g, f, \chi, s)$  has a simple pole at  $s = 3/4$ .*

(c) If  $\omega_\pi \chi^2 = 1$ ,  $E^*(g, f, \chi, s)$  has simple poles at  $s = 1$  and  $s = 3/4$ .

□

**Theorem 8.0.4.** *Let  $\pi$  be a cusp form on  $GL_6(\mathbb{A})$ . Let  $S$  be as above. Then*

$$L^S(\pi, \Lambda^3 \otimes \chi, s) = \prod_{v \notin S} L_v(\pi_v, \Lambda^3 \otimes \chi_v, s)$$

*is entire unless  $\omega_\pi^2 \chi^4 = 1$  and  $\omega_\pi \chi^2 \neq 1$ . In this case, the  $L$ -function can have at most a simple pole at  $s = 0$  or  $s = 1$ .*

*Proof.* By theorem 6.1, we can write

$$I^*(\varphi, f, \chi, s) = \prod_{v \in S} \Phi_v(W_v, f^{(v)}, \chi_v, s) L_S(\pi \times \chi, \Lambda^3, 2s - \frac{1}{2})$$

For  $v \in S$ , by theorem 7.4, we can choose data so that  $\Phi_v(W_v, f^{(v)}, \chi_v, s)$  is nonzero, together with theorem 8.2, we proved this theorem. □

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