

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
December 5, 2018**

A special meeting of the Board of Regents of the University of Minnesota was held on Wednesday, December 5, 2018 at 3:00 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Dean Johnson, Peggy Lucas, Abdul Omari, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: Executive Vice President and Provost Karen Hanson; Vice Presidents Michael Goh and Matt Kramer; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

The docket materials for this meeting are [available here](#).

**SELECT FINALIST(S) TO BE INTERVIEWED FOR PRESIDENT  
OF THE UNIVERSITY OF MINNESOTA**

Regent McMillan presented for review and action the selection of finalist(s) to be interviewed for President of the University of Minnesota.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

Regent Omari moved approval of a resolution to name candidate A as a finalist for President of the University of Minnesota. The resolution was seconded.

Regent Rosha moved to amend the Omari resolution to add candidate 41 to the list of finalists. The amendment was seconded. The Board voted 3-9, and the amendment failed. Regents Anderson, Beeson, Cohen, Johnson, Lucas, McMillan, Omari, Powell and Sviggum voted no.

Rosha called for a roll call vote on the Omari resolution. The vote was as follows:

Regent Anderson	Yes
Regent Beeson	Yes
Regent Cohen	Yes
Regent Hsu	Yes
Regent Johnson	Yes
Regent Lucas	Yes
Regent McMillan	Yes
Regent Powell	Yes
Regent Rosha	No
Regent Simonson	Yes
Regent Sviggum	Yes

Regent Omari            Yes

The Board voted 11-1 and the Omari resolution passed.

The meeting adjourned at 4:50 p.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive, flowing style.

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Mission Fulfillment Committee  
December 13, 2018**

A meeting of the Mission Fulfillment Committee of the Board of Regents was held on Thursday, December 13, 2018 at 7:45 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Abdul Omari, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Dean Johnson, Peggy Lucas, David McMillan, Ken Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellor Michelle Behr; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Christopher Cramer and Michael Goh; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Student Representatives present: Marta Dean and Austin Kraft.

The docket materials for this meeting are [available here](#).

**BOARD OF REGENTS POLICY: *RESEARH INVOLVING HUMAN SUBJECTS***

Regent Omari invited Christopher Cramer, Vice President for Research, to present for action proposed changes to Board of Regents Policy: *Research Involving Human Subjects*, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend adoption of proposed changes to Board of Regents Policy: *Research Involving Human Subjects*, as detailed in the docket.

**DIVERSITY IN TWIN CITIES CAMPUS UNDERGRADUATE ENROLLMENT**

Regent Omari invited Provost Hanson; Robert McMaster, Vice Provost and Dean of Undergraduate Education; and Sean Garrick, Associate Vice Provost for Equity and Diversity, to discuss diversity in Twin Cities undergraduate enrollment, as detailed in the docket.

The docket materials for this item begin on page 6. The closed captioned video of this item is [available here](#).

## **SYSTEMWIDE ENROLLMENT PLANNING: DULUTH CAMPUS**

Regent Omari invited Fernando Delgado, Executive Vice Chancellor, Academic Affairs, UMD and Mary Keenan, Assistant Vice Chancellor, Academic Affairs, UMD to discuss enrollment planning at UMD, as detailed in the docket.

The docket materials for this item begin on page 63. The closed captioned video of this item is [available here](#).

## **UPDATE ON TWIN CITIES CAMPUS LIBERAL EDUCATION REQUIREMENTS**

Regent Omari invited Provost Hanson; Robert McMaster, Vice Provost and Dean of Undergraduate Education; and Sally Gregory Kohlstedt, Professor, History of Science and Technology Program, Department of Earth Sciences, and Chair, Liberal Education Redesign Committee, to discuss Twin Cities campus liberal education requirements, as detailed in the docket.

The docket materials for this item begin on page 83. The closed captioned video of this item is [available here](#).

## **GRADUATE AND PROFESSIONAL EDUCATION, PART III: IMPACT AND OUTCOMES OF GRADUATE EDUCATION**

Regent Omari invited Scott Lanyon, Vice Provost and Dean of Graduate Education; Kweku Konadu Amponsah-Efah, College of Pharmacy student; and Austin Yantes, College of Food, Agricultural & Natural Resource Sciences student to discuss impacts and outcomes of graduate education, as detailed in the docket.

The docket materials for this item begin on page 112. The closed captioned video of this item is [available here](#).

## **CONSENT REPORT**

A motion was made and seconded, and the committee voted unanimously to recommend approval of the following, as described in the Consent Report:

- **Request for Approval of New Academic Programs**
  - Medical School (Twin Cities campus)—Create fellowship in Neuropathology
  - College of Education and Human Service Professions (Duluth campus)—Create undergraduate certificate in Teaching English as a Foreign Language
  - College of Education and Human Service Professions (Duluth campus)—Create undergraduate minor in Teaching English to Speakers of Other Languages and Multilingual Education
  - Morris Campus—Create undergraduate minor in Data Science

- **Request for Approval of Changed Academic Programs**
  - College of Education and Human Development (Twin Cities campus)—Change the name of the M.A. degree in Child Psychology to Developmental Psychology
  - Swenson College of Science and Engineering (Duluth campus)— Create a sub-plan in Statistics within the M.S. degree in Mathematical Sciences
  - Swenson College of Science and Engineering (Duluth campus)—Change the name of the graduate minor in Applied and Computational Mathematics to Mathematical Sciences
  
- **Request for Approval of Discontinued Academic Programs**
  - College of Education and Human Development (Twin Cities campus)—Discontinue M.A. degree and graduate minor in Multicultural Teaching and Learning
  - College of Education and Human Development (Twin Cities campus)—Discontinue B.S. degree in Recreation Administration
  - College of Education and Human Development (Twin Cities campus)—Discontinue undergraduate minor in Outdoor Recreation and Education
  - College of Pharmacy (Twin Cities campus)—Discontinue graduate minor in Experimental and Clinical Pharmacology
  - Medical School (Twin Cities campus)—Discontinue graduate minor in Rehabilitation Science
  - Morris campus—Discontinue the B.A. degree in Social Science
  
- **Request for Conferral of Tenure for Outside Hires**
  - Tracy Bibelnieks, associate professor with tenure, Mathematics and Statistics, Swenson College of Science and Engineering, University of Minnesota Duluth
  - Marc Clark, professor with tenure, Biology, Swenson College of Science and Engineering, University of Minnesota Duluth

The docket materials for this item begin on page 150. The closed captioned video of this item is [available here](#).

### INFORMATION ITEMS

Provost Hanson referred the committee to the information items in the docket.

The docket materials for this item begin on page 156. The closed captioned video of this item is [available here](#).

The meeting adjourned at 10:44 a.m.



**BRIAN R. STEEVES**  
Executive Director and  
Corporate Secretary

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Audit & Compliance Committee  
December 13, 2018**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, December 13, 2018 at 11:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Linda Cohen, presiding; Michael Hsu, Peggy Lucas, David McMillan, Abdul Omari, and Ken Powell.

Staff present: Executive Vice President and Provost Karen Hanson; Vice Presidents Michael Berthelsen, Christopher Cramer, Bernard Gulachek, and Michael Goh; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Anne Barnes and Alexandra Ulland.

The docket materials for this meeting are [available here](#).

**EXTERNAL AUDITOR REPORT**

Regent Cohen invited Suzanne Paulson, Controller; Katie Knudtson, Partner, Deloitte & Touche LLP; and Judi Dockendorf, Managing Director, Deloitte & Touche LLP (Deloitte) to present the external auditor report, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**COMPLIANCE INITIATIVES: CALCULATING THE COST OF COMPLIANCE**

Regent Cohen invited Boyd Kumher, Chief Compliance Officer, to discuss calculating the cost of compliance, as detailed in the docket.

The docket materials for this item begin on page 115. The closed captioned video of this item is [available here](#).

**UNIVERSITY ETHICS PROGRAMMING**

Regent Cohen invited Boyd Kumher, Chief Compliance Officer, to discuss ethics programming, as detailed in the docket.

The docket materials for this item begin on page 134. The closed captioned video of this item is [available here](#).

## INFORMATION ITEMS

Chief Auditor Klatt referred the committee to the information items in the docket:

- Institutional Conflict of Interest Report
- Semi-Annual Controller's Report
- Semi-Annual Chief Compliance Officer Report
- Completed Comprehensive Review of Board Policy
- Engagements Less than \$100,000 Requiring After-the-Fact Reporting

The docket materials for this item begin on page 147. The closed captioned video of this item is [available here](#).

The meeting adjourned at 12:27 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Litigation Review Committee  
December 13, 2018**

A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, December 13, 2018 at 11:00 a.m. in the Sixth Floor, West Committee Room, 600 McNamara Alumni Center.

Regents present: Richard Beeson, presiding; Thomas Anderson, Dean Johnson, Darrin Rosha, Randy Simonson, and Steven Sviggum.

Staff present: President Eric Kaler; Senior Vice President Brian Burnett, General Counsel Douglas Peterson; Executive Director Brian Steeves; and Associate Vice President Michael Volna.

Others present: Sarah Dirksen, Carole Fleck, Carrie Ryan Gallia, Dan Herber, Susan Kratz, Stuart Mason, Rosalie O'Brien, Brian Slovt, and Jon Steadland.

The docket materials for this meeting are [available here](#).

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING  
OF THE LITIGATION REVIEW COMMITTEE**

The meeting convened in public session at 11:00 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on December 13, 2018 at 11:00 a.m. in the West Committee Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters including the following:

- I. Potential financial instrument litigation
- II. Potential malpractice litigation by former employee
- III. *Young America Foundation v. University of Minnesota*
- IV. *John Does v. Regents of the University of Minnesota, Eric Kaler, and Tina Marisam*



- V. Potential litigation by former student
- VI. Potential litigation by current student
- VII. Potential claim by University employee

The committee voted unanimously to adopt the resolution and the public portion of the meeting ended at 11:03 a.m.

The meeting adjourned at 12:31 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Finance & Operations Committee  
December 13, 2018**

A meeting of the Finance & Operations Committee of the Board of Regents was held on Thursday, December 13, 2018 at 1:15 p.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Thomas Anderson, presiding; Richard Beeson, Linda Cohen, Michael Hsu, Dean Johnson, Peggy Lucas, David McMillan, Abdul Omari, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellor Michelle Behr; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Kathryn Brown, Christopher Cramer; Michael Goh, and Bernard Gulachek; General Counsel Douglas Peterson; Executive Director Brian Steeves; Associate Vice Presidents Julie Showers, Julie Tonneson, and Michael Volna.

Student Representatives present: Mckenzie Dice and Jael Kerandi.

The docket materials for this meeting are [available here](#).

**COLLEGE OF SCIENCE AND ENGINEERING TUITION SURCHARGE**

Regent Anderson invited Mos Kaveh, Dean of the College of Science and Engineering, Twin Cities campus and Associate Vice President Tonneson to review a proposed surcharge for the College of Science and Engineering, as detailed in the docket.

The docket materials for this item begin on page 4. The closed captioned video of this item is [available here](#).

**RESOLUTION RELATED TO FY 2020 TWIN CITIES UNDERGRADUATE  
NONRESIDENT/NON-RECIPROCITY TUITION RATE**

Regent Anderson invited Associate Vice President Tonneson to present for action the resolution related to the FY 2020 Twin Cities Undergraduate Nonresident/Non-reciprocity Tuition Rate, as detailed in the docket.

The docket materials for this item begin on page 23. The closed captioned video of this item is [available here](#).

A motion was made and seconded and the committee voted nine to three to recommend approval of the resolution related to the FY 2020 Twin Cities Undergraduate Nonresident/Non-reciprocity Tuition Rate. Regents Cohen, Lucas, and Omari voted no.

**REGENTS OF THE UNIVERSITY OF MINNESOTA TRAFFIC REGULATION  
ORDINANCES AND ESTABLISHMENT OF HEARING DATE**

Regent Anderson invited Vice President Berthelsen and Ross Allanson, Director, Parking and Transportation Services, to review proposed amendments to the Regents of the University of Minnesota Traffic Regulation Ordinances, as detailed in the docket.

The docket materials for this item begin on page 32. The closed captioned video of this item is [available here](#).

A motion was made and seconded and the committee voted unanimously to recommend approval to establish a public hearing date as stated in the resolution related to the adoption of amendments to the Regents of the University of Minnesota Traffic Regulation Ordinances.

Anderson recessed the meeting at 2:43 p.m.

**CAPITAL BUDGET AMENDMENT: EASTCLIFF RENOVATION & REPAIR**

Regent Anderson reconvened the meeting at 2:54 p.m. and invited Vice President Berthelsen and Lyndel King, Chair of the Eastcliff Technical Advisory Committee, to present for review an amendment to the FY 2019 Annual Capital Improvement Budget for Eastcliff renovation and repair, as detailed in the docket.

The docket materials for this item begin on page 58. The closed captioned video of this item is [available here](#).

**COLLECTIVE BARGAINING AGREEMENT:  
AFSCME LOCAL 3260 (HEALTH CARE UNIT)**

Regent Anderson invited Patti Dion, Senior Director, Office of Human Resources to present for review and action a collective bargaining agreement with AFSCME Local 3260, as detailed in the docket.

The docket materials for this item begin on page 70. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to the proposed labor agreement with AFSCME Local 3260 (Health Care Unit).

**STRATEGIC PRIORITIES: SUPPORTING THE MISSION INITIATIVES, PART 1**

Regent Anderson invited Vice President Gulachek to discuss the role of information technology in supporting the University's strategic priorities, as detailed in the docket.

The docket materials for this item begin on page 72. The closed captioned video of this item is [available here](#).

## CONSENT REPORT

Senior Vice President Burnett presented the revised Consent Report, as detailed in the docket.

The revised docket materials for this item begin on page 93. The closed captioned video of this item is [available here](#).

### General Contingency:

- There were no items requiring approval this period.

### Purchase of Goods and Services \$1,000,000 and Over:

- To Factory Mutual Insurance Company (FM Global) for an estimated amount of \$6,630,000 to purchase property insurance for the University of Minnesota for the Office of Risk Management and Insurance for the period July 1, 2019 through June 30, 2021. This contract is funded through the Office of Risk Management and Insurance's property insurance recharge cost pool. See enclosed documentation for basis of supplier selection.
- To Finish Line Flooring and S2 Services Inc. for approximately \$1,425,000 for providing floor care services as needed for Facilities Management for the period of January 1, 2019 through December 31, 2024. Contract floor care services are budgeted by a variety of organizations on the Twin Cities campus through their facilities budget. Suppliers were selected through a competitive process.
- To IONpath for \$1,750,000 for Multiplexed Ion Beam Imaging System (MIBI) and service agreement for Department of Laboratory Medicine and University Imaging Centers for the period of December 1, 2018 through December 31, 2023. The MIBI system will be purchased from existing departmental funds available for this research and managed by the University Imaging Centers for availability for use to the widest possible research community. Supplier was selected through a competitive process.
- To John Wiley & Sons, Inc. (Wiley) for an estimated \$3,841,000 for journal subscriptions available via Wiley Online Library as needed for the University Libraries for the three-year period of January 1, 2019, through December 31, 2021. The estimated costs for this subscription to Wiley over the next three years is included in the University Libraries and Law Libraries 2019, 2020, and 2021 expected budgets. Supplier was selected through a competitive process.
- To Minnesota Elevator, Inc. for an estimated \$236,040 for providing vertical transportation maintenance services on the Duluth Campus as needed for Facilities Management for a period of February 1, 2019 through January 31, 2020 with possible contract extensions through January 31, 2025 for an additional \$1,279,437. Total contract value if all additional options are exercised would be \$1,515,477. The funding source for the maintenance and operations of elevators is the Department of Facilities Management Operating and

Maintenance budget. Supplier was selected through a competitive process.

- To OCHIN, Inc. for an estimated \$3,500,000 for OCHIN's Epic practice management and electronic health record (EHR) solutions for Community-University Health Care Center (CUHCC) for the period of July 1, 2019 through June 30, 2026. Community-University Health Care Center (CUHCC) has the financial reserves needed to meet all obligations for the purchase of the new Electronic Health Record (EHR) system, including the implementation cost; a cost that has been identified as a line item in the FY2019 budget approved by its community board. Supplier was selected through a competitive process.
- To Roche Diagnostics for \$2,040,100 for COBAS 8000 Clinical Chemistry Analyzer, related Roche Middleware IT Solutions, and consumable Chemistry and Immunodiagnostic Reagents for the Advanced Research and Diagnostic Laboratory (ARDL) as part of Laboratory Medicine and Pathology, Medical School for the period of January 1, 2019 through December 31, 2024. The reagents from Roche Diagnostics will be purchased with departmental funds. As an Internal Sales Organization (ISO), the ARDL will order reagents as needed for University researchers. See enclosed documentation for basis of supplier selection.
- To Zoom Video Communications, Inc. for \$1,423,720 to provide an enterprise online meeting solution for all University of Minnesota faculty, staff, and students for the Office of Information Technology (OIT) for the period February 1, 2019 through January 31, 2024 with possible contract extensions through January 31, 2026 for an additional \$569,488. Total value of the contract if all options are exercised would be \$1,993,208. This requirement is currently budgeted and will be funded utilizing O&M funds. Supplier was selected through a competitive process.

In addition to the purchases listed above, a correction was made to a purchase approved in October 2018:

- To Egan, Nasseff, ~~Northern Air Corporation~~ Northland Mechanical Contractors, and Metropolitan Mechanical Contractors, Inc. for an estimated \$2,000,000 for providing contract mechanical and electrical maintenance support services and supplemental facilities management construction services as needed for Facilities Management for the period of November 1, 2018 through October 31, 2023. Contract mechanical and electrical maintenance support services and supplemental facilities management construction services is budgeted by a variety of organizations on the Twin Cities campus through their facilities budget. Suppliers were selected through a competitive process.

### **Employment Agreement**

The purpose of this item is to seek approval for the following employment agreement:

- Third amendment to employment agreement - Head Football Coach, Twin Cities campus

### **Off Cycle Tuition Approval**

### **Capital Budget Amendments**

- CHS Teaching and Learning Lab Renovation (Twin Cities campus)
- Essex Corridor Reconstruction (Twin Cities campus)

- Horticulture Operations Headquarters (Landscape Arboretum)

#### **Resolution Related to the Issuance of Debt**

#### **Resolution Related to the Refinancing of Debt**

#### **Schematic Designs**

- CHS Teaching and Learning Lab Renovation (Twin Cities campus)

Regent Rosha requested that the third amendment to the employment agreement for the Head Football Coach, Twin Cities campus be separated from the Consent Report.

A motion was made and seconded, and the committee voted unanimously to recommend approval of the Consent Report, excluding the employment agreement for the Head Football Coach, Twin Cities campus.

A motion was made and seconded, and the committee voted 10-2 to recommend approval of the employment agreement for the Head Football Coach, Twin Cities campus. Regents Johnson and Omari voted no.

### **INFORMATION ITEMS**

Senior Vice President Burnett referred the committee to the information items in the docket:

- Administrative Cost Definition and Benchmarking Update
- Annual Report on Targeted Business, Urban Community Economic Development, and Small Business Programs
- Completed Comprehensive Review of Board Policy
  - Board of Regents Policy: *Conflict Resolution Process for Employees*
- Debt Management Advisory Committee Update
- Investment Advisory Committee Update
- Quarterly Asset Management Report
- Quarterly Purchasing Report
- Semi-annual Capital Project Management Report

The docket materials for this item begin on page 143. The closed captioned video of this item is [available here](#).

The meeting adjourned at 4:04 p.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Governance & Policy Committee  
December 14, 2018**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, December 14, 2018 at 7:45 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Darrin Rosha, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Dean Johnson, Peggy Lucas, David McMillan, Abdul Omari, Kendall Powell, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellor Lori Carrell; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Christopher Cramer, and Michael Goh; General Counsel Douglas Peterson; and Executive Director Brian Steeves.

The docket materials for this meeting are [available here](#).

**ETHICS AND RESPONSIBILITIES OF THE BOARD AND REGENTS, PART 2**

Regent Rosha invited Executive Director Steeves and Jason Langworthy, Board Associate, Policy & Committees, to continue the discussion of the ethics and responsibilities of the Board and Regents, as detailed in the docket.

The docket materials for this item begin on page 2. The closed captioned video of this item is [available here](#).

**INFORMATION ITEMS**

Executive Director Steeves referred the committee to the information items in the docket:

- Completed comprehensive review of Board policy
  - Board of Regents Policy: *Possession and Carrying of Weapons*
  - Board of Regents Policy: *Safety of Minors*

The docket materials for this item begin on page 20. The closed captioned video of this item is [available here](#).

The meeting adjourned at 8:42 a.m.

A handwritten signature in black ink that reads "Brian R. Steeves". The signature is written in a cursive style with a large initial 'B' and 'S'.

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
December 14, 2018**

A meeting of the Board of Regents of the University of Minnesota was held on Friday, December 14, 2018 at 9:10 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Dean Johnson, Peggy Lucas, Abdul Omari, Kendall Powell, Darrin Rosha, Randy Simonson, and Steve Sviggum.

Staff present: President Eric Kaler; Chancellor Lori Carrell; Executive Vice President and Provost Karen Hanson; Senior Vice President Brian Burnett; Vice Presidents Michael Berthelsen, Kathryn Brown, Christopher Cramer, Michael Goh, and Bernard Gulachek; General Counsel Douglas Peterson; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

The docket materials for this meeting are [available here](#).

**INTRODUCTIONS**

**Incoming Vice President for Research**

President Kaler introduced Chris Cramer, incoming Vice President for Research. The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

**RECOGNITIONS**

**Outgoing Vice President for Research**

President Kaler recognized Al Levine, outgoing Vice President for Research. The docket materials for this item begin on page 4. The closed captioned video of this item is [available here](#).

**APPROVAL OF MINUTES**

The Board voted unanimously to approve the following minutes as presented in the docket materials:

Mission Fulfillment Committee – October 11, 2018  
Audit & Compliance Committee – October 11, 2018  
Litigation Review Committee – October 11, 2018  
Finance & Operations Committee – October 11, 2018  
Governance & Policy Committee – October 12, 2018

Board of Regents – October 12, 2018

The docket materials for this item begin on page 5. The closed captioned video of this item is [available here](#).

### **REPORT OF THE PRESIDENT**

President Kaler delivered the report of the President. A copy of the Report of the President is on file in the Board Office.

The docket materials for this item begin on page 33. The closed captioned video of this item is [available here](#).

### **REPORT OF THE CHAIR**

Regent McMillan delivered the report of the Chair. A copy of the Report of the Chair is on file in the Board Office.

The docket materials for this item begin on page 34. The closed captioned video of this item is [available here](#).

### **CONSENT REPORT**

Regent McMillan presented for review and action the Consent Report as described in the docket materials, including:

- Gifts
- Report of the All-University Honors Committee

A motion was made and seconded, and the Board voted unanimously to approve the Consent Report.

The docket materials for this item begin on page 156. The closed captioned video of this item is [available here](#).

### **2018 UNIVERSITY PERFORMANCE AND ACCOUNTABILITY REPORT**

Regent McMillan noted the 2018 University Performance and Accountability Report, as detailed in the docket.

The docket materials for this item begin on page 177. The closed captioned video of this item is [available here](#).

## **REPORT OF THE MISSION FULFILLMENT COMMITTEE**

Regent Omari, chair of the committee, reported that the committee voted unanimously to recommend the following items.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the proposed amendments to Board of Regents Policy: *Research Involving Human Subjects*. The committee docket materials for this item can be found on page 3. A motion was made and seconded, and the Board voted unanimously to adopt the proposed amendments.
- 2) Approval of the Consent Report for the Mission Fulfillment Committee as presented to the committee and described in the December 13, 2018 committee minutes. The committee docket materials for this item can be found on page 150. A motion was made, and the Board voted unanimously to approve the Consent Report.

## **REPORT OF THE AUDIT & COMPLIANCE COMMITTEE**

Regent Cohen, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

## **REPORT OF THE LITIGATION REVIEW COMMITTEE**

Regent Beeson, chair of the committee, reported that pursuant to notice sent by the University, the Litigation Review Committee met on December 13, 2018. At this meeting, a resolution was considered and adopted that authorized the closing of the meeting. In the closed meeting, discussion was held on matters subject to the attorney-client privilege.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

## **REPORT OF THE FINANCE & OPERATIONS COMMITTEE**

Regent Anderson, chair of the committee, reported that the committee voted to recommend the following items.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the resolution related to FY2020 Twin Cities undergraduate Nonresident/Non-Reciprocity Tuition Rate. The committee docket materials for this item can be found on page 23. A motion was made, and the Board voted 8-4 to approve the resolution, as follows. Regents Anderson, Cohen, Lucas and Omari voted no.

WHEREAS, the University of Minnesota is committed to achieving standards of national and international excellence; and

WHEREAS, the current undergraduate nonresident/non-reciprocity tuition rate for the Twin Cities campus is set at a level inconsistent with the corresponding resident tuition rate in terms of comparison to peers; and

WHEREAS, communicating a significant change in the Twin Cities undergraduate nonresident/non-reciprocity tuition rate as early as possible, before the end of the calendar year, will benefit the process to reach enrollment goals;

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents approves the FY 2020 Twin Cities undergraduate nonresident/non-reciprocity tuition rate as stated in Attachment 1 - University of Minnesota 2019-20 Tuition Plan, with a commitment to hold the rate increases to no more than 5.5 percent for continuing nonresident/non-reciprocity students.

- 2) Approval of the proposed amendments to the Regents of the University of Minnesota Traffic Regulation Ordinances and Establishment of Hearing Date. The committee docket materials for this item can be found on page 32. A motion was made, and the Board voted unanimously to approve the amendments, as follows:

WHEREAS, in accordance with Minnesota Statutes 1979, Chapter 169.965 and Chapter 137.12 the Regents of the University of Minnesota have adopted Traffic Regulation Ordinances; and

WHEREAS, the Regents of the University of Minnesota reserve the right to amend and update the Traffic Regulation Ordinances from time to time as recommended by the administration; and

WHEREAS, the administration has recommended amendments to the Traffic Regulation Ordinances to reflect new modes of transportation and other campus operational changes; and

WHEREAS, amending the Regents Traffic Regulation Ordinances require a public hearing on the proposed amendment.

NOW, THEREFORE, BE IT RESOLVED, that the Regents of the University of Minnesota shall conduct a public hearing on the proposed amendment to the Regents Traffic Regulation Ordinances on the 7th day of February, 2019, at 7:45 a.m., in the Board Room, on the 6th floor of the McNamara Alumni Center, located at 200 Oak Street Southeast, Minneapolis, Minnesota.

BE IT FURTHER RESOLVED, that the notice of said hearing shall be published in legal newspapers in the following counties: Hennepin, Ramsey, St. Louis, Polk, Stevens, Olmsted, Dakota, Carver, Anoka, Isanti, Washington, and Clearwater;

BE IT FURTHER RESOLVED, that said notice shall read as follows:

## NOTICE OF HEARING:

The Regents of the University of Minnesota does hereby give notice to the public that on the 7th day of February, 2019, at 7:45 a.m., in the Board Room, on the 6th Floor of the McNamara Alumni Center located at 200 Oak Street Southeast, Minneapolis, Minnesota on the University of Minnesota Twin Cities/Minneapolis/East Bank Campus it will conduct a public hearing on a proposed amendment to Regents of the University of Minnesota Traffic Regulation Ordinances. As amended, Regents of the University of Minnesota Traffic Regulation Ordinances will read as follows:

The text of the proposed amended Regents of the University of Minnesota Traffic Regulation Ordinances can be found on page 35 of the Finance and Operations Committee docket.

- 3) Approval of the proposed Collective Bargaining Agreement with AFSCME Local 3260 (Health Care Unit). The committee docket materials for this item can be found on page 70. A motion was made, and the Board voted unanimously to approve the resolution, as follows:

WHEREAS, the parties have met and negotiated and have reached agreement regarding terms and conditions of employment regarding the employees of this bargaining unit; and

WHEREAS, The AFSCME Local 3260 (Health Care) has ratified acceptance of this agreement; and

WHEREAS, according to Board of Regents Policy: Reservation and Delegation of Authority, approval of labor agreements by the Board of Regents is required.

NOW, THEREFORE, BE IT RESOLVED that on the recommendation of the President, the Board of Regents approves this labor agreement as outlined in the docket for December 13, 2018.

- 4) Approval of Revised Version 2 of the Consent Report for the Finance & Operations Committee as presented to the committee and described in the December 13, 2018 committee minutes. The committee docket materials for this item can be found on page 93. The item on Amendment to Employment Agreement – Head Football Coach, Twin Cities campus was separated from Revised Version 2 of the Consent Report. A motion was made, and the Board voted unanimously to approve Revised Version 2 of the Consent Report.
- 5) Approval of the proposed Amendment to Employment Agreement – Head Football Coach, Twin Cities campus. The committee docket materials for this item can be found on page 117. A motion was made, and the Board voted 11-1 to approve the motion. Regent Omari voted no.

## REPORT OF THE GOVERNANCE & POLICY COMMITTEE

Regent Rosha, chair of the committee, reported that the committee did not take action on any items this month.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

## **NEW BUSINESS**

Regent Johnson noted the passing of Regent Emeritus Bob Bergland earlier in the week.

McMillan recessed the meeting at 9:39 a.m.

## **INTERVIEW FINALIST FOR PRESIDENT OF THE UNIVERSITY OF MINNESOTA**

Regent McMillan reconvened the meeting at 9:52 a.m. and invited Joan T. A. Gabel to interview for the position of President of the University of Minnesota. McMillan invited Gabel to continue the interview following a brief disruption.

The docket materials for this item begin on page 312. The closed captioned video of this item is [available here](#).

The meeting adjourned at 11:55 a.m.



**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents  
December 18, 2018**

A special meeting of the Board of Regents of the University of Minnesota was held on Tuesday, December 18, 2018 at 10:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; Thomas Anderson, Richard Beeson, Linda Cohen, Michael Hsu, Peggy Lucas, Abdul Omari, Kendall Powell, Darrin Rosha, and Steve Sviggum. Dean Johnson and Randy Simonson participated by phone.

Staff present: Executive Vice President and Provost Karen Hanson; Vice President Matt Kramer; General Counsel Douglas Peterson; Executive Director Brian Steeves; and Chief Auditor Gail Klatt.

The docket materials for this meeting are [available here](#).

**APPOINTMENT OF PRESIDENT OF THE UNIVERSITY OF MINNESOTA**

Regent McMillan presented for review and action the appointment of Joan T. A. Gabel as the President of the University of Minnesota.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the Board voted unanimously to approve Joan T. A. Gabel as the 17<sup>th</sup> President of the University of Minnesota.

**GABEL EMPLOYMENT AGREEMENT**

Regent McMillan presented for review and action an employment agreement with Joan T. A. Gabel, as detailed in the docket.

The docket materials for this item begin on page 17. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the Board voted unanimously to approve the employment agreement with Joan T. A. Gabel for the position of President of the University of Minnesota.

The meeting adjourned at 10:34 a.m.

A handwritten signature in black ink, reading "Brian R. Steeves". The signature is written in a cursive style with a large, stylized initial "B".

**BRIAN R. STEEVES**  
**Executive Director and**  
**Corporate Secretary**