

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

Liberal Arts REsearch NEWS AND COMMENT

lar-es (lăr' ez, lăr' ez), *n. pl.*
Rom. Religion. the spirits who,
if propitiated, watch over the
house or community to which
they belong.

September 18, 1985

LARES Vol. XI, No. 1

Office Hours:

Monday, Tuesday, Thursday: 8:00-4:00;
Wednesday: 9:00-4:00;
Friday: 9:00-12:00.

After hours, the ORD phone (373-5101) announces pending grant deadlines; it will tape messages.

I. GENERAL ANNOUNCEMENTS:

1. This summer, all of our information brochures were rewritten or reorganized. New materials have been added. You may order any one or all of six sections or their subsections. The sections are: I. The Research Process: Preliminary stages which includes a diagram of all of the steps in applying for grants, a glossary of useful terms, the importance of print and electronic literature searches, and the preparation and format of a pre-application proposal. II. Sources of Funding: an overview of internal and external sources of funds, how to do a search of funding agencies, using the Sponsored Program Information Network, evaluating the results of your search, procedures for contacting agencies, and a special section on funding sabbaticals. III. Proposal writing: stages and strategies. The structure of a proposal, writing tips, titles and abstracts, proposal checklist and evaluation form, review procedures and criteria used by external agencies, submission procedures at the U of M, and special considerations for the humanities and the NEH. IV. Guide to preparing budgets and the use of a computerized budget preparer. V. Grants administration including a bibliography, technical and fiscal rules and obligations, and disclosure policies -- patents, privacy, copyrights. And VI., Contracts. PLEASE NOTE: Mailing of these materials will first occur in October. In the interim, please request copies of materials on the order sheet.

2. Kvavik's dog and pony show on the above materials is available to departments on request. Of particular interest is the demonstration of on-line computer searches for extramural support.

II. FUNDING NOTES (Deadlines are summarized in Section V):

A. Fellowships:

1. Reminder! Guggenheim Fellowship applications are due OCTOBER 1. Please request application forms from the following address: Guggenheim Memorial Foundation, 90 Park Avenue, New York, NY 10016. The Foundation does not permit ORD to distribute application forms.

2. The American Council of Learned Societies has established a deadline of DECEMBER 2 for Grants for Chinese Studies. The four fellowship programs involved are: Fellowships for Postdoctoral Research, Mellon Research and Training Fellowships for Young China Scholars, Mellon Language Training Fellowships and Fellowships for Dissertation Research Abroad. Note that these programs are not intended to support research within the PRC. For details on the above please call 3-5101 or write: American Council of Learned Societies, 228 East 45th Street, New York, NY 10017.

3. The Woodrow Wilson International Center for Scholars seeks outstanding project proposals representing diverse scholarly interests and approaches from individuals throughout the world. The Center's residential fellowships are awarded in one broad program--History, Culture and Society--and seven more focused categories: American Society and Politics, the Kennan Institute for Advanced Russian Studies, the Latin American Program, the Asia Program, the International Security Studies Program, the East European Program, and the West European Program. For academic participants, eligibility is limited to the postdoctoral level. The length of the fellowship can vary from four months to a year. For more information contact The Wilson Center, Smithsonian Institution Building, Room 331, Washington, D.C. 20560. Telephone: 202/357-2841. OCTOBER 1 is the deadline for receipt of applications.

4. The Wang Institute's Postdoctoral Fellowship in Chinese Studies is designed to support full-time research on any period or area of Chinese Studies in the humanities or social sciences. The research must contribute significantly to the understanding of Chinese society, history or culture. A limited number of fellowships of up to \$25,000 will be awarded; applications must be postmarked on or before DECEMBER 2. For additional information or an application, please call or write: Wang Institute of Graduate Studies, Fellowship in Chinese Studies, Tyngsboro, Massachusetts 01879. Telephone: 617/649-9731.

5. Nominations and applications are now being accepted for the W. K. Kellogg Foundation's Kellogg National Fellowship Program: Group VII. Awards of up to \$30,000 for a three-year period, plus 12-1/2 percent of annual salary, will be made in behalf of as many as 50 outstanding people who have leadership potential. Fellows will then carry out nondegree, interdisciplinary, self-directed activities to take them beyond the confines of their profession. Fellowships are not awarded for pursuit of basic research. For further information on the program and its eligibility requirements contact: National Fellowship Program, W. K. Kellogg Foundation, 400 North Avenue, Battle Creek, Michigan 49017. Telephone: 616/968-1611. Application deadline is DECEMBER 31.

Fellowships for the Humanities:

1. American Council of Learned Societies Fellowships and Grants-in-Aid application forms must be obtained directly from them at the following address: American Council of Learned Societies, 228 East 45th Street, New York, NY 10017. Deadline for fellowships is SEPTEMBER 30 and for grants-in-aid DECEMBER 15.

2. Villa I Tatti: The Harvard University Center for Italian Renaissance Studies will award upward of ten fellowships for independent study on any aspect of the Italian Renaissance for the academic year 1986-87. I Tatti offers fellowships for scholars of any nationality, normally postdoctoral and in the earlier stages of their careers. For application procedures and forms call 3-5101. NOVEMBER 1 is the deadline for receipt of materials.

3. The National Humanities Center offers 35-40 fellowships for advanced study in history, philosophy, languages and literature, classics, religion, history of the arts, and other fields in the liberal arts. Social scientists and natural scientists whose work has a humanistic dimension are also welcome to apply. Under this program, both senior scholars and young scholars several years beyond the doctorate pursue research and writing in residence at the Center in Research Triangle Park, North Carolina. Stipends are based on a scholar's usual academic salary. Application deadline for the academic year 1986-87 is OCTOBER 15. For application material write to Kent Mullikin, Assistant Director, Box C, National Humanities Center, 7 Alexander Drive, Research Triangle Park, NC 27709.

4. Scholars who have received their Ph.D.'s within the last three years in any field of the humanities are invited to apply for Mellon Postdoctoral Fellowships in the Humanities at Wesleyan University. During 1986-87 the Wesleyan Center for the Humanities will examine areas of study relevant to all fields of the Humanities: art history, literature, history, philosophy, religion, literary theory, and those disciplines of the social sciences that deal with traditional areas of humanistic inquiry. For more information and application procedures call 373-5101 or use the tear-sheet. Applications must be postmarked by NOVEMBER 15.

5. The Rockefeller Foundation announces a program of fellowships for writers and scholars in the humanities whose research furthers understanding of contemporary social and cultural issues. For 1986-87, the fellowships will be offered as residencies at host institutions selected for their support of emerging fields in which important work is being done. Following are the institutions which will award resident fellowships: Afro-American Studies Program/Rites and Reason, Brown University; Francis C. Wood Institute for the History of Medicine, College of Physicians of Philadelphia; Women's Studies in Religion Program, Harvard Divinity School; Program in Atlantic History, Culture and Society, The Johns Hopkins University; The Schomburg Center for Research in Black Culture, New York Public Library; D'Arcy McNickle Center for the History of the American Indian, Newberry Library; The Poetry Center, The 92nd Street Young Men's and Young Women's Hebrew Association; Institute for Research on Women, The State University of New Jersey-Rutgers; The National Museum of

African Art and The Center for Asian Art, The Smithsonian Institution; Theatre Communications Group, New York City; Center for Philosophy and Public Policy, University of Maryland; Wisconsin Center for Film and Theater Research, University of Wisconsin-Madison; Walker Art Center, Minneapolis; Walter P. Reuther Library of Labor and Urban Affairs, Wayne State University; Woodrow Wilson International Center for Scholars, Washington, D.C.

Complete information about eligibility, stipends, procedures for application, and deadlines are available directly from the host institutions. Note that deadlines vary from OCTOBER 1 to FEBRUARY 15. For a brief description and addresses of host institutions call 3-5101 or use the tear-sheet. -

6. The purpose of The Bush Foundation Fellowships for Artists is to assist up to 15 selected artists to set aside a significant period of uninterrupted time for work in their chosen art forms. The Program is limited to applicants working in the visual arts, literature, music composition and choreography. A stipend of \$1,666 per month for 6 to 11 months, or a total stipend of \$20,000 for 12 to 18 months will be awarded to each Fellow. An additional \$5,000 is available for production costs and travel directly related to the Fellowship. Application guidelines are available by calling 3-5101. Deadlines are NOVEMBER 8: Literature, NOVEMBER 15: Music Composition and Choreography, and NOVEMBER 22: Visual Arts.

B. Grants and Contracts:

1. The Office of Research Development has copies of guidelines for each of five programs funded by the Government of Canada for research initiatives taken by American scholars and academic institutions. Since deadlines are in early fall (SEPTEMBER 15 and OCTOBER 31) we would ask you to contact us for information on the following Canadian Studies Grant Programmes: Canadian Studies Faculty Enrichment Programme, Canadian Studies Senior Fellowship Award, Canadian Studies Graduate Student Fellowship Programme, Canadian Studies Faculty Research Grant Programme and Canadian Studies Institutional Research Grant Programme. Call 3-5101 for information.

2. The International Research & Exchanges Board (IREX) announces new and expanded programs of training and research in Eastern Europe and the USSR and grants in Russian, Soviet and East European studies. These programs complement present IREX activities and the new programs of the Joint Committee on Soviet Studies and the Joint Committee on Eastern Europe of the American Council of Learned Societies and the Social Science Research Council.

(1) Short-Term Research Opportunities for Senior Scholars: for trips of less than one month in Eastern Europe and the USSR. Deadline: OCTOBER 31.
(2) Fellowships for Comparative Studies Including the USSR and Eastern Europe: In fields where gaps exist in scholarly coverage of the USSR and Eastern Europe, IREX offers fellowships to enable faculty, postdoctoral researchers and Ph.D. candidates to acquire language and area competence necessary to combine a

comparative Soviet or Eastern Europe perspective with expertise in another critical area. Applications are also welcome from scholars in Soviet or East European studies wishing to acquire expertise in the non-Russian nationalities of the Soviet Union. Deadline: OCTOBER 15. (3) Grants for Collaborative Projects and for Travel to Eastern Europe and the USSR: Department of State funding has made it possible to increase the funds available to scholars in the social sciences through existing programs. Deadline: OCTOBER 31. (4) Language Training in Eastern Europe and the USSR: These in-country programs will be available for both beginning and advanced study, and are intended to provide participants with language capability sufficient for research. Deadline: OPEN.

For details and application forms, contact: IREX, 655 Third Avenue, New York, NY 10017.

3. The Committee on Scholarly Communication with the People's Republic of China (CSCPRC) has announced 1986-87 opportunities for study and research in China for graduate students and postdoctoral scholars in the social sciences and humanities. Application is open to citizens and permanent residents of the United States. Application forms for the following programs are available in the China Center, 100 Nolte West, 376-2593: Graduate Program in China, Visiting Scholar Exchange Program and Program for Research in China. Postmark mailing deadline for applications is OCTOBER 12.

4. The Biomedical Ethics Center of the University of Minnesota is accepting applications for seed money for research projects. The general goal of the center is to stimulate interdisciplinary research relevant to ethical issues in health care. The following areas are of particular interest: 1. Studies of distributive justice in the actual delivery of expensive new medical technologies; 2. Health-care decisions relevant to aging and dying; 3. Ethical implications of changes in the health-care delivery system; 4. Factors influencing ethical decisions of health-care professionals. Send a brief letter of query with title of proposed project to: Dr. Roberta G. Simmons, Research Committee Chair, c/o Biomedical Ethics Center, University of Minnesota Hospitals, Box 33, Mayo Memorial Building. Deadlines are NOVEMBER 15 and FEBRUARY 1. For further information call Susan Backlund (6-3602).

C. Intramural Funding:

1. The GSRDC Travel Fund for seeking sponsored research from extramural agencies has been terminated. We have made a case, with the help of the faculty, for the restoration of these funds. In the interim, we are attempting to support the discontinued program on a case-by-case basis. Please address a letter to us in ORD if you wish to apply for interim travel funds.

2. The next deadline for McMillan Travel Fund and CLA Faculty Travel Fund applications is OCTOBER 10. Other deadlines are JANUARY 10 and APRIL 10. For a revised information sheet and forms call Mary Bandow at 373-4340.

III. AWARDS:

A. Statistics:

Number of applications to date (academic year 1984-85): 128;
 Amount solicited (academic year 1984-85): \$9,590,669
 Number of grants awarded past 12 months: 31;
 Number of grants awaiting final decision: 81.

B. Recent Awards:

The following faculty were awarded grants and contracts in the amount of \$1,171,221.00 for the period 1 April through 31 July 1985.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Mark Rosenzweig Economics	Agricultural Investment, Infrastructure, & Rural Financial Intermediation	The World Bank	94,445
Travis Thompson Psychology	Behavioral Approaches to Drug Dependence	National Inst of Drug Abuse	152,010
Travis Thompson Psychology	Drugs, Learning & Behavior in the Mentally Retarded	Natl Institute Mental Health	130,743
Dwight Burkhardt Psychology	Vision & Intra-retinal Potentials	NIH - Eye Institute	84,008
David Weiss Psychology	Equivalence of Computer-Administered & Paper and Pencil A SVAB Tests	USDOD - Air Force	70,060
Edward Griffin American Studies	Summer Seminar in American Studies	U.S. Information Agency	9,757
Michael Nader Travis Thompson Psychology	Research Fellowship Award in Experimental Psychology	National Inst of Drug Abuse	9,552
Ron Anderson Sociology	Twin Cities Survey	Miscellaneous Govt	34,852

Tom Trow CLA Administration	Lecture in Art & Architecture	Center for Arts Criticism	500
Mary K. Whelan Elden Johnson Anthropology	Dissertation Re- search: Archaeo- logical Analysis of a 19th Century Dakota Economy	National Science Foundation	3,078
Mark Rosenzweig Economics	Determinants of Child Mortality and Health	Yale University (NICHD Prime)	52,062
Richard Sykes Speech- Communication	Self-Regulation of Alcohol Abuse Among Tavern Patrons	National Institute Alcohol Abuse	163,909
Mark Landa Linguistics	Campus Program for Japanese Teachers	Council on Inter- national Educa- tional Exchange	45,330
Joe Galaskiewicz Sociology	Nonprofit Response to Shifting Re- source Markets	National Science Foundation	5,859
Edward Griffin American Studies	Summer Seminars for European School Teachers	U.S. Information Agency	9,113
Elaine May Lary May American Studies	Fast Start- Strong Finish Program	Northwest Area Foundation	200
Thomas Noonan Russian & East European Studies	Minnesota Project in Critical Area Studies	U.S. Department of Education	65,000
Morris Eaton Schl Statistics	Topics in Multi- variate Statistical Analysis, Statistical Decision Theory and Multivariate Probability Inequalities	National Science Foundation	31,750
William Sudderth Schl Statistics	Topics in Abstract Gambling Theory, the Foundations of Statistics & Probability Theory	National Science Foundation	24,350

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C. Wade Savage MN Ctr for Philo- sophy of Science	Is There a New Con- sensus in the Philosophy of Science?	National Endowment for the Humanities	120,000
Christine Hastorf Anthropology	Political Stratifi- cation & Its Effect on Natural Resources: Diet & Agriculture in Prehistoric Peru	National Science Foundation	25,000
Thomas Sargent Christopher Sims Neil Wallace Economics	Coordination of Macro- economic Policies in Dynamic Open Economies	Alfred P. Sloan Foundation	255,000
Leonid Hurwicz Economics	Comparison & Analysis of Systems & Tech- niques of Economic Organizations	National Science Foundation	17,742
Thomas Rose Studio Arts	Siah Armajani's Piece - Humphrey Institute	Dayton Hudson Foundation	25,000
Göran Stockenström Scandinavian	August Strindberg, "The Occult Diary", A Critical Edition in English	National Endowment for the Humanities	35,401
TOTAL			\$1,171,221

IN ADDITION:

Professor Sajida S. Alvi, South and Southwest Asian Studies, was awarded a Travel to Collections grant from the National Endowment for the Humanities. Professor Alvi's research project is entitled "Muslim Intellectuals in the 18th Century: Their Responses to the Crisis of Change in Northern India, 1707-1803."

A National Endowment for the Humanities Travel to Collections grant has been awarded to Professor Nancy Roberts, School of Journalism and Mass Communications.

Congratulations to all of our colleagues on their achievements!

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V. DEADLINES:

DATE	AGENCY	PROGRAM
September 30	ACLS	Fellowships
October 1	Guggenheim Foundation	Fellowships
October 1	NEH	Summer Stipends
October 1	Woodrow Wilson Center	Fellowships
October 1	ACLS	China Conference Travel Grants
October 1	U of M Grad School	Research Funds
October 1	NEH	Tools, Editions, Publications
October 10	CLA	CLA Faculty Travel Grants
		McMillan Travel Fund
October 12	CSCPRC	Grants for Study & Research in China
October 15	Radcliffe College - Bunting Institute	Resident Fellowships for Women
October 15	Australian Natl Univ- Humanities Research Ctr	Visiting Fellowships
October 15	IREX	Fellowships for Comparative Studies
October 15	Columbia University- Soc of Fellows in Humanities	Senior Fellowships Postdoctoral Fellowships
October 15	National Humanities Center	Fellowships
October 31	IREX	Short-Term Research Abroad
October 31	Canadian Embassy	Canadian Studies Grants Programmes (3)
November 1	Harvard University	Mellon Humanities Fellowships
November 1	American Schools of Oriental Research	NEH Fellowships
November 1	IREX	Long-Term Research Abroad: Soviet Union, Bulgaria, Czechoslovakia, German Democratic Republic, Hungary, Poland, Romania, Yugoslavia
November 1	Harvard University	Villa I Tatti Fellowships
November 8	Bush Foundation	Fellowships for Artists: Literature
November 15	American Academy in Rome	Rome Prize Fellowships
November 15	Dumbarton Oaks	Byzantine & Pre-Columbian Studies
November 15	U of M Biomedical Ethics Center	Seed Money for Research Projects
November 15	Wesleyan University- Center for Humanities	Mellon Postdoctoral Fellowships
November 15	Bush Foundation	Fellowships for Artists: Music Composition & Choreography
November 22	Bush Foundation	Fellowships for Artists: Visual Arts

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December 1	SSRC	Grants - International Research
December 1	IREX	Slavonic Study Seminar - Bulgaria
December 2	Wang Inst Grad Studies	Fellowship in Chinese Studies
December 2	ACLS	Grants for Chinese Studies (4 Programs)
December 13	MN Humanities Comm	General Grants
December 15	ACLS	Grants-in-Aid
December 31	CIES	NATO Postdoctoral Fellowships
December 31	W. K. Kellogg Fdn	Kellogg Natl Fellowship Program
January 1	ACLS	China Conference Travel Grants

CAMPUS MAIL

OFFICE FOR RESEARCH DEVELOPMENT
205 JOHNSTON HALL
EAST BANK

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ORDER SHEET

This sheet is preaddressed. When completed, fold, staple, and mail.

Items in LARES are numbered sequentially. For additional information on any item(s) in this issue, please complete the following section (indicate the item number(s) and the information desired):

<u>Item</u> <u>Number</u>	<u>Agency Address</u> <u>Contact Person</u>	<u>Program</u> <u>Announcement</u>	<u>Other</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Other Information Desired or Comments: List Name of Agency, Program

Check here if you wish to be included in our computerized faculty research index and have not previously completed a questionnaire: _____

LARES

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College of Liberal Arts
Office of Research Development
404 Johnston Hall
101 Pleasant Street S.E.
University of Minnesota
Minneapolis, Minnesota 55455

University Archives
10 Walter Library
Attn: Barbara Wagner

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Special information sheets have been prepared for your convenience: to receive a copy, check the item(s) desired.

- ___ Sponsored Project Management. A Guide for Faculty and Staff
- ___ Funding Sources and Application Procedures: A Bibliography
- ___ Definition of Terms Used in Sponsored Research
- ___ Research Administration: A Bibliography
- ___ Research Contracts: Basic Information
- ___ Regulation of Research Risks: Summary of Public Policy
- ___ Disclosure Policies: Patents, Privacy
- ___ Grant Accountability: Summary of Rules and Guidelines
- ___ Policies Governing Civil Rights, Affirmative Action, Environmental Impact, and Student Unrest, etc.
- ___ Sample Questions to Ask Funding Officials
- ___ The Art of the Fellowship Proposal: How NEH Reviews Applications
- ___ Sabbaticals: Sources of funds and application procedures
- ___ Checklist of allowable costs for sponsored research
- ___ Outline comparing grants and contracts
- ___ Subjective Variables Effecting Funding Decisions by Federal Research & Development Agencies: The Grantsmanship Game

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LARES

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Rom. Religion. the spirits who,
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house or community to which
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Liberal Arts REsearch NEWS AND COMMENT

November 15, 1985

LARES Vol. XI, No. 2

Office Hours:

Monday, Tuesday, Thursday: 8:00-4:00;
Wednesday: 9:00-4:00;
Friday: 9:00-12:00.

After hours, the ORD phone (373-5101) announces pending grant deadlines; it will tape messages.

I. GENERAL ANNOUNCEMENTS:

ORD has rewritten and reorganized its various information brochures. You may order any section or subsection listed below by designating the roman numeral and subsection letter on the tear sheet. Some sections are quite long and specialized. If you do not find such items helpful, we would appreciate your returning the materials to us. Note: the new materials complement our instructional program for faculty. If your department would like us to present ORD's services to you, please call us.

Research Information Sheets:

I. THE RESEARCH PROCESS: PRELIMINARY STAGES

A. Diagrammatic representation of stages in proposal writing:
planning sponsored research

B. Glossary of terms used in sponsored research

- a. Basic definitions; definitions of types of grants
- b. Glossary of legislative and federal budget terms
- c. Diagrams of the Congressional budget and appropriation process

C. First Steps:

- a. Literature search: rationale
- b. Preparing an abstract: preapplication proposal format

II. SOURCES OF FUNDING AND THE FUNDING SEARCH:

- A. An overview of types of grants; internal and external sources of funds summarized.
- B. Funding search: Print and electronic guides
- C. The Sponsored Program Information Network or SPIN: How to use it and examples of printed output describing funding opportunities
- D. Evaluating the results of your search for funding opportunities; followup procedures and the rationale and procedures for directly contacting funding agencies
- E. Funding sabbaticals at the University of Minnesota

III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES

- A. An overview: clarification of stages and proposal format. What should the good proposal look like?
- B. Writing tips and editing
- C. Title and Abstracts: Their importance and how to write them.
Examples
- D. Proposal checklist and evaluation form: tests for assessing your application
- E. Proposal review: Assessment of criteria and review procedures
- F. Processing your application at the University of Minnesota -- services, bureaucracy and the BA23 form
- G. Special considerations for applying in the humanities to the National Endowment for the Humanities. "The Art of the Fellowship Proposal."

IV. GUIDE TO BUDGET PREPARATION

- A. An overview of budget preparation: sample budgets, allowable costs direct and indirect costs, bibliography
- B. Budgetwriter: a computerized program for the IBM PC and Lotus 1-2-3 for writing and calculating University of Minnesota budgets

V. GRANTS ADMINISTRATION

- A. Bibliography
- B. Grant management: technical and fiscal accountability
 - 1. The research grant and the regulatory process
 - 2. Basic government regulations
 - 3. Fiscal accountability: allowable versus unallowable costs
 - 4. Fiscal accountability: time-relatedness of costs
 - 5. Fiscal accountability: budget revisions
 - 6. Conflict of interest
 - 7. Effort reporting
 - 8. Program accountability
- C. Public policy: Policies governing civil rights, affirmative action environmental impact, flood insurance, historic properties, relocation assistance, architectural barriers, and student unrest.
- D. Regulation of research risks
- E. Disclosure policies
 - a. Patents, copyrights, privacy (summary)
 - b. Patent and patent rights
 - c. Patent rights under government contracts
 - d. University patent policies and practices
 - e. Patent licensing agreements
 - f. Patent clauses in industrial contracts
 - g. Copyright clauses in industrial contracts
 - h. Software licensing agreements

VI. CONTRACTS

- A. A chart comparing legal and administrative requirements of grants versus contracts.
- B. Basic information on research funded by means of contracts: advantages and disadvantages, rights and responsibilities. Essential elements of a contract.

Materials are adapted for use by CLA faculty from instructional materials prepared by the National Council of University Research Administrators and the Society for Research Administrators. They are distributed by the Office for Research Development, 205 Johnston Hall. Ideas for improving these materials are welcome.

II. FUNDING NOTES (Deadlines are summarized in Section VI):

A. Fellowships:

1. The Carter G. Woodson Institute for Afro-American and African Studies at the University of Virginia has announced its fellowship program for tenures to begin in the fall of 1986. Available are two postdoctoral fellowships for one year, and four predoctoral dissertation fellowships for two years. All Woodson Fellowships are for the completion of research already in progress on Africa, Africans and peoples of African descent in the humanities and social sciences. The application deadline is DECEMBER 2. Forms may be obtained by writing to: William E. Jackson, Associate Director for Research, Carter G. Woodson Institute for Afro-American and African Studies, The University of Virginia, 1512 Jefferson Park Avenue, Charlottesville, Virginia 22903.

2. Reminder! The deadline for submission of completed Kellogg National Fellowship applications to Academic Affairs is DECEMBER 18. If you have questions about the program or need application forms, please contact the Office of the Vice President for Academic Affairs, 213 Morrill Hall (3-2033).

Fellowships for the Humanities:

1. The Stanford Humanities Center will award as many as twelve and possibly more faculty fellowships for the academic year 1986-87. Fellowships will be available for well-established (usually tenured) scholars as well as for junior, usually untenured scholars. In addition, Stanford has received funding from the Ford Foundation for two Fellowships each year intended for scholars in the humanities who belong to U.S. ethnic minorities or who are from Third World countries. The fellowship program is primarily concerned with offering research opportunities both for members of humanities departments as traditionally defined and for all other scholars seriously interested in humanistic issues. Fellows will receive stipends based on their expected academic salary for the coming year. Application forms and further information is available from the Stanford Humanities Center, Mariposa House, Stanford University, Stanford, California 94305. DECEMBER 13 is the application deadline.

B. Grants and Contracts:

1. DECEMBER 2 is the deadline for grants for international research offered by the Social Science Research Council (SSRC). These grants are designed to support research in one country, comparative research between countries in an area, and comparative research between areas. The grants may be used for travel and research expenses as well as for maintenance. Countries and areas included in this program are Africa, China, Japan, Korea, Latin America and the Carib-

bean, Near and Middle East, South Asia and Southeast Asia. The Indochina Studies Program encourages and supports research, writing and the archiving of materials on Cambodia, Laos, and Vietnam, drawing on the knowledge and experience of the refugees who have left those three countries since 1975 and who are now residing in North America. For particulars write: SSRC, 605 Third Avenue, New York, N.Y. 10158. Information is also available in 404 Johnston, 3-5101.

2. This summer the Center for Advanced Study in the Behavioral Sciences in Stanford, California will conduct a Summer Institute on the History of Social Scientific Inquiry. The Institute is intended to increase scholars' knowledge of the history of social scientific inquiry through examination of outstanding examples of historical studies or particular social science disciplines, as well as more integrative approaches. The disciplines to be included range from biological sciences through all of the social and behavioral sciences to the humanities. Scholars selected for the Institute will receive a living and travel allowance of \$4,000. Applicants must hold the doctorate. The deadline for submission of applications is JANUARY 17, 1986. A simple application form can be obtained by writing to: Summer Institute, Center for Advanced Study in the Behavioral Sciences, 202 Junipero Serra Blvd., Stanford, California 94305.

3. JANUARY 15 is the deadline for applications to the National Endowment for the Humanities' Travel to Collections program. The program enables American scholars to travel to the research collections of libraries, archives, museums, or other repositories to consult research materials of fundamental importance for the progress of their work. Trips must be made within North America or Western Europe. For more information and application forms, call 3-5101 or write: Division of Fellowships & Seminars, NEH, 1100 Pennsylvania Ave., NW, Room 316, Washington, D.C. 20506.

4. The next deadline for submitting proposals for research conferences in the humanities to NEH is FEBRUARY 15. Application forms are available by writing: Division of Research Programs, NEH, 1100 Pennsylvania Avenue, NW, Washington, D.C. 20506. Telephone: 202/786-0204.

C. Intramural Funding:

1. A deadline of MONDAY, DECEMBER 23, has been set by the College for receipt of Bush Sabbatical applications. However faculty should submit their application to their chairperson prior to that date so there is adequate time for comments and recommendations on the applications. Forms will be available from the University's Office of Educational Development or from Faculty Affairs in 225 Johnston Hall. Questions regarding Bush Sabbaticals should be referred to Gerri Perreault at 373-4340.

2. JANUARY 13 is the deadline for applications for the support of visiting faculty for 1986-87 under the CLA Visiting Faculty Program. The College has again set aside \$70,000 for this purpose. For guidelines and application procedures, call Gerri Perreault at 3-4340.

3. The CLA Scholarly Conferences Committee is now accepting applications for the academic year 1986-87; the deadline for applications is MARCH 3. For information and application procedures, call Gerri Perreault at 3-4340.

III. AWARDS:

A. Statistics:

Number of applications to date (academic year 1984-85): 128;
 Amount solicited (academic year 1984-85): \$9,590,669
 Number of grants awarded past 12 months: 31;
 Number of grants awaiting final decision: 81.

B. Recent Awards:

The following faculty were awarded grants and contracts in the amount of \$1,182,252.00 for the period 1 August through 30 September 1985:

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
James Jordan Economics	Information Flows Intrinsic to Stabil- ity of Economic Equilibria	National Science Foundation	\$ 67,818
Thomas Sargent Economics	Studies in Macro- economic Dynamics	National Science Foundation	45,294
Marcel Richter Economics	Foundations of Rat- ional Choice: Model- ing Large Economies	National Science Foundation	30,583
Leonid Hurwicz Economics	Collaborative Re- search on Informa- tional Aspects of Distributed Computing	National Science Foundation	24,060
Michael Metcalf History	History of the Swedish Parliament	Bank of Sweden Tercentenary Fdn	13,251
J. Bruce Overmier Psychology	Center for Research in Human Learning	National Institutes of Health	233,243
Travis Thompson Psychology	Behavioral Effects of Methadone	National Institute of Drug Abuse	119,049
Gloria Leon Psychology	Research in Psychopathology	National Institute Mental Health	38,156

Norman Garmezy Psychology	Vulnerable and In- vulnerable Children	National Institute Mental Health	34,020
Göran Stockenström Scandinavian	August Strindberg, "The Occult Diary", A Critical Edition in English	National Endowment for the Humanities	12,000 2,720
David Knoke Sociology	Study of Gender- Politics Associations	Russell Sage Foundation	10,750
Ron Anderson Sociology	Participation in 1985 MN State Survey	Minnesota-Dept of Natural Resources	1,500
Catherine Asher Frederick Asher S & SW Asian Studies	Architectural Plans: Nalanda & the Lodi- Mughal Transition	Smithsonian Institution	10,059
Richard Leppert Humanities	Course in Islamic Civilization	Minnesota Humanities Commission	1,500
Charles Speaks Comm Disorders	Preparation of Edu- cational Audiologists	U.S. Department of Education	77,047
Seymour Geisser Schl Statistics	Predictive Methods	National Institutes of Health	74,857
Luke-Jon Tierney Schl Statistics	Mathematical Sci- ences: Asymptotic Methods for Bayes- ian & Likelihood Analysis	National Science Foundation	60,000
Donald Berry Schl Statistics	Mathematical Sci- ences: Sequential Allocation of Experiments	National Science Foundation	35,000
David Lane Schl Statistics	Develop Methods for I.D. of Drug-Induced Iatrogenic Disease	American Medical Association	31,000
Kent Bales English	Course in Advanced Fiction Writing	Minnesota Humanities Commission	2,000
Mark Rosenzweig Economics	Family Reunification & The Immigration Multiplier: Estimating Effects of Current Im- migration on Future Immigration in the U.S.	Rockefeller Foundation	18,575

John Chipman Economics	Process of Structural Adjustment in an Open Economy: Econometric Study of Swedish Ex- perience Since 1971	Bank of Sweden Ter- centenary Foundation	18,530 1,065
Thomas Bouchard David Lykken Elke Eckert Psychology	Psychological & Med- ical Study: Twins Reared Apart & the Adoptive Siblings of Twins	The Pioneer Fund	100,000
Richard Depue Psychology	Studies of Persons at Risk For De- pressive Disorders	National Institute of Mental Health	47,693
Travis Thompson Psychology	Drugs, Learning and Behavior in the Mentally Retarded	National Institute of Mental Health	14,245
Guillermina Jasso Sociology	Family Reunification & the Immigration Multiplier: Estimating Effects of Current Im- migration on Future Immigration to the U.S.	Rockefeller Foundation	13,425
Ron Anderson Sociology	Inclusion of Compul- sive Gambling Ques- tions in Twin Cities Area Survey	Minnesota-Department of Human Services	6,900
Ron Anderson Sociology	Survey of College Student Attendance Costs and Work	Minnesota-Higher Edu- cation Coordinating Board	5,000
William Malandra S & SW Asian Studies	National Resource Centers and Fellowships	U.S. Department of Education	23,010
Tzvee Zahavy Ancient Near East and Jewish Studies	Course in Seph- ardic Culture	Minnesota Humanities Commission	1,600
Robert Leik Family Study Ctr	Displaced Homemaker Program Evaluation	Minnesota-Department of Economic Security	8,302

TOTAL \$1,182,252

CORRECTION: Professor Philip Kitcher was inadvertently left off as co-principal investigator on the grant, "A New Consensus?", in the September issue of LARES. Professor Kitcher is the current director of the Minnesota Center for Philosophy of Science.

IN ADDITION:

The Office of International Programs has been awarded \$32,050 for the 1985-86 academic year to develop and underwrite costs of a faculty-scholar exchange between the University and Humboldt University in East Berlin. Funds were awarded by the U.S. Information Agency.

Congratulations to all of our colleagues on their achievements!

IV. BUDGET NOTES FOR SPONSORED PROGRAMS:

Indirect costs, or "overhead", constitute one of the least understood aspects of the University's involvement in funded research. Indirect costs are real costs, and their existence is inextricably linked with the presence of funded research on campus. They represent expenditures the university must make in order for research programs to exist. And the federal government, recognizing that those costs are real and auditable, awards indirect costs along with research grants to pay for them. Each university has its own indirect cost rate. The rate is frequently renegotiated by the cognizant federal agency - in our case, the Department of Health and Human Services - which is assigned audit responsibility for all federal support at the University, even that coming from other branches of the government. The rates are not set by the University unilaterally. The rates fluctuate in each renegotiation according to the varying relationships between indirect fixed costs and the total of grant funds received.

The establishment of the rate, currently 43%, is based on the audit agency's determination of those costs which clearly result from the existence of sponsored research but cannot reasonably be charged specifically to individual grants. For example, the University must provide the accounting and fiscal management services required to document proper usage of the public monies granted. Federal regulations also required that the institution maintain oversight on other issues such as human subjects involvement, biohazards, and animal care. It is impractical, and inefficient, to charge directly to each research grant a cost associated with each use of those necessary personnel services. Instead, they are lumped into the "indirect cost pool", along with other similar items such as utilities, space maintenance, etc., and the total costs of these support services are prorated among research grants. This proration determines the indirect cost rate.

V. AGENCY PROFILES AND FUNDING TRENDS

The Department of Defense-University Forum, which advises the DOD on a wide range of issues affecting the nation's university research and education

programs vital to national security, met in Washington on October 7. The Forum is co-chaired by Donald Hicks, newly appointed DOD Under Secretary for Research and Engineering, and Donald Kennedy, President of Stanford University.

Continuing concern with the state of America's capabilities to understand and compete in the international arena was reflected in the discussion of a report by the Forum's working group on foreign language and area studies. The working group proposes the creation of a National Foundation for Foreign Languages and Area Studies to meet the national objectives defined by "Beyond Growth." With the National Science Foundation as a model, the Association of American Universities has been given the task of researching and drafting legislation to create the new Foundation.

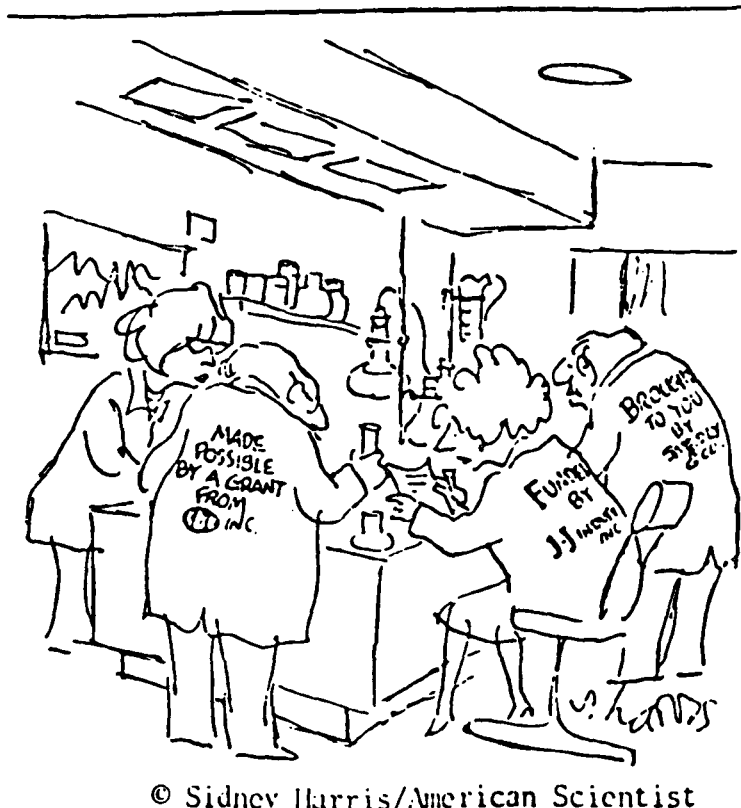
In addition, the working group has recommended the development of a "national metric" to measure foreign language proficiency. Universities would solicit their appropriate department chairs for suggestions toward the adoption of such a measure.

Of more immediate concern is the reauthorization of Title VI of the Higher Education Act which funds program in international education and foreign language studies. The House Postsecondary Education Subcommittee has marked up preliminary changes in the bill's language. The Senate Education, Arts, and Humanities Subcommittee is expected to do the same in November. The House bill includes three new initiatives: (1) the creation of 2-5 new national language centers that will focus on pedagogical techniques for foreign language acquisition; (2) the establishment of summer language institutes to train teachers and students; and (3) funds for the acquisition of overseas periodicals.

VI. DEADLINES:

DATE	AGENCY	PROGRAM
November 15	American Academy in Rome	Rome Prize Fellowships
November 15	Dumbarton Oaks	Byzantine & Pre-Columbian Studies
November 15	U of M Biomedical Ethics Center	Seed Money for Research Projects
November 15	Wesleyan University- Center for Humanities	Mellon Postdoctoral Fellowships
November 15	Bush Foundation	Fellowships for Artists: Music Composition & Choreography
November 22	Bush Foundation	Fellowships for Artists: Visual Arts
November 30	German Marshall Fund	Research Fellowships
November 30	American Research Center in Egypt	Fellowships
December 2	SSRC	Grants - International Research
December 2	Wang Inst Grad Studies	Fellowship in Chinese Studies

December 2	ACLS	Grants for Chinese Studies (4 Programs)
December 2	Woodson Inst for Afro- American & African Stds	Research Fellowships
December 13	Stanford Humanities Ctr	Fellowships
December 13	MN Humanities Comm	General Grants
December 15	ACLS	Grants-in-Aid
December 15	AAUW	Postdoctoral Fellowships
December 15	Tinker Foundation	Postdoctoral Fellowships
December 18	W.K. Kellogg Foundation- Applications due in Academic Affairs	National Fellowship Program
December 18	Office of Naval Research	Young Investigator Program
December 23	College of Liberal Arts	Bush Sabbatical Applications
December 31	CIES	NATO Postdoctoral Fellowships
December 31	W. K. Kellogg Fdn	Kellogg Natl Fellowship Program
January 1	ACLS	China Conference Travel Grants
January 1	National Science Fdn	Anthropology; Social & Develop- mental Psychology; Economics; Geography; History & Philosophy of Science; Political Science; Sociology
January 7	College of Liberal Arts	Educational Development Projects
January 10	J. Paul Getty Trust	Postdoctoral Fellowships in History of Art & Humanities
January 13	College of Liberal Arts	Visiting Faculty Applications
January 15	NEH	Travel to Collections
January 15	Univ of Pittsburgh	Mellon Postdoctoral Fellowships
January 17	Ctr for Advanced Study in Behavioral Sciences	Summer Institute on History of Social Scientific Inquiry
January 31	DAAD	Study Visits-Research Grants
February 1	Natl Institutes Health	Research Grants-NEW
February 1	ADAMHA	Research Grants-NEW
February 1	National Science Fdn	Linguistics
February 28	M. Aylwin Cotton Fdn	Mediterranean Studies Awards
March 1	Natl Institutes Health	Research Grants-RENEWALS
March 1	Boston University	Postdoc Fellowship in Humanities
March 1	Scottish Rite Schizo- phrenia Program	Research Grants
March 1	NEH	Summer Seminars for College Teachers-Directors
March 3	College of Liberal Arts	Scholarly Conferences Applications



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CAMPUS MAIL

OFFICE FOR RESEARCH DEVELOPMENT
205 JOHNSTON HALL
EAST BANK

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<u>Item</u> <u>Number</u>	<u>Agency Address</u> <u>Contact Person</u>	<u>Program</u> <u>Announcement</u>	<u>Other</u>
_____	_____	_____	_____
_____	_____	_____	_____
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_____	_____	_____	_____

Other Information Desired or Comments: List Name of Agency, Program

Check here if you wish to be included in our computerized faculty research index and have not previously completed a questionnaire:

LARES

LARES Vol. XI, No. 2

College of Liberal Arts
Office of Research Development
404 Johnston Hall
101 Pleasant Street S.E.
University of Minnesota
Minneapolis, Minnesota 55455

77241

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Special information sheets have been prepared for your convenience: to receive a copy, check the item(s) desired.

___ Sponsored Project Management. A Guide for Faculty and Staff

List here any desired sections and subsections of the research information sheets:

MIW
9 R2352

LARES

Liberal Arts Research Newsletter

February 1, 1986

LARES Vol. XI, No. 3

Office Hours:

Monday, Tuesday, Thursday: 8:00-4:00;
Wednesday: 9:00-4:00;
Friday: 9:00-12:00.

After hours, the ORD phone (373-5101) announces pending grant deadlines; it will tape messages.

I. GENERAL ANNOUNCEMENTS:

1. Robert B. Kvavik, Director of the Office for Research Development since 1982, will resign in order to assume his new position as assistant vice president for international education. He will continue to be available in ORD throughout the winter quarter until a new director is appointed.

2. ORD's Travel Fund for seeking sponsored research support from extramural agencies has been restored by a grant from the Acting Vice President for Academic Affairs. If you wish to apply for funds, simply send us a letter with the pertinent details--there is no application form. Please take advantage of this program, especially now, as Congress may be obligated to cut federal funding for research under the Gramm-Rudman guidelines (see Section VII).

3. In response to new animal care and use requirements implemented by many sponsoring agencies, the Animal Use Form (BA-22) has been revised. The forms are printed and should be available from the General Storehouse. Since the form has been revised significantly, old forms will not be acceptable after January 1, 1986. Questions regarding this form should be directed to Research Animal Resources at 376-5097. See section IV of this issue of LARES for more information.

4. Sabbaticals! If you are planning to take a sabbatical leave in academic year 1987-88, the time to start applying for supplementary salary from external sources is now! Many public sector agencies have June 1986 deadlines; a host of private sector agencies require completed applications by October 1986. The SPIN system may be used to determine precise application dates and procedures. Also, ORD has a special booklet on sabbaticals which includes

information on application procedures at the University plus a number of commonly used external sources of money. The booklet is subsection E of Research Information Sheet II. Sources of Funding and the Funding Search. Please check the appropriate space on the attached order sheet if you wish a copy of the booklet.

5. We would remind you that ORD has rewritten and reorganized its various information brochures. You may order any section or subsection listed at the end of this issue by designating the Roman numeral and subsection letter on the tear sheet. Some sections are quite long and specialized. If you do not find such items helpful, we would appreciate your returning the materials to us.

II. FUNDING NOTES (Deadlines are summarized in Section VI):

A. Fellowships:

Fellowships for the Humanities:

1. Early Reminder! The application deadline for NEH Fellowships for Independent Study and Research is JUNE 1. This program is one of the most attractive opportunities for sabbatical support in the humanities. Call 3-5101 for more information.

Fellowships for the Social Sciences:

1. Fogarty International Center for Advanced Study in the Health Sciences at the National Institutes of Health provides postdoctoral fellowships for research in the U.S. and abroad and supports exchanges of senior scientists. Requirements for most programs are U.S. citizenship, a doctoral degree in one of the biomedical, BEHAVIORAL, or health sciences and at least five years postdoctoral experience. Most deadlines are MAY 10. Senior International Fellowships enable outstanding scientists to study abroad and share expertise. Other programs include opportunities for study in Finland, Federal Republic of Germany, Ireland, Norway, France, Switzerland, Sweden and Taiwan. For more information, contact Toulia Bockting, 301/496-6688, or write: International Research and Awards Branch, Fogarty International Center, NIH, Bldg. 38A, Room 613, Bethesda, MD 20205.

B. Grants and Contracts:

1. The National Endowment for the Humanities will be commemorating its 20th anniversary by emphasizing projects that fall under two general headings: Understanding America and Understanding Other Nations. The two seminar programs sponsored by the Division of Fellowships and Seminars--Summer Seminars for College Teachers and Summer Seminars for Secondary School Teachers--are participating in this initiative by encouraging proposals for seminars focusing on the foundations of American life and on the significant texts through which

foreign cultures are best revealed. Seminars in Understanding America would promote the careful examination of the history, literature, culture, and thought of the United States. Such seminars could be offered in any of the humanities disciplines and might appeal to either specialists, nonspecialists, or both.

The second initiative, Understanding Other Nations, aims to enhance Americans' knowledge of the history and thought of other cultures. Because language is the principal vehicle for such understanding, seminars in Understanding Other Nations would aim ultimately to encourage and support the restoration of foreign language literacy in the United States.

To commemorate the Columbian Quincentenary, the two seminar programs also welcome proposals inspired by the encounter of the old with the new world. Proposals may relate directly to Columbus's adventure, or they may deal with the background or the period of the discoveries, with the concept of discovery itself, or with the significance of the voyages for European history as well as for indigenous American people.

Address inquiries to Kenneth Kolson or Steven Tigner, Division of Fellowships and Seminars, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506 (202/786-0463). The next deadlines for these seminars are MARCH 1 and APRIL 1, 1986.

C. Intramural Funding:

1. The next deadline for McMillan Travel Fund and CLA Faculty Travel Fund applications is APRIL 10. For a revised information sheet and forms, call Mary Bandow at 373-4340.

2. MARCH 3 is the deadline for applications to the CLA Scholarly Conferences Committee. Call 373-4340 for information and application forms.

III. AWARDS:

A. Statistics:

Number of applications to date (academic year 1984-85): 128;
Amount solicited (academic year 1984-85): \$9,590,669
Number of grants awarded past 12 months: 54;
Number of grants awaiting final decision: 48.

B. Recent Awards:

The following faculty were awarded grants and contracts in the amount of \$680,918.00 for the period 1 October through 30 November 1985:

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Leonid Hurwicz Economics	Information & Incentives in Resource Allocation Mechanisms	National Science Foundation	\$ 42,441
Mark Rosenzweig Economics	Supply-Demand Determinants of Births & Their Consequences	Yale University (NICHD Prime)	38,017
Lung-Fei Lee Economics	Microeconomic Models with Limited Dependent Variables	National Science Foundation	12,239
Rudolph Vecoli History & Immigration History Research Center	Survey, Collect and Preserve Records of the Order of the Sons of Italy in America	National Historical Publications and Records Commission & Sons of Italy Fdn	25,083 16,236
Jeannette Gundel Larry Hutchinson Michael B. Kac Linguistics	Text Analysis of an Engineering Sub-language of English	Control Data Corporation	14,613
Lloyd Ultan Richard Massman School of Music	Seven New Compositions for Young Musicians	Northwest Area Foundation	1,500
John Wright Afro-American Stds	Postdoctoral Fellowship for Minorities	National Research Council/Ford Fdn	2,500
Sanford Weisberg Schl of Statistics	Back calculation of Fish Length for Use in Fishery Mgmt	National Oceanic Atmospheric Administration/Sea Grant	8,410
John Chipman Economics	Process of Structural Adjustment in an Open Economy	Bank of Sweden Tercentenary Fdn	1,992
Michael Metcalf J. Kim Munholland W. Eur Area Stds Ctr	Western European Area Studies Center	U.S. Department of Education	140,280

Diane Duffy Political Science	Roles of Ethnicity & Public Policy in Health and Fitness	American Nurses Foundation, Inc.	2,092
Rene Dawis Lloyd Lofquist Psychology	DVR Vocational Assessment Program	Minn-Department of Economic Security	159,800
Norman Garnezy Psychology	Studies of Stress- Resistant Children	ADAMHA-National Inst of Mental Health	56,400
Deborah Fantini Neal Viemeister Psychology	Frequency discrimi- nation in noise backgrounds	NINCDS-National Institutes of Health	18,996
David Knoke Sociology	Resource Acquisition & Allocation Assoc	National Science Foundation	56,588
David Knoke Sociology	Social Organization of National Policy Domains	University of Chicago (NSF Prime)	6,307
Roberta Simmons Family Study Ctr	Comparison of Quality of Life of End-Stage Renal Disease Patients	Health Care Financing Administration	52,523
Robert Leik Family Study Ctr	Displaced Homemaker Program Evaluation	Minn-Department of Economic Security	24,901

TOTAL \$680,918

IN ADDITION:

Professor Richard Leppert, Humanities, has been awarded a Fellowship for Independent Study and Research for 1986-87 by the National Endowment for the Humanities. The award will allow Professor Leppert to complete his monograph on the socio-cultural history of musical life in 18th-century England.

Congratulations to all of our colleagues on their achievements!

IV. RESEARCH NOTES

The following is reprinted from the January issue of the ORA-RDC Newsletter: Effective January 1, 1986, the Public Health Service will implement new guidelines for the care and use of laboratory animals. All applications and proposals submitted to PHS and other selected agencies after January 1 must indicate that the University's Institutional Animal Care and Use Committee (IACUC) has approved those sections of the application or proposal related to the care and use of laboratory animals. While internal procedures have not been

finalized, it is highly recommended that investigators applying to the agencies requiring animal use certification, submit their applications, along with any required agency assurance form, to Research Animal Resources (RAR) for review prior to submission to ORTTA. RAR will provide the required assurance.

For those investigators not able to submit their application to RAR in advance, ORTTA will provide a pending review letter for transmittal with the application. A copy of this letter will be forwarded to RAR. It will be the responsibility of the principal investigator to provide RAR with the BA22 form in order that certification to the agency can be sent within 60 days.

The following is a list of agencies requiring animal use certification at the present time:

Public Health Service - all divisions
National Science Foundation
American Cancer Society
American Heart Association
American College of Surgeons
American Diabetes Association
Cystic Fibrosis Foundation
Juvenile Diabetes Foundation
Leukemia Society of America
March of Dimes Birth Defects Foundation

V. AGENCY PROFILES AND FUNDING TRENDS

The Ford Foundation intends to strengthen and expand its population programs related to population growth, and to build on current efforts to "foster social and economic environments in which men and women are motivated and enabled to choose smaller families." The Foundation's biennial budget for FY 1984-85 committed \$30 million to such programs.

Currently supported activities fall into four clusters: (1) women's programs focusing on income generation, education, reproductive health, and prevention of teenage pregnancy; (2) efforts to ensure the survival and improving the health, nutrition, and early intellectual development of disadvantaged infants and very young children; (3) efforts focused on problems caused by the increased flow of migrants and refugees; and (4) research in population and development to help form national population policy. In expanding the latter area of effort, the Foundation "will support analyses of sensitive population issues and will make certain that periodic population status reports are prepared, with recommendations for action, for major developing countries and for the world as a whole."

Researchers interested in population issues are invited to request a copy of "The Ford Foundation's Work in Population: A Working Paper," from: Ford Foundation, Office of Reports, 320 East 43rd Street, New York, NY 10017. Telephone: 212/573-5150.

VI. DEADLINES:

DATE	AGENCY	PROGRAM
February 1	Natl Institutes Health	Research Grants-NEW
February 1	ADAMHA	Research Grants-NEW
February 1	National Science Fdn	Linguistics
February 15	Natl Endowment Humanities	Conferences
February 15	Natl Endowment Humanities	Grants-Intl Research
February 28	M. Aylwin Cotton Fdn	Mediterranean Studies Awards
March 1	Natl Institutes Health	Research Grants-RENEWALS
March 1	Boston University	Postdoc Fellowship in Humanities
March 1	Scottish Rite Schizo- phrenia Program	Research Grants
March 1	NEH	Summer Seminars for College Teachers-Directors
March 3	College of Liberal Arts	Scholarly Conferences Applications
April 1	Natl Endowment Humanities	Division of Education Programs
April 1	Natl Endowment Humanities	Summer Seminars for Secondary School Teachers-Directors
April 18	MN Humanities Commission	General Grants
June 1	Natl Endowment Humanities	Editions, Translations
June 1	Natl Endowment Humanities	Constitutional Fellowships
June 1	Natl Endowment Humanities	Fellowships for Independent Study & Research

VII. FUNDING TRENDS:

At this time, the impact of Gramm-Rudmann-Hollings on federally funded research activities are projected as follows:

1. 4.3 % cut for NSF or \$58 million.
2. 3.7% cut for the Education Department or \$678 million. That amount would be less than ED's funding for 1985 but still \$2 billion higher than 1984. The cuts will take place automatically on March 1 unless the Congress approves specific reductions to meet the law's \$11.7 billion budget reduction target. The effect will not be felt until 1987.
3. The law will require much deeper cuts in 1987 and would have adverse effects on FIPSE, international education, and graduate and professional fellowships.

RESEARCH INFORMATION SHEETS:

ORD has rewritten and reorganized its various information brochures. You may order any section or subsection listed below by designating the Roman numeral and subsection letter on the tear sheet. Some sections are quite long and specialized. If you do not find such items helpful, we would appreciate your returning the materials to us. Note: the new materials complement our instructional program for faculty. If your department would like us to present ORD's services to you, please call us.

I. THE RESEARCH PROCESS: PRELIMINARY STAGES

- A. Diagrammatic representation of stages in proposal writing:
 - planning sponsored research
- B. Glossary of terms used in sponsored research
 - a. Basic definitions; definitions of types of grants
 - b. Glossary of legislative and federal budget terms
 - c. Diagrams of the Congressional budget and appropriation process
- C. First Steps:
 - a. Literature search: rationale
 - b. Preparing an abstract: preapplication proposal format

II. SOURCES OF FUNDING AND THE FUNDING SEARCH:

- A. An overview of types of grants; internal and external sources of funds summarized.
- B. Funding search: Print and electronic guides
- C. The Sponsored Program Information Network or SPIN: How to use it and examples of printed output describing funding opportunities
- D. Evaluating the results of your search for funding opportunities; follow-up procedures and the rationale and procedures for directly contacting funding agencies
- E. Funding sabbaticals at the University of Minnesota

III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES

- A. An overview: clarification of stages and proposal format. What should the good proposal look like?
- B. Writing tips and editing
- C. Title and Abstracts: Their importance and how to write them.
 - Examples
- D. Proposal checklist and evaluation form: tests for assessing your application
- E. Proposal review: Assessment of criteria and review procedures
- F. Processing your application at the University of Minnesota -- services, bureaucracy and the BA23 form
- G. Special considerations for applying in the humanities to the National Endowment for the Humanities. "The Art of the Fellowship Proposal."

IV. GUIDE TO BUDGET PREPARATION

- A. An overview of budget preparation: sample budgets, allowable costs direct and indirect costs, bibliography
- B. Budgetwriter: a computerized program for the IBM PC and Lotus 1-2-3 for writing and calculating University of Minnesota budgets

V. GRANTS ADMINISTRATION

- A. Bibliography
- B. Grant management: technical and fiscal accountability
 - 1. The research grant and the regulatory process
 - 2. Basic government regulations
 - 3. Fiscal accountability: allowable versus unallowable costs
 - 4. Fiscal accountability: time-relatedness of costs
 - 5. Fiscal accountability: budget revisions
 - 6. Conflict of interest
 - 7. Effort reporting
 - 8. Program accountability
- C. Public policy: Policies governing civil rights, affirmative action environmental impact, flood insurance, historic properties, relocation assistance, architectural barriers, and student unrest.
- D. Regulation of research risks
- E. Disclosure policies
 - a. Patents, copyrights, privacy (summary)
 - b. Patent and patent rights
 - c. Patent rights under government contracts
 - d. University patent policies and practices
 - e. Patent licensing agreements
 - f. Patent clauses in industrial contracts
 - g. Copyright clauses in industrial contracts
 - h. Software licensing agreements

VI. CONTRACTS

- A. A chart comparing legal and administrative requirements of grants versus contracts.
- B. Basic information on research funded by means of contracts: advantages and disadvantages, rights and responsibilities. Essential elements of a contract.

Materials are adapted for use by CLA faculty from instructional materials prepared by the National Council of University Research Administrators and the Society for Research Administrators. They are distributed by the Office for Research Development, 205 Johnston Hall. Ideas for improving these materials are welcome.



"It figures. If there's artificial intelligence, there's bound to be some artificial stupidity."

CAMPUS MAIL

OFFICE FOR RESEARCH DEVELOPMENT
205 JOHNSTON HALL
EAST BANK

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_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Other Information Desired or Comments: List Name of Agency, Program

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University Archives
10 Walter Library
Attn: Barbara Wagner

Liberal Arts Research Newsletter
College of Liberal Arts
Office of Research Development
205 Johnston Hall
101 Pleasant Street S.E.
University of Minnesota
Minneapolis, Minnesota 55455
(612) 373-5101



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___ Sponsored Project Management. A Guide for Faculty and Staff

List here any desired sections and subsections of the research information sheets:

LARES

Liberal Arts Research Newsletter

April 1, 1986

LARES Vol. XI, No. 4

Office Hours:

Monday, Tuesday, Thursday: 8:00-4:00;
And by appointment

After hours, the ORD phone (373-5101) announces pending grant deadlines; it will tape messages.

I. GENERAL ANNOUNCEMENTS:

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1. Early Reminder! The application deadline for NEH Fellowships for University Teachers is JUNE 1. This program is one of the most attractive opportunities for sabbatical support in the humanities. Call 3-5101 for more information.

Fellowships for the Social Sciences:

1. In collaboration with the Free University of Berlin, the SSRC has initiated a new fellowship and grant program designed to assist doctoral candidates and postdoctoral scholars to undertake research on German and European affairs. The program, funded in Germany by the Volkswagen Foundation and at SSRC by the German Marshall Fund of the United States, is open to social scientists, including historians working on the period since the mid-19th century, and students of comparative and international law. Awardees are expected to reside primarily in Berlin for the duration of their fellowship or grant. A good command of German is required; intensive language refresher courses will be funded in certain cases. Citizens or permanent residents of the United States are eligible to apply. For further information, write or call: P. Nikiforos Diamandouros, Berlin Program in German and European Studies, Social Science Research Council, 605 Third Avenue, New York, NY 10158. Telephone: 212/661-0280.

B. Grants and Contracts:

1. NIH and the Division of Nursing in the Health Research and Services Administration are replacing the New Investigator Research Award with a grant mechanism called the First Independent Research Support and Transition (FIRST) Award. The awards generally will provide funds for five years to allow newly independent investigators with promising proposals to prove they can make a significant contribution to biomedical research. Eligible applicants must be beginning investigators who are not in training at the time the award will begin and who have never been designated as principal investigator on any Public Health Service research project. The first receipt date for applications is JUNE 1. Maximum direct costs are \$350,000 for the five-year period. Contact Dr. David Wolff, National Institute of General Medical Sciences, Westwood Bldg., Room 955, NIH, Bethesda, Maryland 20892. Telephone: 301/496-7063.

2. The Council for International Exchange of Scholars has announced the opening of competition for more than 300 research grants and 700 university

lectureships under the 1987-88 Fulbright Grants. Award periods range from three months to a full academic year, and opportunities exist in more than 100 countries. Fulbright awards are granted in all disciplines and scholars in all academic ranks are eligible. Benefits include round-trip travel for the grantee and, for full academic year awards, one dependent; maintenance allowance; tuition allowance. Basic eligibility includes U.S. citizenship; a Ph.D. degree or comparable professional qualifications; university or college teaching experience; and, for selected assignments, proficiency in a foreign language.

Following are the various application deadlines: JUNE 15, 1986: Australasia, India, Latin America and the Caribbean; SEPTEMBER 15, 1986: Africa, Asia, Europe, the Middle East; NOVEMBER 1, 1986: institutional proposals for Scholar-in-Residence Program; JANUARY 1, 1987: Seminar in German Civilization; NATO Research Fellowships; FEBRUARY 1, 1987: for Spain Research Fellowships, and France and Germany Travel-Only Awards. For complete information, contact: M. Carlota Baca, Council for International Exchange of Scholars, 11 Dupont Circle NW, Washington, D.C. 20036. Telephone: 202/9395469.

Intramural Funding:

1. The next deadline for McMillan Travel Fund and CLA Faculty Travel Fund applications is APRIL 10. For a revised information sheet and forms, call Mary Bandow at 373-4340.

III. AWARDS:

A. Statistics:

Number of applications to date (academic year 1984-85): 128;
Amount solicited (academic year 1984-85): \$9,590,669
Number of grants awarded past 12 months: 64;
Number of grants awaiting final decision: 37.

B. Recent Awards:

The following faculty were awarded grants and contracts in the amount of \$384,428.00 for the period 1 December through 31 January:

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Gordon Legge Psychology	Psychophysics of Reading: Normal and Low Vision	Natl Institutes of Health: Eye Insti- tute	\$85,508
Gordon Legge Psychology	Role of Supra- threshold Contrast in Vision	Natl Institutes of Health: Eye Insti- tute	52,701
Ron Anderson Sociology	Continuous Survey of Participation & Ex- penditures in Outdoor Recreation by Minne- sota Residents	State of Minnesota- Department of Natural Resources	84,000
Frank B. Martin Schl of Statistics	Statistical Planning & Analysis for Study- ing the Relationship of Tree & Stand Growth to Acid Deposition within the Lake States	US Department of Agriculture- Forest Service	4,900
Donald Ross Composition	Integrating Computer Technology to Serve the Needs of Students & Teachers in Writing Courses	US Department of Education	8,000
Janet Contursi Anthropology	Fulbright-Hays Train- ing Grant--Doctoral Dissertation Abroad	US Department of Education	6,000
Mark Rosenzweig Economics	Comparative Study of Health, Morbidity & Mortality	NIH-National Inst of Child Health and Human Development	77,356
Robert Mc Caa History	Microfilming the Archivo Historico de Parral	Tinker Foundation	12,000
Thomas Noonan Russian & East European Studies	Minnesota Project in Critical Slavic Languages	US Department of Education	53,963
			TOTAL \$384,428

IN ADDITION:

* Professor Ronald W. Sousa, Comparative Literature, has been awarded a \$3,000 National Endowment for the Humanities Summer Stipend. During the summer of 1986 Professor Sousa will do an analysis of Fernando Pessoa and his work. Pessoa is a key figure in Portuguese literary criticism and literary historiography.

1986-87 BUSH SABBATICAL AWARDS ANNOUNCED

Fourteen CLA faculty were named to receive Bush Sabbatical Grants; a total of 22 awards were made University-wide. The CLA recipients are as follows:

Chester Anderson, English, for "Gerard Manley Hopkins: A Critical Biography."

C. Anthony Anderson, Philosophy, for "A Synthesis of the Frege and Russell Intensional Logics with an Application to the Logic of Action."

Kinley Brauer, History, for "American Foreign Relations, 1915-1960: A Socio-Economic-Cultural Interpretation."

Michael Browne, English, for "Completion of a Collection of Poetry/The Music of Poetry."

Frederick Cooper, Art History, for "A Handbook of Greek Architecture."

Bruce Downing, Linguistics, for "The Language Experience of Migrants and Refugees: Studies at the Bilingual Interface."

William Hanson, Philosophy, for "Logic and Computers: Applications of Symbolic Logic to Problems in Computer Programming and the Use of Computers in Teaching Certain Philosophy Courses."

Poul Houe, Scandinavian, for "History of Danish Literature 1950-1985."

James Henkel, Studio Arts, for "Altered Image and Its Relationship to Landscape Photography."

John Ingham, Anthropology, for "Winnebago World View: A Study in Semiotic Anthropology."

Frank Miller, Anthropology, for "Reinventing Development."

Leonard Polakiewicz, Russian & East European Studies, for "Research in Contemporary Polish Literature and the Development of a New B.A. Degree in Polish/East European Studies."

Roberta Simmons, Sociology, for "Arenas of Comfort and the Self-Image over the Life-Course."

Jean Ward, Journalism & Mass Communications, for "New Technologies and the Organization of News Work."

Congratulations to the following faculty who were awarded Single Quarter Leaves for 1986-87*:

Donald Berry, Statistics, for "bandit problems with covariate information."

Donald Browne, Speech-Communication, for "What's Local About Local Radio: a Comparative Study of Three European Experiences."

Reginald Buckner, Music & Afro-American/African Studies, for "The Mechanics of Improvisation in Classical Music."

- James Butcher, Psychology, for "restandardization of the Minnesota Multiphasic Personality Inventory (MMPI)."
- Kathryn Chaloner, Applied Statistics, for "sequential experimental design for non-linear estimation."
- Richard DePue, Psychology, for "potoperiod and behavioral stability in seasonal affective disorder."
- Raymond Duvall, Political Science, for "the Politics of Industrialization in the Relatively Late Industrializing Countries of Europe."
- Sara Evans, History, for "Wage Justice: The Implementation of Comparable Worth."
- Patricia Faunce, Women's Studies, Psychology & Student Counseling Bureau, for "Achievement Motivation in Native American Women and Men."
- John Freeman, Political Science, for "The Politics of Mixed Economies."
- Philip Furia, English, for "The Poets of Tin Pan Alley."
- Michael Hancher, English, for research on dictionary illustration.
- Jasper Hopkins, Philosophy, for "Una religio in rituum varietate": Nicholas of Cusa's Renaissance Philosophy of Religion.
- Poul Houe, Scandinavian Studies, for "the history of Danish literature, 1950-85."
- Gary Jahn, Russian & East European Studies, for "Leo Tolstoi's search for freedom: the theme of freedom and necessity in a unified understanding of four major works."
- Chin-Chuan Lee, Journalism & Mass Communications, for "Mass Media and Political Transition in Hong Kong."
- Douglas Lewis, Philosophy, for "the argument of Spinoza's Theologico-political Treatise."
- Bruce Lincoln, Humanities, for "Instruments of Ideological Persuasion and Opposition."
- Alex Lubet, Music, for "Havdalah."
- Ramon Marimon, Economics, for "Market Incompleteness and the Permanent Income Hypothesis."
- Karal Ann Marling, Art History, for "Blue Ribbon: A Social and Pictorial History of the Minnesota State Fair."
- Lary May, American Studies, for "Making the American Way: Moderne Theatres, Audiences, and the Film Industry, 1930-1950."
- Judine Mayerle, Speech-Communication, for "The Comedic Legacy of Ernie Kovacs."
- J. Bruce Overmier, Psychology, for "Psychosomatic disease: the psychobiology of gastric ulceration."
- Brenda Gayle Plummer, History, for "Black Americans and Foreign Policy, 1937-1967: A Reappraisal."
- Leonard Polakiewicz, Russian & East European Studies, for "The Role of Czeslaw Milosz in Contemporary Polish Literature."
- Tanya Remenikova, Music, Part I: Study of Prokofiev's "Sinfonia Concertante" for Cello and Orchestra; Part II: Study of Gestalt Psychology in Relation to Performance.
- Stuart Schwartz, History, for "Tempests and Societies: A Social History of the Caribbean Hurricanes."
- Mark Snyder, Psychology, for "The Nature and Consequences of Several Stereotypes."

- Janet Spector, Anthropology, for "The Archaeological Expressions of Male and Female Activities at the Little Rapids Site: A Case Study of the Archaeology of Gender."
- Paul Staneslow, South & Southeast Asian Studies, for "Metaphor and Conceptualization in Hindi."
- Nancy Stenson, Linguistics, for "Linguistic Borrowing in Irish."
- John Turner, Political Science, for "Ideological Consensus and Cleavage in the Parliamentary Caucus of the British Labour Party."
- Charlotte Ward, English, for "Ezra Pound's Complete Arnaut Daniel Translations: A Variorum Edition with Commentary from his Own Letters."

*This list is as approved by the Regents on February 13, 1986. Additional leaves may be funded.

IV. RESEARCH NOTES

The Reagan administration has decided to delay for three months implementation of its controversial new ceiling on federal reimbursement to investigators for their indirect research costs. The restrictions, which drew strong protests from university officials, would limit reimbursement for administrative costs to a 20 percent add-on to the grant or contract award. Administrative costs, which represent four of the seven accounts that make up indirect costs, currently average 26 percent of direct awards at major universities. The cost rates are negotiated--mostly by the Department of Health and Human Services--with nearly 1,000 universities receiving federal research money.

The new policy will take effect JULY 1, instead of next week, according to the Office of Management and Budget.

V. AGENCY PROFILES AND FUNDING TRENDS

Against the backdrop of major cuts for social services and even basic biomedical research programs in health, the 8 percent increase slated for the National Science Foundation under the Reagan budget for fiscal 1987 is dramatic, if modest. The percentage gain in the NSF budget is in contrast to the approximate 8 percent cut the '87 budget proposes for the National Institutes of Health. The NSF budget request for FY 1987 is \$1.7 billion, an increase of \$130 million over its pre- Gramm-Rudman congressional appropriation for FY 1986.

NSF as a whole would benefit from the administration's emphasis on advanced technology--especially defense and space science--and on science in general as a path to economic growth and competitiveness, but some NSF programs would gain more than others. The Foundation has targeted biotechnology, computational science and engineering and global geosciences as areas "uniquely poised" for advances. The budget would also provide for new initiatives to broaden participation by women and minorities in science and engineering, including a new \$4 million Minority Centers of Excellence Program that would establish a number of centers to help increase the pool of minority scientists

and engineers. NSF also would double funds to total \$4 million for the Experimental Program to Stimulate Competitive Research. The increase will allow up to eight states to implement five-year plans to improve their science and engineering capacity.

VI. DEADLINES:

DATE	AGENCY	PROGRAM
April 10	College of Liberal Arts	McMillan & CLA Travel Fund
April 18	MN Humanities Commission	General Grants
June 1	Natl Endowment Humanities	Editions, Translations
June 1	Natl Endowment Humanities	Constitutional Fellowships
June 1	Natl Endowment Humanities	Fellowships for University Teachers
June 1	Natl Endowment Humanities	Preservation & Conservation Grants
June 1	ADAMHA	Research Grants, New
June 1	NIH	Research Grants, New
June 1	NIH	FIRST Awards
June 15	CIES	Fulbright Awards: Australasia, India, Latin America & Caribbean
June 15	CIES	Indo-American Fellowship Program
July 1	NIH	Research Grants, Renewals
July 1	Natl Science Fdn	Social & Developmental Psychology
July 15	Natl Endowment Humanities	Travel to Collections
August 1	Natl Science Fdn	Anthropology
August 15	Natl Science Fdn	Economics
August 15	Natl Science Fdn	Geography & Regional Science
September 15	CIES	Fulbright Awards: Africa, Asia, Europe, Middle East
October 1	ACLS	Fellowships
October 1	Guggenheim Foundation	Fellowships

RESEARCH INFORMATION SHEETS:

ORD has rewritten and reorganized its various information brochures. You may order any section or subsection listed below by designating the Roman numeral and subsection letter on the tear sheet. Some sections are quite long and specialized. If you do not find such items helpful, we would appreciate your returning the materials to us. Note: the new materials complement our instructional program for faculty. If your department would like us to present ORD's services to you, please call us.

I. THE RESEARCH PROCESS: PRELIMINARY STAGES

- A. Diagrammatic representation of stages in proposal writing:
planning sponsored research
- B. Glossary of terms used in sponsored research
 - a. Basic definitions; definitions of types of grants
 - b. Glossary of legislative and federal budget terms
 - c. Diagrams of the Congressional budget and appropriation process
- C. First Steps:
 - a. Literature search: rationale
 - b. Preparing an abstract: preapplication proposal format

II. SOURCES OF FUNDING AND THE FUNDING SEARCH:

- A. An overview of types of grants; internal and external sources of funds summarized.
- B. Funding search: Print and electronic guides
- C. The Sponsored Program Information Network or SPIN: How to use it and examples of printed output describing funding opportunities
- D. Evaluating the results of your search for funding opportunities; follow-up procedures and the rationale and procedures for directly contacting funding agencies
- E. Funding sabbaticals at the University of Minnesota

III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES

- A. An overview: clarification of stages and proposal format. What should the good proposal look like?
- B. Writing tips and editing
- C. Title and Abstracts: Their importance and how to write them.
Examples
- D. Proposal checklist and evaluation form: tests for assessing your application
- E. Proposal review: Assessment of criteria and review procedures
- F. Processing your application at the University of Minnesota -- services, bureaucracy and the BA23 form
- G. Special considerations for applying in the humanities to the National Endowment for the Humanities. "The Art of the Fellowship Proposal."

IV. GUIDE TO BUDGET PREPARATION

- A. An overview of budget preparation: sample budgets, allowable costs direct and indirect costs, bibliography
- B. Budgetwriter: a computerized program for the IBM PC and Lotus 1-2-3 for writing and calculating University of Minnesota budgets

V. GRANTS ADMINISTRATION

- A. Bibliography
- B. Grant management: technical and fiscal accountability
 - 1. The research grant and the regulatory process
 - 2. Basic government regulations
 - 3. Fiscal accountability: allowable versus unallowable costs
 - 4. Fiscal accountability: time-relatedness of costs
 - 5. Fiscal accountability: budget revisions
 - 6. Conflict of interest
 - 7. Effort reporting
 - 8. Program accountability
- C. Public policy: Policies governing civil rights, affirmative action environmental impact, flood insurance, historic properties, relocation assistance, architectural barriers, and student unrest.
- D. Regulation of research risks
- E. Disclosure policies
 - a. Patents, copyrights, privacy (summary)
 - b. Patent and patent rights
 - c. Patent rights under government contracts
 - d. University patent policies and practices
 - e. Patent licensing agreements
 - f. Patent clauses in industrial contracts
 - g. Copyright clauses in industrial contracts
 - h. Software licensing agreements

VI. CONTRACTS

- A. A chart comparing legal and administrative requirements of grants versus contracts.
- B. Basic information on research funded by means of contracts: advantages and disadvantages, rights and responsibilities. Essential elements of a contract.

Materials are adapted for use by CLA faculty from instructional materials prepared by the National Council of University Research Administrators and the Society for Research Administrators. They are distributed by the Office for Research Development, 205 Johnston Hall. Ideas for improving these materials are welcome.

CAMPUS MAIL

OFFICE FOR RESEARCH DEVELOPMENT
205 JOHNSTON HALL
EAST BANK

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ORDER SHEET

This sheet is preaddressed. When completed, fold, staple, and mail.

Items in LARES are numbered sequentially. For additional information on any item(s) in this issue, please complete the following section (indicate the item number(s) and the information desired):

<u>Item Number</u>	<u>Agency Address Contact Person</u>	<u>Program Announcement</u>	<u>Other</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Other Information Desired or Comments: List Name of Agency, Program

Check here if you wish to be included in our computerized faculty research index and have not previously completed a questionnaire:

University Archives
10 Walter Library
Attn: Barbara Wagner

Liberal Arts Research Newsletter
College of Liberal Arts
Office of Research Development
205 Johnston Hall
101 Pleasant Street S.E.
University of Minnesota
Minneapolis, Minnesota 55455
(612) 373-5101



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Special information sheets have been prepared for your convenience: to receive a copy, check the item(s) desired.

____ Sponsored Project Management. A Guide for Faculty and Staff

List here any desired sections and subsections of the research information sheets:

LARES

Liberal Arts Research Newsletter

June 5, 1986

LARES Vol. XI, No. 5

Office Hours:

Monday, Tuesday, Thursday: 8:00-4:00;
And by appointment
Telephone: 625-4801

GENERAL ANNOUNCEMENTS:

1. ORD's Travel Fund for seeking sponsored research support from extramural agencies has been restored by a grant from the Acting Vice President for Academic Affairs. If you wish to apply for funds, simply send us a letter with the pertinent details--there is no application form. Please take advantage of this program, especially now, as Congress may be obligated to cut federal funding for research under the Gramm-Rudman guidelines.

2. Various staff of the National Institutes of Health have indicated that awards will be delayed if the proper animal usage certification is not received by the awarding agency within the 60 day timeframe established under the guidelines implemented January 1, 1986.

Therefore, in order to allow the University's Institutional Animal Care and Use Committee to respond in a timely manner, it is imperative that those investigators submitting proposals involving the use of laboratory animals send the completed BA 22 form concurrent with the proposal. Questions relevant to the BA 22 form should be directed to Research Animal Resources, 624-9100.

3. Sabbaticals! If you are planning to take a sabbatical leave in academic year 1987-88, the time to start applying for supplementary salary from external sources is now! Many public sector agencies have June 1986 deadlines; a host of private sector agencies require completed applications by October 1986. The SPIN system may be used to determine precise application dates and procedures. Also, ORD has a special booklet on sabbaticals which includes information on application procedures at the University plus a number of commonly used external sources of money. The booklet is subsection E of Research Information Sheet II. Sources of Funding and the Funding Search. Please check the appropriate space on the attached order sheet if you wish a copy of the booklet.

4. We would remind you that ORD has rewritten and reorganized its various information brochures. You may order any section or subsection listed at the end of this issue by designating the Roman numeral and subsection letter on the tear sheet. Some sections are quite long and specialized. If you do not find such items helpful, we would appreciate your returning the materials to us.

II. FUNDING NOTES (Deadlines are summarized in Section VI):

A. Fellowships:

1. Guggenheim Fellowship applications are due OCTOBER 1. Please request application forms from the following address: John Simon Guggenheim Memorial Foundation, 90 Park Avenue, New York, N.Y. 10016.

2. American Council of Learned Societies Fellowship and Grants-in-Aid application forms must be obtained directly from them at the following address: American Council of Learned Societies, 228 East 45th Street, New York, N.Y. 10017. TENTATIVE deadline for fellowships is SEPTEMBER 30 and for grants-in-aid DECEMBER 15.

3. The Woodrow Wilson International Center for Scholars seeks outstanding project proposals representing diverse scholarly interests and approaches from individuals throughout the world. The Center's residential fellowships are awarded in one broad program--History, Culture and Society--and seven more focused categories: American Society and Politics, the Kennan Institute for Advanced Russian Studies, the Latin American Program, the Asia Program, the International Security Studies Program, the East European Program, and the West European Program. For academic participants eligibility is limited to the postdoctoral level. Appointments normally extend from four months to a year. For more information, contact: Woodrow Wilson International Center for Scholars, Smithsonian Institution Building, Washington, D.C. 20560. Telephone: 202/357-2841. OCTOBER 1 is the deadline for receipt of applications.

Fellowships for the Humanities:

1. National Endowment for the Humanities Summer Stipends provide support for college and university teachers to pursue two consecutive months in full-time study or research. The University will nominate three members of its faculty for Summer Stipends via an internal competition. The scope of support includes but is not limited to, the following: history, philosophy, languages, linguistics, literature, archaeology, jurisprudence, history and criticism of the arts, ethics, comparative religion and those aspects of the social sciences that employ historical or philosophical approaches. This last category includes cultural anthropology, sociology, political theory, international relations, and other subjects concerned with questions of value rather than quantitative matters.

If you wish to be considered for nomination for a stipend, send a two-page

proposal outlining your project and a curriculum vitae to: Dean's Office, the Graduate School, 321 Johnston Hall. The Graduate School must receive your proposal no later than SEPTEMBER 12. The three nominees will be notified by September 19 with their final proposals due in Washington OCTOBER 1. Stipend: \$3,000. Call 625-4801 if you have questions regarding this program.

2. The National Humanities Center offers 35-40 fellowships for advanced study in history, philosophy, languages and literature, classics, religion, history of the arts, and other fields in the liberal arts. Social scientists and natural scientists whose work has a humanistic dimension are also welcome to apply. Under this program, both senior scholars and young scholars several years beyond the doctorate pursue research and writing in residence at the Center in Research Triangle Park, North Carolina. Application deadline for the academic year 1987-88 is OCTOBER 15. For application material, write: Kent Mullikin, Assistant Director, Box C, National Humanities Center, 7 Alexander Drive, Research Triangle Park, NC 27709.

B. Grants and Contracts:

1. The National Science Foundation has announced the competition for the next round of Presidential Young Investigator Awards. The awards support young science and engineering faculty. There are two categories of nominations: Faculty Awards--institutions may nominate current or prospective junior faculty members who hold or have been offered tenure track positions. Prefaculty Awards--institutions may nominate promising graduate students and recent doctorates in line for tenure track jobs. The application deadline is JULY 1. For more information on this program call Dr. Fred Oettle, 202/357-9466 or Mary Bergaas at 373-2784.

2. The Council for International Exchange of Scholars has announced the opening of competition for more than 300 research grants and 700 university lectureships under the 1987-88 Fulbright Grants. Award periods range from three months to a full academic year, and opportunities exist in more than 100 countries. Fulbright awards are granted in all disciplines and scholars in all academic ranks are eligible. Benefits include round-trip travel for the grantee and, for full academic year awards, one dependent; maintenance allowance; tuition allowance. Basic eligibility includes U.S. citizenship; a Ph.D. degree or comparable professional qualifications; university or college teaching experience; and, for selected assignments, proficiency in a foreign language.

Following are the various application deadlines: JUNE 15, 1986: Australasia, India, Latin America and the Caribbean; SEPTEMBER 15, 1986: Africa, Asia, Europe, the Middle East; NOVEMBER 1, 1986: institutional proposals for Scholar-in-Residence Program; JANUARY 1, 1987: Seminar in German Civilization; NATO Research Fellowships; FEBRUARY 1, 1987: for Spain Research Fellowships, and France and Germany Travel-Only Awards. For complete information, contact: M. Carlota Baca, Council for International Exchange of Scholars, 11 Dupont Circle NW, Washington, D.C. 20036. Telephone: 202/9395469.

3. JULY 15 is the application deadline for the National Endowment for the Humanities Travel to Collections Program. This program enables American scholars to travel to use the research collections of libraries, archives, museums, or other repositories to consult research materials of fundamental importance for the progress of their scholarly work. To meet the growing needs of research scholars, the amount of each award has been increased to \$750. Geographic coverage has also been broadened; support is now available for travel to research collections anywhere in the world. Application forms are available in 404 Johnston or you may write to: National Endowment for the Humanities, Division of Fellowships and Seminars, Travel to Collections, Room 316, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506.

4. Upcoming deadline for Minnesota Humanities Commission general grants is SEPTEMBER 5, 1986. Small grants applications may be submitted at any time. For application forms and information, contact: Minnesota Humanities Commission, 580 Park Square Court, 400 Sibley Street, St. Paul, MN 55101. Telephone: 224-5739.

Intramural Funding:

The Office of International Programs has announced its Development Grant Program for 1986-87. The program supports in the following ranked order: establishment of collaborative research, training or service projects with foreign colleagues; educational exchanges of faculty that will enhance the international character of the university; seminars, lectures, conferences, and exhibits of international scope held at the University or involving international scholars outside the University; participation in international professional conferences or meetings overseas that address issues of international development, international studies, or the application of agricultural, medical, or technical sciences to international setting or problems. Application deadlines are AUGUST 15, NOVEMBER 15 and FEBRUARY 15; award dates are September 1, December 1 and March 1, respectively. For details, contact the Office of International Programs, 201 Nolte West, 624-5580.

III. AWARDS:

A. Statistics:

Number of applications to date (academic year 1984-85): 128;
Amount solicited (academic year 1984-85): \$9,590,669
Number of grants awarded past 12 months: 64;
Number of grants awaiting final decision: 37.

B. Recent Awards:

The following faculty were awarded grants and contracts in the amount of \$754,216.00 for the period 1 February through 31 March 1986:

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Christine Hastorf Anthropology	Matching Funds for NSF Presidential Young Investigator	Dow Chemical Company and Other Donors	\$ 7,500 500
Eric S. Sheppard Geography	Geography of Corpor- ate Control in Aus- tria, 1973-81	National Science Foundation	3,180
John Aldrich John L. Sullivan Political Science	Ideological con- straint, Issue Vot- ing & Nature of Political Reasoning	National Science Foundation	6,796 5,626
Travis Thompson Psychology	Drugs, Learning & Behavior in the Mentally Retarded	ADAMHA-Natl Inst of Mental Health	118,877
Phillip Ackerman Psychology	Individual Differ- ences in Abilities, Learning, & Cogni- tive Processes	Universal Energy Systems	19,994
Ron Anderson Sociology	Household Hazardous Waste Collection	Minnesota--Pollution Control Agency	2,000
Marion J. Nelson Art History	NW Area Foundation Visiting Fellows	Minnesota Humanities Commission	1,500
Norman Garmezy Psychology	Risk & Protective Factors in Major Mental Disorders	MacArthur Foundation	373,750
Dwight Burkhardt Psychology	Vision & Intra- retinal Potentials	Natl Inst Health-- Eye Institute	79,546
Norman Garmezy Psychology	Administrative Al- lowance Account	MacArthur Foundation	45,900
Paul D. Reynolds Sociology	Minnesota 1986 New Firm Study	Minnesota--Department of Education	12,000

Charles E. Speaks Communication Disorders	Preparation of Audi- ologists to Meet Needs of Hearing Impaired Children in Public Schools	U.S. Department of Education	77,047
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TOTAL \$754,216

IN ADDITION:

* We would like to offer our congratulations to the two College of Liberal Arts recipients of Guggenheim Fellowships: Professor John Archer of Humanities and Professor David A. Lane of the School of Statistics. Professor Archer will study the romantic suburb in Britain and America before 1870, and Professor Lane will do a causality assessment of adverse drug reactions.

V. AGENCY PROFILES AND FUNDING TRENDS

The Association of American Universities this summer will submit to the Defense Department an outline for establishing an independent national foundation to fund foreign language and area studies. The agency would provide a focus within the federal government for research, teaching and advanced training in foreign languages and foreign area studies, activities currently funded by programs scattered throughout the Department of Education, the United States Information Agency and other departments. According to the AAU, the "National Foundation for Foreign Language and Area Studies" would cost \$60 million to \$100 million to start and would be patterned after the National Science Foundation.

VI. DEADLINES:

DATE	AGENCY	PROGRAM
June 15	CIES	Fulbright Awards: Australasia, India, Latin America & Caribbean
June 15	CIES	Indo-American Fellowship Program
July 1	NIH	Research Grants, Renewals
July 1	Natl Science Fdn	Social & Developmental Psychology
July 1	Natl Science Fdn	Archaeology, Cultural & Physical Anthropology
July 1	Natl Science Fdn	Presidential Young Investigator
July 1	ACLS	Travel Grant Program

June 1, 1986

LARES Vol. XI, No. 5

July 15	Natl Endowment Humanities	Travel to Collections
August 1	Natl Science Fdn	Anthropology
August 1	SSRC	MacArthur Fdn Postdoctoral Fellowships in Intl Peace & Security Studies
August 15	Natl Science Fdn	Economics
August 15	Natl Science Fdn	Geography & Regional Science
September 12	Graduate School	Preliminary Applications for NEH Summer Stipends
September 15	CIES	Fulbright Awards: Africa, Asia, Europe, Middle East
September 30	ACLS	Fellowships
September 30	ACLS	Fellowships for Recent Recipients of the Ph.D.
October 1	Guggenheim Foundation	Fellowships
October 1	Natl Endowment Arts	Folk Arts Program
October 1	Natl Endowment Humanities	Summer Stipends
October 10	College Liberal Arts	McMillan & Faculty Travel Fund

RESEARCH INFORMATION SHEETS:

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I. THE RESEARCH PROCESS: PRELIMINARY STAGES

- A. Diagrammatic representation of stages in proposal writing:
 planning sponsored research
- B. Glossary of terms used in sponsored research
 - a. Basic definitions; definitions of types of grants
 - b. Glossary of legislative and federal budget terms
 - c. Diagrams of the Congressional budget and appropriation process
- C. First Steps:
 - a. Literature search: rationale
 - b. Preparing an abstract: preapplication proposal format

II. SOURCES OF FUNDING AND THE FUNDING SEARCH:

- A. An overview of types of grants; internal and external sources of funds summarized.
- B. Funding search: Print and electronic guides
- C. The Sponsored Program Information Network or SPIN: How to use it and examples of printed output describing funding opportunities
- D. Evaluating the results of your search for funding opportunities; follow-up procedures and the rationale and procedures for directly contacting funding agencies
- E. Funding sabbaticals at the University of Minnesota

III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES

- A. An overview: clarification of stages and proposal format. What should the good proposal look like?
- B. Writing tips and editing
- C. Title and Abstracts: Their importance and how to write them.
 Examples
- D. Proposal checklist and evaluation form: tests for assessing your application
- E. Proposal review: Assessment of criteria and review procedures
- F. Processing your application at the University of Minnesota -- services, bureaucracy and the BA23 form
- G. Special considerations for applying in the humanities to the National Endowment for the Humanities. "The Art of the Fellowship Proposal."

IV. GUIDE TO BUDGET PREPARATION

- A. An overview of budget preparation: sample budgets, allowable costs direct and indirect costs, bibliography
- B. Budgetwriter: a computerized program for the IBM PC and Lotus 1-2-3 for writing and calculating University of Minnesota budgets

V. GRANTS ADMINISTRATION

- A. Bibliography
- B. Grant management: technical and fiscal accountability
 - 1. The research grant and the regulatory process
 - 2. Basic government regulations
 - 3. Fiscal accountability: allowable versus unallowable costs
 - 4. Fiscal accountability: time-relatedness of costs
 - 5. Fiscal accountability: budget revisions
 - 6. Conflict of interest
 - 7. Effort reporting
 - 8. Program accountability
- C. Public policy: Policies governing civil rights, affirmative action environmental impact, flood insurance, historic properties, relocation assistance, architectural barriers, and student unrest.
- D. Regulation of research risks
- E. Disclosure policies
 - a. Patents, copyrights, privacy (summary)
 - b. Patent and patent rights
 - c. Patent rights under government contracts
 - d. University patent policies and practices
 - e. Patent licensing agreements
 - f. Patent clauses in industrial contracts
 - g. Copyright clauses in industrial contracts
 - h. Software licensing agreements

VI. CONTRACTS

- A. A chart comparing legal and administrative requirements of grants versus contracts.
- B. Basic information on research funded by means of contracts: advantages and disadvantages, rights and responsibilities. Essential elements of a contract.

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