

**UNIVERSITY OF MINNESOTA**

**BOARD OF REGENTS**

**Friday, March 9, 2007**

**9:00 - 11:30 a.m.**

**600 McNamara Alumni Center, Boardroom**

**Board Members**

Anthony Baraga, Chair  
Patricia Simmons, Vice Chair  
Clyde Allen  
Peter Bell  
Frank Berman  
Dallas Bohnsack  
John Frobenius  
Steven Hunter  
David Larson  
Cynthia Leshner  
David Metzen  
Lakeesha Ransom

**AGENDA**

1. Recognition of McKnight Land-Grant Professors - R. Bruininks/T. Sullivan (p. 2)
2. Approval of Minutes - Action - A. Baraga
3. Report of the President - R. Bruininks
4. Report of the Chair - A. Baraga
5. Receive and File Reports (pp. 3-8)
  - A. Quarterly Report of Grant & Contract Activity
6. Board Calendar Annual Review - A. Baraga (pp. 9-20)
7. Report of the All-University Honors Committee - Action - R. Bruininks (p. 21)
8. Gifts - Review/Action - G. Fischer (pp. 22-32)
9. Quarterly Summary of Expenditures - Review/Action - A. Baraga (pp. 33-36)
10. Board of Regents Policy: *Associated Organizations* - Action - M. Rotenberg (pp. 37-42)
11. Strategic Positioning Update - R. Bruininks (pp. 43-44)
12. Six-Year Capital Improvement Plan - Review - R. Bruininks/K. O'Brien/R. Pfitzenreuter/  
R. Kvavik (pp. 45-57)
13. Report of the Faculty, Staff & Student Affairs Committee - D. Bohnsack
14. Report of the Finance & Operations Committee - C. Allen
15. Report of the Educational Planning & Policy Committee - P. Bell
16. Report of the Facilities Committee - D. Metzen
17. Report of the Audit Committee - J. Frobenius
18. Report of the Litigation Review Committee - F. Berman
19. Old Business
20. New Business
21. Adjournment



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Recognition of McKnight Land-Grant Professors

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks  
E. Thomas Sullivan, Senior Vice President for Academic Affairs and Provost

**Purpose:**

policy       background/context       oversight       strategic positioning

To recognize the 2007-09 McKnight Land-Grant Professors:

Professor Daniel R. Bond, Microbiology & Biotechnology Institute  
Professor Kathleen A. Collins, Department of Political Science  
Professor Christy L. Haynes, Department of Chemistry  
Professor Karen Ho, Department of Anthropology  
Professor Nihar Jindal, Department of Electrical & Computer Engineering  
Professor Marta Lewicka, Department of Mathematics  
Professor Helen C. Muller-Landau, Department of Ecology, Evolution & Behavior  
Professor William Schuler, Department of Computer Science & Engineering  
Professor Kathleen D. Vohs, Department of Marketing/Logistics Management  
Professor Christophe M. Wall-Romana, Department of French & Italian  
Professor Chun Wang, Department of Biomedical Engineering

**Outline of Key Points/Policy Issues:**

**Background Information:**

The McKnight Land-Grant Professors are junior scholars chosen for their potential for important contributions to their field; the degree to which their past achievements and current ideas demonstrate originality, imagination, and innovation; the potential for attracting outstanding students; and the significance of the research and the clarity with which it is conveyed to the non-specialist. Recipients are honored with the title McKnight Land-Grant Professor, a special award that they will hold for two years. The award consists of a research grant in each of two years, summer support, and a research leave in the second year.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Receive and File Reports

review       review/action       action       discussion

**Presenters:** Regent Anthony Baraga

**Purpose:**

policy       background/context       oversight       strategic positioning

**Outline of Key Points/Policy Issues:**

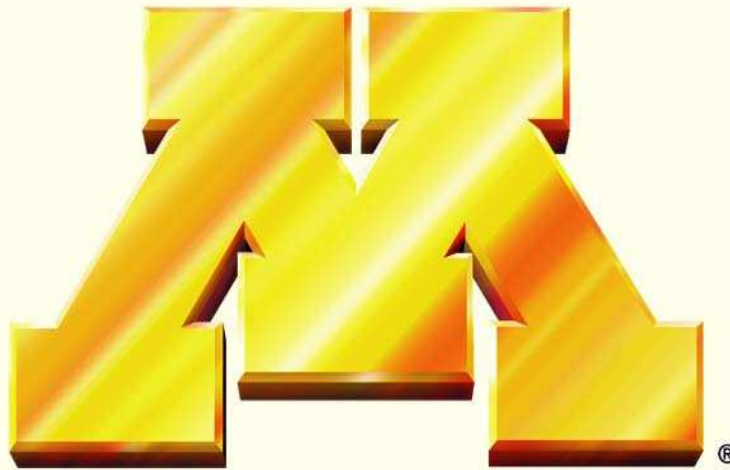
**Background Information:**

There is one item for receipt and filing:

A. Quarterly Report of Grant and Contract Activity

# UNIVERSITY OF MINNESOTA

Meeting of the Board of Regents



## **Quarterly Report of Grant/Contract and Technology Transfer Activity**

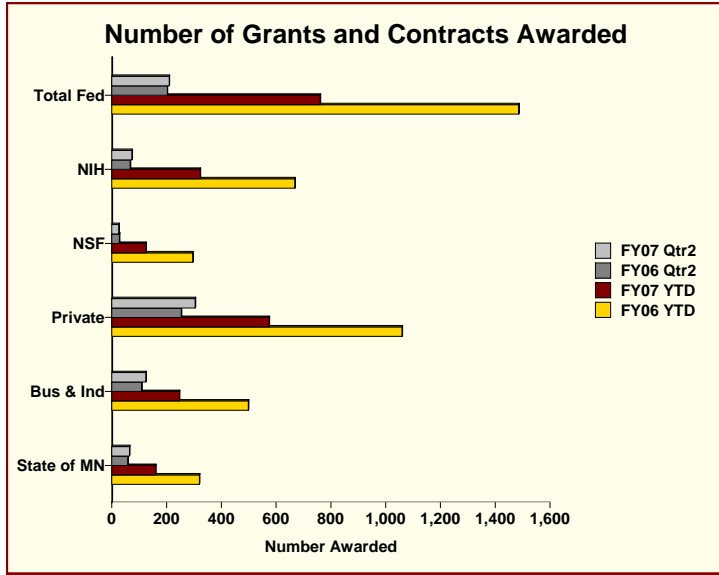
**Fiscal Year 2006 - 2007; Second Quarter Data**

**October - December, 2006**

Produced by: Office of the Vice President for Research,  
Office of Oversight, Analysis and Reporting,  
Office of Patents and Technology Marketing

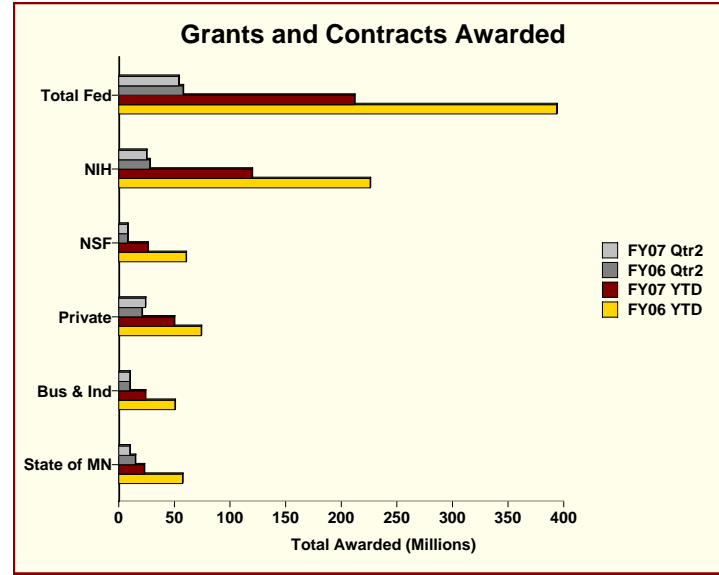
Version date: 2/2/07

# University of Minnesota Quarterly Grant and Contract Award Summary Fiscal Year 2007; Second Quarter



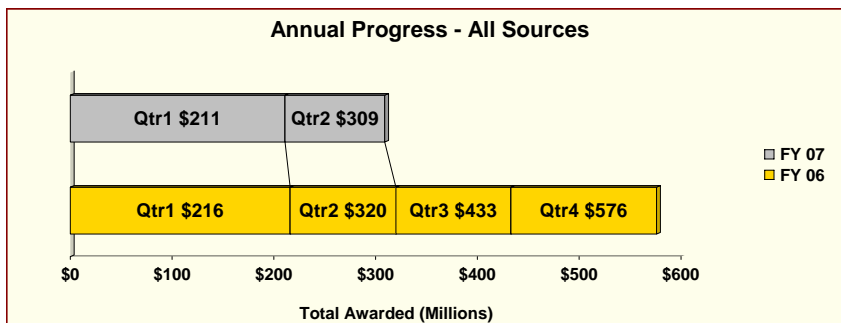
**Figure 1: Number of Awards by Source.**

Second quarter (Qtr2) and year-to-date (YTD) comparison for Fiscal Year 2007 versus Fiscal Year 2006. (For numerical data see table 1).



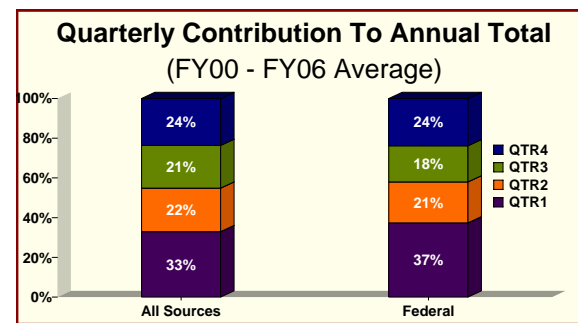
**Figure 2: Award Totals by Source.**

Second quarter (Qtr2) and year-to-date (YTD) comparison for Fiscal Year 2007 versus Fiscal Year 2006. (For numerical data see table 2).



**Figure 3: Annual Progress - All Sources.**

FY07 YTD awards from all sources decreased by 3.5% over FY06 Qtr2 Total.



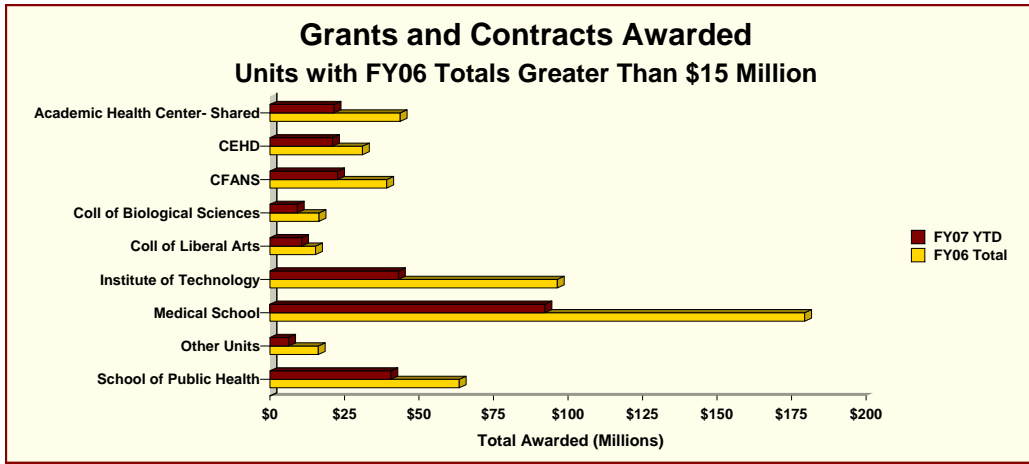
**Figure 4: Quarterly contribution as a percent of year-to-end total (FY00-FY06 Average).**

On average over the past 7 years 55% of yearly total has been awarded by the end of Qtr2.

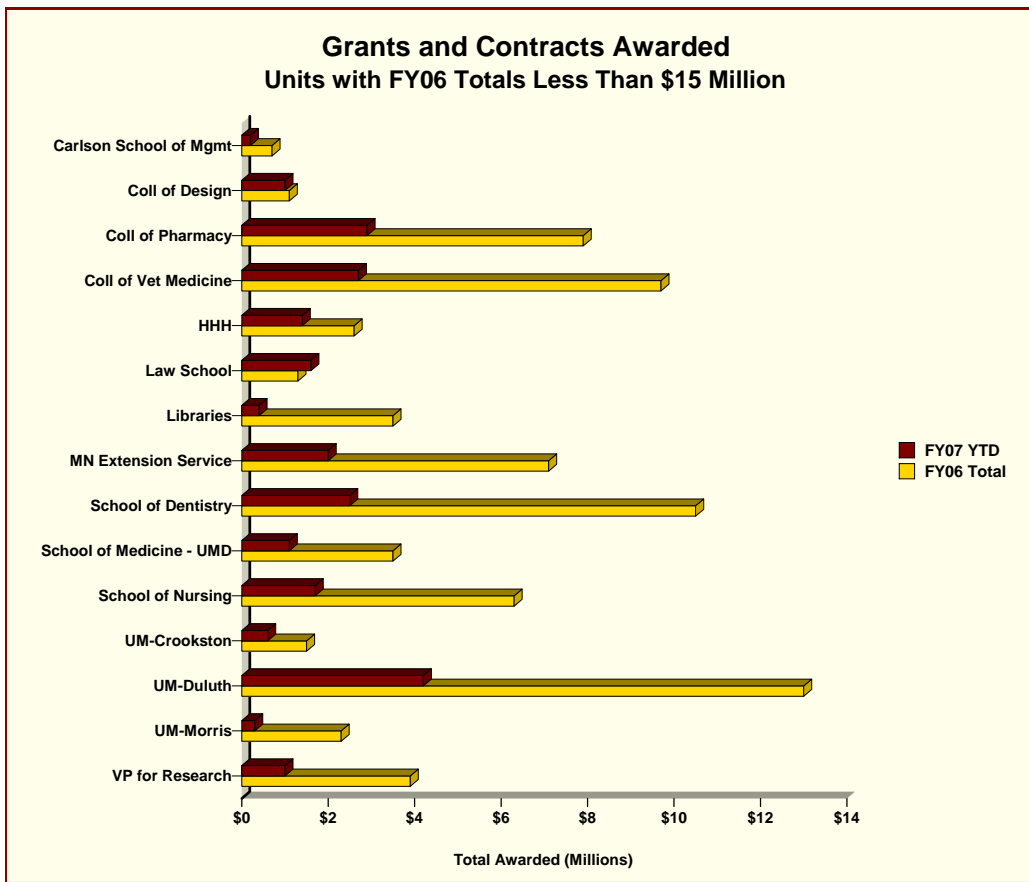
# University of Minnesota

## Quarterly Grant and Contract Award Summary

### Fiscal Year 2007; Second Quarter



**Figure 5.**  
Fiscal year performance for units with FY06 totals exceeding \$15M.



**Figure 6.**  
Fiscal year performance for units with FY06 totals less than \$15M.  
*\*FY06 unit data has been adjusted to match the FY07 collegiate unit categories.*

**University of Minnesota**  
**Quarterly Grant and Contract Award Summary**  
**Fiscal Year 2007; Second Quarter**

Agency	Number of Awards			
	Quarter 2		YTD	
	FY06	FY07	FY06	FY07
<b>Total Fed</b>	203	210	776	761
<b>NIH</b>	68	74	319	323
<b>NSF</b>	28	26	144	125
<b>Private</b>	254	305	580	575
<b>Bus &amp; Ind</b>	109	125	233	247
<b>State of MN</b>	59	65	153	160
<b>TOTAL</b>	<b>625</b>	<b>705</b>	<b>1742</b>	<b>1743</b>

Agency	Total Awarded (Millions)				
	Quarter 2		YTD		% Change
	FY06	FY07	FY06	FY07	
<b>Total Fed</b>	\$58	\$54	\$230	\$211	-8.1%
<b>NIH</b>	\$28	\$25	\$127	\$120	-5.6%
<b>NSF</b>	\$8	\$8	\$38	\$26	-30.3%
<b>Private</b>	\$21	\$24	\$41	\$51	24.4%
<b>Bus &amp; Ind</b>	\$9	\$10	\$22	\$24	11.8%
<b>State of MN</b>	\$15	\$10	\$27	\$23	15.5%
<b>TOTAL</b>	<b>\$103</b>	<b>\$98</b>	<b>\$320</b>	<b>\$309</b>	<b>-3.5%</b>

Table 1: Qtr2 and YTD comparisons.

Table 2: Qtr2 and YTD comparisons.

# University of Minnesota

## Quarterly Grant and Contract Award Summary

### Fiscal Year 2007; Second Quarter

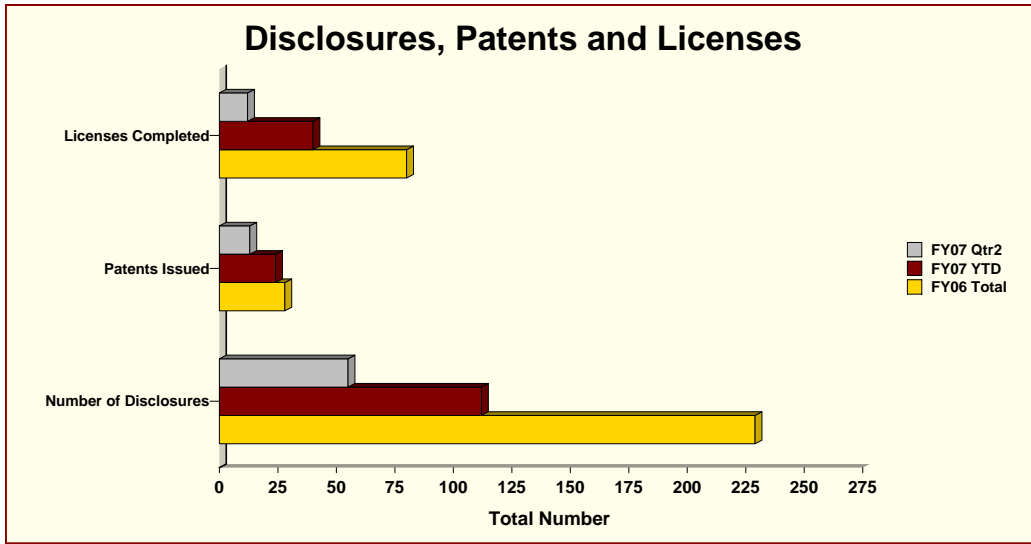


Figure 7: Number of Disclosures Submitted, Patents Issued and License Agreements Completed. Comparison of FY07 Qtr2 and FY07 YTD to FY06 Total.

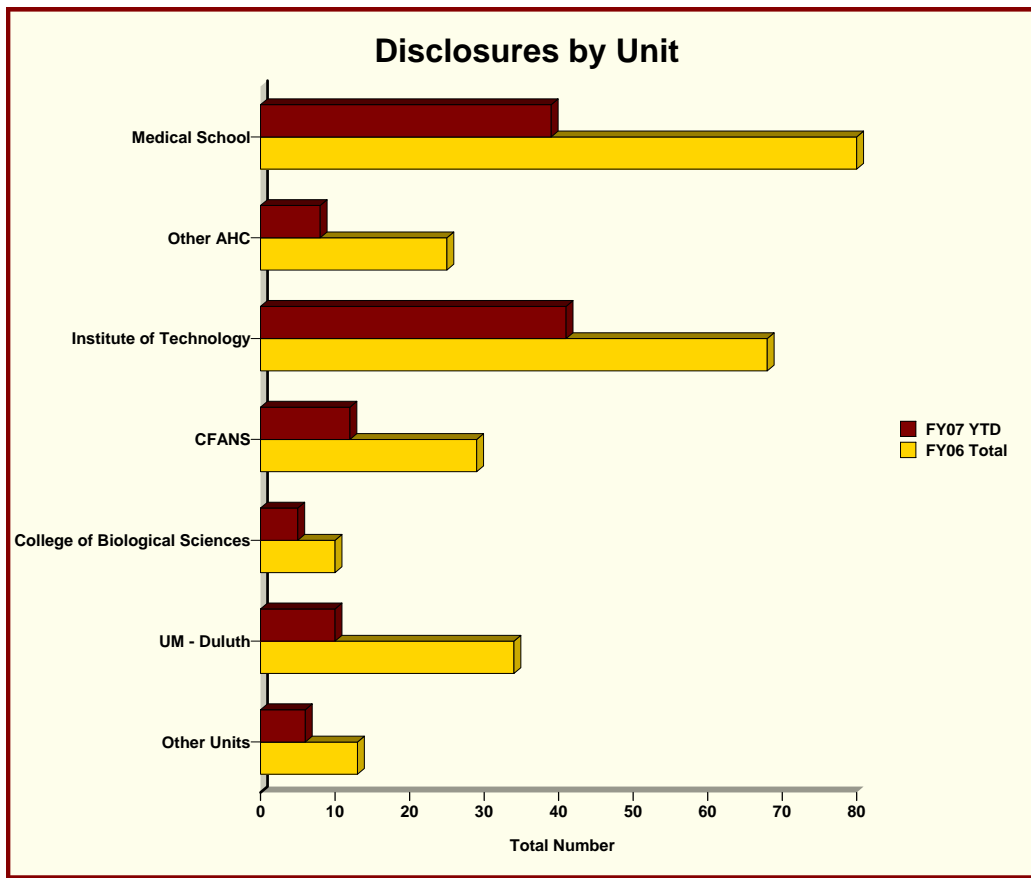


Figure 8: Number of Disclosures to the Office of Patents and Technology Marketing.





**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Board Calendar Annual Review

review       review/action       action       discussion

**Presenters:** Regent Anthony Baraga

**Purpose:**

policy       background/context       oversight       strategic positioning

To comply with Board of Regents Policy: *Board Operations and Agenda Guidelines* by presenting for annual review the revised Board of Regents Calendar (Calendar).

**Outline of Key Points/Policy Issues:**

The Calendar has been revised since it was last reviewed by the Board in March 2006. The current revisions were made to:

- bring the Calendar into compliance with amended or new Board policies;
- reflect existing practice; and
- correct inaccuracies.

**Background Information:**

Board of Regents Policy: *Board Operations and Agenda Guidelines* calls for an annual review of the Calendar.

## BOARD OF REGENTS CALENDAR

ACTION ITEM	BOARD/ COMMITTEE	MANNER OF PRESENTATION	NOTES
Capital Budget	Board	Review/action (two months)	Two-month process; consists of Annual Capital Improvement Budget presented in spring, and 6-Year Capital Improvement Plan presented in fall
Gifts	Board	Review/action (one month)	BOR Policy: <i>University Foundations</i>
Legislative funding request	Board	Review/action (two months)	Two-month process on a timeline consistent with deadlines for submission set by the state; requests are consistent with the University's fundamental planning documents
Operating budget	Board	Review/action (two months)	Two-month process in spring
Report of the All- University Honors Committee	Board	Review/action (one month)	
Summary of expenditures	Board	Review/action (one month)	Quarterly summary of expenditures for the Office of the President, the Office of the Board of Regents, and Eastcliff
University Plan, Performance & Accountability Report	Board	Review/action (two months)	BOR Resolution Related to the University Plan, Performance & Accountability Report, 11/10/00
Appointment or removal of Director of Audits	Audit		BOR Policy: <i>Reservation and Delegation of Authority</i>
Annual Audit Plans	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Audit Charter amendments	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Engagement, related fees, and all audit and non-audit services of public accountant	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Engagement of external audit firms	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Revision to budget or audit plans	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Approval of academic program changes; new programs; program name changes; or program discontinuations	Educational Planning & Policy	Consent Report	<u>BOR Policy: Board Operations and Agenda Guidelines</u>
Reciprocity Agreements	Educational Planning & Policy	Consent Report	<u>BOR Policy: Board Operations and Agenda Guidelines</u>
Tuition policy	Educational Planning & Policy	Review/Action (two months)	<u>BOR Policy: Board Operations and Agenda Guidelines</u>
Tuition and fees	Educational Planning & Policy		EPP authorized but often handled by BOR
<u>Support for commercialization of technology to non-University entities</u>	<u>Educational Planning &amp; Policy</u>	<u>Consent Report</u>	<u>BOR Policy: Board Operations and Agenda Guidelines</u>
Campus master and district/precinct plans and amendments	Facilities	Review/action (two months)	
Capital budget amendments for approved projects	Facilities	Review/action (two months)	With a value greater than \$500,000
Capital budget amendments for new projects	Facilities	Review/action (two months)	With a value greater than \$500,000
Eminent Domain	Facilities	Review/action (two months)	BOR Policy: <i>Eminent Domain</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Lease agreements for real property, easements, and other interests in real property	Facilities	Consent Report	With an initial term amount to be paid to or by the University between \$250,000 and \$500,000
Lease agreements for real property, easements, and other interests in real property	Facilities	Review/action (two months)	With an initial term amount to be paid to or by the University greater than \$500,000
Sale or purchase of real property	Facilities	Consent Report	Between 10 and 40 acres, or with a value between \$250,000 and \$500,000
Sale or purchase of real property	Facilities	Review/action (two months)	Larger than 40 acres, or with a value greater than \$500,000
Schematic plans	Facilities	Review/action (one month)	Prior to inclusion in the annual capital budget, interior renovations having a value greater than \$5,000,000; projects with a value greater than \$2,000,000 that have an exterior visual impact; and projects that vary from adopted campus master plans or that have a significant visual impact
Appointments to boards and advisory committees	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Appointments to Organizations and Boards</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Approval of initial appointments of those serving as senior vice president for academic affairs and provost, senior vice president for the academic health center, senior vice president for system administration, chancellor, vice president, provost, general counsel, librarian, and athletic director, Twin Cities Campus	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Reservation and Delegation of Authority</i>
Changes to Civil Service rules	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Changes to the University Senate Constitution	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Continuous Appointments	Faculty, Staff & Student Affairs	Review/Action (one month)	May; BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Negotiated labor agreements	Faculty, Staff & Student Affairs	Review/action (one month)	Negotiating parameters are reviewed with the Board chair
Recommendations on Promotion and Tenure	Faculty, Staff & Student Affairs	Review/action (one month)	April; BOR Policy: <i>Faculty Tenure</i>
Changes to the approved central reserves budget greater than \$250,000	Finance & Operations	Consent Report	BOR Policy: <i>Central Reserves Fund</i>
Contracts for goods and services greater than \$250,000	Finance & Operations	Consent Report	BOR Policy: <i>Purchasing</i>
Debt issuance	Finance & Operations	Review/action (one month)	BOR Policy: <i>Debt Transactions</i>
Expenditures from the general contingency fund greater than \$250,000	Finance & Operations	Consent Report	BOR Policy: <i>Central Reserves Fund</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Hiring of investment managers	Finance & Operations	Consent Report	BOR Policy: <i>Investment Transactions</i>
Modification to the central reserves budget	Finance & Operations	Consent Report	BOR Policy: <i>Central Reserves Fund</i>
Annual Asset Management Report	Board	Receive and file	October; presentation of investment performance, measured against comparable industry standards
Annual Capital Financing & Debt Management Report	Board	Receive and file	October; report on amount and status of University debt; BOR Policy: <i>Debt Transactions</i>
Annual Financial Report	Board	Discussion	December; includes the University's audited financial statements, along with a narrative discussion of trends that measure progress in achieving University goals
Annual Review of Board of Regents Calendar	Board	Discussion	March
Annual Review of Board of Regents Policy: <i>Code of Ethics</i>	Board	Discussion	June; BOR Policy: <i>Code of Ethics</i>
Annual Review of President's Delegations	Board	Receive and file	April report of significant changes; BOR Policy: <i>Reservation and Delegation of Authority</i>

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Civil Service Committee Report	Board	Receive and file	July
Conformance with Campus Master Plans Report	Board	Receive and file	Annual per Board resolution adopted September 6, 1996
Council of Academic Professionals and Administrators Report	Board	Receive and file	July
Eastcliff Report	Board	Receive and file	Annual; Fall
Faculty Consultative Committee Report	Board		Three times a year (February, June, September)
Grants and Contract Activity Review	Board	Receive and file	Quarterly
Report on Legal Matters	Board	Receive and file	September annual report to Board; February semi-annual report to Litigation Review Committee; BOR Policy: <i>Attorneys and Related Services</i>
Report on the Status of the University's Research	Board	Discussion	Annual; December
President's Minority Advisory Committee's Report	Board	Receive and file	BOR Policy: <i>American Indian Advisory Boards</i>
Student Representatives to the Board of Regents Report	Board	Discussion	BOR Policy: <i>Student Representatives to the Board</i> ; reports are usually given twice a year with the approval of the Executive Director
University of Minnesota Alumni Association Report	Board	Discussion	Annual
University of Minnesota Foundation Report	Board	Discussion	Annual

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Annual Compliance Audit of Federal Award Programs	Audit	Discussion	Required by Federal government; typically consists of a review of the A-133 audits, which measure compliance with federal laws and regulations applicable to federal financial assistance received by the University
Annual Financial Statement	Audit	Discussion	Review format of wording prior to issuance; Board of Regents Policy: <i>Audit Committee Charter</i>
Independent public accountant annual audit	Audit	Discussion	November
Internal Audit Update	Audit	Discussion	Periodic update includes responses to previous requests regarding audit issues, an update on the implementation of audit recommendations, and reports of other matters relevant to University audit functions
Management Letter	Audit	Discussion	February
Review of Public Accountant	Audit	Discussion	Annual; BOR Policy: <i>Audit Committee Charter</i>
Semi-annual Controller's Report	Audit	Discussion	Report on the University's financial management systems
Reports of strategic plans of academic units	Educational Planning & Policy	Discussion	Focuses on ties to <i>University Plan, Performance &amp; Accountability Report</i> , program directions, personnel policy issues, financial policy issues, areas of concern, and notable achievements
Program reviews	Educational Planning & Policy	Discussion	
Academic Program Additions & Discontinuations Report	Educational Planning & Policy	Discussion / Information	Annual; September

**NOTE:** Presentation dates are subject to change



<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Capital Planning and Project Management Report	Facilities	Discussion	Semi-annually; project status report and budget overview for projects in the capital budget
Design Guidelines	Facilities	Discussion	Design guidelines are presented for discussion when a project design represents an exception to adopted campus master/district/precinct plans
Final Review of Capital Projects	Facilities	Discussion	Approved Capital Projects with value greater than \$5,000,000 prior to the award of construction contracts; in months with no committee meeting, review by Board & Committee Chairs as long as within scope of plan and budget
Uses of Real Estate Acquisition Account	Facilities	Information	Uses of account for purposes other than the purchase of real estate must be reported to Board (Discussed in September 2003)
Administrative policies related to academic professional and administrative staff	Faculty, Staff & Student Affairs	Information	
Faculty and Staff Diversity Report	Faculty, Staff & Student Affairs	Discussion/ Information	Annual; Spring
Faculty Compensation Comparisons and Analysis	Faculty, Staff & Student Affairs	Discussion	March or April
Intercollegiate Athletics Report	Faculty, Staff & Student Affairs	Discussion	May or June; may include reports on academic progress, coordinate campuses, or other intercollegiate athletics issues

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Staff Compensation Comparisons and Analysis	Faculty, Staff, & Student Affairs	Discussion	April or May
Student Diversity Report	Faculty, Staff & Student Affairs	Discussion/ Information	Annual
Asset Management Report	Finance & Operations	Discussion	Quarterly; investment performance measured against comparable industry standards
Budget Update: Overview of Current Fiscal Year	Finance & Operations	Discussion	Semi-annual; overview of the current fiscal year's operating budget compared to actual spending activity for the current period
Central Reserves Fund Report	Finance & Operations	Discussion	Annual; update on status of Central Reserves Fund; BOR Policy: <i>Central Reserves Fund</i>
Debt Management Advisory Committee Update	Finance & Operations	Information	2-4 times per year; Update on Debt Management Advisory Committee activities
Capital Financing & Debt Management Report	Finance & Operations	Discussion	Annual; Update on amount and status of University debt; BOR Policy: <i>Debt Transactions</i>
Economic Development Report	Finance & Operations	Information	Semi-annual; BOR Policy: <i>Targeted Business, Urban Community Economic Development, and Small Business Programs</i>
Exceptions to BOR Policy: <i>Purchasing</i>	Finance & Operations	Information	BOR Policy: <i>Purchasing</i>
Expenditures from the general contingency fund less than \$250,000	Finance & Operations	Information	BOR Policy: <i>Central Reserves Fund</i>

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Insurance and Risk Management Report	Finance & Operations	Information	Annual; current insurance costs and average claims in various categories; a review of annual insurance costs and claims for the past five years; and a discussion of steps being taken to reduce risk exposure
Investment Advisory Committee Update	Finance & Operations	Information	Quarterly; update on Investment Advisory Committee activities
Investment Consultants Report	Finance & Operations	Discussion	University's investment results and allocations, with comparisons to peer institutions
Investment Managers Report	Finance & Operations	Discussion	Investment managers review of recent performance, rationale for investment decisions, and financial market trends
Management Report	Finance & Operations	Discussion	Semi-annual; includes an all-funds balance sheet, information on accounts receivables, a statement of changes in fund balance, and budget status by campus, college, and vice presidential unit
Purchasing Report	Finance & Operations	Discussion	Quarterly; BOR Policy: <i>Purchasing</i> ; includes violations of BOR Policy: <i>Purchasing</i>
Financial Oversight: Key Indicators	Finance & Operations	Information	Quarterly

**NOTE:** Presentation dates are subject to change

<b>GROUPS/INDIVIDUALS RECOGNIZED OR INTRODUCED</b>	<b>NOTES</b>
<b>RECOGNITIONS</b>	
Distinguished McKnight University Professor Award Recipients	May
Faculty Consultative Committee Chair	June; outgoing
John Tate Award for Excellence in Undergraduate Advising Recipients	May
McKnight Presidential Endowed Chair Recipients	Scheduled as needed
McKnight Presidential Leadership Chair	Scheduled as needed
McKnight Presidential Fellows	Scheduled as needed
McKnight Land-Grant Professors	March
Morse Alumni Award Recipients	May
Outstanding Contributors to Postbaccalaureate, Graduate and Professional Education Award Recipients	May
Outstanding Community Service Award Recipients	May
President's Award for Outstanding Service Recipients	May
Professional and Academic Staff Award Recipients	June
Regents Professors	Scheduled as needed
Student Representatives to the Board of Regents	Spring; for student representatives completing their terms
<b>INTRODUCTIONS</b>	
Civil Service Committee leadership	July
Council of Academic Professionals and Administrators leadership	July
Newly appointed deans, vice presidents, and the University Librarian	Scheduled as needed after appointment

**NOTE:** Presentation dates are subject to change



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Report of the All-University Honors Committee

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks

**Purpose:**

policy       background/context       oversight       strategic positioning

To adopt the recommendation endorsed by President Robert H. Bruininks and the All-University Honors Committee.

**Outline of Key Points/Policy Issues:**

**Background Information:**

The recommendation was forwarded in a letter dated February 23, 2007, from President Robert H. Bruininks to members of the Board of Regents.

**President's Recommendation for Action:**

The President recommends that the Board of Regents adopt the report of the All-University Honors Committee.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Gifts

review       review/action       action       discussion

**Presenters:** Foundation President Gerald Fischer

**Purpose:**

policy       background/context       oversight       strategic positioning

**Outline of Key Points/Policy Issues:**

**Background Information:**

**President's Recommendation for Action:**

The President recommends that the Summary Report of Gifts to the University of Minnesota through January 31, 2007 are hereby approved.

**MEETING OF THE BOARD OF REGENTS  
GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA  
SUMMARY REPORT\***

**March 9, 2007 Regents Meeting**

	<u>January</u>		<u>Year-to-Date</u>	
	<u>2007</u>	<u>2006</u>	<u>07/01/06 01/31/07</u>	<u>07/01/05 01/31/06</u>
<b>U of M Gift Receiving</b>	\$ 36,460	\$ 72,600	\$ 533,196	\$ 554,072
<b>4-H Foundation</b>	594,350	156,789	1,798,347	803,256
<b>Arboretum Foundation</b>	48,929	306,494	3,165,709	2,569,243
<b>MN Medical Foundation</b>	8,282,804	4,581,874	38,499,951	34,508,970
<b>University of Minnesota Foundation</b>	<u>11,473,260</u>	<u>9,634,675</u>	<u>99,982,176</u>	<u>65,228,940</u>
<b>Total Gift Activity</b>	<b><u><u>\$ 20,435,803</u></u></b>	<b><u><u>\$ 14,752,432</u></u></b>	<b><u><u>\$ 143,979,379</u></u></b>	<b><u><u>\$ 103,664,481</u></u></b>

\*Detail on gifts of \$5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.

## Gifts to benefit the University of Minnesota

### Gifts received in January 2007

<u>Donor</u>	<u>Rec'd by</u>	<u>Gift/Pledge</u>	<u>Purpose of gift</u>
<b><u>\$1 Million and Over</u></b>			
Beverly N. Grossman	MMF	Pledge	Medical School Administration
Securian Foundation	UMF	Pledge	Carlson School of Management, On Campus Stadium, Unrestricted
<b><u>\$500,000 - \$1,000,000</u></b>			
Children's Cancer Research	MMF	Gift	Pediatrics
Charles and Ellora Alliss Educational Foundation	UMF	Gift	Scholarships
Campbell Foundation	UMF	Gift/Pledge	Carlson School of Management, Humphrey Institute of Public Affairs
<b><u>\$250,000 - \$500,000</u></b>			
The McKnight Foundation	UMF	Gift	4H Foundation
Marian Moose Estate	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Adela J. Olson Estate	UMF	Gift	School of Nursing
5th District Eagles Cancer Telethon Fund	UMF/MMF	Gift	AHC Cancer Center, Graduate School
<b><u>\$100,000 - \$250,000</u></b>			
General Mills Incorporated	UMF/MMF	Gift	Carlson School of Management, Institute of Technology, Neurology
Charles J. Biederman Estate	UMF	Gift	Weisman Art Museum
3M Foundation Incorporated	UMF	Gift/Pledge	Various Colleges
Anonymous	MMF	Gift	University of Minnesota Medical School - Duluth
Best Buy Purchasing LLC	UMF	Gift	Carlson School of Management, Humphrey Institute of Public Affairs, Office of International Programs
Dr. Robert J. and Virginia S. McCollister	UMF	Pledge	Libraries
General Mills Foundation	UMF	Pledge	Various Colleges
TCF Foundation	UMF	Gift	Scholarships
Allan L. Apter	UMF	Gift	University of Minnesota, Duluth
Arnold Family Foundation	UMF	Gift	Institute of Technology
Boehringer Ingelheim Vetmedica Incorporated	UMF	Gift	College of Veterinary Medicine
Harriet M. Johnson	MMF	Gift	AHC Cancer Center
Healthier Minnesota Community Clinic Fund	MMF	Gift	Academic Health Center
Lori D. Jones	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences



**\$100,000 - \$250,000**

N. L. Gault	MMF	Pledge	Family Medicine and Community Health
Richard E. Poppele	MMF	Gift	Neuroscience
The Jim and Paula McDonald Charitable Trust	MMF	Gift	Diabetes Institute for Immunology and Transplantation

**\$50,000 - \$100,000**

Margaret L. Vojta Estate	UMF	Gift	Institute of Technology
Minnesota Physicians Foundation of the MMA	MMF	Pledge	Scholarships
3M Company	UMF/MMF	Gift	Institute of Technology, Unrestricted, Neurology
Erling A. Dalaker	UMF	Gift	Institute of Technology
Novartis Animal Health US Incorporated	UMF	Gift	College of Veterinary Medicine
The Emma B. Howe Memorial Foundation	UMF	Gift	4H Foundation
Wolf Creek Charitable	UMF	Gift	Raptor Center
John T. Typpo Estate	UMF	Gift	University of Minnesota, Duluth
Bernice Kindseth	UMF	Gift	Institute of Technology
Star Tribune Foundation	UMF	Gift/Pledge	Weisman Art Museum, College of Liberal Arts
Caterpillar Foundation	UMF	Gift	Carlson School of Management, Institute of Technology
Arkema Incorporated	UMF	Gift	Institute of Technology
Central Minnesota Community Foundation	MMF	Gift	Unrestricted
Corning Incorporated	UMF	Gift	Institute of Technology
Electric Power Research Institute	UMF	Gift	Institute of Technology
ExxonMobil Corporation	UMF	Gift	Institute of Technology
Honeywell International Incorporated	UMF	Gift	Institute of Technology
Hope Chest for Breast Cancer	MMF	Gift	AHC Cancer Center
Infineum USA LP	UMF	Gift	Institute of Technology
Robina Foundation	UMF	Gift	Law School
The Bernard Osher Foundation	UMF	Gift	College of Continuing Education

**\$25,000 - \$50,000**

Jean D. Helms	UMF	Gift	College of Education and Human Development
Hill's Pet Nutrition Incorporated	UMF	Gift	College of Veterinary Medicine
Texas Instruments Incorporated	UMF	Gift	Institute of Technology
Consolidated Anti-Aging Foundation	MMF	Gift	Medical School Administration
Katherine R. Lillehei Charitable Lead Trusts	UMF	Gift	School of Nursing
Elwood R. Quesada Educational Foundation	MMF	Gift	Diabetes Institute for Immunology and Transplantation
Caterpillar Incorporated	UMF	Gift	Institute of Technology
Robert W. Goltz	MMF	Gift	Dermatology

**\$25,000 - \$50,000**

Weyerhaeuser Company Foundation	MMF	Gift	AHC Cancer Center
Sidney A. Swensrud Foundation	UMF	Gift	Carlson School of Management
Pfizer Incorporated	UMF	Gift	College of Veterinary Medicine, School of Dentistry, College of Food, Agricultural and Natural Resource Sciences
Bon-Ton Stores Incorporated	MMF	Gift	AHC Cancer Center
Dr. N. Marbury Efimencko	UMF	Gift	College of Liberal Arts
Faye L. Smith	MMF	Gift	CUHCC
Gerald A. and Dina Leener	UMF	Pledge	Carlson School of Management
Anonymous	MMF	Gift	AHC Cancer Center
Brady Family Trust	UMF	Gift	Carlson School of Management
Brian D. Kovalchuk	UMF	Gift	Carlson School of Management
Calvin H. Simmons	MMF	Pledge	AHC Cancer Center
Douglass Brandenburg Family Foundation	UMF	Gift	Department of Intercollegiate Athletics
Dr. Lisa P. Howard	UMF	Pledge	School of Dentistry
Francis G. Hamilton	UMF	Gift	Department of Intercollegiate Athletics
Gordon J. H. Berg Estate	UMF	Gift	College of Education and Human Development
Harris Rebar	UMF	Gift	College of Biological Sciences
IVESCO LLC	UMF	Gift	College of Veterinary Medicine
Joyful Women Fund	UMF	Gift	Raptor Center
Leo W. Cheng	MMF	Pledge	Scholarships
Maas Foundation	MMF	Gift	Medicine
Russell J. Penrose	UMF	Gift	Institute of Technology
The Paula and William Bernstein Family Foundation	MMF	Gift	Scholarships, Surgery
Will Rogers Institute	MMF	Gift	Medicine
William A. Hodder	MMF	Gift	Urologic Surgery
William R. Dircks	UMF	Pledge	Department of Intercollegiate Athletics
Winona County 4-H Federation	UMF	Gift	4H Foundation

**\$10,000 - \$25,000**

Dr. Mary Kaye Brown	UMF	Gift	Law School
George Yin	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Dr. Donald L. and Elaine C. Sime	UMF	Gift	College of Veterinary Medicine
Rolf F. Bjelland	MMF	Pledge	AHC Cancer Center
Jeannine Rivet and Warren Herreid	UMF	Gift	School of Nursing, Minnesota Landscape Arboretum
Ronald D. Sit and Dr. Teresa Fong	UMF/MMF	Gift/Pledge	Pediatrics, School of Dentistry
Ty B. Dunn	MMF	Pledge	Surgery
Zimmer Incorporated	UMF	Gift	Academic Health Center
Dr. William E. Petersen	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences

**\$10,000 - \$25,000**

Otter Tail Power Company	UMF	Gift	Institute of Technology, University of Minnesota, Morris
Deloitte Foundation	UMF	Pledge	Carlson School of Management
Warren D. MacKenzie	UMF	Gift	College of Liberal Arts
Gail E. Baldwin	MMF	Pledge	Scholarships
Southern Minnesota Municipal Power Agency	UMF	Gift	Institute of Technology
Medtronic Incorporated	MMF	Gift	Medicine, Neuroscience
Ned Levine and Associates	UMF	Gift	Institute of Technology
Michael M. Paparella	MMF	Gift	International Hearing Foundation
Information Storage Industry Consortium	UMF	Gift	Institute of Technology
LifeCell Corporation	UMF	Gift	Institute of Technology
Kathleen P. Cleary	UM	Gift	Minnesota Landscape Arboretum
The ARK Foundation	UMF	Gift	Unrestricted
Hormel Foods Corporation	UMF/MMF	Gift/Pledge	Neurology, Unrestricted
Advanced Medical Technology Association	UMF	Gift	Academic Health Center
American Dental Education Association	UMF	Gift	School of Dentistry
Center for Computer-Assisted Legal Instruction	UMF	Gift	Law School
Dr. John J. Keller	UMF	Pledge	School of Dentistry
Dr. Steven J. Wolff	UMF	Pledge	School of Dentistry
K. and D. Enterprises	UM	Gift	College of Food, Agricultural and Natural Resource Sciences
Margot B. J. and Steven D. Roberts	UMF	Gift	College of Veterinary Medicine
The Minneapolis Foundation	MMF	Gift	Ophthalmology
Tracy M. Smith	UMF	Pledge	Scholarships
Westwood Professional Services Incorporated	UMF	Gift	Institute of Technology
Terry L. and Virginia M. Tranter	UMF	Gift	Various Colleges
Gregg S. K. and Laverne F. Orwoll	UMF	Gift	University of Minnesota, Rochester, Law School, College of Education and Human Development
Ben and Jeanne Overman Charitable Trust	UMF/MMF	Gift	University of Minnesota, Duluth, Scholarships
J. Stewart and Mary T. McClendon	UMF	Gift	Law School
Bergquist Company	UMF	Gift	Institute of Technology
Community Action Funds-Minneapolis Foundation	UMF	Gift	Humphrey Institute of Public Affairs
Hugh J. Andersen Foundation	UMF	Gift	Raptor Center
James W. Gordon Jr.	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Paul A. Holte	UMF	Pledge	Carlson School of Management
Regis Foundation	UMF	Gift	University of Minnesota, Duluth
Stephen C. Jensik	MMF	Pledge	Surgery
Wells Fargo Foundation	UMF/UM	Gift/Pledge	Various Colleges

**\$10,000 - \$25,000**

Prince Agri Products Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Armand A. Renaud	UMF	Gift	College of Liberal Arts
Carl and Verna Schmidt Foundation	UMF	Gift	Raptor Center
Jerome Foundation	UMF	Gift	Graduate School
Tonya Puckett Trust of Advisor Charitable Gift Fund	UMF	Gift	Scholarships
Isabel J. Fryer Estate	UMF	Gift	Law School
The Andersons Incorporated	UMF	Gift	University of Minnesota, Crookston
Dr. Hugh A and Joyce D Edmondson	UM	Gift	Minnesota Landscape Arboretum
ChevronTexaco Corporation	UMF	Gift	Carlson School of Management
Anne Flaxman Geisser	UMF	Gift	College of Liberal Arts
Bernatello's Pizza Incorporated	MMF	Gift	Neurology
Charles B. Rodning	MMF	Pledge	Surgery
Christine H. Roberts	MMF	Pledge	AHC Cancer Center
Commodity Specialists Company	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Connie L. and Stephen W. Schondelmeyer	UMF	Gift	College of Pharmacy
Daniel H. Dunn	MMF	Pledge	Surgery
Darla K. Granger	MMF	Pledge	Surgery
Daulton Foundation	MMF	Gift	Integrative Biology and Physiology
David E. R. Sutherland	MMF	Pledge	Surgery
Dennis N. Branstiter	UMF	Gift	Weisman Art Museum
Dr. Ernest and Cathryn S. Kemble	UMF	Gift	University of Minnesota, Morris
Dr. John D. Donker	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Dr. Peter H. Franz	UMF	Gift	College of Veterinary Medicine
Dr. William C. Randall	UMF	Gift	School of Dentistry
Edward W. and Cora L. Remus	UMF	Gift	Institute of Technology
Gerald C. Fox	MMF	Gift	Orthopaedic Surgery
HRK Foundation	MMF	Gift	Medical School Administration
Jackie Robinson Foundation Incorporated	UMF	Gift	Scholarships, University of Minnesota, Duluth
Jeffrey R. Fritz	UMF	Pledge	Carlson School of Management
John H. Allen	MMF	Gift	Unrestricted
Kemps LLC	MMF	Gift	Neurology
Kristin K. Elliott	MMF	Pledge	Scholarships
Marilyn J. Salovich	MMF	Gift	Medicine
Mark and Karen Drazkowski	UMF	Pledge	University of Minnesota, Duluth
Mark Spartz and Kimberly French	UMF	Pledge	Carlson School of Management
Michael W. Mulholland	MMF	Pledge	Surgery
Midwest Coca-Cola Bottling Company	MMF	Gift	Neurology
Mieke P. and Gottfried H. Kellermann	UMF	Gift	College of Veterinary Medicine
National Geographic Society	UMF	Gift	College of Biological Sciences

**\$10,000 - \$25,000**

National Forum for Black Public Administrators	UMF	Gift	Humphrey Institute of Public Affairs
Pemstar	UMF	Gift	University of Minnesota, Rochester
PriceWaterhouseCoopers Foundation	UMF	Pledge	Carlson School of Management
RTP Company	MMF	Gift	Pediatrics
Scientific Forming Technologies Corporation	UMF	Gift	Institute of Technology
Selwyn M. Vickers	MMF	Pledge	Surgery
Stanley A. Leonard	MMF	Gift	Pediatrics
The H. H. Weinert Foundation	UMF	Gift	University of Minnesota, Duluth
Theresa A. Leary	UMF	Pledge	College of Veterinary Medicine
Todd D. Wiebusch	UMF	Gift	Unrestricted

**\$5,000 - \$10,000**

William A. Cooper	UM	Gift	Department of Intercollegiate Athletics
Key Professional Media Incorporated	UMF	Gift	Humphrey Institute of Public Affairs
ExxonMobil Foundation	UMF	Pledge	Institute of Technology
David J. Delaney	MMF	Gift	Ophthalmology
Target Corporation	UMF	Gift	Carlson School of Management
AARP	MMF	Gift	SPH Health Policy and Management
Thomson West	UMF	Gift	Institute of Technology
Dorothy A. Patterson Estate	UMF	Gift	Academic Health Center
IBM International Foundation	UMF	Pledge	Various Colleges
Anonymous	UM	Gift	4H Foundation, College of Veterinary Medicine
Anonymous	MMF	Gift	Pharmacology
Dr. Scott R. Koidahl	UMF	Gift	University of Minnesota, Duluth
Stephen and Sheila Lieberman Family Philanthropic Fund	UMF	Gift	College of Liberal Arts
Arysta LifeScience North America Corporation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Sharl K. Keskinen	UMF	Gift	University of Minnesota, Morris
Susan M. Keskinen	UMF	Gift	University of Minnesota, Morris
Iron Range Resources	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Midwest Dairy Association	UMF/UM	Gift	4H Foundation, College of Food, Agricultural and Natural Resource Sciences, University of Minnesota, Crookston
Dr. Stanley A. Leonard	UMF	Gift	Bell Museum of Natural History
Duane E. Joseph	UMF	Gift	Law School
Jim and Cathy Gray Fund-Fidelity Investment Charitable Gift Fund	UM	Gift	Minnesota Landscape Arboretum
Northwestern Mutual Foundation	UMF	Pledge	Carlson School of Management, College of Liberal Arts
Perry C. Plank	MMF	Gift	Medicine
Robert A. Stein	UMF	Gift	Law School, Scholarships

**\$5,000 - \$10,000**

Wendell A. and Jacqueline M. Schott	UMF	Gift	Bell Museum of Natural History
Jane B. Spence	UMF	Gift	4H Foundation
Dr. Bee Hanlon	UMF	Gift	College of Veterinary Medicine
National Council on Family Relations	UMF	Gift	College of Education and Human Development
Rahr Foundation	UMF	Gift	School of Nursing, Bell Museum of Natural History
Western Agricultural Economics Association	UMF	Gift	Libraries
Dr. Charles J. and Joyce E. Richard M. Schulze	UMF	Pledge	College of Veterinary Medicine
Abbott Laboratories Fund	UMF	Gift	Department of Intercollegiate Athletics
	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences
Lillian F. Wallace Charitable	MMF	Gift	AHC Cancer Center
Diane F. Skomars	UMF	Pledge	University of Minnesota, Duluth
Dr. Harlan W. and Anita L. Stech	UMF	Gift	University of Minnesota, Duluth
Edward D. Pierson	UMF	Gift	Institute of Technology
American Association of Orthopaedic Surgeons	UMF	Gift	Academic Health Center
Seoul National University	UMF	Gift	Libraries
American Iron	UMF	Gift	College of Education and Human Development
Amy Susan Mulvahill	MMF	Gift	Unrestricted
Anonymous	UMF	Gift	College of Design
Anonymous	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
AXA Investment Managers Incorporated	MMF	Gift	Pediatrics
Barbara T. Larson	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Barilla Pasta	MMF	Gift	Neurology
Best Brands Corporation	MMF	Gift	Neurology
Blanche Singer	UMF	Gift	School of Dentistry
Breyers	MMF	Gift	Neurology
Carol F. McTigue	UMF	Gift	Carlson School of Management
Catherine R. Briggs	UMF	Gift	College of Veterinary Medicine
Cathryn E. Hondros	MMF	Gift	Pediatrics
Daniel P. O'Keefe	UMF	Gift	College of Liberal Arts
David A. Perreault	MMF	Gift	Diabetes Institute for Immunology and Transplantation
Del Monte	MMF	Gift	Neurology
Dial Corporation	MMF	Gift	Neurology
Dole Food Company	MMF	Gift	Neurology
Dr. Harry R. Dorvinen	UMF	Gift	School of Dentistry
Dr. James S. McDonald	UMF	Pledge	School of Dentistry
Dr. Thomas A. and Louann Edgar G. O'Brien	UMF	Pledge	School of Dentistry
Edgar G. O'Brien	MMF	Gift	Pediatrics
Edward E. Etheredge	MMF	Pledge	Surgery
Faribault Foods, Inc.	MMF	Gift	Neurology

**\$5,000 - \$10,000**

Frank and Toby Berman Family Foundation	UMF	Gift	College of Liberal Arts
Genus PLC	UMF	Gift	College of Veterinary Medicine
George Family Foundation	UMF	Gift	Center for Spirituality and Healing
Georgia Pacific	MMF	Gift	Neurology
Heinz	MMF	Gift	Neurology
Helen D. Schlenner	MMF	Gift	AHC Cancer Center
Interstate Bakeries Corporation	MMF	Gift	Neurology
James J. Blanchard	UMF	Gift	Law School
James W. Birkenstock Estate	UMF	Gift	Institute of Technology
James W. Reagan	MMF	Gift	Pediatrics
Joan of Arc	MMF	Gift	Neurology
John B. Marcotte	MMF	Gift	Neurology
Joseph Leventhal	MMF	Pledge	Surgery
Judy V. Weber	UMF	Gift	University of Minnesota, Duluth
Kathleen E. Allmaras	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Kimberly-Clark Corporation	MMF	Gift	Neurology
Kraft Foods Global Incorporated	UMF	Gift	Carlson School of Management
Kraft General Foods Incorporated	MMF	Gift	Neurology
Land O'Lakes Incorporated	MMF	Gift	Neurology
Lee M. Espeland	MMF	Gift	Scholarships
LeeAnn M. and Jeffrey M. Ettinger	UMF	Gift	Unrestricted
Louise B. Leatherdale	MMF	Gift	Genetics, Cell Biology and Development
M & I Bank	MMF	Gift	Pediatrics
Malt-O-Meal Company	MMF	Gift	Neurology
Mark R. Houser and Joan M. Allmaras	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
McCormick and Company	MMF	Gift	Neurology
Medical Device Manufacturers Association	UMF	Gift	Academic Health Center
Minnesota Milk Producers Association	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Nestle USA Incorporated	MMF	Gift	Neurology
Nestle Waters North America Incorporated	MMF	Gift	Neurology
Oakley and Susan Surine	UMF	Gift	Institute of Technology
Olga B. Hart Education Foundation	UMF	Gift	Graduate School
Ortega Foods	MMF	Gift	Neurology
Reckitt Benckiser	MMF	Gift	Neurology
Red Gold Tomatoes	MMF	Gift	Neurology
Richard L. and Catherine R. Tate	UMF	Gift	University of Minnesota, Morris, College of Liberal Arts
Ronald M. Ferguson	MMF	Pledge	Surgery
Sanofi Pasteur Incorporated	MMF	Gift	Pediatrics
Schall Family Fund-Minneapolis Foundation	UMF	Gift	College of Liberal Arts

**\$5,000 - \$10,000**

Society of Interventional Radiology	UMF	Gift	Academic Health Center
Spensa Development Group LLC	MMF	Gift	Urologic Surgery
The Carlson Companies Incorporated	UMF	Gift	Carlson School of Management
The Dannon Company	MMF	Gift	Neurology
The Hershey Company	MMF	Gift	Neurology
The Marvin E. and Miriam R. Goldberg Foundation	MMF	Gift	Scholarships
The National Collegiate Athletic Association	MMF	Gift	Orthopaedic Surgery
The Sheltering Arms Foundation	UM	Gift	4H Foundation
Thomas F. and Marilyn L. Pat Madison	UMF	Gift	Institute of Technology
Thomas O. and Karen M. Moe	UMF	Gift	Department of Intercollegiate Athletics
Uncle Bens Brand Rice	MMF	Gift	Neurology
Vernon and Iona Heath Fund-National Philanthropic Trust	UMF	Gift	Unrestricted
Wollan and Fan Family Fund-Minneapolis Foundation	UMF	Gift	Humphrey Institute of Public Affairs





**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Quarterly Summary of Expenditures

review       review/action       action       discussion

**Presenters:** Regent Anthony Baraga

**Purpose:**

policy       background/context       oversight       strategic positioning

To provide a quarterly report regarding budget expenditures from the Office of the Board of Regents, the Office of the President, and Eastcliff operations and maintenance.

**Outline of Key Points/Policy Issues:**

**Background Information:**

**President's Recommendation for Action:**

The President recommends that the Summary of Expenditures be approved.

UNIVERSITY OF MINNESOTA  
 BOARD OF REGENTS  
 SUMMARY OF EXPENDITURES  
 GENERAL OPERATIONS AND MAINTENANCE FUND  
 SIX MONTHS ENDING DECEMBER 31, 2006

	CURRENT YEAR			PRIOR YEAR		
	CURRENT BUDGET 2006/07	REVENUES/ EXPENDITURES YTD 2006/07	PERCENT EXPENDED	CURRENT BUDGET 2005/06	REVENUES/ EXPENDITURES YTD 2005/06	PERCENT EXPENDED
<b>Beginning Balance (Prior Year Carryforward)</b>	\$67,718	\$67,718		\$101,711	\$101,711	
<b>Revenues</b>						
Total Current Year Allocation Net Transfers	\$766,262	\$766,262		\$743,400	\$743,400	
<b>Total Resources</b>	<u>\$833,980</u>	<u>\$833,980</u>		<u>\$845,111</u>	<u>\$845,111</u>	
34						
<b>Expenditures</b>						
Salaries	\$437,484	\$232,532	53.2%	\$422,157	\$211,582	50.1%
Fringe Benefits	\$149,024	\$75,457	50.6%	\$142,373	\$67,256	47.2%
Supplies, Expenses, Equipment	\$233,498	\$118,941	50.9%	\$213,277	\$104,945	49.2%
<b>Total Expenditures</b>	<u>\$820,006</u>	<u>\$426,930</u>	<u>52.1%</u>	<u>\$777,807</u>	<u>\$383,783</u>	<u>49.3%</u>
<b>Ending Balance</b>	<u>\$13,974</u>	<u>\$407,050</u>		<u>\$67,304</u>	<u>\$461,328</u>	

UNIVERSITY OF MINNESOTA  
 PRESIDENT'S OFFICE  
 SUMMARY OF EXPENDITURES  
 GENERAL OPERATIONS AND MAINTENANCE FUND  
 SIX MONTHS ENDING DECEMBER 31st, 2006 (2nd Quarter)  
 (Unaudited)

	<b>CURRENT YEAR</b>			<b>PRIOR YEAR</b>		
	<i>CURRENT BUDGET 2006/07</i>	<i>REVENUES/ EXPENDITURES YTD 2006/07</i>	<i>PERCENT EXPENDED</i>	<i>CURRENT BUDGET 2005/06</i>	<i>REVENUES/ EXPENDITURES YTD 2005/06</i>	<i>PERCENT EXPENDED</i>
<b>Beginning Balance (Prior Year Carry forward)</b>	(\$17,429)	(\$17,429)		\$41,809	\$41,809	
<b>Revenues</b>						
Total Current Year Allocation	\$2,498,798	\$2,383,815		\$1,957,362	\$1,997,570	
Total Resources	<u>\$2,481,369</u>	<u>\$2,366,386</u>		<u>\$1,999,171</u>	<u>\$2,039,379</u>	
<b>Expenditures</b>						
President's Office Salaries	\$1,488,301	\$767,083	51.5%	\$1,166,510	\$603,598	51.7%
President's Office Fringe Benefits	\$695,475	\$252,166	36.3%	\$462,498	\$195,043	42.2%
Supplies, Expense, Equipment Ofc of the President-General Operations (1000)	\$137,890	\$109,413	79.3%	\$155,374	\$149,852	96.4%
Eastcliff Management Office Salaries	\$90,777	\$54,669	60.2%	\$88,133	\$45,856	52.0%
Eastcliff Management Office Fringe	\$32,771	\$14,616	44.6%	\$30,758	\$12,628	41.1%
Supplies, Expense, Equipment Eastcliff Management Ofc-General Operations (1006)	\$25,435	\$57,539	226.2%	\$22,331	\$17,201	77.0%
President's Travel	\$21,758	\$7,413	34.1%	\$21,758	\$8,206	37.7%
Fund Transfers	\$6,391	\$63,080	987.0%	\$10,000	\$3,334	33.3%
Total Expenditures	<u>\$2,498,798</u>	<u>\$1,325,979</u>	53.1%	<u>\$1,957,362</u>	<u>\$1,035,718</u>	52.9%
<b>Ending Balance</b>	<u>(\$17,429)</u>	<u>\$1,040,407</u>		<u>\$41,809</u>	<u>\$1,003,661</u>	

**UNIVERSITY OF MINNESOTA  
EASTCLIFF OPERATIONS  
SUMMARY OF EXPENDITURES  
GENERAL OPERATIONS AND MAINTENANCE FUND  
SIX MONTHS ENDING DECEMBER 31, 2006  
(UNAUDITED)**

	CURRENT YEAR			PRIOR YEAR		
	CURRENT BUDGET 2006-07	REVENUES/ EXPENDITURES YTD 2006-07	PERCENT EXPENDED	PRIOR BUDGET 2005-06	REVENUES/ EXPENDITURES YTD 2005-06	PERCENT EXPENDED
<b>Beginning Balance (Prior Year Carryforward)*</b>	\$0	\$0		\$0	\$0	
<b>Revenues</b>						
Total Current Year Allocation**	\$201,530	\$201,530		\$201,364	\$201,364	
Transfer from the General Contingency						
<b>Total Resources</b>	<u>\$201,530</u>	<u>\$201,530</u>		<u>\$201,364</u>	<u>\$201,364</u>	
<b>Expenditures</b>						
<b>Household Maintenance</b>						
Salaries, Fringes	\$17,767	\$9,763	55.0%	\$12,100	\$9,305	76.9%
Supplies, Expense, Equipment	\$183,763	\$123,611	67.3%	\$189,264	\$114,373	60.4%
<b>Household Maintenance Total</b>	<u>\$201,530</u>	<u>\$133,374</u>	<u>66.2%</u>	<u>\$201,364</u>	<u>\$123,678</u>	<u>61.4%</u>
<b>Ending Balance</b>	<u>\$0</u>	<u>\$68,156</u>		<u>\$0</u>	<u>\$77,686</u>	

Notes:

\* "Prior Year Carryforward" was taken off of this report because it is used for Eastcliff capital and renewal projects rather than operating purposes. Eastcliff project reporting to the board is part of the normal capital project reporting process.

\*\*Eastcliff's maintenance budget was cut by \$8,000 for FY '04.



## UNIVERSITY OF MINNESOTA BOARD OF REGENTS

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Board of Regents Policy: Associated Organizations

review       review/action       action       discussion

**Presenters:** General Counsel Mark Rotenberg

**Purpose:**

policy       background/context       oversight       strategic positioning

Under Board of Regents Policy: *Reservation and Delegation of Authority*, the Board has the exclusive authority to approve the legal structure and scope of any relationship between the University and an associated organization. The proposed policy establishes criteria for the University's recognition of an associated organization and delegates to the President the authority to grant, suspend, or revoke recognition.

To address suggestions raised by the Board at its February 2007 meeting, the proposed policy has been modified in a number of ways, particularly to (i) clarify that newly formed entities, not just those with previously demonstrated capacities to satisfy the recognition criteria, may be recognized under Section V, subd. 1; (ii) provide guidance to the University in developing administrative policies and entering into written agreements regulating fund raising activities of an associated organization; and (iii) provide that the President shall consult with the Board before suspending or revoking recognition of an associated organization whose activities substantially affect the University's interests.

**Outline of Key Points/Policy Issues:**

The programs and activities of many tax exempt, nonprofit entities interact with University programs or use substantial University resources. The University's legal, financial, and reputational interests may be significantly affected by its relationships with these associated organizations. The University has an interest in ensuring that such associated organizations adhere to the highest standards of ethical conduct in operating and managing their affairs and comply with all applicable laws and University policies and procedures. To that end, the proposed policy authorizes the President to grant an entity recognition as an associated organization under certain criteria.

## **Background Information:**

In December 2003, at the request of President Bruininks, General Counsel Rotenberg issued a report recommending that the Board of Regents develop an appropriate policy to govern the University's relationships with associated organizations. The Board conducted two work sessions (February and July 2004) to formulate such a policy. At the second work session, the Board expressed its support for seven framing principles to govern the University's relationships with associated organizations. These framing principles were provided in the Board's February 2007 docket materials accompanying this draft policy.

The proposed policy is based on these principles and is consistent with Section XII of Board of Regents Policy: *Reservation and Delegation of Authority*, which reserves to the Board "authority to approve the legal structure and scope of any relationship between the University and any associated organization . . . or similar entity that substantially relies upon University resources or personnel to carry out its mission."

## **President's Recommendation for Action:**

The President recommends adoption of the proposed policy.



UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY

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Administrative

ASSOCIATED ORGANIZATIONS

Adopted:

**DRAFT** for action March 9, 2007

**ASSOCIATED ORGANIZATIONS**

**SECTION I. SCOPE.**

This policy governs legal, financial, and other relationships of the University of Minnesota (University) with Associated Organizations and sets forth the University's expectations regarding the conduct of Associated Organizations relative to the University.

**SECTION II. EXCLUSIONS.**

**Subd. 1. Recognized Foundations.** Recognized Foundations are Associated Organizations that are governed separately under other Board of Regents (Board) and University administrative policies.

**Subd. 2. Registered Student Organizations.** Registered student organizations are regulated separately and shall not be classified as Associated Organizations.

**Subd. 3. Booster Clubs.** Booster clubs are regulated separately and shall not be classified as Associated Organizations.

**SECTION III. DEFINITIONS.**

**Subd. 1. Associated Organization.** *Associated Organization* shall mean a non-profit corporation, foundation, partnership, or other entity exempt from federal and state income taxation:

- (a) whose primary purpose is to support the University or the University's mission and that uses substantial University resources, such as financial support, employees, or facilities, to accomplish its purpose; or
- (b) regarding which the University may be legally responsible for its activities and liabilities; or
- (c) whose financial condition or results of operations should be included or noted in the University's financial statements.

**SECTION IV. GUIDING PRINCIPLES.**

The Board intends to maintain excellent relationships with Associated Organizations, which are highly valued by the University and enhance the institution's ability to



UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY

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Administrative

ASSOCIATED ORGANIZATIONS

Adopted:

**DRAFT** for action March 9, 2007

accomplish its mission. To that end, the University and Associated Organizations shall work cooperatively to ensure that Associated Organizations adhere to the highest standards of ethical conduct, employ sound fiscal and business practices, and comply with all applicable laws and University policies and procedures.

**SECTION V. UNIVERSITY RECOGNITION.**

**Subd. 1. Recognition.** The University shall maintain a process for granting recognition to Associated Organizations. Criteria to be considered in deciding whether to grant recognition shall include:

- (a) the Associated Organization's **demonstrated** commitment and capacity to undertake activities primarily to support the University or its mission and to enhance the University's stature;
- (b) the Associated Organization's **demonstrated** commitment and capacity to adhere to the highest standards of ethical conduct in operating and managing its affairs and to avoid activities that undermine its credibility and reputation or the credibility and reputation of the University;
- (c) the Associated Organization's **demonstrated** ability to employ sound fiscal and business practices, including internal controls adequate to ensure its activities are duly authorized and accounted for;
- (d) the Associated Organization's compliance with all applicable laws, regulations, University contracts, and University policies and procedures;
- (e) the Associated Organization's acceptance of University representation on its governing body and/or executive committee; and
- (f) the best interests of the University.

**Subd. 2. Revocation of Recognition.** Recognition of an Associated Organization may be suspended or revoked **(a) if the Associated Organization fails to adhere to University policy; (b) if the Associated Organization fails to adhere to the criteria specified in subd. 1 of this section; University policy, or (c) if, in the judgment of the president or delegate, suspension or revocation is in the best interest of the University.** The process for revoking or suspending recognition shall be that established in a written agreement between the University and the Associated Organization or, in the absence of a written agreement, the process specified in University administrative policy.





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BOARD OF REGENTS POLICY

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Administrative

ASSOCIATED ORGANIZATIONS

Adopted:

**DRAFT** for action March 9, 2007

**SECTION VI. DELEGATION OF AUTHORITY.**

The president or delegate is authorized to:

- (a) grant, suspend, or revoke recognition of an Associated Organization, **provided that the president shall consult with the Board before a decision is made to suspend or revoke recognition of an Associated Organization whose activities substantially affect the University's interests;**
- (b) enter into written agreements with Associated Organizations as necessary;
- (c) maintain appropriate administrative policies regarding recognition of and relationships with Associated Organizations; and
- (d) determine whether it is necessary to have University representation on the governing body and/or executive committee of a recognized Associated Organization.

**SECTION VII. ORGANIZATIONAL REPRESENTATION.**

The University shall have representation on the governing boards of recognized Associated Organizations as appropriate. Board representation shall be governed by Board of Regents Policy: *Appointments to Organizations and Boards* and/or University administrative policy.

**SECTION VIII. PRIVILEGES OF RECOGNITION.**

**Subd. 1. Types of Support.** The University may provide support to Associated Organizations by, among other things:

- (a) leasing space, technology, or equipment;
- (b) directing designated University employees to provide agreed upon services;
- (c) permitting the use of selected University-owned names, logos, wordmarks, or other trademarks solely in promoting University-related activities; or
- (d) making available agency accounts to hold, manage, and disburse cash assets.



UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY

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Administrative

ASSOCIATED ORGANIZATIONS

Adopted:

**DRAFT** for action March 9, 2007

**Subd. 2. Restrictions in Absence of Recognition.** Except as provided in policy or a written agreement with the University, a recognized Associated Organization whose recognition has been suspended or revoked may not receive the types of support specified in this section.

**SECTION IX. FUNDRAISING AND DEVELOPMENT ACTIVITIES BY ASSOCIATED ORGANIZATIONS.**

**Funds raised by an Associated Organization on behalf of or in the name of the University, or intended by a donor to be used to support the University, shall be recorded, receipted, deposited, safeguarded, and disbursed according to a written agreement and/or administrative policy that shall require, among other things, compliance with all applicable federal or state regulations regarding gifts to tax exempt charitable organizations.**

**SECTION IX. WRITTEN AGREEMENTS AND ADMINISTRATIVE POLICIES.**

Written agreements and/or administrative policies shall define cooperative working relationships with recognized Associated Organizations, addressing audits, financial review, program review, the provision of University support, and other relevant topics.

**SECTION XI. PERIODIC REVIEWS.**

Upon the University's written request, each recognized Associated Organization shall:

- (a) permit the University and its agents, including its independent auditors, to inspect its books and records; and
- (b) cooperate with the University's periodic review of its recognition as an Associated Organization and its programs and activities.



## UNIVERSITY OF MINNESOTA BOARD OF REGENTS

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Strategic Positioning Update

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks

**Purpose:**

policy       background/context       oversight       strategic positioning

To provide the Board of Regents with an update on more than two years of planning and foundational steps taken to carry the University forward in pursuit of its strategic positioning goal to be one of the top public research university systems.

### **Outline of Key Points/Policy Issues:**

The presentation will focus on the significant progress made in each of the four action strategies, which exemplify the University's commitment to the state and its citizens:

1. **Exceptional Students:** Recruit, educate, challenge, and graduate outstanding students who become highly motivated lifelong learners, leaders, and global citizens;
2. **Exceptional Faculty and Staff:** Recruit, mentor, reward, and retain world-class faculty and staff who are innovative, energetic, and dedicated to the highest standards of excellence;
3. **Exceptional Organization:** Be responsible stewards of resources, focused on service, driven by performance, and known as the best among our peers;
4. **Exceptional Innovation:** Inspire exploration of new ideas and breakthrough discoveries that address the critical problems and needs of the University, state, nation, and the world.

## **Background Information:**

President Robert H. Bruininks initiated a comprehensive strategic positioning process in 2004. The President and Senior Vice President and Provost discussed University goals, vision, mission, and values related to strategic positioning with the Board of Regents in September, October, and November, 2004. On March 11, 2005, the Board unanimously endorsed the strategic positioning report, *University of Minnesota: Advancing the Public Good*, a report that articulated the goal of making the University one of the top three public research universities in the world within a decade. President Bruininks then presented his strategic positioning recommendations to the Board of Regents in a report titled, *Transforming the University of Minnesota*. These recommendations were endorsed by the Board in June, 2005, and provided a dynamic roadmap that was designed to lead the University of Minnesota into the future.

At their annual retreat that summer, the President presented the Board of Regents with a comprehensive implementation strategy, and presented "Strategic Positioning Implementation: Structure, Process, and Timetable" to the Board on September 9, 2005. Specific strategic positioning progress reports were then presented to the Board of Regents on the academic recommendations in March, 2006, and on the academic and administrative recommendations on July 12, 2006.

Strategic positioning goals and activities have been purposefully integrated into presentations to the Board of Regents over the past two years. Selected noteworthy presentations include: "Transforming the University of Minnesota: Measuring Progress Toward Our Goal" in October, 2005; "Resolution Related to the Center for Allied Health Programs" in July, 2006; "Principles to Guide Human Resources Policies" in September, 2006; and "Annual Report on the Status of University Research," which had a significant focus on strategic positioning, in December, 2006. In addition to this "Strategic Positioning Update," the administration is making two critical strategic positioning presentations to the Board of Regents this month titled, "Transforming the Undergraduate Experience" and "The Role of Clinical Sciences for the University."



## UNIVERSITY OF MINNESOTA BOARD OF REGENTS

**Board of Regents**

**March 9, 2007**

**Agenda Item:** Six-Year Capital Improvement Plan 2008–2013

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks  
Vice President Kathleen O'Brien  
Vice President/CFO Richard Pfutzenreuter  
Associate Vice President Robert Kvavik

**Purpose:**

policy       background/context       oversight       strategic positioning

The Board approved "Six-Year Capital Improvement Plan" (Plan) establishes the next capital request to be presented to the State for consideration; sets priorities and direction for continued capital and academic planning efforts; defines the boundaries of additional University debt; and identifies University financial responsibility for payment.

### **Outline of Key Points/Policy Issues:**

The President's recommended Plan includes major capital improvements planned for FY2008 to FY2013. The Plan includes projects funded with state capital support as well as projects funded by the University through a combination of University debt obligations, local unit resources/fundraising and public/private partnerships. Attachment 1 provides the Plan overview and project summaries.

The development of the University of Minnesota's Six Year Capital Plan has been guided by the following principles:

1. Advance the academic excellence of the University of Minnesota by aligning capital projects with the established strategic positioning goals of:
  - Recruiting and educating outstanding students
  - Recruiting and supporting innovative, energetic world-class faculty and staff
  - Being responsible stewards of resources
  - Inspiring innovation, exploration, and discovery
2. Address service unit priorities that support the academic priorities.
3. Ensure that investments in existing facilities and infrastructure contribute to renewal, preservation, and restoration objectives and are aligned with the priorities of the capital plan.
4. Give preference to projects that create flexible space, improve space utilization, and reduce operational costs.

5. Capitalize on unique opportunities that are aligned with academic priorities.
6. Protect the University's financial position by keeping capital expenditures within the projected debt capacity limits.
7. Advance the guiding principles of the master plan and the Regents sustainability policies.

Vice President Pfutzenreuter has reviewed the financial framework for the Plan with the Finance Committee. Vice President O'Brien and Associate Vice President Kvavik will review the principles, process, and priorities with the Facilities Committee this month. The Plan advances the University's strategic plan and its highest capital priorities.

### **Background Information:**

Board of Regents Policy directs the administration to conduct capital planning with a "6-year time horizon, updated annually." This annual capital planning process is completed in two parts.

Part 1, approved by the Board in June, is the annual Capital Improvement Budget for the coming fiscal year in which projects with completed predesigns and financing plans are approved to proceed with design and construction.

Part 2 is a Capital Improvement Plan that establishes the institutions' capital priorities for an additional 5 years into the future. This plan will become the basis for continued capital and financial planning.

### **President's Recommendation for Action:**

The President recommends that the Board approve the University Six-Year Capital Improvement Plan 2008–2013.



**REGENTS OF THE UNIVERSITY OF MINNESOTA**

**RESOLUTION RELATED TO**

**THE UNIVERSITY'S SIX-YEAR CAPITAL IMPROVEMENT PLAN**

**WHEREAS**, preserving the University campuses through stewardship of public investments that have been made over 150 years is a commitment the Board has made to the State; and

**WHEREAS**, advancing key academic priorities is critical for the University to achieve and maintain excellence; and

**WHEREAS**, continuing investment in research infrastructure is essential for the future competitiveness of the University and the State of Minnesota; and

**WHEREAS**, enhancing the student experience for both undergraduate education and graduate and professional education is required as the core of its mission in order to generate and disseminate knowledge; and

**WHEREAS**, improving outreach and engagement is necessary in order to transform State communities, fuel the State economy, address State social issues, and improve the State's health; and

**WHEREAS**, the administration has developed a capital-planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is responsible;

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Regents approves the University's Six-Year Capital Improvement Plan in order to create and maintain facilities that serve as tools in accomplishing the University's education, research and outreach objectives.

## **Overview**

The *Six-Year Capital Plan* establishes the next three University capital requests to be presented to the State for consideration; sets priorities and direction for continued capital and academic planning efforts; identifies the impact of additional University debt; assigns responsibility for capital fundraising; and forecasts additional building operational costs. The plan is updated on an annual basis, and approved by the Board of Regents.

The President's recommended Six-Year Capital Plan includes:

- State capital requests (2008, 2010, 2012)
- Projects financed through the University
- Projects financed through the federal government

## **Capital Planning Principles**

The development of the University of Minnesota's Six Year Capital Plan has been guided by the following principles:

1. Advance the academic excellence of the University of Minnesota by aligning capital projects with the established strategic positioning goals of:
  - Recruiting and educating outstanding students
  - Recruiting and supporting innovative, energetic world-class faculty and staff
  - Being responsible stewards of resources
  - Inspiring innovation, exploration, and discovery
2. Address service unit priorities that support the academic priorities
3. Ensure that investments in existing facilities and infrastructure contribute to renewal, preservation, and restoration objectives and are aligned with the priorities of the capital plan
4. Give preference to projects that create flexible space, improve space utilization, reduce operational costs
5. Capitalize on unique opportunities that are aligned with academic priorities.
6. Protect the University's financial position by keeping capital expenditures within the projected debt capacity limits
7. Advance the guiding principles of the master plan and the Regents sustainability policies

## **Planning Process**

Capital planning at the University begins with its academic planning, identifying programmatic priorities and needs through the compact process. Facilities Management simultaneously evaluates the current condition of the buildings and infrastructure that support all University programs. The capital planning process merges these priorities, needs, and conditions into distinct project proposals. The six-year capital plan is typically presented to the Board of Regents for review and approval each year in the fall. In developing the plan, Vice Presidents, Chancellors and Deans were asked to review their priorities and to



consult with faculty governance. Each year Vice Presidents and Chancellors are asked to identify their most important capital needs through the compact process.

This year the Capital Oversight Group (COG) held multiple meetings with the Deans, Vice Presidents, Chancellors, the Executive Committee, and the President to ensure alignment of capital priorities in light of the Strategic Positioning goals. This dialog has resulted in an ongoing re-prioritization of projects. The plan advances the University's highest capital priorities while retaining maximum flexibility for emerging strategic positioning initiatives.

In addition to considering strategic academic priorities and facility requirements, there are a number of additional considerations and constraints that are part of determining the final placement of projects in the capital plan, including:

- *Strategic Positioning Priorities* – University executives review potential projects for their ability to contribute to the goals outlined in the Strategic Positioning process.
- *Projected Size of Future Bonding Bills* – The University reviews state economic forecasts, Department of Finance reports and directives, past trends, and budget instruction documents to estimate the likely University share of future legislative capital appropriations.
- *Operating and Debt Impact on the University* – The University has a limited capacity to absorb additional operating and debt costs from new capital projects.
- *Timing and Sequencing of Projects* – Many capital projects depend upon other capital project “dominos”. For example, Pillsbury Hall, a future home for English, cannot be renovated until Geology can be moved out and into a remodeled Shepherd Labs which in turn must wait to be vacated by moving Biomedical Engineering into Hasselmo Hall, which cannot be accomplished until the Medical Biosciences Building is completed.
- *Continuity of Priorities* – The University and the State of Minnesota have already invested planning and design funds in a number of projects. Examples include Folwell Hall and the IT Science & Technology Building
- *University Capacity for Private Fundraising* – The University reviews its capacity to fundraise for capital projects in total and for specific projects.
- *Health, Safety and Regulatory Issues* – The University needs to maintain the health and safety of all its student, faculty and staff, regardless of the program. These issues require some projects to be included in the capital plan.
- *Impact on Academic Programs (both research and instructional)* – The University manages the level of disruption that can be absorbed while still maintaining the operation of its research and teaching. Because the University does not close, renovations require “swing space” for programs to continue to operate and the institution needs to maintain a level of functional classrooms.

## Project Descriptions

### 2008 State Capital Request

- *Higher Education Asset Preservation and Replacement (HEAPR)* – This request is for funds used system-wide to maximize and extend the life of the University’s existing physical plant. Individual projects will fall into one of three broad categories - Health & Safety, Building Systems, and Utility Infrastructure.
- *Classroom renewal* – The University believes it is important to continue and continue to innovate in the area of classrooms and learning spaces. This request would be for system-wide funds used on all campuses, not only to finish remaining work on technology upgrades, but also to allow campuses to begin developing more innovative learning spaces required by changing pedagogy.
- *Science Teaching and Student Services Building* – This request is for funds to design and construct a new classroom and student services center on the University’s Minneapolis Campus. The facility will include new, innovative classrooms for teaching basic sciences, and University-wide student services such as academic counseling, career counseling, registration, and bursar services. This project is extremely supportive of the strategic positioning thrust of developing and supporting exceptional students, both from a learning and service perspective. Demolition of the Science Classroom Building is included in the project.
- *Folwell Hall Programmatic Renovation* – This request is for funds to design and renovate the interior of the building to modernize the programmatic function for College of Liberal Arts programs. This project includes renovation to accommodate the new Writing Initiative. Exterior improvements required to stabilize the building shell are being completed as part of a separate project.
- *Bell Museum* – This request is for funds to finalize design and construct a new Bell Museum of Natural History on the St. Paul campus. The museum functions as the State’s official natural history center charged with surveying and maintaining specimens and research, and serves as a living research museum for University faculty. The museum attracts thousands of visitors to campus each year.
- *UMD Civil Engineering Addition to Voss-Kovach Hall* – This request is for funds to design and construct an addition to Voss-Kovach Hall to provide instructional and laboratory space for a new civil engineering program at UMD. Offering a new Bachelor of Science Degree in civil engineering is a strategic goal of the Duluth Campus.
- *UMM Community Services Building Renovation* – This request is for funds to renovate the Community Services Building to serve as a gateway to the UMM campus, housing units that meet and respond to external audiences. The renovated facility will strengthen the recruitment and retention of students, donor cultivation, and outreach to the region. Admissions, external relations, continuing education, and the Center for Small Towns will occupy the building.
- *Regional Centers and Stations* – This request is for funds to design and construct projects at two research and outreach centers. The facilities included in this request will increase the capacity of the University to conduct applied research in agriculture, natural resources, and biological sciences, and will enhance the University’s ability to deliver educational programs to citizens throughout Greater Minnesota.

- a) *West Central Regional Outreach Center* – This project will construct an addition to the Administration Building to provide educational and office space that will accommodate expanded education, research and demonstration activities related to renewable energy sources and energy efficient building technologies.
- b) *Northwest Regional Outreach Center* – This project will construct a new maintenance and farm support facility. A new facility is necessary to accommodate the equipment required to sustain current research and operations at the center.

### 2010 State Capital Request

- *Higher Education Asset Preservation and Replacement (HEAPR): Traditional* – See description in the previous section.
- *Classroom renewal* – See description in the previous section.
- *Science and Technology building* – This request is for funds to design and construct a multipurpose science and technology building on the Twin Cities East bank Campus. The building is envisioned to accommodate the expansion of nanotechnology, physics, and interdisciplinary research and the new Institute on Science and Technology as developed through strategic positioning. It will also facilitate the future renovation of the Tate Laboratory of Physics.
- *Renovation of the current Bell Museum for the College of Design* – This project will help complete a strategic positioning project by bringing the new College of Design into a shared sector of the Minneapolis campus. The move of the department of Design, Housing, and Apparel to space near other departments in this new college will allow for the academic synergies and administrative efficiencies promised by the strategic plan.
- *Energy and the Environment* – This project would provide facilities for a number of important types of research in the areas of biofuels, bioenergy, sustainable energy products, and environmental interfaces. It would also serve as the permanent home for the Institute on the Environment as conceptualized in the strategic positioning plan.
- *UMM Briggs Library Renovation* – This request is for funds to renovate Briggs Library, converting it from a traditional library to a contemporary digital information center and learning commons. Renewal of building systems (mechanical, electrical, building envelope) and correction of code deficiencies will be part of the renovation.
- *UMD American Indian Learning Center* – This project will construct a new learning center to support American Indian students on the UMD campus, advancing recruitment, retention, and education goals as well as outreach to the Native American community.
- *UMC Project* – This request will be for facility improvements to support the strategic academic and/or student life priorities for the UMC Campus. Specific projects are still being evaluated.
- *Regional Centers and Stations* – This request will support facility improvements and academic priorities at the University’s Research and Outreach Center, Forestry Stations, Biological Field Stations, and Extension centers. Specific projects are still being evaluated.

## 2012 State Capital Request

- *Higher Education Asset Preservation and Replacement (HEAPR)* – See description in the previous section.
- *Classroom renewal* – See description in previous section.
- *Tate Laboratory of Physics Renovation* – This project will renovate the existing Tate Laboratory of Physics building after the new Physics building is completed.
- *Pillsbury Renovation* – This project will renovate historic Pillsbury Hall for use by English, moving them into the humanities and writing district on the north end of the Twin Cities Campus.
- *UMD Business and Economics renovation* – This project will remodel of the old School of Business and Economics building, vacated after completion of the new Labovitz Building, to accommodate expanding academic programs and to provide additional classrooms and learning environments for general campus use.
- *UMM Blakely Hall Renovation* – This project will convert Blakely Hall, currently a residence hall located on UMM's Historical Mall, into an academic center with innovative learning spaces for students.
- *UMC Project* – This request will be for facility improvements to support the strategic academic and/or student life priorities for the UMC Campus. Specific projects are still being evaluated.
- *UMR New campus building* – Student demand will ultimately dictate the schedule for construction of the University's first permanent facility in Rochester. The facility will be informed by the University's Rochester master plan currently under development.
- *Regional Centers and Stations* – This request will support facility improvements and academic priorities at the University's Research and Outreach Center, Forestry Stations, Biological Field Stations, and Extension centers. Specific projects are still being evaluated.

## Minnesota Biomedical Sciences Research Facilities Authority

- *Medical BioSciences Facilities* - This request is for funds to design and construct a series of new Medical Biosciences projects on the University's Minneapolis Campus. These facilities will include research laboratories, lab support facilities, faculty offices, and program/administrative support services.

## Potential Federal Funded Projects

- *Biofuels* – Construction of laboratories and pilot plant facilities to support multi-disciplinary research for the development of the next generation of renewable, bio-based fuels and products.
- *NuMI Off-Axis Neutrino Appearance Experiment (NOvA)* – Construction of a facility to house a 25-kiloton physics detector to intercept and detect neutrinos produced at the Fermi National Accelerator Laboratory (Fermilab) in Batavia, Illinois. Located in Ash River, Minnesota, near the Canadian border, the facility will support research that is complimentary to the University's MINOS facility at Soudan, Minnesota.

### Non-State Funded Projects

- *Land acquisition and replacement parking* – These purchases are connected to stadium construction and development of the East Gateway district.
- *Landcare Facility* – This project will construct a new facility for Facilities Management’s grounds maintenance operations on the East Bank Campus
- *Weisman Art Museum Addition* - This project will construct additional gallery space and a café to the existing Weisman Art Museum.
- *Northrop Auditorium Renovation* – This project will renovate and reconfigure the interior of this historic signature building to increase its daily programmatic use and revitalize the public venue.
- *Parking structure, East Gateway district* – This structure would serve the entire east gateway district and the building planned for this new area of campus.
- *Recreation Center expansion* – Building upon recent studies showing a shortage of recreational space for students on the Twin Cities campus, this project would add and expand exercise rooms and equipment to the Recreation Center on the Twin Cities campus. This project could also include a wellness facility and renovations to Cooke Hall.
- *Data Center* – This project will renovate current warehouse space on Como Ave. to house consolidated, secure computer servers which would serve units throughout the system.
- *UMM New Residence Hall* – This project will replace obsolete student residential units with a new residence hall that will provide more contemporary living arrangements. It will replace the last residential building in the University system that is not sprinkled for fire protection.
- *UMM Renewable Energy Project* – The University of Minnesota Morris proposes to construct additional wind turbines in order to increase the campus usage of alternative energy. This project contemplates the sale of excess energy to Ottertail Power through a power purchase agreement and a submittal to the IRS for an allocation of Clean Energy Renewable Bonds (“CREBs”).

### Projects in Planning and Development

- *Carlson School of Management Repurposing* – This project will remodel portions of the Carlson School of Management Building to expand facilities for the MBA and Executive Education programs. The space to be remodeled will be vacated upon completion of Hanson Hall.
- *Diehl learning commons* – This project will renovate the biomedical library, transforming it into a contemporary digital information center and learning commons.
- *Hasselmo Hall Remodeling for BME* – This project will remodel laboratory space in Hasselmo Hall to accommodate the Department of Biomedical Engineering. This space will be vacated by the Medical School upon completion of the Medical Bioscience Building.
- *Itasca Laboratory/Classroom and conference facility* – Construction of a new building to replace obsolete laboratories and administrative offices and to provide classrooms and meeting space for the Itasca Biological Research Station.

- *Landcare Facility* – This project will construct a new facilities management land care facility on the Twin Cities campus.
- *Nolte Hall Renovation* – This project will renovate Nolte Hall to accommodate the Institute for Advanced Study. In addition to programmatic remodeling, building systems will be renewed and code deficiencies will be corrected.
- *Northside* – The University will continue to explore the best type of facility for any expansion of activities in North Minneapolis.
- *PWB Space repurposing* – This project would remodel space in the current Phillips-Wangensteen building for Academic Health Center educational uses after the UMP clinic is built.
- *Shepard Labs Repurposing* – This project will remodel laboratory and office space in Shepherd Labs to accommodate portions of the Department of Geology & Geophysics that currently occupies Pillsbury Hall.
- *U Park Recreation Fields* – This project will create new recreational sports fields in the area between Mariucci Arena and the existing Bierman Fields
- *Lillehei Cardiovascular Research Facility* – This project will construct a new laboratory facility for interdisciplinary research on cardiovascular diseases.
- *UMP Clinic*- This project will construct a new clinical sciences facility for the University of Minnesota Physicians.

**Recommended 2007 Six-Year Capital Plan**

25-Feb-07

All \$ in thousands (\$1 = \$1,000)

**2008**

2008 State Capital Request: Unprioritized			Total	State Contribution	U of M Contribution
Location	Project				
Systemwide	HEAPR		80,000	80,000	0
Systemwide	Classroom Renewal		3,000	2,000	1,000
Twin Cities	Science Teaching and Student Services		72,000	48,000	24,000
Twin Cities	Folwell Hall Renovation		39,000	26,000	13,000
Twin Cities	Bell Museum		36,000	24,000	12,000
Duluth	Civil Engineering Addition to Voss-Kovach Hall		14,900	9,933	4,967
Morris	Community Services Building Renovation		7,000	4,667	2,333
ROC&FS	WCROC - Admin Bldg Addition for Renewable Energy Center		3,000	2,000	1,000
ROC&FS	NWROC - Maintenance & Farm Support Facility		1,800	1,200	600
	Contingency		21,300	14,200	7,100
<b>Total</b>			<b>278,000</b>	<b>212,000</b>	<b>66,000</b>

2008 University Funded Projects			Total	Other Contribution	U of M Contribution
Location	Project				
Twin Cities	Land Acquisition		5,000		5,000
Twin Cities	Replacement Parking - Surface Lots		5,300		5,300
Twin Cities	Weisman Addition		10,000	10,000	
<b>Total</b>			<b>20,300</b>	<b>10,000</b>	<b>10,300</b>

**2009**

2009 Minnesota Biomedical Sciences Research Facilities Authority			Total	State Contribution	U of M Contribution
Location	Project				
Twin Cities	Project #2: CMRR Expansion and New Program		48,750	43,875	4,875

2009 University Funded Projects			Total	Other Contribution	U of M Contribution
Location	Project				
Twin Cities	Northrop Auditorium Renovation		70,000	20,000	50,000
Twin Cities	Parking structure - East Gateway		20,000		20,000
Twin Cities	Recreation Center Expansion		45,000		45,000
Twin Cities	Data Center - Como site		7,000		7,000
Morris	New Residence Hall		5,000		5,000
Morris	Morris Renewable Energy Project		9,000		9,000
<b>Total</b>			<b>156,000</b>	<b>20,000</b>	<b>136,000</b>

**2010**

2010 State Capital Request: Unprioritized			Total	State Contribution	U of M Contribution
Location	Project				
Systemwide	HEAPR		80,000	80,000	0
Systemwide	Classroom Renewal		3,000	2,000	1,000
Twin Cities	New Science & Technology (Physics, Nano & Interdisciplinary Research)		80,000	53,333	26,667
Twin Cities	Existing Bell Museum Renovation - College of Design		14,000	9,333	4,667
Twin Cities	Energy and the Environment (new facility or BAE renovation)		40,000	26,667	13,333
Morris	Briggs Library/Learning Commons Renovation		20,000	13,333	6,667
Duluth	American Indian Learning Center		7,000	4,667	2,333
Crookston	Next priority project		8,000	5,333	2,667
ROC&FS	Next priority project(s)		5,000	3,333	1,667
	Contingency		21,000	14,000	7,000
<b>Total</b>			<b>278,000</b>	<b>212,000</b>	<b>66,000</b>

2010 University Funded Projects			Total	Other Contribution	U of M Contribution
Location	Project				
			0	0	0
<b>Total</b>			<b>0</b>	<b>0</b>	<b>0</b>

**Recommended 2007 Six-Year Capital Plan**

25-Feb-07

All \$ in thousands (\$1 = \$1,000)

**2011**

2011 Minnesota Biomedical Sciences Research Facilities Authority			State	U of M
Location	Project	Total	Contribution	Contribution
Twin Cities	Project #3: TBD	72,750	65,475	7,275

2011 University Funded Projects			Other	U of M
Location	Project	Total	Contribution	Contribution
<b>Total</b>		<b>0</b>	<b>0</b>	<b>0</b>

**2012**

2012 State Capital Request: Unprioritized			State	U of M
Location	Project	Total	Contribution	Contribution
Systemwide	HEAPR	80,000	80,000	0
Systemwide	Classroom Renewal	3,000	2,000	1,000
Twin Cities	Tate Lab Renovation	70,000	46,667	23,333
Twin Cities	Pillsbury Renovation	22,000	14,667	7,333
Duluth	Business & Economics Renovation	9,000	6,000	3,000
Morris	Blakely Renovation	7,000	4,667	2,333
Crookston	Next Priority Project	7,000	4,667	2,333
ROC & FS	Next Priority Project(s)	5,000	3,333	1,667
Rochester	New Rochester Campus Building*	40,000	26,667	13,333
	Contingency	35,000	23,333	11,667
<b>Total</b>		<b>278,000</b>	<b>212,000</b>	<b>66,000</b>

\* Assumes \$11.49 million available from Rochester local option sales tax to offset U of M contribution

**2013 & 2015**

2013 Minnesota Biomedical Sciences Research Facilities Authority			State	U of M
Location	Project	Total	Contribution	Contribution
Twin Cities	Project #4: TBD	80,750	72,675	8,075

2015 Minnesota Biomedical Sciences Research Facilities Authority			Other	U of M
Location	Project	Total	Contribution	Contribution
Twin Cities	Project #5: TBD	89,750	80,775	8,975

**In planning and development**

Location	Project	Total	Contribution	Contribution
Twin Cities	Biofuels*			
Twin Cities	CSOM Repurposing			
Twin Cities	Diehl Learning Commons			
ROC&FS	Northside Project			
Twin Cities	Hasselmo Remodeling for BME			
ROC&FS	Itasca Laboratory/Classroom/Conference Facility			
Twin Cities	Landcare Facility			
Twin Cities	Nolte Renovation			
Research	NOVA*			
Twin Cities	PWB Space Repurposing (Epi/Pub Health/Dentistry/Vet Med)			
Twin Cities	Shepherd Labs Remodeling for Geology			
Twin Cities	U Park Recreation Fields			
Twin Cities	Lillehei Cardiovascular Research Facility			
Twin Cities	UMP Clinic			

\* Presumes federal funding would be made available before project would be allowed to proceed.



**Recommended 2007 Six-Year Capital Plan**

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All \$ in thousands (\$1 = \$1,000)

<b>SUMMARY</b>				
115			State	U of M
116	<b>State Capital Request Summary</b>	Total	Contribution	Contribution
117	2008 State Capital Request	278,000	212,000	66,000
118	2010 State Capital Request	278,000	212,000	66,000
119	2012 State Capital Request	278,000	212,000	66,000
120	<b>Total</b>	<b>834,000</b>	<b>636,000</b>	<b>198,000</b>
121				
122			State	U of M
123	<b>Minnesota Biomedical Sciences Research Facilities Authority</b>	Total	Contribution	Contribution
124	2009	48,750	43,875	4,875
125	2011	72,750	65,475	7,275
126	2013	80,750	72,675	8,075
127	2015	89,750	80,775	8,975
128	<b>Total</b>	<b>202,250</b>	<b>182,025</b>	<b>20,225</b>
129				
130			Other	U of M
131	<b>University-Funded Projects</b>	Total	Contribution	Contribution
132	2008	20,300	10,000	10,300
133	2009	156,000	20,000	136,000
134	2010	0	0	0
135	2011	0	0	0
136	2012	0	0	0
137	2013	0	0	0
138	<b>Total</b>	<b>176,300</b>	<b>30,000</b>	<b>146,300</b>
139				