

DIRAC COMPLEX, DOLBEAULT COMPLEX,  
AND SUPERSYMMETRIC QUANTUM  
MECHANICS

or

ONE MORE PROOF OF THE  
ATIYAH-SINGER THEOREM  
and  
NON-KAHLERIAN WONDERS

based on [E.Ivanov + A.S., arXiv:1012.2069]

Minneapolis, May 13, 2011

## ATIYAH-SINGER THEOREM

( High school version )

- Consider the motion of a **massless** electron on the plane in external magnetic field  $B(x, y)$ .

Dirac operator:

$$\mathcal{D} = \sigma_j(\partial_j - iA_j), \quad j = 1, 2$$

- $\{\mathcal{D}, \sigma_3\} = 0 \rightarrow$

Double **degeneracy** of all excited level  $\equiv$  **super-symmetry**

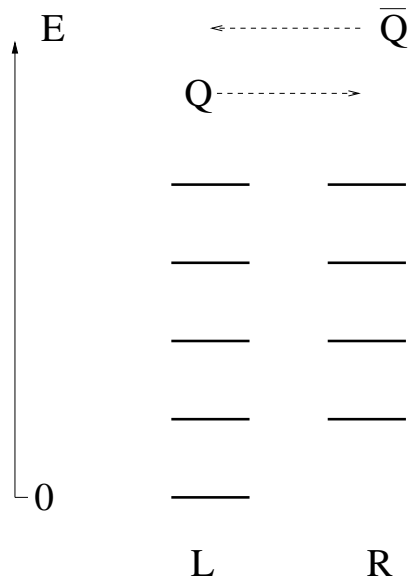


Figure 1: Landau levels

Supercharges:  $Q = i\mathcal{D} \frac{1+\sigma^3}{2}$ ,  $\bar{Q} = i\mathcal{D} \frac{1-\sigma^3}{2}$

SUSY algebra:  $Q^2 = \bar{Q}^2 = 0$ ,  $\{Q, \bar{Q}\} = -\mathcal{D}^2$

The **index** and its integral representation

$$\begin{aligned} I_{\mathcal{D}} = n_L^0 - n_R^0 &= \sum_n \langle n | \sigma^3 | n \rangle e^{\beta \langle n | \mathcal{D}^2 | n \rangle / 2} \\ &= \text{Tr}_{\text{functional}} \left\{ \sigma_3 e^{\beta \mathcal{D}^2 / 2} \right\} \end{aligned}$$

- **Heat kernel calculations**

From matrices to Grassmann variables

**Mapping**  $\psi \rightarrow \sigma^+$ ,  $\bar{\psi} \rightarrow \sigma^-$

such that  $\psi^2 = \bar{\psi}^2 = 0$ ,  $\{\psi, \bar{\psi}\} = 1$ .

- **Dirac index**  $\equiv$  **Witten index** of a SQM system with the Hamiltonian

$$H = \frac{1}{2}(P_j - A_j)^2 + \frac{1}{2}B[\psi, \bar{\psi}]$$

$$I = \int \prod_{\tau} \frac{d\bar{\pi}(\tau)d\bar{z}(\tau)d\pi(\tau)dz(\tau)}{(2\pi)^2} d\bar{\psi}(\tau)d\psi(\tau)$$

$$\exp \left\{ \int_0^{\beta} d\tau \left[ i\pi\dot{z} + i\bar{\pi}\dot{\bar{z}} + i\dot{\bar{\psi}}\psi - H(\pi, \bar{\pi}, z, \bar{z}; \bar{\psi}, \psi) \right] \right\} ,$$

with **periodic** boundary conditions.

- For **small**  $\beta$ , this gives (?) an **ordinary** integral

$$I = \int \frac{d\pi dz d\bar{\pi} d\bar{z}}{4\pi^2} d\psi d\bar{\psi} \exp\{-\beta H\} = \frac{1}{2\pi} \int B(x, y) dx dy .$$

in words:

**DIRAC INDEX = MAGNETIC FLUX**

$$\begin{array}{c} x-\epsilon \\ x+\epsilon \end{array} \circ \cdots A_\mu = \frac{1}{2} x_\nu F_{\nu\mu}$$

Figure 2: Schwinger-split fermion propagator in external field

- Proof via **Anomalous divergence**

$$\partial_\mu J_\mu = \frac{1}{4\pi} \epsilon_{\alpha\beta} F_{\alpha\beta} .$$

- May be derived by **Schwinger splitting**

$$J_\mu \rightarrow J_\mu(\epsilon) = \bar{\psi}(x + \epsilon) \gamma_\mu \gamma^5 \psi(x - \epsilon)$$

Gen. even-dimensional manifold with Ab. gauge field

$$I_{\mathcal{D}} = \int e^{\mathcal{F}/2\pi} \det^{-1/2} \left[ \frac{\sin \frac{\mathcal{R}}{4\pi}}{\frac{\mathcal{R}}{4\pi}} \right],$$

with

$$\mathcal{F} = F_{MN} dx^M \wedge dx^N, \quad \mathcal{R}_{MN} = \frac{1}{2} R_{MNPQ} dx^P \wedge dx^Q.$$

- Heat kernel proof — Atiyah + Singer 1968,1971

- Functional integral proof — Alvarez-Gaumé, 1983; Friedan + Windey, 1984.

(based on the standard susy structure  $\{\mathcal{D}(1 \pm \sigma_3); \mathcal{D}^2\}$ )

- This talk: an alternative proof based on an alternative susy structure for Kähler manifolds.

## A SQM MODEL

- Consider the chiral (antichiral) superfields

$$Z^j(t_L, \theta) = z^j(t_L) + \sqrt{2}\theta\psi^j, \quad \bar{Z}^{\bar{i}}(t_R, \bar{\theta}) = \bar{z}^{\bar{j}} - \sqrt{2}\bar{\theta}\bar{\psi}^{\bar{j}}.$$

$$(t_{L,R} = t \mp i\theta\bar{\theta})$$

- Consider the **action**

$$S = \int dt d^2\theta (\mathcal{L}_\sigma + \mathcal{L}_{\text{gauge}}),$$

$$\mathcal{L}_\sigma = -\frac{1}{4}h_{i\bar{j}}(Z, \bar{Z}) DZ^i \bar{D}\bar{Z}^{\bar{j}}, \quad \mathcal{L}_{\text{gauge}} = W(Z, \bar{Z})$$

with

$$D = \frac{\partial}{\partial\theta} - i\bar{\theta}\partial_t, \quad \bar{D} = -\frac{\partial}{\partial\bar{\theta}} + i\theta\partial_t$$



- In components:

$$\begin{aligned}
S = \int dt \mathcal{L} = \int dt \left\{ h_{i\bar{j}} \left[ \dot{z}^i \dot{\bar{z}}^{\bar{j}} + \frac{i}{2} \left( \psi^i \dot{\bar{\psi}}^{\bar{j}} - \dot{\psi}^i \bar{\psi}^{\bar{j}} \right) \right] - \right. \\
\frac{i}{2} \left[ (2\partial_t h_{i\bar{j}} - \partial_i h_{t\bar{j}}) \dot{z}^i - (2\partial_{\bar{j}} h_{t\bar{i}} - \partial_{\bar{i}} h_{t\bar{j}}) \dot{\bar{z}}^{\bar{i}} \right] \psi^t \bar{\psi}^{\bar{j}} + (\partial_t \partial_{\bar{l}} h_{i\bar{k}}) \psi^t \psi^i \bar{\psi}^{\bar{l}} \bar{\psi}^{\bar{k}} \\
\left. + \left[ \partial_i \partial_{\bar{k}} W \psi^i \bar{\psi}^{\bar{k}} - \frac{i}{2} \left( \partial_i W \dot{z}^i - \partial_{\bar{i}} W \dot{\bar{z}}^{\bar{i}} \right) \right] \right\}.
\end{aligned}$$

- $h_{i\bar{j}}$  - the metric.

• the terms in the second line are expressed via Christoffel symbols, spin connections, and **torsions**.

- For **Kähler** manifolds,

$$h_{i\bar{k}}(Z, \bar{Z}) = \partial_i \partial_{\bar{k}} K(Z, \bar{Z}) ,$$

the torsion terms **vanish**, and things simplify.

### Classical supercharges and hamiltonian

$$Q_{cl}^K = \sqrt{2} [\Pi_k - i\bar{\psi}^{\bar{a}} \psi^b \omega_{k,\bar{a}b}] e_c^k \psi^c ,$$

$$\bar{Q}_{cl}^K = \sqrt{2} e_{\bar{c}}^{\bar{k}} \bar{\psi}^{\bar{c}} [\bar{\Pi}_{\bar{k}} + i\bar{\psi}^{\bar{a}} \psi^d \bar{\omega}_{\bar{k},d\bar{a}}] .$$

$$H_{cl}^K = g^{i\bar{k}} \left( \Pi_i - i\omega_{i,\bar{b}a} \bar{\psi}^{\bar{b}} \psi^a \right) \left( \bar{\Pi}_{\bar{k}} + i\bar{\omega}_{\bar{k},a\bar{b}} \bar{\psi}^{\bar{b}} \psi^a \right) - 2e_a^i e_{\bar{b}}^{\bar{k}} \partial_i \partial_{\bar{k}} W \psi^a \bar{\psi}^{\bar{b}} ,$$

where  $\Pi_k = P_k + (i/2)\partial_k W$  and  $\omega_{j,\bar{b}a} = e_{\bar{b}}^{\bar{k}} \partial_j e_{\bar{k}}^{\bar{a}}$  are Kähler **spin connections**.

## Quantization

- **Ordering ambiguities.** Want to keep supersymmetry at quantum level.

- **Universal recipe** (A.S., 1987):

a) Weyl ordering of classical supercharges gives “flat” supercharges

**flat**  $\equiv$  acting in the Hilbert space with the “flat” measure  $\int \prod dp dx \dots$

b) covariant supercharges are obtained by a similarity transformation  $Q \rightarrow (\det h)^{-1/2} Q (\det h)^{1/2}$ .

$$Q^{cov} = \sqrt{2} \psi^c e_c^k \left[ \Pi_k - \frac{i}{2} \partial_k (\ln \det \bar{e}) + i \psi^b \bar{\psi}^{\bar{a}} \omega_{k, \bar{a}b} \right]$$
$$\bar{Q}^{cov} = \sqrt{2} \bar{\psi}^{\bar{c}} e_{\bar{c}}^{\bar{k}} \left[ \bar{\Pi}_{\bar{k}} - \frac{i}{2} \partial_{\bar{k}} (\ln \det e) + i \bar{\psi}^{\bar{a}} \psi^d \bar{\omega}_{\bar{k}, d\bar{a}} \right],$$

## COMPLETION TO EXTENDED SUSY KÄHLER MODEL

(the one obtained by reduction from 2  
dimensions)

- The Lagrangian  $\mathcal{L}_\sigma$  can be reduced to

$$\mathcal{L}_\sigma^K = -\frac{i}{2} \dot{Z}^k \partial_k K$$

( $K$  - Kähler potential)

- Introduce chiral **fermionic** superfields  $\Phi^j, \bar{\Phi}^{\bar{k}}$   
and write

$$\tilde{\mathcal{L}}^K = \mathcal{L}_\sigma^K + \frac{1}{4} h_{i\bar{k}} \Phi^i \bar{\Phi}^{\bar{k}}$$

Bingo !

## Geometric interpretation

### 1. Dolbeault

- Choose

$$W = \frac{1}{2}(\ln \det h)$$

( $\partial_k W$  is called a **canonical** or **determinant** or **tautological** bundle) and assume  $\det \bar{e} = \det e = \sqrt{\det h}$ .

**Then**

- a)  $\Pi_k$  is reduced to a holomorphic derivative **and**
  - b) The action of  $\hat{Q}$  on the wave functions is isomorphic to the action of the external holomorphic derivatives  $\partial$  on the holom.  $(p, 0)$  - forms.
  - c)  $\hat{Q}$  maps to  $\partial^\dagger$ .
- $\partial$  and  $\partial^\dagger$  form the **Dolbeault** complex.

- $W = -\frac{1}{2}(\ln \det h)$ .

In this case,

- $\bar{\Pi}_{\bar{k}}$  is reduced to the antiholomorphic derivative
- $\hat{Q}$  is mapped to  $\bar{\partial}$  and  $\hat{Q}$  to  $\bar{\partial}^\dagger$ .
- We obtain the **antiholomorphic** Dolbeault complex.
- **Generic**  $W \longrightarrow$  **twisted** Dolbeault and/or anti-Dolbeault complex.

## 2. Dirac

- Let  $W = 0$ . Then

$$Q = \sqrt{2}\psi^b e_b^k \left[ \partial_k + \frac{1}{2}\omega_{k,\bar{a}d}(\bar{\psi}^{\bar{a}}\psi^d - \psi^d\bar{\psi}^{\bar{a}}) \right].$$

- Map fermion variables to  $\gamma$ -matrices:  $\sqrt{2}\psi^a \equiv \gamma^a$ ,  $\sqrt{2}\bar{\psi}^{\bar{a}} \equiv \bar{\gamma}^{\bar{a}}$ . Then

$$Q + \bar{Q} \equiv \mathcal{D} = \gamma^A e_A^M \left( \partial_M + \frac{1}{4}\omega_{M,BC}\gamma^B\gamma^C \right) \equiv \gamma^A \mathcal{D}_A.$$

- Another real supercharge

$$S = i [Q - \bar{Q}] = \gamma^A I_A^B \mathcal{D}_B,$$

where  $I_A^B$  ( $I^2 = -1$ ) is the matrix of complex structure,  $I = \text{diag}(i\sigma_2, \dots, i\sigma_2)$

- Noticed before by Kirschberg + Lange + Wipf, 2005.

- $W \neq 0 \quad \longrightarrow \text{Re}[Q]$  is the **twisted** Dirac operator (with external gauge field)

- Two **different** supersymmetry structures including  $\mathcal{D}$  for Kähler manifolds: **(i)**  $\mathcal{D} + S$  and **(ii)**  $\mathcal{D} + \mathcal{D}\gamma^5$ .

## CONCLUSION:

For Kähler manifolds, the Dirac complex, twisted by a bundle proportional to the tautological bundle  $\partial_k \ln \det h$ , is equivalent to a twisted holomorphic or antiholomorphic Dolbeault complex.



## THE INDEX: EXPLICIT CALCULATION

• small  $\beta$  limit; **functional** integral  $\rightarrow$  **ordinary** integral,

$$I = \left(\frac{1}{2\pi}\right)^n \int \prod_j dz^j d\bar{z}^{\bar{j}} \det \|h_{i\bar{k}}\| \det \|\mathcal{F}_{a\bar{b}}\| ,$$

with  $\mathcal{F}_{a\bar{b}} = e_a^i e_{\bar{b}}^{\bar{k}} \partial_i \partial_{\bar{k}} W$  (generalized magnetic field strength).

• For  $CP^n$  with  $W = \frac{q}{2(n+1)} \ln \det h$ , this gives

$$I_{CP^n} \stackrel{?}{=} \frac{q^n}{n!} .$$

• Not integer and **strange**. Does not take into account the **curvature**.

- The **correct** result:

$$I_{CP^n} = \binom{q + (n-1)/2}{n},$$

is integer if  $q$  is integer (odd  $n$ ) or half-integer (even  $n$ )

**Resolution** of the **paradox** : one **cannot** neglect higher Fourier modes.  
One should instead **expand**

$$z^j(\tau) = z^{j(0)} + \sum_{m \neq 0} z^{j(m)} e^{2\pi i m \tau / \beta},$$

etc. and **integrate** over  $\prod_{jm} dz^{j(m)} \dots$  in the Gaussian approximation.

$$\text{grav. factor} = \det \|h_{i\bar{k}}\| \prod_{m=1}^{\infty} \frac{\Omega_m^{2n}}{\det \|\Omega_m^2 \delta_j^q + R_j^s R_s^q\|}$$

with  $\Omega_m = 2\pi m/\beta$ ,  $R_j^q = h^{\bar{k}q} R_{j\bar{k}l\bar{p}} \psi^l \bar{\psi}^{\bar{p}}$ .

- Doing integrals and going to real notation, we reproduce the **known** result

$$I = \int e^{\mathcal{F}/2\pi} \det^{-1/2} \left[ \frac{\sin \frac{\mathcal{R}}{4\pi}}{\frac{\mathcal{R}}{4\pi}} \right],$$

- **Origin** of  $\sin[\dots]$

$$\prod_{m=1}^{\infty} \frac{(2\pi m)^2}{(2\pi m)^2 + a^2} = \frac{a}{2 \sinh(a/2)}.$$

- Higher loops are **suppressed** at small  $\beta$ .

## NON-KAHLERIAN WONDERS

- Supersymmetric Hamiltonian

$$H_{qu}^{\text{cov}} = -\frac{1}{2}\Delta^{\text{cov}} + \frac{1}{8}\left(R - \frac{1}{2}h^{\bar{k}j}h^{\bar{l}t}h^{\bar{i}n}C_{jt\bar{i}}C_{\bar{k}\bar{l}n}\right) - 2\langle\psi^a\bar{\psi}^{\bar{b}}\rangle e_a^k e_{\bar{b}}^{\bar{l}}\partial_k\partial_{\bar{l}}W - \langle\psi^a\psi^c\bar{\psi}^{\bar{b}}\bar{\psi}^{\bar{d}}\rangle e_a^t e_c^j e_{\bar{b}}^{\bar{l}} e_{\bar{d}}^{\bar{k}}(\partial_t\partial_{\bar{l}}h_{j\bar{k}}).$$

with

$$C_{jt\bar{i}} = \partial_j h_{t\bar{i}} - \partial_t h_{j\bar{i}},$$

$$-\Delta^{\text{cov}} = h^{\bar{k}j}\left(\mathcal{P}_j\bar{\mathcal{P}}_{\bar{k}} + i\hat{\Gamma}_{j\bar{k}}^{\bar{q}}\bar{\mathcal{P}}_{\bar{q}} + \bar{\mathcal{P}}_{\bar{k}}\mathcal{P}_j + i\hat{\Gamma}_{\bar{k}j}^s\mathcal{P}_s\right),$$

$$\mathcal{P}_k = -i\left(\frac{\partial}{\partial z^k} - \partial_k W\right) + i\hat{\Omega}_{j,\bar{b}a}\langle\psi^a\bar{\psi}^{\bar{b}}\rangle$$

$$\bar{\mathcal{P}}_{\bar{k}} = -i\left(\frac{\partial}{\partial \bar{z}^{\bar{k}}} + \partial_{\bar{k}} W\right) - i\hat{\Omega}_{\bar{k},a\bar{b}}\langle\psi^a\bar{\psi}^{\bar{b}}\rangle.$$

- Hatted  $\hat{\Gamma}$  and  $\hat{\Omega}$  include the torsion.

- For  $W = \frac{1}{4} \ln \det h$ , quantum supercharges **are** mapped to  $\partial$  and  $\partial^\dagger$ .
- They are **not** reduced to the Dirac operator.
- **No** physical derivation for the Dolbeault non-Kählerian index is known.

*Mathematicians say :*

$$I_{\text{Dolbeault}} = \int \prod_{\alpha=1}^d \frac{\lambda_\alpha / 2\pi}{e^{\lambda_\alpha / 2\pi} - 1} ,$$

where  $\lambda_\alpha$  are eigenvalues of the antisymmetric  $2d \times 2d$  matrix  $R_{MN} = \frac{1}{2} R_{MNPQ} dx^P \wedge dx^Q$ .

- In **Kähler** case,  $R_{MNPQ} \rightarrow R_{i\bar{j}k\bar{l}}$  and  $\lambda_\alpha$  are the eigenvalues of the  $d \times d$  matrix  $R_{i\bar{j}k\bar{l}} dz^k \wedge d\bar{z}^{\bar{l}}$ .
- **Symmetric** polynomials of  $\lambda_\alpha$  are expressed via coefficients in a simple way.
- **Generic** complex manifold — ???