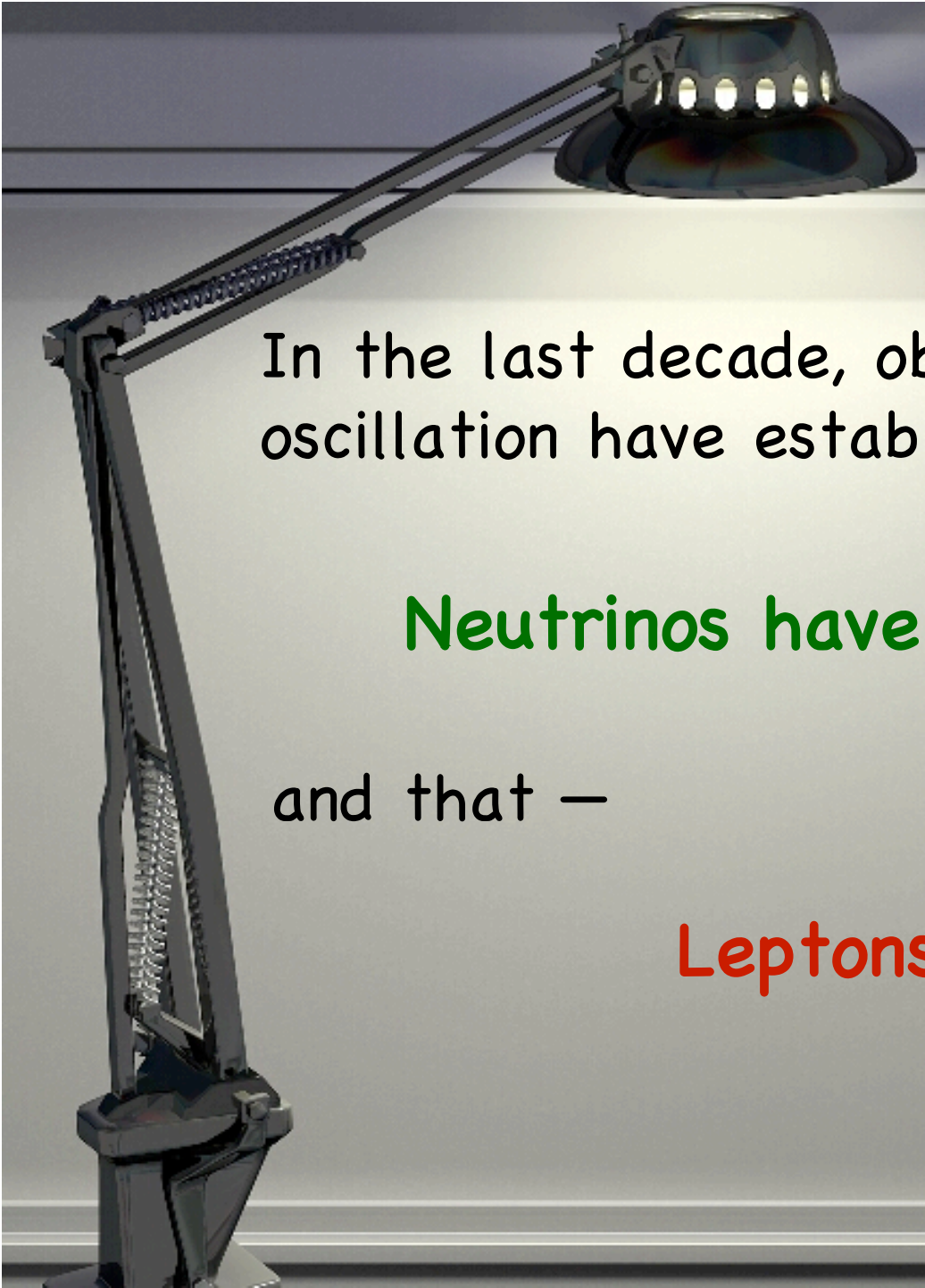




**What We Know, and
What We Would Like
To Find Out**

Boris Kayser
Minnesota
October 23, 2008



In the last decade, observations of neutrino oscillation have established that —

Neutrinos have nonzero masses

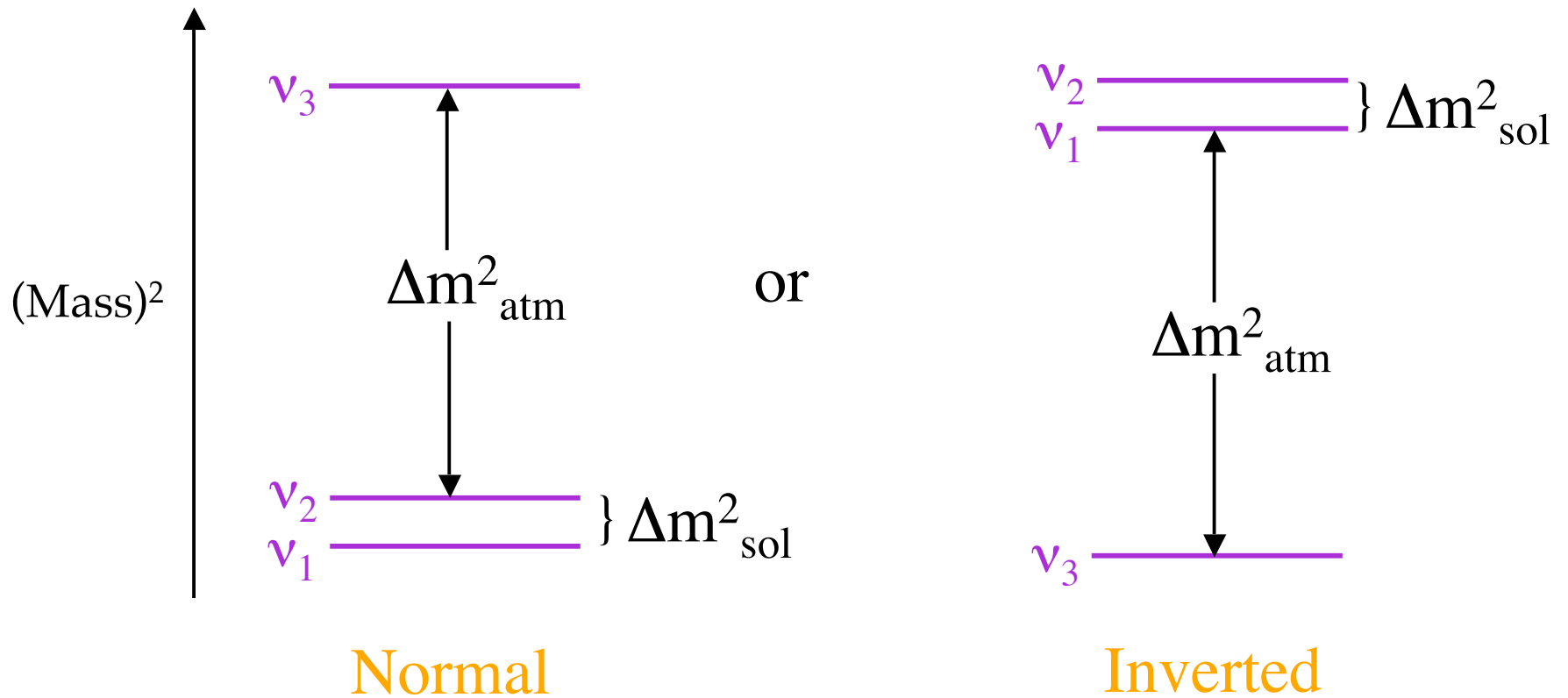
and that —

Leptons mix.



What We Have Learned

The (Mass)² Spectrum



$$\Delta m^2_{\text{sol}} \cong 7.6 \times 10^{-5} \text{ eV}^2, \quad \Delta m^2_{\text{atm}} \cong 2.4 \times 10^{-3} \text{ eV}^2$$

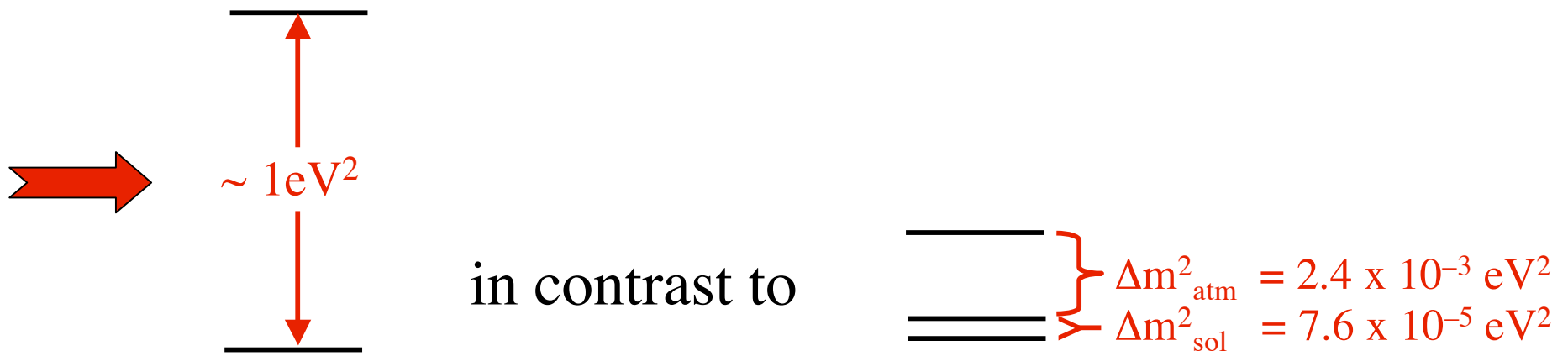
Are There *More* Than 3 Mass Eigenstates?

When only two neutrinos count,

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left[1.27 \Delta m^2 (eV^2) \frac{L(km)}{E(GeV)} \right]$$

e, μ , or τ \rightarrow

Rapid $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillation reported by **LSND** —



\rightarrow At least 4 mass eigenstates \rightarrow At least 1 $\nu_{Sterile}$

Is the LSND Signal Genuine Neutrino Oscillation?

MiniBooNE results up to 9:00 am today
suggest that the answer is —

No.

While awaiting further news —

*We will assume there are
only 3 neutrino mass eigenstates.*

Leptonic Mixing

This has the consequence that —

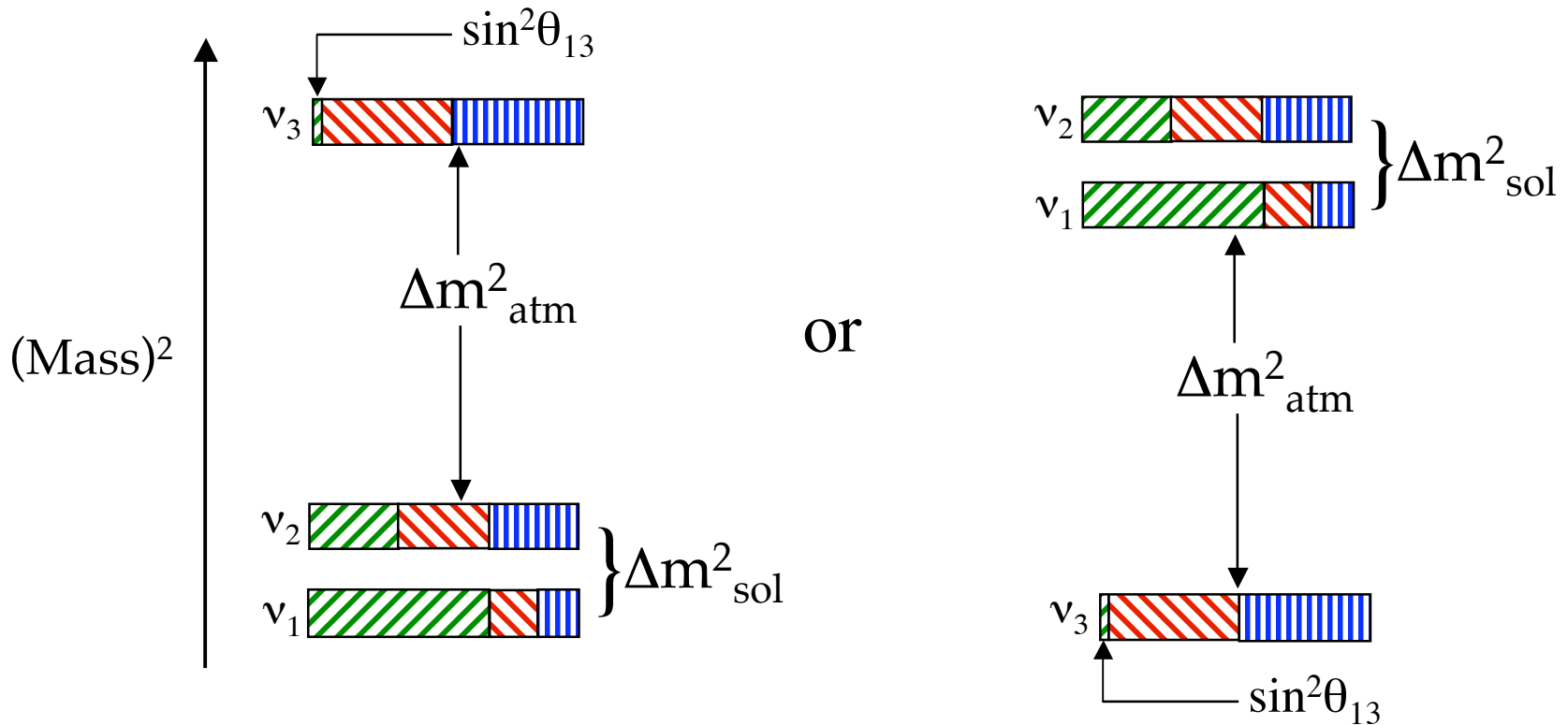
$$| \nu_i \rangle = \sum_{\alpha} U_{\alpha i} | \nu_{\alpha} \rangle .$$

Mass eigenstate ν_i (where $i = e, \mu, \text{ or } \tau$) is expressed as a sum of Flavor eigenstates ν_{α} (where $\alpha = e, \mu, \tau$). The coefficients $U_{\alpha i}$ are elements of the PMNS Leptonic Mixing Matrix.

Flavor- α fraction of $\nu_i = |U_{\alpha i}|^2$.

When a ν_i interacts and produces a charged lepton, the probability that this charged lepton will be of flavor α is $|U_{\alpha i}|^2$.

The spectrum, showing its approximate flavor content, is



$\nu_e [|U_{ei}|^2]$

$\nu_\mu [|U_{\mu i}|^2]$

$\nu_\tau [|U_{\tau i}|^2]$

The Mixing Matrix

$$U = \begin{array}{c} \text{Atmospheric} \\ \left[\begin{array}{ccc} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{array} \right] \times \begin{array}{c} \text{Cross-Mixing} \\ \left[\begin{array}{ccc} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{array} \right] \times \begin{array}{c} \text{Solar} \\ \left[\begin{array}{ccc} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{array} \right] \\ \\ \left[\begin{array}{ccc} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{array} \right] \end{array} \end{array}$$

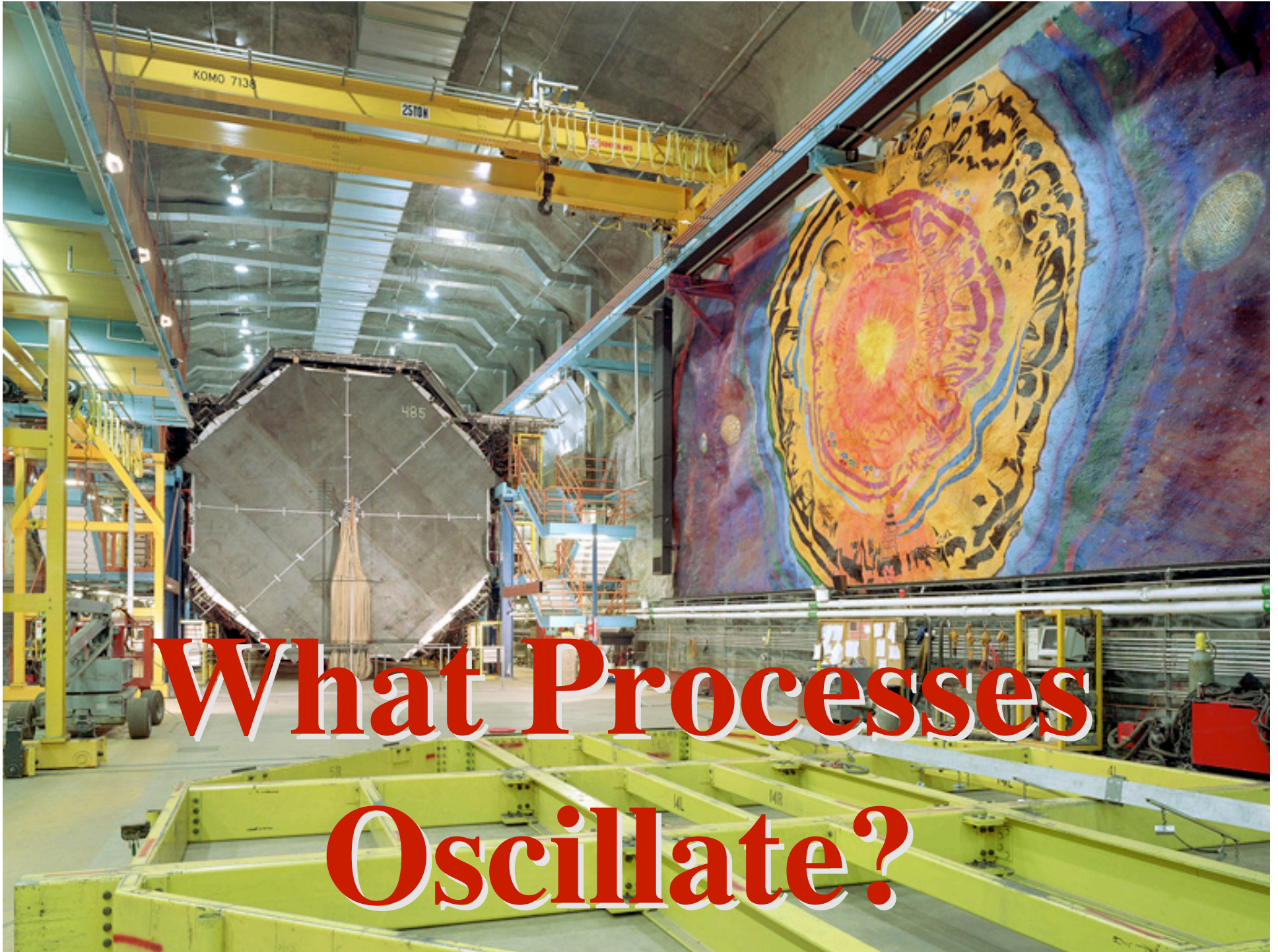
$$\begin{array}{l} c_{ij} \equiv \cos \theta_{ij} \\ s_{ij} \equiv \sin \theta_{ij} \end{array}$$

$$\theta_{12} \approx \theta_{\text{sol}} \approx 34^\circ, \quad \theta_{23} \approx \theta_{\text{atm}} \approx 38\text{-}52^\circ, \quad \theta_{13} \lesssim 10^\circ$$

Majorana ~~CP~~
phases

δ would lead to $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$. ~~CP~~

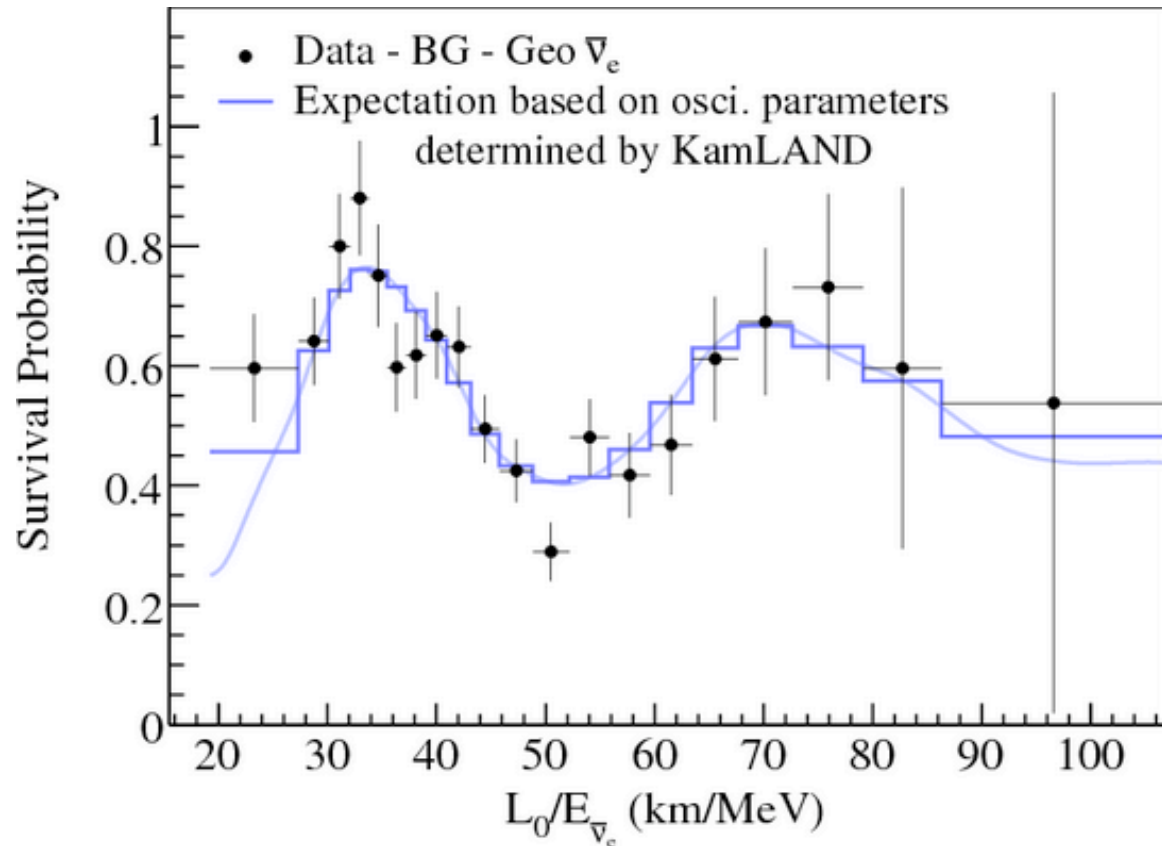
But note the crucial role of $s_{13} \equiv \sin \theta_{13}$.



**What Processes
Oscillate?**

KamLAND Evidence for Oscillatory Behavior

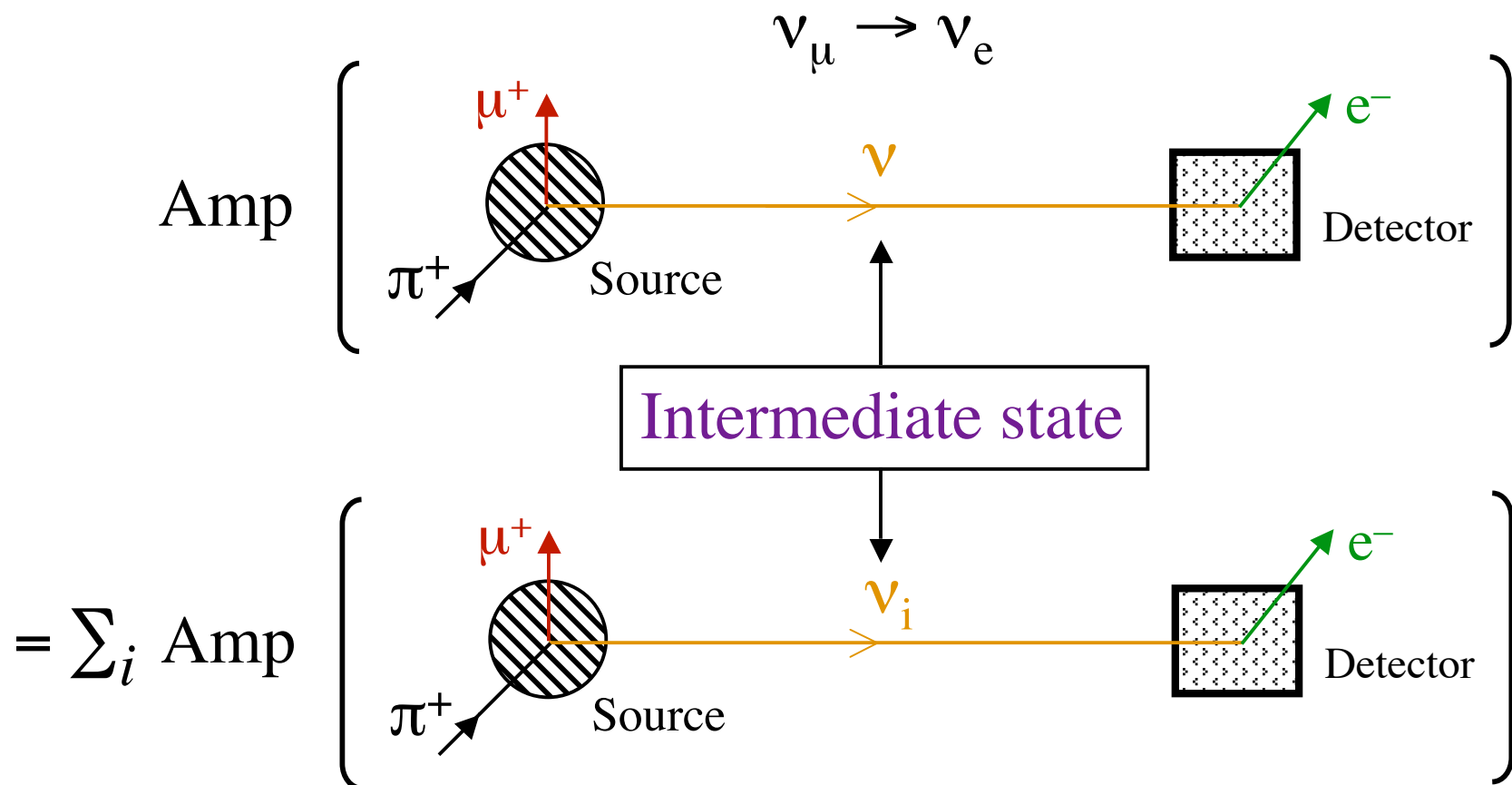
Survival
probability
 $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$
of reactor $\bar{\nu}_e$



$L_0 = 180$ km is a flux-weighted average travel distance.

$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ actually oscillates!

Oscillation Comes From the *Coherence of Intermediate ν States*



Neutrinos In *Final States* Are *Incoherent*

In Electron-Capture decays —

$$\Gamma(\text{Ion}_1 \rightarrow \text{Ion}_2 + \nu; t) = \sum_i \Gamma(\text{Ion}_1 \rightarrow \text{Ion}_2 + \nu_i; t)$$

or —

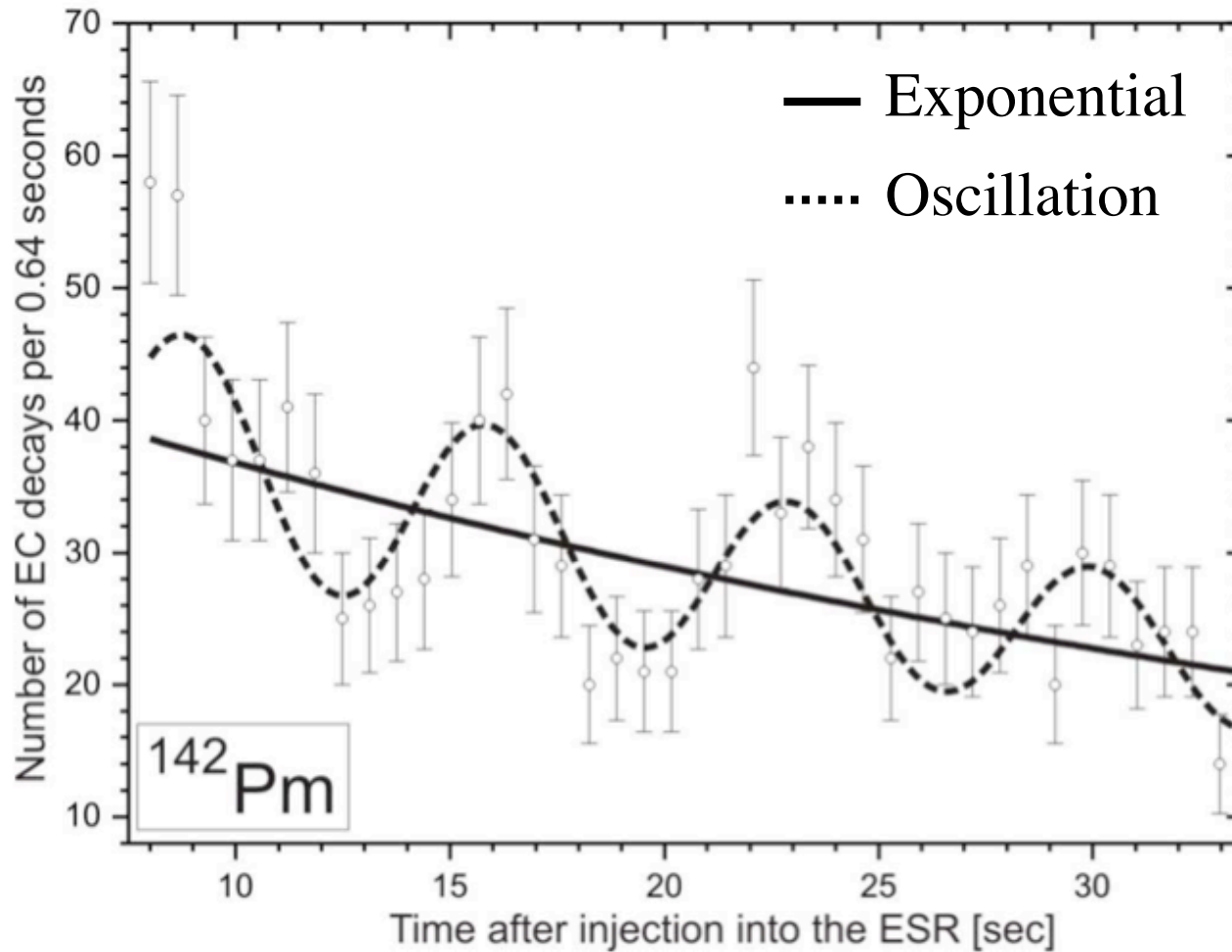
$$\Gamma(\text{Atom}_1 \rightarrow \text{Atom}_2 + \nu; t) = \sum_i \Gamma(\text{Atom}_1 \rightarrow \text{Atom}_2 + \nu_i; t)$$

The different mass eigenstates ν_i contribute
incoherently to the decay rate.

The total decay rate should not oscillate.
(Unless the rate for decay to each ν_i does.)

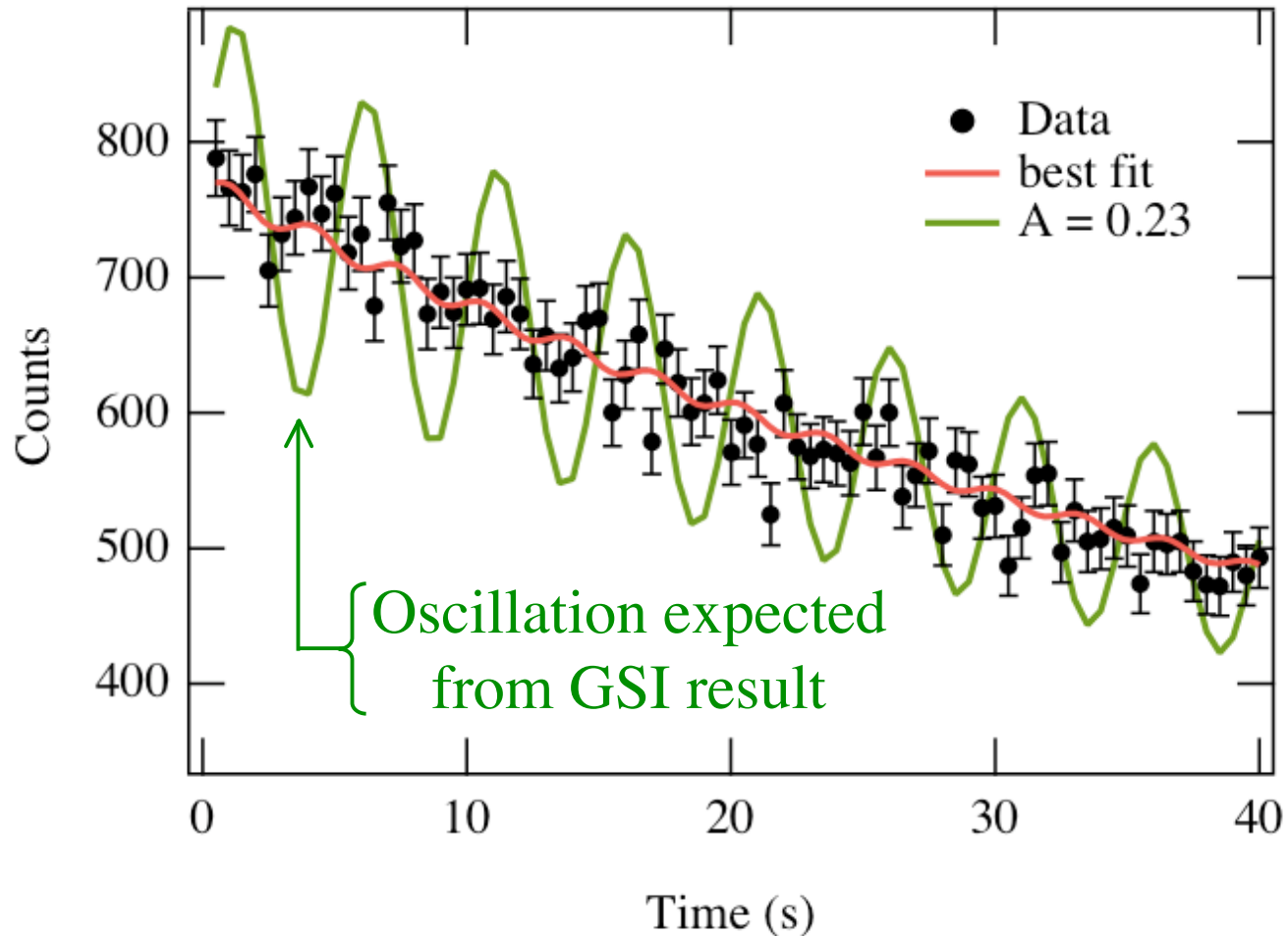
Litvinov *et al.* —

EC decays of H-like ^{140}Pr and ^{142}Pm ions
in a storage ring at GSI oscillate.



Vetter *et al.* —

EC decays of neutral ^{142}Pm atoms at LBNL *do not* oscillate.



Faestermann *et al.*: EC decays of neutral ^{180}Re atoms in Munich *do not* oscillate either.

Theoretical Opinion

Neutrino mixing can make decay rates oscillate:

Faber, Ivanov, Kienle, Kleinert, Lipkin, Reda

No it cannot:

Giunti, Kienert, Kopp, Lindner, Merle, Peshkin

Lipkin: Putting the mother ion in a magnetic field, which is done only in the GSI experiment, makes a difference.

To be continued



The Open Questions

- What is the absolute scale of neutrino mass?
- Are neutrinos their own antiparticles?
- Are there “sterile” neutrinos?

We must be alert to surprises!

- What is the pattern of mixing among the different types of neutrinos?

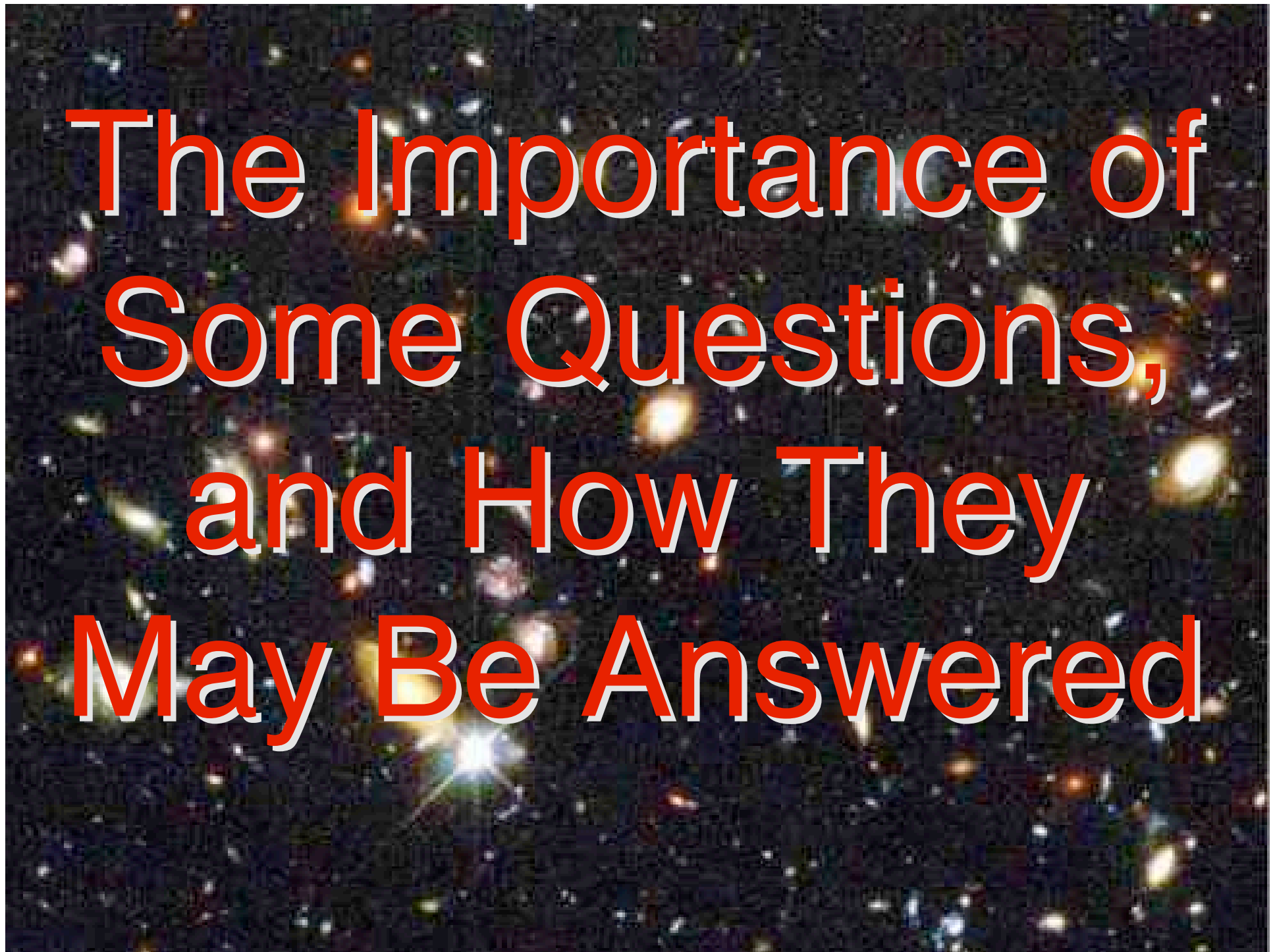
What is θ_{13} ?

- Is the spectrum like $\underline{=}$ or $\underline{=}$?

- Do neutrino – matter interactions violate CP?

Is $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$?

- What can neutrinos and the universe tell us about one another?
- Is CP violation involving neutrinos the key to understanding the matter – antimatter asymmetry of the universe?
- What physics is behind neutrino mass?



The Importance of Some Questions, and How They May Be Answered

Does $\bar{v} = v$?

What Is the Question?

For each *mass eigenstate* ν_i , and *given helicity* h ,
does —

- $\bar{\nu}_i(h) = \nu_i(h)$ (Majorana neutrinos)

or

- $\bar{\nu}_i(h) \neq \nu_i(h)$ (Dirac neutrinos) ?

Equivalently, do neutrinos have *Majorana masses*? If they do, then the mass eigenstates are *Majorana neutrinos*.

Majorana Masses

Out of, say, a left-handed neutrino field, ν_L , and its charge-conjugate, ν_L^c , we can build a **Majorana** mass term —

$$m_L \overline{\nu_L} \nu_L^c$$


Quark and *charged-lepton* Majorana masses are forbidden by electric charge conservation.

Neutrino Majorana masses would make the neutrinos very distinctive.

Why Majorana Masses \longrightarrow Majorana Neutrinos

The objects ν_L and ν_L^c in $m_L \overline{\nu_L} \nu_L^c$ are not the mass eigenstates, but just the neutrinos in terms of which the model is constructed.

$m_L \overline{\nu_L} \nu_L^c$ induces $\nu_L \leftrightarrow \nu_L^c$ mixing.

As a result of $K^0 \leftrightarrow \overline{K}^0$ mixing, the neutral K mass eigenstates are —

$$K_{S,L} \cong (K^0 \pm \overline{K}^0)/\sqrt{2} . \quad \overline{K_{S,L}} = K_{S,L} .$$

As a result of $\nu_L \leftrightarrow \nu_L^c$ mixing, the neutrino mass eigenstate is —

$$\nu_i = \nu_L + \nu_L^c = \text{“} \nu + \overline{\nu} \text{”} . \quad \overline{\nu_i} = \nu_i .$$

Why Most Theorists Expect Majorana Masses

The Standard Model (SM) may be defined by its $SU(2)_L \times U(1)_Y$ gauge symmetry and its renormalizability, and by its field content.

Leaving neutrino masses aside, anything allowed by the SM principles occurs in nature.

Majorana mass terms
are allowed by the SM principles.

Then quite likely *Majorana masses*
occur in nature too.

To Determine
Whether
Majorana Masses
Occur in Nature

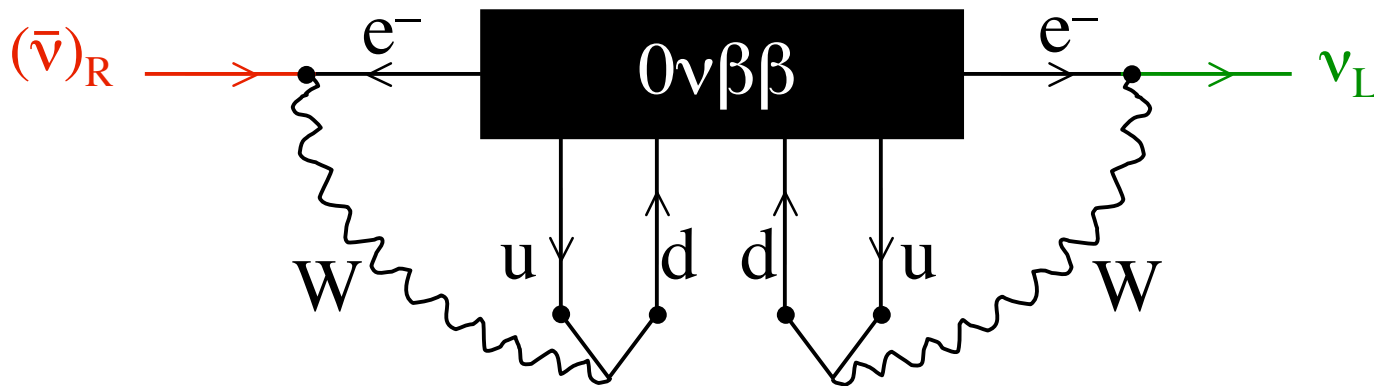
The Promising Approach — Seek Neutrinoless Double Beta Decay [$0\nu\beta\beta$]



We are looking for a *small* Majorana neutrino mass. Thus, we will need *a lot* of parent nuclei (say, one ton of them).

Whatever diagrams cause $0\nu\beta\beta$, its observation would imply the existence of a Majorana mass term:

(Schechter and Valle)



$(\bar{\nu})_R \rightarrow \nu_L$: A Majorana mass term

$\therefore 0\nu\beta\beta \rightarrow \bar{\nu}_i = \nu_i$

Mixing, Mass Ordering, and ~~CP~~

The Central Role of θ_{13}

Both CP violation and our ability to tell whether the spectrum is normal or inverted depend on θ_{13} .

If $\sin^2 2\theta_{13} > 10^{-(2-3)}$, we can study both of these issues with intense but conventional accelerator ν and $\bar{\nu}$ beams, produced via $\pi^+ \rightarrow \mu^+ + \nu_{\mu}$ and $\pi^- \rightarrow \mu^- + \bar{\nu}_{\mu}$.

Determining θ_{13} is an important step.

Reactor Experiments To Determine θ_{13}

Looking for disappearance of reactor $\bar{\nu}_e$, which have $E \sim 3$ MeV, while they travel $L \sim 1.5$ km is the cleanest way to determine θ_{13} .

$P(\bar{\nu}_e \text{ Disappearance}) =$

$$= \sin^2 2\theta_{13} \sin^2[1.27 \Delta m_{\text{atm}}^2 (\text{eV}^2) L(\text{km}) / E(\text{GeV})]$$

Accelerator Experiments

Accelerator neutrino experiments can also probe θ_{13} .

Now it is entwined with other parameters.

In addition, accelerator experiments can probe *whether the mass spectrum is normal or inverted*, and look for *CP violation*.

All of this is done by studying $\nu_{\mu} \rightarrow \nu_e$ and $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$ while the beams travel hundreds of kilometers.

The Mass Spectrum: $\underline{\underline{=}}$ or $\underline{=}$?

Generically, grand unified models (GUTS) favor —

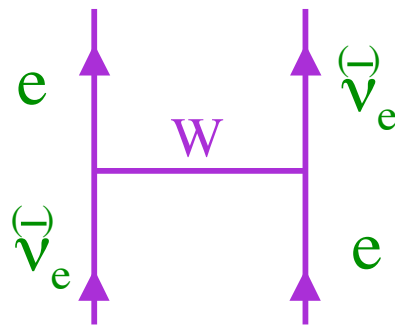
$\underline{\underline{=}}$

GUTS relate the **Leptons** to the **Quarks**.

However, *Majorana masses*, with no quark analogues, could turn $\underline{\underline{=}}$ into $\underline{=}$.

How To Determine If The Spectrum Is Normal Or Inverted

Exploit the fact that, in matter,



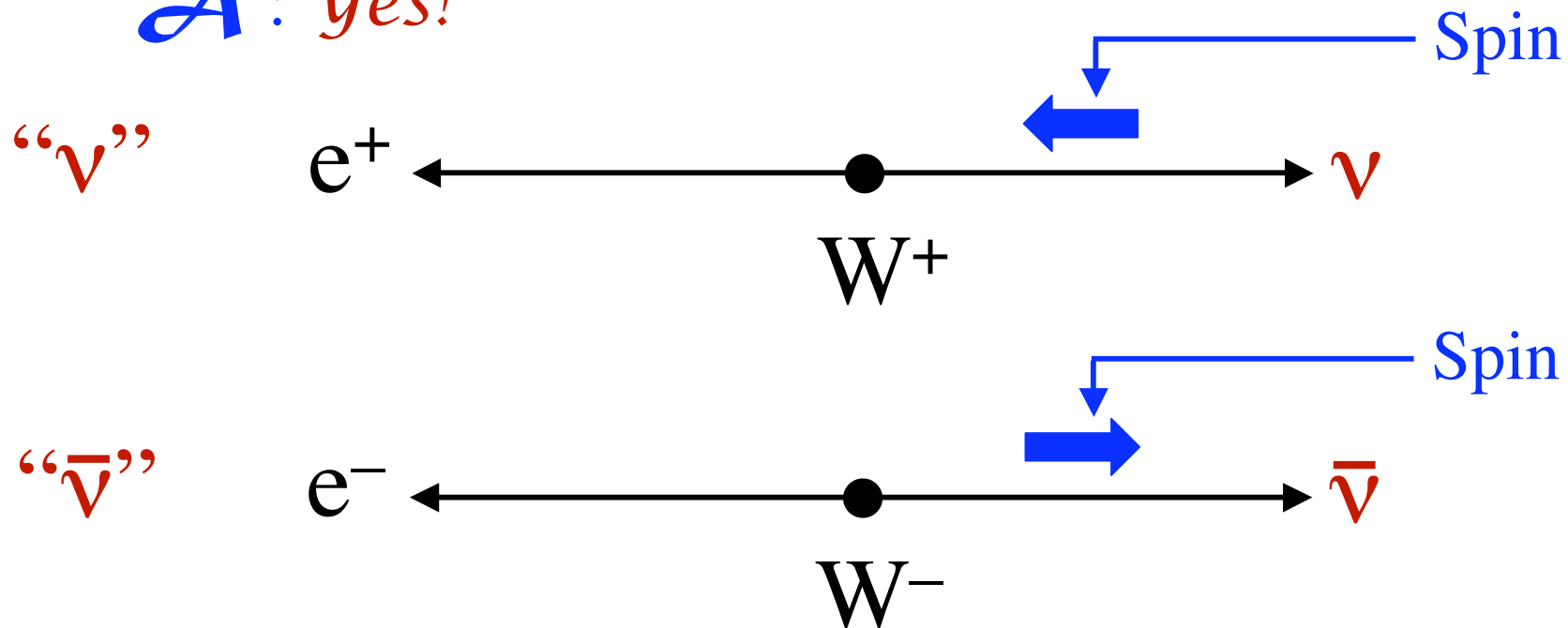
affects ν and $\bar{\nu}$ oscillation (*differently*), and leads to —

$$\frac{P(\nu_\mu \rightarrow \nu_e)}{P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \begin{cases} > 1 ; \equiv \\ < 1 ; \equiv \end{cases} \quad \text{Note fake } \mathcal{CP}$$

Note dependence on the mass ordering

Q : Does matter still affect ν and $\bar{\nu}$ differently when $\bar{\nu} = \nu$?

A : Yes!



The weak interactions violate *parity*. Neutrino – matter interactions depend on the neutrino *polarization*.

Do Neutrino Interactions Violate CP?

The observed \cancel{CP} in the weak interactions of *quarks* cannot explain the *Baryon Asymmetry* of the universe.

Is *leptonic* \cancel{CP} , through *Leptogenesis*, the origin of the *Baryon Asymmetry* of the universe?

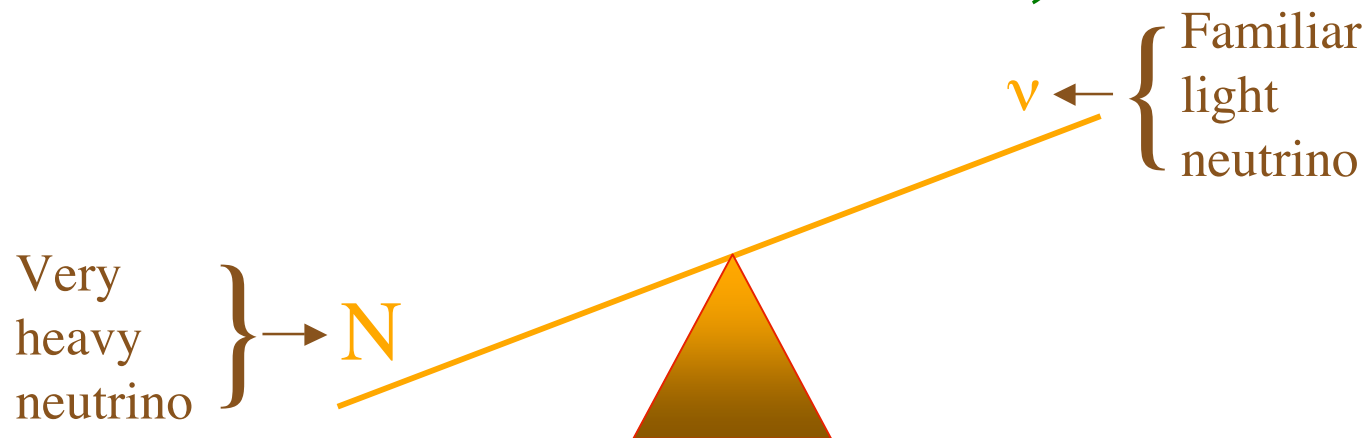
(Fukugita, Yanagida)

Leptogenesis In Brief

The most popular theory of why neutrinos are so light is the —

See-Saw Mechanism

(Yanagida; Gell-Mann, Ramond, Slansky;
Mohapatra, Senjanovic; Minkowski)



The *very* heavy neutrinos **N** would have been made in the hot Big Bang.

The heavy neutrinos N , like the light ones ν , are Majorana particles. Thus, an N can decay into ℓ^- or ℓ^+ .

If neutrino oscillation violates CP, then quite likely so does N decay. In the See-Saw, these two CP violations have a common origin: One Yukawa coupling matrix.

Then, in the early universe, we would have had different rates for the CP-mirror-image decays –




This would have led to unequal numbers of **leptons** and **antileptons** (*Leptogenesis*).

Then, Standard-Model *Sphaleron* processes would have turned $\sim 1/3$ of this leptonic asymmetry into a *Baryon Asymmetry*.

Electromagnetic Leptogenesis

(Bell, B.K., Law)

A new, alternative leptogenesis scenario in which the leptonic asymmetry arises from —

$$\Gamma(N \rightarrow \ell^- + \varphi^0 + W^+) \neq \Gamma(N \rightarrow \ell^+ + \bar{\varphi}^0 + W^-)$$


Heavy EW singlet neutrino

This too can produce the observed *Baryon Asymmetry* of the universe.

Has the link between ~~CP~~ in neutrino oscillation and the *Baryon Asymmetry* been broken?

— No —

These new couplings lead to neutrino masses.

*If leptogenesis is driven by these couplings,
then the neutrino masses probably are too.*

*CP-violating couplings will lead to CP-violating
mass matrices, which in turn will lead to CP
violation in oscillation.*

*As in standard leptogenesis, \mathcal{CP} in neutrino
oscillation and \mathcal{CP} in the early universe are linked.*

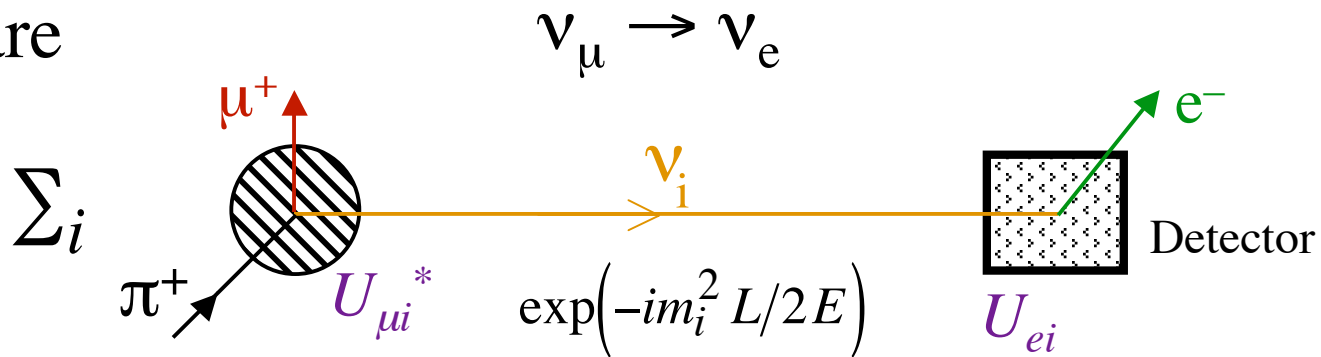
How To Search for ~~CP~~ In Neutrino Oscillation

Look for $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$

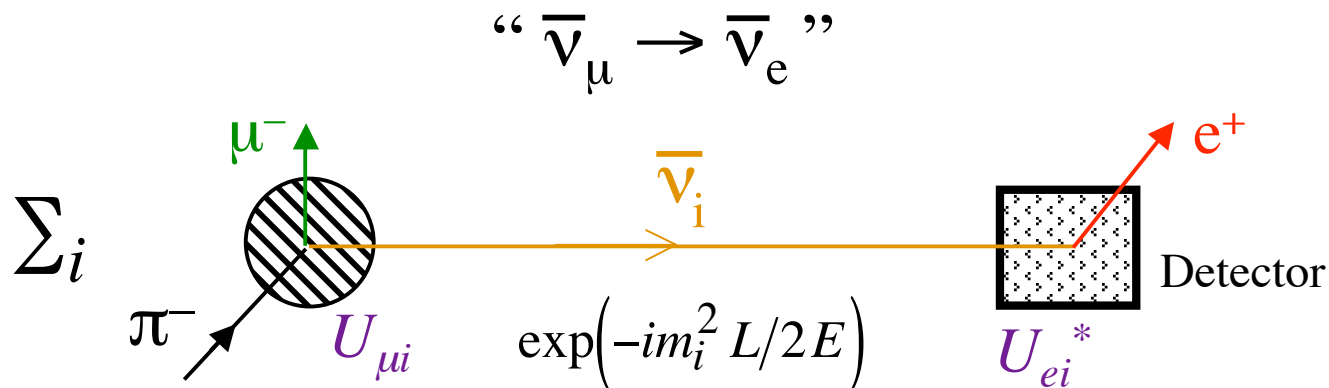
Q : Can CP violation still lead to $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \neq P(\nu_\mu \rightarrow \nu_e)$ when $\bar{\nu} = \nu$?

A : Certainly!

Compare



with



Summary

We have learned a lot about the neutrinos in the last decade.

What we have learned raises some very interesting questions.

We look forward to answering them.