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**UNIVERSITY OF MINNESOTA**

**BOARD OF REGENTS**

**MINUTES**

**BOARD OF REGENTS' MEETING**

**AND**

**REGENTS' COMMITTEE MEETINGS**

**December 8-9, 1988**

**Office of the Board of Regents**

**220 Morrill Hall**



UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Minutes of the Board of Regents' Meeting  
and Regents' Committee Meetings

December 8-9, 1988

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UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

November 30, 1988

A special meeting of the Board of Regents of the University of Minnesota was held on Wednesday, November 30, 1988 at 9:30 a.m. in the Regents' Room, 238 Morrill Hall.

Regents present: Regent Lebedoff, presiding; Regents Anderson, Casey, Craig, Hilke, Kuderer, Lebedoff, McGuiggan, Moore, Roe, Sahlstrom and Schertler. Regent Grahek participated via telephone.

Regent Lebedoff called the meeting to order and reported that this special meeting was called for the purpose of interviewing three candidates for the position of President of the University of Minnesota: Robert Stein, Dean of the University of Minnesota Law School, Nils Hasselmo, Academic Vice President & Provost of the University of Arizona; and William Kirwan, Acting President of the University of Maryland.

Documentation of the official interviews of the three candidates is filed supplement to the minutes, No. 22,075.

The Board of Regents recessed for lunch and reconvened.

Chair Lebedoff moved that the names of the three candidates interviewed - Robert Stein, Nils Hasselmo and William Kirwan - be placed in nomination for the position of President of the University of Minnesota. The motion was seconded. Chair Lebedoff asked the Secretary of the Board of Regents, Barbara Muesing, to record the votes by roll call. Secretary Muesing recorded the roll call votes as follows:

Regent Anderson	Nils Hasselmo
Regent Casey	Nils Hasselmo
Regent Craig	Nils Hasselmo
Regent Grahek	Robert Stein
Regent Hilke	Nils Hasselmo
Regent Kuderer	Nils Hasselmo
Regent Lebedoff	Nils Hasselmo
Regent McGuiggan	Robert Stein
Regent Moore	Robert Stein
Regent Roe	Robert Stein

Regent Sahlstrom  
Regent Schertler

Nils Hasselmo  
Robert Stein

Secretary Muesing reported that seven votes were cast for Nils Hasselmo and five votes were cast for Robert Stein.

Regent Roe then moved that the vote be unanimous for Nils Hasselmo. The motion was seconded and the Board of Regents voted unanimously that Nils Hasselmo be named President of the University of Minnesota.

Chair Lebedoff introduced Dr. Nils Hasselmo who spoke briefly to the Board.

The meeting adjourned at 2:15 p.m.

  
BARBARA MUESING, Secretary

Year 1988-89

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Physical Planning & Operations Committee

December 8, 1988

A meeting of the Physical Planning and Operations Committee of the Board of Regents was held on Thursday, December 8, 1988, at 3:35 p.m. in Room 300, Morrill Hall.

Regents present: Regent Roe, presiding; Regents Anderson, Craig, Grahek, Kuderer and Sahlstrom.

Staff present: Vice Presidents Campbell, Donohue, and Vanselow; Secretary Muesing; Associate Vice Presidents Hewitt and Thomas.

Student Representatives present: Darrin Rosha and Brian Thalmann.

VICE PRESIDENT'S MONTHLY REPORT

The committee voted unanimously to recommend approval of the Vice President's Monthly Report for December 1988.

PURCHASE OF GOODS/SERVICES OVER \$250,000

The committee voted unanimously to recommend approval of a resolution authorizing the appropriate staff to award pending purchases/contracts over \$250,000 identified in the docket material and with one addendum that was presented at the meeting.

ROSEMOUNT RESEARCH CENTER  
160TH STREET EXTENSION

The committee voted unanimously to recommend approval of a resolution authorizing the execution of an agreement with the Dakota County Board of Commissioners authorizing the extension of Dakota County's east-west road on 160th Street through the University's Rosemount Property.

**MIDDLEBROOK HALL ROOM HEATING AND COOLING IMPROVEMENT**

This item was deferred at the request of the Administration.

**MAYO GARAGE, FIRE PROTECTION SPRINKLER SYSTEM  
TWIN CITIES CAMPUS**

The committee voted unanimously to recommend approval of a resolution authorizing the design and construction of the Mayo Garage Fire Protection Sprinkler System Project located on the Twin Cities Campus. Estimated cost of the project was reported to be \$207,000 with funding to be provided from Parking Services. Estimated completion was reported to be July 1989.

**COFFMAN MEMORIAL UNION GARAGE FIRE PROTECTION SPRINKLER  
SYSTEM, TWIN CITIES CAMPUS**

The committee voted unanimously to recommend approval of a resolution authorizing the design and construction of the Coffman Memorial Union Garage Fire Protection Sprinkler System located on the Twin Cities Campus. Estimated cost of the project was reported to be \$134,500 with funding to be provided from Parking Services. The estimated completion date was reported to be July 1989.

**GOULD BUILDING ALG STILL, TANK AND PIPING PROJECT  
SCOPE INCREASE, TWIN CITIES CAMPUS**

The committee voted unanimously to recommend approval for the appropriate administrative officers to be authorized to increase the approved total project cost estimate for the Gould Building ALG Still, Tank and Piping Project located on the Twin Cities Campus from \$565,369 to \$930,148. It was reported that the current water system at the Gould Building was installed in 1974 and no longer meets FDA specifications or the University's production requirements and that the proposed modification are necessary if the facility is to maintain its FDA license.

**ROSEMOUNT RESEARCH CENTER, DAKOTA COUNTY TECHNICAL  
INSTITUTE LEASE AND OPTION**

The committee reviewed information relating to lease negotiations with the Dakota County Technical Institute (DCTI). It was reported that the negotiations are the result of a request from the Dakota County Technical Institute to purchase 105 acres of land at the Rosemount Research Center which is adjacent to the Dakota County



Technical Institute Campus. Associate Vice President Hewitt presented three possible alternatives which have been discussed with the DCTI regarding the proposal to lease or purchase the Rosemount property.

Mr. Donald McGuire, Superintendent of Schools in Dakota County, was present to review DCTI's position on each alternative and to respond to questions from committee members.

Associate Vice President Hewitt reported that discussions with Dakota County will proceed and that the Administration will come back to the Board with a recommendation at a future meeting.

**PCB CONTAMINATED TRANSFORMERS REPLACEMENT, PHASE II,  
TWIN CITIES AND MORRIS**

The committee reviewed a proposed resolution that would authorize the design and construction required to replace thirty-nine PCB Electrical Transformers on the Twin Cities Campus and five PCB Electrical Transformers on the Morris Campus.

Associate Vice President Hewitt reported that this project is a continuation of the program to remove from system wide facilities the equipment which contains PCB's that pose a serious health hazard. He further reported that federal regulations mandate the removal of equipment containing PCB's by October 1, 1990; and that noncompliance with this regulation could subject the University to civil penalties up to \$35,000 per day.

Regent Kuderer noted that the completion of this project is estimated to be September 1990 and stated that he was concerned that the timetable for completion is so close to the federal deadline date of October 1, 1990. He asked if the timeframe might be moved ahead. Associate Vice President Hewitt stated that he would pursue moving the timeframe ahead, however, funding for the project may not become available to do so. In addition, it was reported that the amount of work required to remove the transformers might also be a factor in trying to move the timeframe ahead. Mr. Hewitt reported that he will pursue moving the timeline forward and will report back to the committee.

The meeting adjourned at 4:45 p.m.

  
BARBARA MUESING, Secretary

Year 1988-89

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Finance & Legislative Committee

December 8, 1988

A meeting of the Finance & Legislative Committee of the Board of Regents was held on Thursday, December 8, 1988 at 1:30 p.m. in the Regents' Room, 238 Morrill Hall.

Regents present: Regent Anderson, presiding; Regents Kuderer, Lebedoff, McGuiggan, Moore and Roe.

Staff present: Vice Presidents Allen, Campbell, and Vanselow; Secretary Muesing; Associate Vice President Roszell; Investment Director Paschke.

Student Representatives present: Ann Bernard and Elizabeth Wilson.

VICE PRESIDENT'S MONTHLY REPORT

The committee voted unanimously to recommend approval of the Vice President for Finance Monthly Report for December 1988.

The committee engaged in an extended discussion regarding the University's Central Reserve Fund with concerns raised that the University will not be able to maintain the minimum amount of \$40 million as required by Regents' action with the current commitments which have been made against the reserve. Vice President Campbell reported that by the end of this fiscal year, the amount in the reserve is projected to be \$40 million, however, with current commitments, by the end of the next fiscal year the balance could be well below that figure. Committee members expressed concern that the University's bond rating would be affected if the minimum balance in the Central Reserve Fund could not be maintained.

## MODIFICATION TO RESERVE SPENDING PLAN

The committee voted by a majority 5 to 1 to recommend approval for a modification to the Reserve Spending Plan in the amounts of \$783,427 for the Rosemount Water System and \$221,000 for the Legislative Auditor Physical Plant Audit.

Regent Moore voted against the motion indicating that she did not feel comfortable adding additional commitments to the Central Reserve Fund which will cause the fund to go beneath the \$40 million minimum.

## GLOBAL CUSTODY CONSORTIUM

The committee received a presentation on the Global Custody Consortium (GCC) which the University of Minnesota was invited to participate in by Harvard University.

Roger Paschke, Director of Debt Management, presented background information on the item indicating that the purpose of this separate entity is to facilitate the settlement and clearing of global (foreign and U.S.) investment and arbitrage transactions, providing to participants improved flexibility and timeliness of reporting and accounting, better long-term cost containment, and improved systems technology related to the foreign markets.

Mr. Paschke reported that since October, 1988, the administration has had numerous discussions and meetings with Harvard to learn more of the project and the potential role and benefits for the University of Minnesota. He stated that based on these discussions and research, the administration is now in a position to recommend that the University become a participant in the Consortium. He reported that with the arbitrage program becoming increasingly directed to the foreign markets, it is believed that participation in the Global Custody Consortium will be an important step toward maintaining the success and flexibility of the program. In addition, he reported that the administration is also recommending that \$65,000 for upfront costs be contributed to establish the Consortium.

## PROPOSED DEBT GUIDELINES

Vice President Campbell presented a recommended policy for the management of debt at the University of Minnesota. The following guidelines were proposed:

1. Debt shall be incurred only for capital improvements and equipment purchases.
2. Bonded indebtedness shall generally be incurred only for the capital needs of self-supporting operations such as auxiliary enterprises and telecommunications. Exceptions to the general rule shall have clearly identified a source of funds for repayment of the debt prior to any issuance. In all instances, the source and adequacy of debt service funds must be reasonably assured throughout the life of the bonds.
3. Total long-term indebtedness of the University shall not result in debt service exceeding 5% of unrestricted current funds revenue. However, it is recognized that the comparable limit used by the State of Minnesota currently is 3% of non-dedicated revenues. Therefore, since the University's debt rating is in part dependent on the financial stability of the State, the University will attempt to avoid significantly exceeding the comparable percentage guideline of the State.
4. In any debt issuance, emphasis shall be placed on the use of General Obligation bonds since such bonds typically result in the lowest overall cost of debt.
5. Variable rate instruments shall not exceed 75% of total long-term indebtedness.
6. The University shall attempt to manage its financial affairs in a manner consistent with the maintenance of the highest possible bond rating.

It was reported that this item will be on the agenda in January for action.

#### BUDGET PROPOSAL

Nick LaFontaine, Director of the Office of Budget Management presented information on a proposal for a new budget process at the University of Minnesota.

Mr. LaFontaine discussed details about the following recommendations in regard to each area noted relating to the budget process:

#### CONTEXT

- Develop a comprehensive annual budget framed in the context of all the funds available.

- Establish a budget planning cycle that commences each Fall and culminates each Spring irrespective of the legislative calendar.
- Categorize responsibility centers as either a revenue center or a support center.
- For all centers, establish revenue sensitivity by varying resources directly with the volume and level of services provided by the center.
- Incorporate an incentive system into the central distribution of center subsidies designed to accelerate the attainment of University goals.

#### **BUDGET PLANNING**

- Link of planning and budgeting through an annual review of each center's planned activities, funds available, and the unit's anticipated application of resources.
- Use a three year planning vista with financial data placed in the context of the current year budget and the previous year's actual expenditures.
- Support the budget planning process with an extensive array of supplementary data; especially personnel data and comparisons.

#### **BUDGET DEVELOPMENT**

- The preparation of detailed annual budgets ought to be a natural outgrowth of the budget planning process; the first planning year being the foundation of the annual budget.
- The Board receives a recommendation from central administration and approves an annual budget based on responsibility center plans.
- Account budgets, detailed by common expense object or revenue class, are prepared by the responsibility centers.

#### **BUDGET REPORTING**

- Within the context of a comprehensive, all funds budget, the Board should be presented a decision package that highlights impact and effect of the approval sought.

- Displaying the University budget in unique ways ought to be encouraged and viewed as an opportunity to broaden the understanding of and support for the University.
- Evaluation of fiscal performance, which is necessary to inform the subsequent budget allocation, implies reporting requirements different than the budget administration activity.
- Significant budget changes at various levels should be reported to appropriate overseers.

**BUDGET ADMINISTRATION**

- A flexible system responsive to central administration's relationship to responsibility centers must be developed.
- Standard reports and capabilities necessary for effective budget administration at various levels must be native to the budget and accounting process.
- A program of review, reward, and penalty should be adopted to encourage effective oversight of the University's resources.

Mr. LaFontaine reviewed technical and administrative issues which will have to be addressed if a new budget process is implemented and further indicated that the recommendations which he reviewed were provided as a general concept for a basis of future discussions by the Committee.

**QUARTERLY INVESTMENT REPORT**

Roger Paschke, Director of Debt Management, reviewed the investment results for the University's endowment, operating and retirement funds for the period ended September 30, 1988. Mr. Paschke responded to questions posed by committee members.

The meeting adjourned at 3:15 p.m.

  
 BARBARA MUESING, Secretary

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Educational Planning & Policy Committee

December 8, 1988

A meeting of the Educational Planning and Policy Committee of the Board of Regents was held on Thursday, December 8, 1988, at 3:35 p.m. in the Regents' Room, 238 Morrill Hall.

Regents present: Regent Casey, presiding; Regents Hilke, Lebedoff, McGuiggan, Moore and Schertler.

Staff present: Vice President Clark; Secretary Muesing; Associate Vice Presidents Cross, Foster and Robb; Assistant Provost Bakkenist; Assistant Vice President Barbatsis; Chancellors Ianni, Imholte and Sargeant.

Student Representatives present: Eyenga Bokamba and David Minkkinen.

Regent Casey expressed appreciation to Student Representatives Eyenga Bokamba and David Minkkinen for their contributions to the committee during the past year.

VICE PRESIDENT'S MONTHLY REPORT

Vice President Clark presented the Vice President's Monthly Report to the committee for approval, and highlighted several items in the report.

Following a brief discussion, the committee voted unanimously to recommend approval of the Vice President's Monthly Report.

ESTABLISHMENT OF AMERICAN INDIAN ADVISORY BOARD

Vice President Clark and Associate Vice President Cross presented a proposed resolution, which was

developed in response to 1988 legislation and a subsequent request by American Indian students on the Twin Cities Campus to establish an American Indian Advisory Board. Dr. Cross noted that the resolution was revised to respond to concerns raised at the November meeting.

Following a brief discussion, the committee voted unanimously to recommend approval of the following resolution:

WHEREAS, legislation passed by the State of Minnesota in April, 1988 directs that the board of each institution, at the request of ten or more full-time American Indian students, shall establish an Advisory Committee, in consultation with tribal designated representatives; and

WHEREAS, legislation passed by the State of Minnesota directs that the American Indian Advisory Committee shall recommend instructional programs and student services to meet the unique needs of American Indian people; and

WHEREAS, the Board of Regents wishes to reaffirm its interest and concern for the educational advancement of American Indians; and

WHEREAS, the Vice President for Academic Affairs has the responsibility for the development of academic programs at the University;

BE IT THEREFORE RESOLVED, that the University of Minnesota campuses establish American Indian Advisory Committees, as appropriate and in consultation with tribal designated representatives, which shall be advisory to the chief executive officer of each campus on instructional programs and student services to meet the unique needs of the American Indian people on each campus.

RESOLVED, that matters of University-wide concern be addressed by an all-University American Indian Advisory Committee which shall be composed of representatives drawn from campus Advisory Committees. The all-University American Indian Advisory Committee will report



directly to the Board of Regents in conjunction with presentations to the Regents by the Associate Provost and Associate Vice President for Academic Affairs.

RESOLVED, FURTHER, that the all-University American Indian Advisory Committee make recommendations to the Associate Provost and Associate Vice President for Academic Affairs on strategies, programs and resources needed to meet the unique needs of American Indian people. This action would facilitate coordination, development and assessment of academic plans being developed by the colleges which are responsive to the needs and concerns presented by the American Indian community.

Regent Casey thanked the Administration for resolving the issues which were raised in November, stating that the spirit of cooperation between the parties involved is evident.

#### UNIVERSITY ART MUSEUM ACCESSIONING-DEACCESSIONING POLICY

The committee voted unanimously to recommend approval of the proposed University Art Museum Accessioning-Deaccessioning Policy.

#### FLIGHT TRAINING PROGRAM, ANOKA

Vice President Clark and Assistant Provost Bakkenist reviewed a recommendation to discontinue the Flight Training Program at Anoka as of June 30, 1989. Mr. Bakkenist noted that the proposed elimination of this program is consistent with the Mission Statement of Support Services & Operations, and there will not be any effect on the ground school instruction provided for credit through Continuing Education & Extension.

Following a brief discussion, Regent Casey noted that this item will be presented for approval at the January meeting.

#### LEGISLATIVE REPORT ON ROCHESTER INITIATIVES

Vice President Clark introduced Ettore Infante, Dean of the Institute of Technology, David Grossman, Associate Dean of Continuing Education & Extension, and Carol Lund,

Director of the Rochester CEE Center. Dr. Clark noted that when the Legislature approved an appropriation for Rochester graduate education in 1988, it mandated that the University report its findings by February 1, 1989, with prior review and comment by HECB.

Dean Infante led a discussion on the University's initiatives in Rochester and the effect of those initiatives on the Institute of Technology, including the following:

- Programs at the post-baccalaureate level in Computer Science and Electrical Engineering were initiated in September, 1988. The programs are encountering very reasonable demand, and delivery is based on CEE infrastructure and IT faculty resources.

- Funding is proposed (through a State Special) to permit doubling the present program in 1989-90, and to further increase it by 50 percent in 1990-91 by introduction of a post-baccalaureate program on Technology Management.

- Long-term plans for this initiative are dependent upon the plans and actions of other higher educational institutions and of the Greater Minnesota Corporation.

- This program further strains some of the most oversubscribed units of the Institute of Technology.

A discussion followed Dean Infante's presentation. The committee expressed concern about the pressure the Rochester program puts on the resources of the Institute of Technology, and stressed the need for further funding by the Legislature. The committee also commended Dean Infante and the faculty of IT for their efforts.

Following the discussion, Regent Casey noted that further updates on the Rochester programs will be presented at future meetings.

#### PLANNING REPORT TO THE LEGISLATURE

Vice President Clark and Associate Vice President Foster led a discussion of the University's Planning Report to the Legislature. Dr. Clark noted that President-elect Hasselmo has indicated that he will review the planning process and the documents and provide

his own assessment of the situation.

A discussion followed the presentation. Concerns were expressed regarding the University's space allocation system. Regent Hilke asked the Administration to review the issue of space utilization and present a report to the Board as soon as possible. He noted that the Administration has been aware of this problem for several years, and it is important to address it at this time. Vice President Clark stated that a report on this issue will be presented as soon as possible.

Following the discussion, Regent Casey asked the Chair and Vice Chair to work with the Administration to determine the best way to proceed on this issue.

#### REPORT ON THE INSTITUTE OF TECHNOLOGY

Dean Infante led a discussion on the current status of the Institute of Technology. He noted IT's strengths and achievements, including the quality of its students and faculty, the addition of 25 endowed positions as a result of the Minnesota Campaign, the improvement of some of its facilities and the addition of seven new Centers in the past two years.

Dean Infante also discussed some of the problems facing IT, including unacceptable student-faculty ratios, instructional equipment needs, insufficient space and funding problems, particularly with respect to the high costs associated with hiring new faculty members. He commented on the poor quality of some of the IT facilities and the need for additional equipment, and he extended an invitation to the committee to tour the laboratory of an IT faculty member to demonstrate what is happening in science and engineering in terms of instrumentation.

A lengthy discussion followed Dean Infante's report, and the committee expressed concerns about the problems facing IT. The committee complimented Dean Infante on his excellent report, and Regent Lebedoff suggested that a tour of the IT laboratory should be scheduled so that any interested Regents can participate.

The meeting adjourned at 5:10 p.m.

  
BARBARA MUESING, Secretary

Year 1988-89

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Faculty, Staff & Student Affairs Committee

December 8, 1988

A meeting of the Faculty, Staff and Student Affairs Committee of the Board of Regents was held on Thursday, December 8, 1988, at 1:30 p.m. in the Regents' Room, 238 Morrill Hall.

Regents present: Regent Hilke, presiding; Regents Casey, Craig, Grahek, Sahlstrom and Schertler.

Staff present: President Sauer; Vice Presidents Allen, Clark, Donohue, Vanselow and Wilderson; Secretary Muesing; Associate Vice Presidents Cross, Perlmutter, Robb and Roszell; Assistant Provost Bakkenist; Assistant Vice Presidents Barbatsis and Berg; Chancellors Imholte and Sargeant.

Student Representatives present: Leslie Ball and Geoff Pollak.

VICE PRESIDENT'S MONTHLY REPORT

Vice President Clark presented the Vice President's Monthly Report to the committee for approval, including a supplement noting the appointment of Thomas Nelson as Director of State Relations in the Office of the Vice President for External Relations, effective January 16, 1989.

The committee voted unanimously to recommend approval of the Vice President's Monthly Report, including the supplement.

STUDENT EMPLOYMENT RULES, TWIN CITIES CAMPUS

Vice President Wilderson, Susan Treinen, Administrator of Student Employment Programs, and David Lenander, Chair of the Student Employment Committee, reviewed the Student Employment Rules for the Twin Cities

Campus. Dr. Wilderson noted that the proposed rules will govern student employment on all campuses until such time as rules are developed for the coordinate campuses.

A lengthy discussion followed the presentation, particularly with regard to student representation on the Student Employment Committee. Following the discussion, the committee voted unanimously to recommend approval of the Student Employment Rules, with the understanding that the Administration will present an amendment to the committee for approval that includes specific requirements for student representation.

#### PERSONNEL

Vice President Wilderson announced his resignation as Vice President for Student Development, effective January 15, 1989. He stated that he has enjoyed his association with the Board of Regents, the Administration and many talented students.

Dr. Wilderson noted that he will take a one-year administrative leave to work in child development at Yale University and at the University of California, Berkeley, and stated that he will return to his faculty position in the Department of Educational Psychology in Winter of 1990.

Committee members and President Sauer expressed appreciation to Dr. Wilderson for his service to the University, and wished him well in his future endeavors.

#### RECOGNITION OF CIVIL SERVICE COMMITTEE MEMBER

President Sauer recognized Barbara Bartholomew, outgoing Chair of the Civil Service Committee, for her outstanding contributions to the University community as a member of the Civil Service Committee. On behalf of the committee, Regent Hilke expressed appreciation to Ms. Bartholomew for her role in the important work of the Civil Service Committee.

Ms. Bartholomew expressed appreciation to the Regents, the Administration, members of the Civil Service Committee and Civil Service staff.

#### MINNESOTA PLAN II

Vice President Clark introduced Patricia Mullen,

Director of the Office of Equal Opportunity and Affirmative Action, and Dr. Janet Spector, who was appointed as Special Assistant to the Vice President for Academic Affairs and the Director of Equal Opportunity and Affirmative Action to assess the situation of women faculty and academic staff at the University and develop a plan to enhance the environment. The result is a new initiative, Minnesota Plan II, which is a cooperative effort of the Office of Academic Affairs and the Office of Equal Opportunity and Affirmative Action.

Dr. Spector stated that the objective of Minnesota Plan II is to develop ways to maintain positive work environments for women faculty and to create changes in units where the work climate is adversely affecting the productivity and advancement of women faculty. The principles underlying the Plan also address situations affecting other women in the University community, as well as male faculty and staff of color.

Dr. Spector also stated that a University Commission on Women has been established, which is a group of faculty and Academic Professional and Administrative staff members who represent different constituencies of University women. The Commission will provide direction, leadership and expertise to the University community as it works to improve the environment for academic women as guided by the Minnesota Plan II. She indicated that Unit Planning Groups will also be organized so the Plan can be tailored to local circumstances.

A brief discussion followed the presentation, and committee members expressed strong support for the Minnesota Plan II. Regent Hilke asked Dr. Spector to let the Board of Regents know what support it can provide to make this effort successful.

#### PERMANENT UNIVERSITY FUND (PUF) CHAIRS


Vice President Clark and Associate Vice President Roszell discussed the use of money from the Permanent University Fund (PUF) to establish endowed chairs and professorships. Dr. Clark stated that in 1985 when the Legislature authorized the University to use PUF money to match private contributions to create endowed academic positions, there were 22 endowed chairs. As a result of this action by the Legislature, the University initiated the Minnesota Campaign and there are now 127 endowed positions. Mr. Roszell noted that the number of endowed positions will increase as units continue to be successful in fundraising.

Mr. Roszell reviewed a summary of the positions that have been filled to date, as well as those positions for which active searches are currently underway.

Robert Holt, Dean of the Graduate School, discussed the value of endowed academic positions and commented on the positive impact of the McKnight Land Grant Professors program. Craig Swan, Acting Dean of the College of Liberal Arts, discussed the impact of the PUF program on CLA.

A lengthy discussion ensued, and Dr. Clark stated that a further discussion of this item will be scheduled for a future meeting.

The meeting adjourned at 3:10 p.m.

  
BARBARA MUESING, Secretary

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Committee of the Whole

December 9, 1988

A meeting of the Committee of the Whole of the Board of Regents was held on Friday, December 9, 1988, at 8:35 a.m. in the Regents' Room, 238 Morrill Hall.

Regents present: Regent Lebedoff, presiding; Regents Anderson, Casey, Craig, Grahek, Hilke, Kuderer, McGuiggan, Moore, Roe, Sahlstrom and Schertler.

Staff present: President Sauer; President-designate Hasselmo; Vice Presidents Allen, Campbell, Clark, Donohue, Heydinger, Vanselow and Wilderson; Secretary Muesing; Associate Vice Presidents Cross, Murthy, Perlmutter and Robb; Associate Provost Bakkenist; Assistant Vice Presidents Barbatsis and Berg; Chancellors Frederick, Ianni, Imholte and Sargeant.

Student Representative present: Geoff Pollak.

Regent Lebedoff stated that President Sauer and President-designate Hasselmo would participate in the meeting, and noted that Dr. Hasselmo would assume the position of President on January 1, 1989.

UMW STRATEGY FOR FOCUS PLAN

The committee voted unanimously to recommend approval of the following resolution:

RESOLVED, that the UMW Strategy for Focus Plan, as presented at the November, 1988 meeting of the Board of Regents, is approved.

NOMINATING COMMITTEE REPORT - HOSPITAL BOARD OF GOVERNORS

The committee voted unanimously to recommend approval of the following resolution:



RESOLVED, that on the recommendation of the Nominating Committee, the following individuals are elected to serve three-year terms on the Board of Governors of the University of Minnesota Hospital and Clinic: Erwin Goldfine, Jerry Meilahn and Barbara O'Grady.

RESOLVED, FURTHER, that on the recommendation of the Nominating Committee, David Link is elected to serve as the Health Sciences Student Representative to the Board of Governors of the University of Minnesota Hospital and Clinic for a one-year term.

Regent Lebedoff thanked Regent Moore for serving as Chair of the Nominating Committee, and Regent Moore thanked Regents Craig and Grahek for their participation on the committee.

#### QUARTERLY REPORT OF THE HOSPITAL BOARD OF GOVERNORS

Robert Latz, Chair of the Board of Governors of the University of Minnesota Hospital and Clinic, presented the quarterly report of the Board. He discussed the length of stay and average daily census, noting that the Hospital had a strong year financially. He discussed the Hospital's dominant role outside the metro area and the partnership with hospitals in greater Minnesota, including activities with the Medical School and clinical departments. Mr. Latz commented that the Hospital is participating in a computer network, which is a pilot project designed to provide direct interaction between rural doctors and those in other states with the Hospital.

Mr. Latz noted that his term as Chair will expire soon. He expressed appreciation to Vice President Vanselow, Mr. Dickler, the Hospital administrative staff and the Regents for their cooperation with the efforts of the Board of Governors to move the Hospital forward, and particularly on the Hospital Renewal Project II.

A brief discussion followed the presentation. On behalf of the Board, Regent Lebedoff expressed appreciation to Dr. Vanselow for his contributions to the University of Minnesota and wished him well in the future.

## UMM STRATEGY FOR FOCUS PLAN

Chancellor Imholte presented the UMM Strategy for Focus Plan to the committee for information. He noted that he provided an outline of the plan when the Regents met on the Morris Campus in May, and stated that the plan has been revised to attempt to combine academic and non-academic planning relating to UMM and to attempt to meld planning and budgeting.

Dr. Imholte stated that the plan contains the following priorities:

- 1) Revision of the general education requirements - Project PROSPER - which includes a required course for all Freshmen during their first quarter on campus, Inquiry: Values in a Changing World. The course provides a basis for the liberal arts experience and is an attempt to establish an academic community among the students and faculty that participate in the program.

- 2) An Honors Program began in Fall Quarter. All UMM students are eligible to participate in the program.

- 3) Underfunding in academic and non-academic areas on campus.

A lengthy discussion followed Chancellor Imholte's presentation, and committee members expressed support for increasing enrollment at UMM only if additional funding is provided. Following the discussion, Regent Lebedoff stated that this item will be presented for approval at the January meeting.

## ENROLLMENT GOALS AND PROJECTIONS

President Sauer, Assistant Vice President Berg and James Preus, Coordinator of Student Support Services, discussed the University's enrollment goals and projections based on Fall Quarter, 1988 data. Dr. Sauer noted that in 1987, the University entered into an agreement with the Legislature to reduce enrollment of full year equivalent (FYE) undergraduate regular session students from 37,576 in 1987 to 31,600 by 1993. In return, the Legislature will not reduce the instructional budget base, thus increasing available expenditures per student. Dr. Sauer stated that based on current projections, the University is well within the enrollment targets approved by the Regents.

A lengthy discussion followed the presentation, and several Regents expressed concern regarding the enrollment reductions and suggested revising the enrollment targets. Dr. Sauer stated that the issues raised were very important, but indicated that he did not intend to recommend any changes. He suggested waiting until after President Hasselmo has an opportunity to assess the outcome of the legislative session and the situation regarding enrollment targets.

#### TUITION PLANNING

President Sauer reported that the Administration is continuing to work on recommendations regarding tuition. He noted that he recently appointed a Tuition Study Group to review the University's policies and practices with respect to tuition. The areas the group will focus on include the University's tuition structure, internal issues related to tuition pricing and its effect on educational choices of students, and the relationship of tuition to the state's policies for average cost funding and financial aids.

Dr. Sauer stated that the group will forward its recommendations to President Hasselmo by the end of January, so that the Administration can develop a tuition plan to present to the Board of Regents for information in March and action in April.


A brief discussion followed the presentation.

#### NEW BUSINESS

Regent Moore announced that she will not be a candidate for re-election to the Board of Regents, and stated that it has been an honor to serve as a Regent of the University of Minnesota for 15 years. She indicated that she is confident that under President Hasselmo's leadership and with the commitment and involvement of the Board, the goal of a new golden era for the University of Minnesota will become a reality.

Members of the Board commended Regent Moore for her excellent service to the University.

The meeting adjourned at 10:30 a.m.

  
BARBARA MUESING, Secretary

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

December 9, 1988

A meeting of the Board of Regents of the University of Minnesota was held on Friday, December 9, 1988, at 10:45 a.m. in the Regents Room, 238 Morrill Hall.

Regents present: Anderson, Casey, Craig, Grahek, Hilke, Kuderer, Lebedoff, McGuiggan, Moore, Roe, Sahlstrom and Schertler. President Sauer presided.

Staff present: President-elect Hasselmo; Vice Presidents Allen, Campbell, Clark, Donohue, Vanselow and Wilderson; Secretary Muesing; Chancellors Frederick, Ianni, Imholte and Sargeant.

APPROVAL OF MINUTES

The Board of Regents voted unanimously to approve the minutes of the following meetings:

- Physical Planning & Operations Committee -  
November 10, 1988
- Finance & Legislative Committee -  
November 10, 1988
- Faculty, Staff & Student Affairs Committee -  
November 10, 1988
- Educational Planning & Policy Committee -  
November 10, 1988
- Committee of the Whole - November 11, 1988
- Board of Regents - November 11, 1988

RECOGNITION OF 1988 STUDENT REPRESENTATIVES

Chair Lebedoff presented Certificates of Appreciation to the 1988 Student Representatives; Les Ball, Crookston Campus; Ann Bernard, Twin Cities Campus; Eyenga Bokamba, Twin Cities Campus; David Minkkinen, Duluth Campus; Geoff Pollak, Twin Cities Campus; Darrin Rosha, Twin Cities Campus; Brian Thalmann, Waseca Campus; Elizabeth Wilson, Morris Campus.

## RECOGNITION OF INTERIM PRESIDENT RICHARD J. SAUER

Chair Lebedoff presented Richard J. Sauer with a Certificate of Appreciation for his service to the University of Minnesota as Interim President for the period March 1988 through December 1988.

### REPORT OF THE PRESIDENT

President Sauer reported on the decision by the Greater Minnesota Corporation regarding the establishment of the Utilization Research Institute. Chancellor Donald Sargeant presented brief comments on the role that the coordinate campuses will play in the Institute.

President Sauer then called on President-elect Hasselmo who presented a status report on contacts that he has made since his appointment, his recommendation of a transition team in the organization of central administration, and his general assessment and plans pertaining to academic and nonacademic plans and proposed budgets.

President Sauer presented two supplemental personnel items for approval. The first item pertained to a one year administrative leave for Frank Wilderson, Vice President for Student Development effective January 16, 1989. President Sauer reported that at the end of Dr. Wilderson's leave, he will return to a teaching position in the Department of Educational Psychology.

The second item pertained to the appointment of Nicholas Barbatsis as Acting Vice President for Student Development effective January 16, 1988. The Board of Regents voted unanimously to approve the appointment.

President Sauer called on President-elect Hasselmo who presented information on the second personnel item, a recommendation for the appointment of Mr. Richard Bay as Men's Intercollegiate Athletic Director. A motion was made and seconded and the Board of Regents voted unanimously to approve the appointment of Mr. Bay as Men's Intercollegiate Athletic Director. Mr. Bay spoke briefly to the Board.

### REPORT OF THE CHAIR

Chair Lebedoff reported that the search for a President of the University of Minnesota was completed on November 30, 1988 with the appointment of Dr. Nils Hasselmo. He expressed thanks to the many individuals who played a part in the search.

Chair Lebedoff also reported that the Report of the Governor's Blue Ribbon Commission had just been released and urged all members of the Board to review the report as the Board should be prepared to address the recommendations in the report pertaining to governance. He suggested that the Board scheduled a retreat in early 1989 to discuss those recommendations.

Chair Lebedoff then called on Regent Schertler, Chair of the Regents Award Committee, who presented an update on the Committee's activities. She indicated that the Committee hopes to complete its business in early 1989 and present recommendations at that time.

Chair Lebedoff called on Regent Moore who presented the following list of individuals for approval to serve on the Regents' Advisory Committee for Eastcliff:

Otis Anderson, Director  
Engineering and Architecture, Physical Planning

Charles Bailey, Director  
Physical Plant Operations

Neil Bakkenist, Assistant Provost  
Support Services and Operations

Roger Clemence, Professor  
School of Architecture

Marilyn DeLong, Professor and Acting Head  
Department of Design, Housing and Apparel

Sam Jurkovic, Eastcliff Coordinator  
Housing Services  
(Ex officio)

Lyndel King, Director  
University Art Museum

James Stageberg, Professor  
School of Architecture and Landscape  
Architecture

The Board of Regents voted unanimously to approve the recommendation.

Chair Lebedoff also noted that Regent Sahlstrom recently made a generous contribution to the 4-H Building Renovation Campaign and also recently received the

Distinguished Service Award from the Southeast Education Association.

#### GIFTS

Associate Vice President Roszell presented the monthly list of gifts to the University of Minnesota, the University of Minnesota Foundation, the Arboretum Foundation and the Minnesota Medical Foundation.

The Board of Regents voted unanimously to approve the gifts. Documentation is filed supplement to the minutes, No. 22,076.

#### CONTRACTS & GRANTS/APPLICATIONS & AWARDS

Vice President Clark submitted for approval the contracts & grants/applications & awards.

The Board of Regents voted unanimously to approve the contracts & grants/applications & awards.

Documentation is filed supplement to the minutes, No. 22,077.

#### REPORT OF THE COMMITTEE OF THE WHOLE

Regent Lebedoff, Chair of the committee, reported that the committee voted unanimously to recommend approval of the following actions:

- a) Approval of the UMW Strategy for Focus Plan. Documentation is filed supplement to the minutes, No. 22,078.
- b) Approval of resolution re Report of the Nominating Committee, Hospital Board of Governors, as follows:

**RESOLVED**, that on the recommendation of the Nominating Committee, the following individuals are elected to serve three-year terms on the Board of Governors of the University of Minnesota Hospital and Clinic: Erwin Goldfine, Jerry Meilahn and Barbara O'Grady.

**RESOLVED, FURTHER**, that on the recommendation of the Nominating Committee, David Link is elected to serve as the Health Sciences Student Representative to the Board of

Governors of the University of Minnesota Hospital and Clinic for a one-year term.

The Board of Regents voted unanimously to approve the recommendations of the Committee of the Whole.

Chair Lebedoff reported that the committee also received the Quarterly Report for the Hospital Board of Governors; reviewed the University of Minnesota Morris Strategy for Focus Plan; discussed enrollment goals and projections and tuition planning.

#### REPORT OF THE FINANCE & LEGISLATIVE COMMITTEE

Regent Anderson, Chair of the committee, reported that the committee voted unanimously to recommend approval of the following action:

- a) Approval of the Vice President for Finance Report which includes Investments, Accounts Receivable, Property Accounting, and Miscellaneous - Finance & Investments. Documentation is filed supplement to the minutes, No. 22,079.

The Board of Regents voted unanimously to approve the recommendation of the Finance & Legislative Committee.

Chair Anderson reported that the committee also voted by a majority to recommend the following action:

- a) Approval to modify the Reserve Spending Plan in the amount of \$788,427 for the Rosemount Water system and \$221,000 for the Office of the Legislative Auditor Physical Plant Audit.

The Board of Regents voted by a majority of 11 to 1 to approve the recommendation of the Finance & Legislative Committee. Regent Moore voted against the motion.

Chair Anderson reported that the committee also reviewed information on the Global Custodian Consortium; proposed debt guidelines; a proposal for a new budget process; and the Quarterly Investment Report.

#### REPORT OF THE EDUCATIONAL PLANNING & POLICY COMMITTEE

Regent Casey, Chair of the committee, reported that the committee voted unanimously to recommend approval of the following action:



- a) Approval of the Vice President's Monthly Report for December 1988. Documentation is filed supplement to the minutes, No. 22,080.
- b) Approval of resolution re Establishment of an American Indian Advisory Committee, as follows:

**WHEREAS**, legislation passed by the State of Minnesota in april, 1988 directs that the board of each institution, at the request of ten or more full-time American Indian students, shall establish an Advisory Committee, in consultation with tribal designated representatives; and

**WHEREAS**, legislation passed by the State of Minnesota directs that the American Indian Advisory Committee shall recommend instructional programs and student services to meet the unique needs of American Indian people; and

**WHEREAS**, the Board of Regents wishes to reaffirm its interest and concern for the educational advancement of American Indians; and

**WHEREAS**, the Vice President for Academic Affairs has the responsibility for the development of academic programs at the University;

**BE IT THEREFORE RESOLVED**, that the University of Minnesota campuses establish American Indian Advisory Committees -- as appropriate and in consultation with tribal designated representatives -- which shall be advisory to the chief executive officer of each campus on instructional programs and student services to meet the unique needs of the American Indian people on each campus.

**RESOLVED**, that matters of University-wide concern be addressed by an all-University American Indian Advisory Committee which shall be composed of representatives drawn from campus Advisory Committees. The all-University American Indian Advisory Committee will report directly to the Board of Regents in conjunction with presentations to the Regents by the Associate Provost and Associate Vice President of Academic Affairs.

RESOLVED, FURTHER, that the all-University American Indian Advisory Committee make recommendations to the Associate Provost and Associate Vice President for Academic Affairs on strategies, programs and resources needed to meet the unique needs of American Indian people. This action would facilitate coordination, development and assessment of academic plans being developed by the colleges which are responsive to the needs and concerns presented by the American Indian community.

- c) Approval of Policy, re University Art Museum/Accessioning-Deaccessioning Policy, as follows:

RESOLVED, that the Regents of the University of Minnesota hold title to the collections of the University Art Museum and do hereby delegate the authority to approve additions to the collection to the Director of the University Art Museum or deletions to the collection to the Vice President for Academic Affairs provided that the actions are recommended according to the procedures described herein.

UNIVERSITY ART MUSEUM, UNIVERSITY OF MINNESOTA  
ACCESSIONING POLICY AND PROCEDURE

POLICY

1. The strength of the University Art Museum must lie in the range as well as the quality of the objects it collects. The University Art Museum considers its collections a regional resource and as such complementary to other resources in the area. The University Art Museum will search out works to strengthen its existing collections and improve areas not treated in depth elsewhere in the region. In addition, the Museum will search out works that can serve generally as a resource for University teaching and research. Realizing that funds for acquisition may be limited, the Museum actively encourages gifts and bequests to the collection.

2. Accessioning shall be defined in this statement as the formal adjustment of records to reflect the addition of an object to the University Art Museum's permanent collection.
3. Once accessioned into the permanent collection, an object can be removed only in accordance with the Museum's Deaccessioning Policy and Procedure.
4. Objects will not be accessioned into the Museum's permanent collection with the intention of later selling or disposing of them in any way. Ideally, no work of art should be acquired with restrictions regarding its acceptance, use, or disposal which would result in burdensome obligations, financial or otherwise, to the University Art Museum.
5. The University Art Museum abides by the UNESCO Convention on the Means of Prohibiting and Preventing the Illicit Import, Export, and Transfer of Ownership of Cultural Property and the Cultural Property Act, enacted by the United States Congress to implement the UNESCO Convention in the United States. In keeping with these conventions, the University Art Museum affirms that it shall not knowingly acquire by purchase, gift, or bequest objects which it has reason to believe have been unethically or illegally obtained. Works of art, archaeological, or ethnographic objects shall be acquired only when the University Art Museum has established, to the best of its ability, that such objects have been legally and ethically obtained and legally and ethically exported and imported and that the current owner holds valid title to them.

Should the University Art Museum inadvertently come to be in possession of an object which is determined by its director to have been illegally removed from its place or country or origin and the return of that object is being sought, the Museum will relinquish ownership and control of that object to the transferer,

the government of the country of origin,  
or to the proper owner thereof.

#### PROCEDURE

The University Art Museum may acquire new works for the collection by gift, bequest, exchange, or purchase.

#### Gifts, Bequests, and Exchanges

6. The University Art Museum director reserves the sole right to authorize acceptance of gifts, bequests, and exchanges upon recommendation of a curator, other staff members, University faculty, donor, University attorney or a representative of an estate. Gifts and bequests may be in the form of works of art or monies specified for acquisition. The director may request the advice of the Accessions Committee, as constituted below, for any gift or bequest. The director may also request the advice of outside experts when considering gifts or bequests.
7. All gifts and bequests accepted shall be accompanied by the University Art Museum's Deed of Gift form signed by the donor or the donor's legal representative. Such a deed assures the transfer to the University Art Museum of all rights to the object held by the donor.
8. Exchanges shall be accompanied by the appropriate documentation.
9. Establishment of the value of a gift or bequest for the donor's purposes is the responsibility of the donor. Members of the Museum staff may not supply appraisals of an intended gift or bequest.

#### Purchases

10. Purchases of works of art by the Museum from funds set aside for that purpose shall respect any special restrictions imposed on the funds by a donor.

11. An Accessions Committee shall be established to approve the purchase of a work of art by the University Art Museum which is offered for sale at a price over \$20,000. The director may request the advice of outside experts when considering any purchase.
12. The Accessions Committee shall consist of no fewer than eight persons, four of whom must be regular members of the University Art Museum staff and four of whom must be from outside the staff of the Museum. The Committee must include the Museum director, who will serve as the chair of the Committee, and a curator so long as those staff positions exist and are filled. The director shall appoint the curatorial member, two additional staff members, and the four nonstaff members to serve on the Committee. Of the four Committee members from outside the staff of the Museum, two of them must be from the University community of faculty, staff, or students and two of them must be from outside the University. The Accessions Committee may be composed of the same members as the Deaccessioning Committee.
13. The terms of the appointed members of the Accessions Committee shall be three years. Members may be reappointed. The terms of the appointed members shall be staggered.
14. A secretary shall be appointed to keep the minutes of the meetings of the Accessions Committee.
15. Written recommendation for proposed purchases will be prepared by a curator or other Museum staff member and submitted to the director, who will call a meeting of the Accessions Committee.
16. Proposals to the Accessions Committee with respect to the purchase of works of art are initiated only by the director of the University Art Museum. All purchases must be approved by three-fourths of the Accessions Committee.

17. A purchase agreement or invoice for purchase of a work of art must establish in a written statement signed by the vendor what rights, including copyrights, are being transferred with the work by the vendor to the University Art Museum.
  
18. In considering a recommendation for purchase the Accessions Committee should take into account the following factors:
  - a) whether a work falls within the collection focus of the Museum,
  - b) whether the work possesses potential for research, teaching, and scholarship,
  - c) whether the work's condition is such that it can be properly preserved by the Museum,
  - d) whether the Museum has adequate space for safely storing the work,
  - e) whether the price asked is a fair market value and comparable to the prices asked for similar works, and
  - f) whether the provenance of the work is well-established, particularly with respect to objects covered by the UNESCO Convention and the Cultural Property Act, such that the Museum can obtain clear legal title to the work.

#### Records

19. Upon the acquisition of a work of art, the Museum's registrar will assign it an accession number, such numbers to be issued chronologically.
  
20. Records of all accessioned objects shall be maintained in the registrar's files.

## DEACCESSIONING POLICY AND PROCEDURE

### POLICY

1. The University Art Museum considers its collection permanent and does not take lightly the deaccessioning of any object in its collection. It recognizes the dangers involved in substituting current taste for the taste of earlier generations. The Museum recognizes that it has an obligation to retain unpopular, obscure, and sometimes qualitatively inferior works of art in its collection for historical purposes, study, and comparative purposes by students, scholars, curators, and others. The disposition of objects should thus be infrequent, carefully considered, and subject to the conditions listed below.
2. The Museum's Deaccessioning Policy and Procedure should never discourage the gifts of individual objects or collections of quality or in any way endanger our goodwill or lessen public confidence in the Museum. At the same time, some degree of flexibility must be maintained so that, when appropriate, an acceptable procedure exists for the disposal of works of art.
3. Deaccessioning shall be defined in this statement as the formal adjustment of records to reflect the removal of an accessioned object from the University Art Museum's permanent collection. Disposition shall be defined as the manner in which ownership of an object is transferred from the Museum to another entity either by sale, exchange, or transfer. In rare circumstances the physical discard of an object may be required, and such circumstances are detailed below.
4. Any funds received from deaccessioning must be used exclusively for the acquisition of objects for the Museum's collections.

## PROCEDURE

5. A Deaccessioning Committee shall be established to carry out the Deaccessioning Policy state above. That Committee shall normally consist of no fewer than eight persons, four of whom must be regular members of the University Art Museum staff and four of whom must be from outside the staff of the Museum. The Committee must include the Museum director, who will serve as the Chair of the Committee, the registrar, and a curator so long as those staff positions exist and are filled. The director shall appoint the curatorial member, one additional staff member, and the four nonstaff members to serve on the Committee. Of the four Committee members from outside the staff of the Museum, two of them must be from the University community and two of them must be from outside the University.
6. The terms of the appointed members of the Deaccessioning Committee shall be three years. Members may be reappointed. The terms of the appointed members shall be staggered.
7. A secretary shall be appointed to keep the minutes of the meetings of the Deaccessioning Committee.
8. Proposals to the Deaccessioning Committee with respect to the deaccession of objects are initiated only by the director of the University Art Museum. All deaccession action must be approved by the Deaccessioning Committee by unanimous vote.
9. Before a work proposed for deaccession is recommended to the Deaccessioning Committee, the Museum will consult a recognized expert in the field of study in which the work falls and invite that expert to provide an opinion regarding the work. Expert opinions will be sought from within the University faculty when feasible or from outside consultants who are acknowledged specialists in the field.



10. A written report of the reasons for every proposed deaccession will be prepared by the curator or other Museum staff member and submitted by the Museum director to the Deaccessioning Committee. The report will also contain a recommendation for the method of disposition.
11. Any object being considered for deaccession must be accompanied by a written appraisal of its fair market value from a qualified source. For each object valued under \$1,000, the Museum's curator or director may provide a written estimate. At least one independent appraisal is required for each object estimated by the curator to have a value of more than \$1,000 but less than \$10,000. Two independent appraisals are required for each object estimated at a value of over \$10,000 but less than \$50,000; and three independent appraisals are required for each object estimated at a value of over \$50,000.
12. If the Museum wishes to deaccession an object which was received as a gift from a still-living donor, the Museum will attempt to ascertain the wishes of the donor with regard to deaccessioning before the proposal to deaccession is presented by the Museum director to the Deaccessioning Committee. If the widow, widower, or children of the donor are known to be alive and their whereabouts can be determined with reasonable effort, they will be similarly consulted. The original donor's name may be retained on works acquired in exchange for or purchased with funds predominantly from the sale of the deaccessioned object unless the donor or the widow, widower, or children of a deceased donor request otherwise.
13. No collection object that has been acquired by gift with a restriction as to its retention may be deaccessioned while said restriction remains in effect, unless the retention of the object presents a clear, physical danger to other objects in

the collection or unless it is proven that the object is held in violation of the UNESCO Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property.

14. In deciding whether any object of the University Art Museum's permanent collection is to be deaccessioned, the Committee must take into consideration the following factors:

- a. The Museum's ability to continue to preserve or care for the object properly;
- b. The extent to which an object may, in the context of the collection, be redundant or a duplicate of equal or inferior quality;
- c. The extent to which the disposition of an object may, either through exchange or sale, permit the Museum to upgrade its collection;
- d. The discovery that an object has been misattributed, falsely documented, and/or is considered to be a forgery. Such objects, however, may still be useful for study purposes and retained. Whether the object is retained or deaccessioned, the Museum's records must be changed to indicate clearly the object's rightful attribution;
- e. The infestation or other condition of an object which cannot be ameliorated that makes it dangerous to other objects in the collection;
- f. An object's lack of relationship to the Museum's collection or the educational offerings of the University of Minnesota;
- g. The entry of an object into the collection in violation of the UNESCO Convention on the Means of Prohibiting and Preventing the

Illicit Import, Export and Transfer  
of Ownership of Cultural Property;

- h. The extent to which the object would more properly be held by another unit or department of the University of Minnesota.

Methods of Disposition

15. Transfer

The director of the Museum may recommend to the Deaccessioning Committee that an object in the Museum's collection would more appropriately be held by another unit or department of the University of Minnesota and that unit or department has a system for proper recordkeeping and facilities for the care of the object. Where it is deemed that there is no appropriate collecting unit or department at the University, the director may recommend to the Deaccessioning Committee that the title to an object be transferred to an outside institution. Such action would be taken for the express purpose of keeping the object available to the public. Upon recommendation of the Deaccessioning Committee, the ownership of the object may be transferred to an outside institution or the possession of the object may be transferred to an outside institution or the possession of the object may be transferred to another unit or department at the University.

16. Sale or Exchange

An object deaccessioned from the University Art Museum's collection may be offered for sale or exchange to another museum or suitable institution for the express purpose of keeping that object available to the public.

If sale or exchange to a suitable institution proves impossible within a reasonable period of time, the Committee may direct that art dealers may be notified and invited to bid or that the

work be sent to public auction. The highest bidder shall be the buyer.

Before any sale or exchange, the name of the University Art Museum and/or the University of Minnesota shall be removed from each object. Deaccessioned objects which have been established to be reproductions, facsimiles, frauds, or forgeries shall be so described and so marked before such objects are offered for sale. Every effort will be made so that incorrect documentation will not be used to deceive the public.

No member of the Deaccessioning committee or staff member of the University Art Museum shall purchase or receive any objects of art deaccessioned under this policy.

17. Destruction

The Museum director may recommend to the Deaccessioning Committee that an object be destroyed because that object is contaminated or infested to the extent that it endangers the condition of other objects in the collection. The Deaccessioning Committee must approve both the destruction of the object and the method of destruction, as recommended by the director.

18. Records

Upon the recommendation of the Deaccessioning Committee to deaccession an object, and the approval by the Vice President for Academic Affairs, the registrar will remove the accession number and will adjust the records to reflect this action. The accession number of the deaccessioned object will not be reassigned to any other object.

Records on all deaccessioned objects shall be maintained in the registrar's files but will be separate from those pertaining to current University Art Museum collection objects. The file on each deaccessioned object shall include all papers regarding

the deaccessioning and disposition of the object in addition to all original accession papers. A photograph of each deaccessioned object shall also be retained in the file.

The Board of Regents voted unanimously to approve the recommendation of the Educational Planning & Policy Committee.

Chair Casey reported that the committee also reviewed a recommendation to discontinue the Flight Training Program at Anoka; reviewed a legislative report on Rochester initiatives; discussed the University's Planning Report to the Legislature; and received a report on the current status of the Institute of Technology.

#### REPORT OF THE FACULTY, STAFF & STUDENT AFFAIRS COMMITTEE

Regent Hilke, Chair of the committee, reported that the committee voted unanimously to recommend approval of the following action:

- a) Approval of resolution re Vice President's Monthly Report, as follows:

RESOLVED, that on the recommendation of the Vice President for Academic Affairs, the personnel actions affecting Academic and Professional and Administrative faculty and staff members as listed in the Vice President's Monthly Report including the added amendments presented at the meeting.

Documentation is filed supplement to the minutes, No. 22,081.

- b) Approval of Student Employment Rules: Twin Cities Campus. Documentation is filed supplement to the minutes, No. 22,082.

The Board of Regents voted unanimously to approve the recommendation of the Faculty, Staff & Student Affairs Committee.

Chair Hilke reported that the committee also paid recognition to Barbara Bartholomew, outgoing Chair of the Civil Service Committee; reviewed the Minnesota Plan II, a plan to develop ways to maintain positive work

environments for women faculty and to create changes in units where the work climate is adversely affecting the productivity and advancement of women faculty; and discussed the use of money from the Permanent University Fund to establish endowed chairs and professorships. In addition, he reported that Vice President Wilderson announced his resignation as Vice President for Student Development.

#### REPORT OF THE PHYSICAL PLANNING & OPERATIONS COMMITTEE

Regent Roe, Chair of the committee, reported that the committee voted unanimously to recommend approval of the following actions:

- a) Approval of the Monthly Report of the Vice President for Finance, which included Purchasing, Project Status Report, and Miscellaneous - Physical Planning & Operations. Documentation is filed supplement to the minutes, No. 22,083.

- b) Approval of resolution re Purchase of Goods/Services Over \$250,000, as follows:

**RESOLVED**, that on the recommendation of the Interim President and the Acting Vice President for Finance and Operations, the appropriate staff are authorized to award pending purchases/contracts over \$250,000.

Documentation is filed supplement to the minutes, No. 22,084.

- c) Approval of resolution re Rosemount Research Center, 160th Street Extension, as follows:

**RESOLVED**, that on the recommendation of the Interim President and the Acting Vice President for Finance and Physical Planning, the appropriate administrative officers are authorized to execute an agreement with the Dakota County Board of Commissioners authorizing the extension of Dakota County's east-west road on 160th Street through the University's Rosemount Property.

- d) Approval of resolution re Mayo Garage Fire Protection Sprinkler System Project, Twin Cities Campus, as follows:

RESOLVED, that on the recommendation of the Interim President and the Acting Vice President for Finance and Physical Planning, the appropriate administrative officers are authorized to proceed with the design and construction of the Mayo Garage Fire Protection Sprinkler System Project located on the Twin Cities Campus.

- e) Approval of resolution re Coffman Memorial Union Garage Fire Protection Sprinkler System, Twin Cities Campus, as follows:

RESOLVED, that on the recommendation of the Interim President and the Acting Vice President for Finance and Physical Planning, the appropriate administrative officers are authorized to proceed with the design and construction of the Coffman Memorial Union Garage Fire Protection Sprinkler System located on the Twin Cities Campus.

- f) Approval of resolution re Gould Building ALG Still, Tank and Piping Project, Scope Increase, Twin Cities Campus, as follows:

RESOLVED, that on the recommendation of the Interim President and the Acting Vice President for Finance and Physical Planning, the appropriate administrative officers are authorized to increase the approved total project cost estimate for the Gould Building ALG Still, Tank and Piping Project located on the Twin Cities Campus from \$565,369 to \$930,148.

The Board of Regents voted unanimously to approve the recommendation of the Physical Planning and Operations Committee.

Chair Roe reported that the committee also reviewed information pertaining to a possible lease arrangement with the Dakota Technical Institute at the Rosemount Research Center and information pertaining to replacement of PCB contaminated transformers on the Twin Cities and Morris Campus.

He also reported that the agenda item pertaining to Middlebrook Hall room heating and cooling improvements was delayed.

The meeting adjourned at 12:15 p.m.

  
BARBARA MUESING, Secretary