

UNIVERSITY OF MINNESOTA

BOARD OF REGENTS

Friday, May 10, 2013

9:00 a.m. - 12:00 p.m.

600 McNamara Alumni Center, Boardroom

Board Members

Linda Cohen, Chair
David Larson, Vice Chair
Clyde Allen
Richard Beeson
Laura Brod
Thomas Devine
John Frobenius
Dean Johnson
Peggy Lucas
David McMillan
Abdul Omari
Patricia Simmons

AGENDA

1. Recognitions - L. Cohen/E. Kaler (pp. 3-4)
 - A. General Counsel
 - B. Student Representatives to the Board of Regents
2. Approval of Minutes - Action - L. Cohen
3. Report of the President - E. Kaler
4. Report of the Chair - L. Cohen
5. Receive and File Reports (pp. 5-6)
 - A. Annual Review of President's Delegations
6. Consent Report - Review/Action - L. Cohen (pp. 7-23)
 - A. Report of the All-University Honors Committee
 - B. Gifts
7. Report of the Student Representatives to the Board of Regents - C. Mikl (pp. 24-45)
8. Resolution Related to New Integrated Structure for Patient Care Services - Review/Action - E. Kaler/A. Friedman/B. Daniels (pp. 46-48)
9. President's Recommended Six-Year Capital Improvement Plan - Review - E. Kaler/K. Hanson/R. Pfutzenreuter/P. Wheelock (pp. 49-71)
10. President's Recommended FY 2014 Annual Capital Improvement Budget - Review - E. Kaler/R. Pfutzenreuter/P. Wheelock (pp. 72-95)
11. Collaboration Across the Big Ten: An Overview of the Committee on Institutional Cooperation (CIC) - K. Hanson (p. 96)
12. Report of the Audit Committee - R. Beeson
13. Report of the Academic & Student Affairs Committee - L. Cohen

14. Report of the Facilities & Operations Committee - D. Johnson
15. Report of the Faculty & Staff Affairs Committee - R. Beeson
16. Report of the Finance Committee - J. Frobenius
17. Report of the Litigation Review Committee - L. Cohen
18. Old Business
19. New Business (pp. 97-98)
20. Adjournment



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Board of Regents

May 10, 2013

Agenda Item: Recognition of General Counsel

review review/action action discussion

Presenters: President Eric W. Kaler

Purpose:

policy background/context oversight strategic positioning

To recognize Mark B. Rotenberg, General Counsel.

Outline of Key Points/Policy Issues:

Mark Rotenberg has served as the University's chief legal officer since 1992, providing legal counsel to the Board of Regents, President, Executive Vice President and Provost, campus Chancellors, and other University central officers. He is also Professor of Practice at the University of Minnesota Law School.

In addition to directing the University's in-house legal services and retention of outside counsel for all University units, Rotenberg has oversight responsibilities for the Office of Athletic Compliance and the Office of Records and Information Management. He also participates in University-wide policy development and decision-making as part of the President's executive leadership group.

Before coming to the University, Rotenberg was a partner at Dorsey & Whitney in Minneapolis, specializing in employment litigation and labor law. Previously, he served in the Department of Justice's Office of Legal Counsel, which provides legal counsel to the President, the White House staff, and heads of federal executive departments and agencies. He also served in Washington as law clerk to Judge Patricia M. Wald on the United States Court of Appeals, D.C. Circuit.

Rotenberg earned his B.A., magna cum laude, from Brandeis University, and J.D., M.Phil., and M.A. degrees from Columbia University, where he was an editor of the Columbia Law Review and was twice named a Harlan Fiske Stone Scholar in the Law School. Rotenberg has taught at the University of Minnesota Law School for many years, and has served as an adjunct professor in the University's College of Liberal Arts. He also was a visiting professor at the Hebrew University Law School in Jerusalem.

Background Information:

A Certificate of Appreciation may be presented to any person for contributions or services at the collegiate or all-University level.



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Board of Regents

May 10, 2013

Agenda Item: Recognition of Student Representatives to the Board of Regents

review review/action action discussion

Presenters: Chair Linda Cohen

Purpose:

policy background/context oversight strategic positioning

To publicly recognize the contributions of the Student Representatives to the Board of Regents

Outline of Key Points/Policy Issues:

2012-2013 Student Representatives to the Board of Regents

Cody Mikl (Chair)
Twin Cities (GAPSA)

Jesse Jennings
Crookston

Meghan Mason (Vice Chair)
Twin Cities (GAPSA)

Kyle Kroll
Twin Cities (MSA)

Molly Tomfohrde (Vice Chair)
Duluth

Josh Preston
Morris

Melissa Erlandson
Rochester

Mike Schmit
Twin Cities (MSA)

Background Information:

A Certificate of Appreciation may be presented to any person for contributions or services at the collegiate or all-University level.



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Board of Regents

May 10, 2013

Agenda Item: Receive and File Reports

review review/action action discussion

Presenters: Chair Linda Cohen

Purpose:

policy background/context oversight strategic positioning

Background Information:

The following items are included for receipt and filing:

- A) Annual Review of the President's Delegations

University of Minnesota Board of Regents
Annual Review of the President's Delegations
Receive and File Report
May 10, 2013

Board of Regents Policy: *Reservation and Delegation of Authority* sets forth the expectation that the president shall report annually to the Board of Regents significant changes made to the presidential delegations of authority. There have been no significant changes made to the presidential delegations of authority during the past twelve months outside of personnel designations in the following positions:

- Vice President for University Services
- Vice President for Research
- President, University of Minnesota Foundation
- Chancellor, University of Minnesota Crookston
- Director of Intercollegiate Athletics, Twin Cities Campus

In addition, the position of Senior Vice President for Academic Administration, University of Minnesota System (Robert Jones) has been removed from the president's delegations. There were two authorities relating to the Twin Cities campus that were delegated only to Senior Vice President Jones. These have now been delegated by President Kaler to the Senior Vice President for Academic Affairs and Provost Karen Hanson. All other authorities delegated to Senior Vice President Jones were shared delegations with other senior leaders and those delegations remain in place. Any re-delegations from Senior Vice President Jones to his direct reports have been appropriately re-aligned within the delegations library based on the new alignment of the units that previously reported to Senior Vice President Jones.



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Board of Regents

May 10, 2013

Agenda Item: Consent Report

review review/action action discussion

Presenters: Chair Linda Cohen

Purpose:

policy background/context oversight strategic positioning

To seek Board of Regents approval of items in the Consent Report, as required in Board of Regents Policy: *Reservation and Delegation of Authority*.

Outline of Key Points/Policy Issues:

Items for consideration:

- I. Report of the University Naming Committee
The President recommends approval of the University Naming Committee recommendation forwarded to the Board of Regents in a letter dated May 1, 2013.
- II. Gifts
The President recommends approval of the Summary Report of Gifts to the University of Minnesota through March 31, 2013 (attached).

President's Recommendation for Action:

The President recommends approval of the Consent Report.

**MEETING OF THE BOARD OF REGENTS
GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA
SUMMARY REPORT***

May 2013 Regents Meeting

	February		Year-to-Date	
	2013	2012	07/01/12 02/28/13	07/01/11 02/29/12
U of M Gift Receiving	\$ 349,727	\$ 76,110	\$ 1,293,383	\$ 1,028,440
4-H Foundation	8,647	40,017	330,205	341,688
Arboretum Foundation	1,141,029	120,505	5,665,032	7,391,056
Univ of MN Foundation	16,745,581	8,869,576	130,818,599	142,190,855
Total Gift Activity	\$ 18,244,984	\$ 9,106,208	\$138,107,219	\$ 150,952,039

*Detail on gifts of \$5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.

Gifts to benefit the University of Minnesota

Gifts received in February 2013

<u>Donor</u>	<u>Rec'd by</u>	<u>Gift/Pledge</u>	<u>Purpose of gift</u>
<u>\$500,000 - \$1,000,000</u>			
Betsy Packard	UM	Pledge	Minnesota Landscape Arboretum
<u>\$250,000 - \$500,000</u>			
Prospect Creek Foundation	UMF	Gift	Masonic Cancer Center
Dr. Glen D. Nelson	UM	Gift	Weisman Art Museum
Stone Pier Foundation	UM	Pledge	Minnesota Landscape Arboretum
The Mosaic Company	UMF	Gift	Institute on the Environment
<u>\$100,000 - \$250,000</u>			
Dr. Sylvia J. Kerr Estate	UMF	Gift	College of Biological Sciences
Gordon E. Robertson Estate	UMF	Gift	College of Education and Human Development
Jane M. Rademacher Estate	UMF	Gift	Carlson School of Management
Fifth District Eagles Cancer Telethon Fund	UMF	Gift	Hormel Institute
Roger and Mary Haxby Fund-Fidelity Charitable Gift Fund	UMF	Gift	College of Science and Engineering
3M Foundation Incorporated	UMF	Gift	College of Education and Human Development, College of Science and Engineering
Malcolm A. McCannel	UMF	Gift	Medical School
Louise W. McCannel Estate	UMF	Gift	Weisman Art Museum
Andrea Hricko Hjelm and Kenneth E. Hjelm	UMF	Gift	Department of Intercollegiate Athletics
Avera Marshall Regional Medical Center	UMF	Pledge	Scholarships
Virginia M. Kivits Estate	UMF	Gift	Minnesota Landscape Arboretum
TCF Foundation	UMF	Gift	Scholarships
<u>\$50,000 - \$100,000</u>			
General Mills Incorporated	UMF	Gift	Carlson School of Management, College of Food, Agricultural and Natural Resource Sciences, Department of Intercollegiate Athletics
William S. Marvin Fund-MN Community Foundation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
BMO Harris Bank	UMF	Gift	Department of Intercollegiate Athletics

\$50,000 - \$100,000

Jane S. Miller	UMF	Pledge	College of Education and Human Development
Emerson Electric Company	UMF	Gift	Department of Intercollegiate Athletics
Hypertherm Incorporated	UMF	Gift	College of Science and Engineering
Dr. Bob and Jean Smith Foundation	UMF	Gift	Medicine
3M Company	UMF	Gift	College of Science and Engineering, U of M Medical School - Duluth
The Minneapolis Foundation	UMF	Gift	Psychiatry, Academic Health Center
Anonymous	UMF	Gift	College of Science and Engineering
Lions Multiple District 5M Hearing Foundation Incorporated	UMF	Gift	Otolaryngology
Medtronic Incorporated	UMF	Gift	Carlson School of Management, Department of Intercollegiate Athletics
Helen Jane and Edward J. Morrison	UMF	Gift	University of Minnesota Morris
California Institute of Contemporary Arts	UMF	Gift	Family Medicine and Community Health
CooperVision	UMF	Gift	College of Science and Engineering
Corning Incorporated	UMF	Gift	College of Science and Engineering
Don R. and Carole J. Larson	UMF	Pledge	College of Liberal Arts
Franz Halberg	UMF	Gift	Laboratory Medicine and Pathology
Margot B. J. and Steven D. Roberts	UMF	Gift	College of Veterinary Medicine
Richard P. and Carole L. Hebert	UMF	Gift	University of Minnesota Crookston
Rudolf C. Dankwort	UMF	Gift	Schulze Diabetes Institute
Walter W. FASTER	UMF	Pledge	Schulze Diabetes Institute

\$25,000 - \$50,000

Edward J. and Helen Jane Morrison	UMF	Gift	University of Minnesota Morris
Mary L. Haxby	UMF	Gift	College of Science and Engineering
Roger R. Haxby	UMF	Gift	College of Science and Engineering
J. J. Taylor Distributing of Minnesota	UMF	Gift	Department of Intercollegiate Athletics
Cambria USA	UMF	Gift	Department of Intercollegiate Athletics
Eastman Chemical Company	UMF	Gift	College of Science and Engineering
John A. and Colleen L. Economos	UMF	Gift	University of Minnesota Duluth
Richard L. Jantz	UMF	Gift	Department of Intercollegiate Athletics
Lowell F. Schwab	UMF	Gift	Unrestricted
Newport Equities, LLC	UMF	Gift	Microbiology
Austin MN Junior Hockey LLC	UMF	Gift	Hormel Institute
Joel A. Elftmann	UMF	Gift	College of Veterinary Medicine
Bremer Bank	UMF	Gift	Department of Intercollegiate Athletics
Viking Materials Incorporated	UMF	Gift	Department of Intercollegiate Athletics
Paul D. and Betsy Mitchell	UMF	Gift	Department of Intercollegiate Athletics

\$25,000 - \$50,000

Nicholas P. Koenig HERO Foundation	UMF	Pledge	Scholarships
The Charles A. Weyerhaeuser Memorial Foundation	UMF	Gift	College of Science and Engineering
Dr. Lawrence A. Thibodeau	UMF	Pledge	College of Liberal Arts
John D. French Estate	UMF	Gift	College of Liberal Arts
Micro Control Company	UMF	Gift	College of Veterinary Medicine, Medicine
Mosaic Holdings Incorporated	UMF	Gift	Department of Intercollegiate Athletics
Roy P. Rasmussen	UMF	Gift	Scholarships
Stratasys Incorporated	UMF	Gift	College of Science and Engineering
Wells Fargo Bank, N.A.	UMF	Gift	Medicine

\$10,000 - \$25,000

Suburban Radiologic Consultants, Ltd.	UMF	Gift	Radiology
Thomas P. and Jane B. Nelson	UMF/UM	Gift	Minnesota Landscape Arboretum, Medical School Administration
General Mills Foundation	UMF	Gift	Bell Museum of Natural History
Ames Construction Incorporated	UMF	Gift	Department of Intercollegiate Athletics
Hysitron Incorporated	UMF	Gift	College of Science and Engineering
Irene T. Chanin Estate	UM	Gift	Minnesota Landscape Arboretum
Marvin L. Ballard	UMF	Gift	College of Science and Engineering
Pfizer Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Associated Bank	UMF	Gift	Department of Intercollegiate Athletics
CSDZ Incorporated	UMF	Gift	Department of Intercollegiate Athletics
JE Dunn Construction Company	UMF	Gift	Department of Intercollegiate Athletics
APi Group	UMF	Gift	Department of Intercollegiate Athletics
Helen S. Henton Trust	UMF	Gift	Unrestricted
Frattalone Companies Incorporated	UMF	Gift	Department of Intercollegiate Athletics
Gustafson Gluek PLLC	UMF	Gift	Department of Intercollegiate Athletics, Humphrey School of Public Affairs
C. H. Robinson Incorporated	UM	Gift	Minnesota Landscape Arboretum
Joe Dowling and Siobhan Cleary	UMF	Pledge	College of Liberal Arts
Star Tribune	UMF	Gift	College of Liberal Arts
RBC Wealth Management	UM	Gift	Minnesota Landscape Arboretum
Marguerite Henry Family Trust	UMF	Gift	College of Education and Human Development
Dr. James J. Jenkins Estate	UMF	Gift	College of Liberal Arts
Ecolab Incorporated	UMF	Gift	Carlson School of Management

\$10,000 - \$25,000

Dr. Donald I. Brownstein	UMF	Gift	College of Liberal Arts
H. B. Fuller Company	UMF	Gift	College of Science and Engineering
Ormco Corporation	UMF	Gift	School of Dentistry
Frank R. Braun	UMF	Gift	College of Education and Human Development
Arysta LifeScience North America Corporation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
WIPFLi Foundation Incorporated	UMF	Gift	Carlson School of Management
The Saint Paul Foundation	UMF	Gift	Radiology
Community Health Charities Minnesota	UMF	Gift	Masonic Cancer Center
Various Donors	UMF	Gift	Hormel Institute
Anonymous	UMF	Gift	Scholarships
Autodesk Incorporated	UMF	Gift	College of Design
Charles W. Farnham III	UMF	Pledge	Department of Intercollegiate Athletics
Enterprise Community Partners Incorporated	UMF	Gift	College of Design
Eugene W. Ollila	UMF	Gift	Scholarships
John E. Lindahl	UMF	Gift	Medicine
Kenneth E. Tilsen	UMF	Gift	College of Liberal Arts
Michael S. Berman	UMF	Gift	University of Minnesota Duluth
Minnesota Pest Management Association	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Piper Jaffray Companies	UMF	Gift	Medicine
Proto Labs Incorporated	UMF	Gift	Carlson School of Management
Schwan's Consumer Brands Incorporated	UMF	Gift	Carlson School of Management
Stanley A. Leonard	UMF	Gift	Pediatrics
Susan Hill Gross	UMF	Pledge	Libraries
T. J. Technologies Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Terry D. Griffith	UMF	Gift	Recreational Sports
The Bergquist Company	UMF	Gift	College of Science and Engineering
The H. H. Weinert Foundation	UMF	Gift	University of Minnesota Duluth
The James Ford Bell Foundation	UMF	Gift	College of Liberal Arts

\$5,000 - \$10,000

American Dental Partners Foundation	UMF	Gift	School of Dentistry
PriceWaterhouseCoopers Foundation	UMF	Pledge	Carlson School of Management, College of Liberal Arts
C. Charles Jackson Foundation	UMF	Gift	College of Liberal Arts
Donald O. Dencker	UMF	Gift	College of Liberal Arts

\$5,000 - \$10,000

Eaton Charitable Fund	UMF	Gift	Carlson School of Management
Towers Watson	UMF	Gift	Department of Intercollegiate Athletics
Wound Ostomy and Continence Nurses Society Foundation	UMF	Gift	School of Nursing
HealthEast	UMF	Gift	School of Public Health
AG Specialties LLC	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Gray Plant Mooty	UMF	Gift	Carlson School of Management
Thoratec Corporation	UMF	Gift	Medicine
Banfield Pet Hospital	UM	Gift	College of Veterinary Medicine
All Around Property Preservation	UMF	Gift	Department of Intercollegiate Athletics
Anonymous	UMF	Gift	College of Liberal Arts
SipcamAdvan	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Charles Denny Jr. and Carol Denny Fund- Minneapolis Foundation	UMF	Gift	College of Liberal Arts, Humphrey School of Public Affairs
Bon-Ton Stores Foundation	UMF	Gift	Masonic Cancer Center
Duane E. Joseph	UMF	Gift	Law School
Custom Drywall Incorporated	UMF	Gift	Department of Intercollegiate Athletics
Bon-Ton Stores Incorporated	UMF	Gift	Masonic Cancer Center
Emogene C. Evans Estate	UMF	Gift	College of Liberal Arts
ExxonMobil Foundation	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences
French American Cultural Exchange	UMF	Gift	Graduate School
Pink Arrow Project	UMF	Gift	Surgery
Sally A. Callahan	UMF	Gift	Department of Intercollegiate Athletics
US Energy Services Incorporated	UMF	Gift	Department of Intercollegiate Athletics
Midwest Coca-Cola Bottling Company	UMF	Gift	Neurology
John M. Muggee	UMF	Gift	Pediatrics
Andersen Corporation	UMF	Gift	Medicine
Anonymous	UMF	Gift	Dermatology
Anonymous	UMF	Gift	Urologic Surgery
Burton G. and Cynthia R. Ross Fund- Minneapolis Foundation	UMF	Gift	Law School
Daniel A. Duprez	UMF	Gift	Medicine
Dennis and Lois Maki Charitable Gift Fund	UMF	Gift	University of Minnesota Duluth
Division of Medicinal Chemistry- American Chemical Society	UMF	Gift	College of Pharmacy
Dr. Carl G. Krespan	UMF	Gift	College of Science and Engineering

\$5,000 - \$10,000

Dr. Dale L. Lange	UMF	Gift	College of Education and Human Development
Dr. Thomas B. Mowbray	UMF	Gift	University of Minnesota Duluth
George M. Reid	UMF	Gift	College of Liberal Arts
Greene Espel PLLP	UMF	Gift	Humphrey School of Public Affairs
Harriet T. Ludwick	UM	Gift	Minnesota Landscape Arboretum
Helen and Daniel Lindsay Family Fund- Minneapolis Foundation	UMF	Gift	College of Liberal Arts
Jason J. Caron	UMF	Gift	Orthopaedic Surgery
Jay N. Cohn	UMF	Gift	Medicine
Jeannine M. Rivet	UMF	Gift	Center for Spirituality and Healing
Kaplan Strangis and Kaplan PA	UMF	Gift	Law School
Karin L. Van Dyke	UMF	Gift	College of Liberal Arts
Kraus-Anderson Construction Company	UMF	Gift	Medicine
MOM Brands Company	UMF	Gift	Carlson School of Management
Neil P. Anderson	UMF	Gift	College of Liberal Arts
Network for Good	UMF	Gift	Pediatrics
Norwest Venture Capital Management Incorporated	UMF	Gift	Medicine
Rosemary W. Gruber	UMF	Gift	Masonic Cancer Center
Sally G. and David L. Kohlstedt	UMF	Gift	College of Science and Engineering
St. Jude Medical Incorporated	UMF	Gift	Medicine
UPM Blandin Paper Company	UMF	Gift	University of Minnesota Duluth
VHA	UMF	Gift	SPH Health Services Research
Weck Charitable Trust	UMF	Gift	College of Science and Engineering
Wesley R. and Sonja M. Swanson	UMF	Gift	College of Science and Engineering
Ximedica LLC	UMF	Gift	College of Science and Engineering
YourCause	UMF	Gift	Pediatrics

**MEETING OF THE BOARD OF REGENTS
GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA
SUMMARY REPORT***

May 2013 Regents Meeting

	March		Year-to-Date	
	2013	2012	07/01/12 03/31/13	07/01/11 03/31/12
U of M Gift Receiving	\$ 167,177	\$ 524,575	\$ 1,460,559	\$ 1,553,016
4-H Foundation	19,175	9,643	349,380	351,331
Arboretum Foundation	2,305,512	198,628	7,970,545	7,589,684
Univ of MN Foundation	17,686,961	10,678,651	148,505,560	152,869,506
Total Gift Activity	\$ 20,178,825	\$ 11,411,497	\$158,286,044	\$ 162,363,537

*Detail on gifts of \$5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.

Gifts to benefit the University of Minnesota

Gifts received in March 2013

<u>Donor</u>	<u>Rec'd by</u>	<u>Gift/Pledge</u>	<u>Purpose of gift</u>
<u>\$1 Million and Over</u>			
Dr. Roger E. Anderson Estate	UMF	Gift	ROTC
Hormel Foundation	UMF	Gift	Hormel Institute
Alice Tashjian	UM	Pledge	Minnesota Landscape Arboretum
<u>\$500,000 - \$1,000,000</u>			
Donald C. Wegmiller	UMF	Pledge	SPH Health Management and Policy
Dr. James H. and Carol E. Moller	UMF	Pledge	Academic Health Center
<u>\$250,000 - \$500,000</u>			
H. William and Judy Walter	UMF	Pledge	Intercollegiate Athletics, Carlson School of Management
Carol Landgraf Estate	UMF	Gift	Hormel Institute
The McKnight Foundation	UMF	Gift	Various Colleges
<u>\$100,000 - \$250,000</u>			
Travelers	UMF	Gift	Carlson School of Management
Pfizer Incorporated	UMF/UM	Gift	College of Veterinary Medicine, Minnesota Landscape Arboretum, Surgery
Dorothy B. Passer Estate	UMF	Gift	University of Minnesota Duluth
Martin G. Weinstein	UM	Gift	Weisman Art Museum
Edward J. and Helen Jane Morrison	UMF	Pledge	University of Minnesota Morris
Park Nicollet Health Services	UMF	Gift	Neurosurgery
Anonymous	UMF	Gift	Ophthalmology
Minnesota Lions Vision Foundation Incorporated	UMF	Gift	Ophthalmology
Lee B. and Margaret J. Skold	UMF	Pledge	Carlson School of Management
Don and Lorraine Freeberg Foundation	UMF	Gift	Carlson School of Management
Dr. Gregory B. and Ms. Janna L Holmes	UMF	Pledge	College of Pharmacy
Franz Halberg	UMF	Gift	Laboratory Medicine & Pathology
The Karen Wyckoff Rein in Sarcoma Foundation	UMF	Gift	Masonic Cancer Center

\$50,000 - \$100,000

John E. and Nancy E. Lindahl	UMF	Pledge	Carlson School of Management
Gopher Sports Properties	UMF	Gift	Intercollegiate Athletics
3M Company	UMF	Gift	Carlson School of Management, College of Science and Engineering, College of Veterinary Medicine
General Mills Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences, Intercollegiate Athletics
BlueCross BlueShield of Minnesota	UMF	Gift	Carlson School of Management, Humphrey School of Public Affairs
Kenneth and Claudia Silverman Family Foundation	UMF	Gift	Pediatrics
State Farm Mutual Automobile Insurance Company	UMF	Gift	Carlson School of Management, Humphrey School of Public Affairs
Barry R. James and Kang Ling James	UMF	Pledge	University of Minnesota Duluth
Donald L. and Ione E. Skaar	UMF	Pledge	College of Science and Engineering
Evonik Corporation	UMF	Gift	College of Science and Engineering
Fund for Science of Giving/Impact Assets Incorporated	UMF	Gift	College of Biological Sciences
Kessler Foundation	UMF	Gift	College of Education and Human Development
NetApp	UMF	Gift	College of Science and Engineering
Norling Family Fund-Fidelity Charitable	UMF	Gift	School of Nursing

\$25,000 - \$50,000

Starke and Virginia Hathaway Trust	UMF	Gift	College of Liberal Arts
Marguerite Henry Family Trust	UMF	Gift	College of Education and Human Development
Robertet Flavors Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Ford Motor Company	UMF	Gift	College of Science and Engineering
Carol E. Macpherson Memorial Scholarship Fund	UMF	Gift	Scholarships
Bray Family Support Organization	UMF	Gift	Scholarships
Federated Mutual Insurance Company	UMF	Gift	Intercollegiate Athletics
Giner Incorporated	UMF	Gift	Radiology
Paul and Mary Reylets Foundation-Signature Fund Minneapolis Fund	UMF	Gift	College of Design

\$25,000 - \$50,000

Best Buy Purchasing LLC	UMF	Gift	Carlson School of Management, Institute on the Environment
Nihon Kohden Corporation	UMF	Gift	College of Science and Engineering
Harold O. Peterson Estate	UMF	Gift	University of Minnesota Crookston
Andrea Hricko Hjelm and Kenneth E. Hjelm	UMF	Gift	Intercollegiate Athletics
Gary J. Ray	UMF	Gift	Hormel Institute
Andrews-Hunt Fund-Minneapolis Foundation	UMF	Gift	Global Programs and Strategy Alliance
Anonymous	UMF	Gift	Family Medicine and Community Health
Antofagasta Minerals	UMF	Gift	University of Minnesota Duluth
BASF SE	UMF	Gift	College of Science and Engineering
Boehringer Ingelheim Vetmedica Incorporated	UMF	Gift	College of Veterinary Medicine
Douglas and Wendy Dayton Foundation	UMF	Gift	College of Veterinary Medicine
Ellen D. Grace Revocable Trust	UMF	Gift	Bell Museum of Natural History
Heinz North America	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Intervet Incorporated	UMF	Gift	College of Veterinary Medicine
Minnesota Pork Board	UMF	Gift	College of Veterinary Medicine
NatureWorks LLC	UMF	Gift	College of Science and Engineering
Santiago Greco and Alejandro Kilian	UMF	Gift	College of Science and Engineering
United HealthCare Services Incorporated	UMF	Gift	Medicine

\$10,000 - \$25,000

General Mills Foundation	UMF/UM	Gift/Pledge	Various Colleges
Agriculture and Agri-Food Canada	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Minnesota Section-Institute of Food Technologists	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences
Edward J. Holmbeck	UMF	Gift	College of Science and Engineering
Southern Minnesota Beet Sugar Cooperative	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
St. Jude Medical Incorporated	UMF	Gift	Medicine, Pediatrics
Susan F. Marrinan Estate	UMF	Gift	Law School
The Scott Richards North Star Charitable Foundation	UMF	Gift	Neurology
Michael and Connie Murtaugh	UMF	Gift	College of Veterinary Medicine

\$10,000 - \$25,000

MN Annual Conference-United Methodist Church	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Anonymous	UMF	Gift	Ophthalmology
Benno and Gertrude Wolff Family Fund-St. Paul Foundation	UMF	Gift	Law School
Securian Foundation	UMF	Gift	Intercollegiate Athletics
YMCA of the Greater Twin Cities	UMF	Gift	Libraries
AgStar Financial Services	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Cy and Paula DeCosse Fund-Minneapolis Foundation	UMF	Gift	College of Liberal Arts
Drs Wayne A. and Carol A. Pletcher	UMF	Gift	College of Biological Sciences
Fidelity Charitable Gift Fund	UMF	Gift	Family Medicine and Community Health
Ingersoll-Rand Charitable Foundation	UMF	Gift	College of Science and Engineering
Lillehei Family Charitable Foundation	UMF	Gift	Medicine
Questcor Pharmaceuticals Incorporated	UMF	Gift	Medicine
Samuel Heins and Stacey Mills	UMF	Gift	College of Liberal Arts, Law School
Muriel M. Orcutt Estate	UMF	Gift	Libraries
Big Sky Cattle LLC	UMF	Gift	Intercollegiate Athletics
Takasago International Corporation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Dougherty Financial Group LLC	UMF	Gift	Intercollegiate Athletics
Washburn McReavy Funeral Chapels	UMF	Gift	Intercollegiate Athletics
Charles T. Hvass Sr. Estate	UMF	Gift	Law School
Martin and Brown Foundation	UM	Gift	Minnesota Landscape Arboretum
Minnetronix Incorporated	UMF	Gift	College of Science and Engineering
Center for Computer-Assisted Legal Instruction	UMF	Gift	Law School
Wells Fargo Foundation	UMF	Gift	Carlson School of Management
Philip L. Kalleberg	UMF	Gift	Surgery
Janet S. Yee	UMF	Gift	Masonic Cancer Center
ACIST Medical Systems Incorporated	UMF	Gift	Medicine
Agribank FCB	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
C. Carlyle Clawson	UMF	Pledge	Medical School

\$10,000 - \$25,000

Calpis Company Ltd	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Chapman Forestry Foundation	UMF	Gift	College of Design
Dana R. Lonn	UMF	Gift	College of Science and Engineering
Ecolab Incorporated	UMF	Gift	Carlson School of Management
Jeannine M. Rivet	UM	Gift	Minnesota Landscape Arboretum
Land O'Lakes Incorporated	UMF	Gift	Pediatrics
McLaughlin Gormley King Company	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Micro Control Company	UMF	Gift	College of Science and Engineering
Minnesota Twins	UMF	Gift	Neurology
Newmont Mining Corporation	UMF	Gift	University of Minnesota Duluth
Northland Securities Incorporated	UMF	Gift	Pediatrics
NXC Imaging	UMF	Gift	Medicine
Robert F. Wilson	UMF	Gift	Medicine
Robert Rulon-Miller	UMF	Pledge	Libraries
Tamron USA Incorporated	UM	Gift	Minnesota Landscape Arboretum
TCF Foundation	UMF	Gift	Dermatology, Urologic Surgery
Winfield Solutions LLC	UMF	Gift	Carlson School of Management, College of Food, Agricultural and Natural Resource Sciences

\$5,000 - \$10,000

International Food Science Center	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Roger A. Neilson	UMF	Gift	Surgery
Benjamin F. Nelson Estate	UMF	Gift	Academic Health Center
3M Foundation Incorporated	UMF	Pledge	Various Colleges
PetroAlgae LLC	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
West Metro Medical Foundation	UMF	Gift	Academic Health Center
Fishing for a Cure	UMF	Gift	Hormel Institute
Crystal Farms Refrigerated Distribution Company	UMF	Gift	Intercollegiate Athletics
Erik Torgerson	UMF	Gift	Intercollegiate Athletics
Allen R. Arvig	UMF	Gift	Intercollegiate Athletics
Dr. Hugh A. Edmondson Jr.	UM	Gift	Minnesota Landscape Arboretum
GBH Labs LLC	UMF	Gift	College of Veterinary Medicine
Thomas P. Lentz	UMF/UM	Gift	Intercollegiate Athletics, Minnesota Landscape Arboretum
Fast Break Club	UMF	Gift	Intercollegiate Athletics
Press-Sure Printing Incorporated	UMF	Gift	Intercollegiate Athletics

\$5,000 - \$10,000

Wound Ostomy and Continece Nurses Society Foundation	UMF	Gift	School of Nursing
C. H. Robinson Incorporated Flavor & Extract Manufacturers Association of the U.S., Incorporated	UMF UMF	Gift Gift	Carlson School of Management Masonic Cancer Center
Interfacial Solutions, LLC The Richard H. Driehaus Foundation	UMF UMF	Gift Gift	College of Science and Engineering College of Design
Eberle Scholarship Fund-St. Paul Foundation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Bruce E. and Diane Vandersall Curtis A. Sampson	UMF UMF	Gift Gift	Intercollegiate Athletics Intercollegiate Athletics
Davisco Foods International Incorporated	UMF	Gift	Intercollegiate Athletics
Engineering America Incorporated Harry A. Engelbrecht	UMF UMF	Gift Gift	Intercollegiate Athletics Intercollegiate Athletics
MacQueen Equipment Incorporated	UMF	Gift	Intercollegiate Athletics
SFM	UMF	Gift	Intercollegiate Athletics
Timothy P. Horan	UMF	Gift	Intercollegiate Athletics
Agxplre International LLC	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Dr. Lee A. Borah Jr.	UMF	Gift	College of Liberal Arts
Grant J. Christianson	UMF	Gift	Intercollegiate Athletics
Jeff Cowan	UMF	Gift	Intercollegiate Athletics
Patricia A. Lyon	UMF	Gift	Intercollegiate Athletics
Ralph L. Strangis	UMF	Gift	Intercollegiate Athletics
Roy R. Ferber	UMF	Gift	Intercollegiate Athletics
Walter G. Anderson Incorporated	UMF	Gift	Intercollegiate Athletics
James C. Carter	UMF	Gift	Intercollegiate Athletics
Gregory P. Nelson	UMF	Gift	Intercollegiate Athletics
Phyllis and Donald Kahn Foundation Incorporated	UMF	Gift	College of Science and Engineering
Dr. Charles F. and Barbara E. Kolpin	UMF	Gift	College of Science and Engineering
Louis V. Nanne	UMF	Gift	Intercollegiate Athletics
The Minneapolis Foundation	UMF	Gift	Unrestricted
Lenore B. Danielson	UMF	Gift	College of Biological Sciences
Joan E. Videen	UMF	Gift	Schulze Diabetes Institute
US Bank	UMF	Gift	Law School
Ann D. McDonald	UMF	Gift	Medicine
Anonymous	UMF	Gift	Pediatrics

\$5,000 - \$10,000

Bookoff McAndrews PLLC	UMF	Gift	College of Science and Engineering
Carolyn J. Sorensen	UMF	Gift	College of Liberal Arts
Corn Capital Innovations	UM	Gift	4H Foundation
Curry Stone Foundation	UMF	Gift	College of Design
David D. Laxson	UMF	Gift	Medicine
Dr. Douglas R. Berdie	UMF	Gift	College of Liberal Arts
Dr. Susan E. Jackson	UMF	Gift	University of Minnesota Morris
Eide Bailly LLP	UMF	Gift	Carlson School of Management
Emerson Process Management	UMF	Gift	College of Science and Engineering
Faegre Baker Daniels LLP	UMF	Gift	Medicine
Frank H. Burton	UMF	Gift	Pharmacology
Gary L. Wilson	UMF	Gift	Intercollegiate Athletics
George and Deborah R. John	UMF	Gift	Carlson School of Management
Gregory J. Beilman	UMF	Pledge	Surgery
J. and J. Industries	UMF	Gift	College of Design
J. Lawrence McIntyre	UMF	Pledge	Law School
Jerry and Rebecca Kill	UMF	Gift	Intercollegiate Athletics
Jim and Cathy Gray Fund-Fidelity	UM	Gift	Minnesota Landscape Arboretum
Investment Charitable Gift Fund			
Joan E. Semmer	UMF	Gift	Ophthalmology
Joan M. Lentner Estate	UMF	Gift	Carlson School of Management
Kaplan Strangis and Kaplan PA	UMF	Gift	Law School
Lommen Abdo Cole King and Stageberg PA	UMF	Gift	Carlson School of Management
Mark A. Eustis	UMF	Gift	Medicine
Maslon Edelman Borman and Brand LLP	UMF	Gift	Carlson School of Management
Meredith Corporation Foundation	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences
Neil P. Anderson	UMF	Gift	University of Minnesota Rochester
Network for Good	UMF	Gift	Pediatrics
Next Generation Fund-Hugh J. Andersen Foundation	UMF	Gift	College of Veterinary Medicine
Paul Fox	UMF	Pledge	Amplatz Childrens Hospital
PreferredOne Administrative Services	UMF	Gift	Pediatrics
RBN Incorporated	UMF	Gift	University of Minnesota Crookston
Robert J. Bache	UMF	Gift	Medicine
Robert R. Melcher	UMF	Gift	College of Science and Engineering
Ronald and Janet Schutz Family Fund	UMF	Gift	Law School

\$5,000 - \$10,000

S. and T. Mc Carthy Fund-Vanguard Charitable Endowment Program	UMF	Gift	College of Veterinary Medicine
S. Edward Wagner	UM	Gift	Minnesota Landscape Arboretum
Sanford Medical Center Fargo	UMF	Gift	Academic Health Center
The Canadian Institute for Advanced Research	UMF	Gift	College of Education and Human Development
The Driscoll Foundation	UM	Gift	Minnesota Landscape Arboretum
The James Ford Bell Foundation	UMF	Gift	College of Veterinary Medicine
Thomas P. Magne	UMF	Gift	Schulze Diabetes Institute
United Way of Tucson and Southern Arizona	UMF	Gift	Scholarships
YourCause	UMF	Gift	Pediatrics



UNIVERSITY OF MINNESOTA BOARD OF REGENTS

Board of Regents

May 10, 2013

Agenda Item: Report of the Student Representatives to the Board of Regents

review review/action action discussion

Presenters: Cody Mikl, Chair, Student Representatives to the Board of Regents

Purpose:

policy background/context oversight strategic positioning

The Report of the Student Representatives to the Board of Regents provides a student perspective on issues and concerns facing University students.

Outline of Key Points/Policy Issues:

The report will discuss and make recommendations regarding the following issues:

- Tobacco-Free Campus
- Instructor Evaluation Transparency
- Open Educational Resource Initiative

A report from campus student government organizations is also included in the docket materials.

Background Information:

Board of Regents Policy: *Student Representatives to the Board of Regents* allows the Student Representatives to the Board of Regents, with consent of the Executive Director, to make a report to the Board each semester.

Spring Semester 2013 Report of the Student Representatives to the University of Minnesota Board of Regents

The Student Representatives to the Board of Regents appreciate the thoughtful response of the Board to the Fall 2012 report including Chair Cohen's written response and suggested actions. We look forward to a continued conversation on the items of that report including undergraduate student tuition, graduate student concerns, and student mental health. As we close out our year as Student Representatives, we wanted to discuss opportunities for change at the University over the course of the next academic year and beyond. The Student Representatives to the Board of Regents see the future of the University of Minnesota as one that embraces a tobacco-free campus, increases transparency of teaching evaluations, and is open to continued growth of open educational resources.

1. Tobacco-Free Campus

Board Precedence

In August, 1993 the Regents approved and implemented the Administrative Policy, Smoke-Free Indoor Air which states, "Smoking is prohibited in all facilities owned or leased by the University of Minnesota". The Board ratified the Smoke-Free Indoor Air policy in order to implement the Minnesota Clean Indoor Air Act as well as to meet the Board's commitments under the Board of Regents policy on Health and Safety. The Smoke-Free Indoor Air Administrative Policy reads, "The protection of the health and safety of students, faculty, staff and visitors is a primary responsibility of the Regents of the University of Minnesota. Smoking is widely recognized as the single most important cause of preventable human disease, including lung cancer, heart disease, and emphysema. Also recognized is the fact that breathing second-hand smoke can cause the same health problems in non-smokers. This policy was implemented to protect employees, students, and visitors to the University community from the hazards of second-hand smoke and to protect the rights of the non-smoking community to breathe smoke-free air."

This policy was spearheaded by the late Regent Peggy Craig MD who experienced the impact of smoking and exposure to tobacco smoke in her medical practice. In 1992, Provost E.F. Infante appointed the Committee on Smoke Free Air on the Twin Cities Campus, comprised of 23 individuals representing a very broad constituency across the Twin Cities campus under the direction of Vice President Marvalene Hughs. Their charge was to "achieve the goal of providing all Twin Cities campus, staff, faculty and students a smoke free environment." This committee held public forums, meetings, and written communications with stakeholders across campus, and concerns about the implementation and enforcement of the policy quickly abated. The policy was strongly supported by the University Assembly and implemented in August 1993 with very few complaints or reports of infractions. Many advances have been made in the 20 years since this Board policy was approved, and the Student Representatives would like to offer suggestions on how to better fulfill the goal of the 1992 committee to "provide all Twin Cities campus staff, faculty and students a smoke-free environment.":

Health Impact

- 1.7 million current college/university students in the United States are projected to die prematurely from tobacco-related illness (based on calculations from Centers for Disease Control and Prevention)
- 81% of U of M campus students report being exposed to secondhand smoke “on campus” (Boynton, 2010)
- “On campus” is the most commonly reported location for secondhand smoke exposure among U of M students (Boynton, 2010)
- Among U of M students who smoke, 22% started smoking after arriving on campus (Boynton, 2010)

The United States Department of Health and Human Services asserts that there is no safe level of tobacco use or secondhand smoke exposure (USDHHS, 2006). In addition to the adverse health outcomes that smokers experience, exposure to secondhand smoke among non-smokers contributes to excessive preventable mortality (USDHHS, 2006). Students report that their primary point of exposure to secondhand smoke is on campus, and campus is the site of induction of smoking behaviors for one-fifth of present student smokers at the University of Minnesota. A tobacco-free campus policy would directly impact the health of our student population.

Academic Impact

In addition to the direct health impacts of smoking and secondhand smoking, smoking and tobacco use influence the academic success of our student population. Students who report using smoking tobacco within the past 30 days have a statistically significantly lower mean GPA than students who report not using smoking tobacco within the past 30 days (3.21 vs. 3.36, respectively, $p < 0.0001$). Even students who report using smoking tobacco on only 1-2 of the past 30 days have a lower mean GPA than students who report not using smoking tobacco within the past 30 days ($p < 0.0001$) (Boynton, 2008). A similar trend exists among students who report using smokeless tobacco. Those who used smokeless tobacco within the past 30 days have a lower mean GPA than students who report not using smokeless tobacco within the past 30 days (3.06 vs. 3.34, respectively, $p < 0.0001$) (Boynton, 2008). As an institution committed to the academic success of students, implementation of a tobacco-free policy at the University of Minnesota would be in line with that mission.

Best Practices in Higher Education

- 1,159 U.S. colleges and universities are 100% smoke free and of these, 783 are completely tobacco free including:
 - Big 10 schools: Indiana University, Ohio State University (Sept. 2013), University of Illinois, University of Iowa, University of Michigan
 - Five of the 10 largest universities: Ohio State University, Florida International University, University of Central Florida, University of Florida, University of Texas Austin
 - Entire systems: University of California (all 9 Campuses), City University of New York (24 campuses)
- 49 Minnesota colleges and universities have tobacco- or smoke-free policies, including University of Minnesota, Duluth, Crookston, and Rochester campuses

Smoke-free and tobacco-free campus policies have had a demonstrated direct impact on student smoking habits and long-term benefits to the health of all members of the population. Smoke-

free campus policies are shown to decrease current smoking prevalence in students, decrease the amount of cigarettes used by those who continue to smoke, decrease students' acceptance of peer smoking, change social norms around tobacco use, and increase favorable attitudes towards regulation of tobacco. These findings are consistent with a study that found that college students who lived in smoke-free residences were more likely to be nonsmokers (Seo et al, 2011). Research has also demonstrated that tobacco-free policies reduce secondhand smoke, smoking prevalence, and heart disease morbidity (IARC, 2009). The University of Minnesota has been a national leader in healthcare and environmental practices. A tobacco-free campus has become an expectation among peer institutions rather than an innovation.

Operational Efficiency

- A review of the University of Minnesota's UPlan costs shows that illness directly caused by tobacco use costs the plan at least \$10 million dollars annually
- On average, smokers miss almost twice as many work days per year compared to non-smokers and businesses pay an average of \$2,189 in workers' compensation costs for smokers compared to \$176 for non-smokers.
- 8.5% of University of Minnesota, Twin Cities employees (1,441 employees) are current smokers (any use in the last 30 days) (Boynton, 2012)
- Assuming all 1,441 employees are UPlan members, direct healthcare costs for smokers are \$4.35 million or \$3,019 per smoker annually (July 2012 email correspondence with Employee Benefits Group)
- The CDC estimates an average of \$2,100 in lost productivity per adult smoker (USDHHS, 2004), which translates to \$3,026,100 in lost productivity for the 1,441 employees who smoke.
- Total estimated financial impact to the University from the approximately 1,441 employees who smoke is \$7,376,479 annually

Recognizing the increased healthcare costs of individuals who use tobacco products, several employers throughout the state of Minnesota have implemented tobacco-free campus policies including 3M and United Health Group. Employees of these organizations cannot smoke during the workday, and either adjusts their smoking schedule accordingly, or quit smoking altogether. If we want to prepare our students for the Minnesota workforce, then having them adapt to a tobacco-free work environment needs to be part of their experience here at the University.

Implementation

- Tobacco-free campus means: smoking, use of all tobacco products, and use of unregulated nicotine products are strictly prohibited in indoor and outdoor spaces, including parking lots, university vehicles, and property owned or leased by the University of Minnesota.
- Based on consultation with schools that have implemented tobacco-free campus policies, the proposed timeline from the announcement of policy to policy implementation is 12-18 months
- Designate an implementation team to develop and execute a comprehensive communications plan, environmental plan, promote cessation services and determine an evaluation system
- Enforcement will be primarily educational with an emphasis on cessation resources

The most common questions and concerns about moving toward a tobacco-free campus often surround implementation efforts. Best practices from other campuses that have gone tobacco-free include the creation of a broad and diverse task force that work on the implementation of the policy based on campus-wide input, in a gradual and smooth manner. The recommended transition period is one-year to 18 months, and includes temporary staffing, signage, removal of ashtrays, educational campaigns, marketing efforts, and cessation assistance. These expenses pale in comparison to the savings related to reduced health care claims, increased productivity, and reduced litter clean-up. It is also important to note that enforcement of the policy is rooted in education, and is not designed to punish violators. Peer-regulation has been successful in deterring breaches of this policy on other campuses.

Support for a tobacco-free campus

- 67% of staff and faculty, 64% of students support a tobacco-free campus policy (Boynton, 2012)
- 60% of current U of M students who smoke and more than 50% of staff/faculty who smoke have attempted to quit in the past year, with a range of quit attempts of 1-15
- 26.4% of current students who SMOKE and 17.5% of staff/faculty who SMOKE support a tobacco-free campus policy
- Minnesota Student Association, the Graduate and Professional Student Assembly, the Student Senate, the Benefits Advisory Committee, the Student Affairs Student Advisory Board, and 23 other University groups support this initiative

We have included for your review a list of all of the University groups that support a tobacco-free campus policy, indicating strong grassroots support for this policy across numerous stakeholders. Senator Terri Bonhoff, the chair of the higher education committee recently also voiced her support of this policy in a letter to President Kaler which is also enclosed. Based on the Boynton Health Services survey, we know that in addition to non-smoking students, staff and faculty, there is even support among smokers to implement this policy. Smokers are recognizing that this public policy change will assist them in their attempts to quit by reducing both the convenience of smoking and their exposure to secondhand smoke (Boynton, 2012).

Recommendations

Twenty years ago, the Board of Regents took a leadership stance on tobacco control. Much has changed in our knowledge of the dangers of secondhand smoke, the healthcare costs of tobacco users, and the trend toward smoke-free and tobacco-free campuses among our peer institutions. An additional consideration for the Twin Cities campus in upcoming years will be the light-rail transit platforms. Recognizing that these will be smoke-free, the absence of a tobacco-free policy on campus may invite individuals who are not members of the University community on to campus just to smoke. Therefore, the Students Representatives to the Board of Regents recommend the expansion of the current Board “Smoke-Free Indoor Air” policy to include ALL indoor and outdoor spaces on University of Minnesota System campuses, including parking lots, university vehicles and property owned or leased by the University.

References

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2. How to Increase Instructor Evaluation Transparency, Legally

At the end of each semester, instructors at the University are required to distribute a teaching evaluation tool called the Student Rating of Teaching (SRT) form. This form acts as a survey to collect opinions from students about both the instructor and the class. The Office of Measurement Services is tasked with gathering all SRT results and compiling the data. This data is eventually used to 1) help improve teaching, 2) inform faculty tenure decisions and salary and promotion decisions based on merit, and 3) assist students in course selection (Administrative Policy, 2009).

Over the course of this year, there has been increased interest to make SRT results more accessible to students in an effort to ensure that the SRTs are fulfilling the third goal, assisting students in course selection. As college expenses increase, students are taking a more conscientious approach to registering for courses in an effort to ensure the best quality class for their tuition dollars. However, the current SRT policy has been inadequate in assisting in course selection because most SRT results are not made available to students.

SRT Results are currently divided into three main sections: rating of the instructor, demographic information, and student “release” questions. The current SRT policy allows for the release of up to seven questions from this last section, provided the instructor consents to their being released. This can be accomplished by filling out a release form, which makes the release process opt-in. As with many opt-in processes, the participation rate of release is currently around 12.5% (427 professors have released data for 2011 out of 3,423 faculty, and no 2012 data has been released).

As the opt-in process only includes a fraction of the faculty, students tend to use external review resources such as RateMyProfessor.com, which assesses a teacher’s helpfulness, clarity, and the rigor of the course. Students have also been supplementing the lack of information provided about our instructors by reaching out to fellow students for anecdotes and opinions. The potential for response bias in anecdotal opinions and websites like RateMyProfessor.com is immense, as they often provide extreme views about our instructors and obfuscate overall opinion. Broader use of the SRT data would more reliably inform students in their course selection process.

After a review of the SRT policy by the undergraduate student government at the Twin Cities campus (MSA), its University Policy and Student Concerns (UPSC) committee wrote and passed a resolution calling for a small change to the policy. They wished to change the release process for student release questions to an “opt-out” policy, one that would require professors to opt-out of release (Resolution R04-011, 2013). The Office of the General Counsel has reviewed whether this change would be legal under state and federal law and has concluded “some of the information in Student Review of Teaching evaluations, as currently worded, is private personnel data and can only be released with the consent of the instructor.” (Smith, T., 2013) This is because the Minnesota Government Data Practices Act requires that government entities, including the University, must gain the informed consent (Administrative Rule, 1205.1400) of individuals (Minnesota Statute 13.02, Subd. 8) to release their private, collected data (Minnesota Statute 13.05, Subd. 4 (d)) Therefore, an opt-out system is not possible with current SRT wording and policy.

However, many student release questions are not private data since they are not related to the professor. Many of the student release questions are directly related to a class, its structure and content. There are nine student release items, listed below, and only items 5-9 speak to the qualifications and performance of the instructor :

- 1) Approximately how many hours per week do you spend working on homework, reading, and projects for this course?
- 2) Compared to other courses at this level, the amount I have learned in this course is:
- 3) Compared to other courses at this level, the difficulty of this course is:
- 4) I would recommend this course to others.
- 5) I would recommend this instructor to others.
- 6) The instructor is approachable.
- 7) The instructor makes effective use of the course readings.
- 8) The instructor creates worthwhile assignments
- 9) The instructor has a grading system that is reasonable.

Questions 1 through 4 do not address the qualifications or performance of the instructor, and thus are not restricted from release under the Minnesota Government Data Practices Act. The Student Representatives believe that the answers to these questions would be extremely valuable to students. Question 1 could give students an idea of whether or not the class would work with their busy or open schedules. Question 2 would provide students with insight into how much they would learn, a major factor in attending the University in the first place. Question 3 would prepare students in planning a good class balance between easier and tougher classes rather than being blindsided by all of one or the other. Question 4 would be helpful in deciding between two classes alike in topic and scope.

Questions 1 through 4 would be helpful to students, and barring any legal restrictions, the Student Representatives propose that these items be released for student use without instructor informed consent. The current SRT policy does allow the instructor the power to release these questions, by visiting the Office of Measurement Services website (according to the informed consent language of 1205.1400 Subp. 4 (d)) at any time throughout the academic year (Office of Measurement Services, University of Minnesota). The Student Representatives believe this policy should be changed to require informed consent for release only for questions 5 through 9, not questions 1 through 4. This small change would be a good first step in improving the assistance of students with course selection.

In discussions with Dean of Undergraduate Education, Robert McMaster PhD, he emphasized his support for trying to increase opt-in participation by encouraging departments to ask instructors to release data. According to the SRT policy, each semester University administrators should be sending every instructor a release request. It would also be helpful to students if the SRT results could be integrated with the class search and registration functions of the OneStop Student Services' online portal. In our review of the OneStop website, we could not find any links to SRT results, though we have been told one does exist. The SRT results can be found on the Office of Measurement Services' website on a webpage (Office of Measurement Services, University of Minnesota) with the "Student Access Tool." The tool allows users to filter SRTs by the last name of an instructor or a course. This tool is simple, straightforward, and provides results, but it could be more useful if integrated with OneStop.

We envision searching for a class and being able to click on SRT results from within the class search. What if SRT results were or could be shown in the description of the class or by clicking on the already-existing links of professor names designated as upcoming instructors? What if we released the data from questions 1 through 4 for all classes and tied that information to class searches? To us, this would be the best way to tie released SRT data to class search and as best as possible assist students in their course selections. Furthermore, the utilization of

integrated results by students would encourage professors to release their data since now students can easily and transparently determine which classes have been well received by peers and which professors feel confident enough to release their data. As we begin upgrades of the Enterprise system that the Board of Regents approved this past semester, we have a unique opportunity to integrate the SRT and OneStop systems to streamline student registration.

We feel this solution is win-win for our entire University community. By changing the SRT policy to only release data from questions 1 through 4, professor data is still protected in a legal way. By integrating SRT results (both questions 1 through 4 and any released questions 5 through 9), students will be assisted in choosing courses. By doing both, voluntary opt-in participation should increase. Lastly, we'd be ensuring that our students are making the most informed registration decisions; that's something few other schools can be proud of.

References

Administrative Policy on the Evaluation of Teaching: Twin Cities, Crookston, Morris, Rochester (April, 2009). This policy is overseen by the Vice Provost for Faculty and Academic Affairs.

Resolution R04-011 (January, 2013), written by Marissa Kramer (UPSC Chair), Henry Rymer, Mike Schmit (current Student Representative to the Board of Regents), Patrick Mars (Ranking At-Large Representative of the Student Body), Nate Schwab (Student Senate Consultative Committee), and Taylor Williams (Student Body President)

Taken from an email (March 11, 2013) from Tracy M. Smith, Associate General Counsel of the Office of the General Counsel

Administrative Rule 1205.1400 Subp. 4 reviews the restrictions on informed consent, which require that individuals must not be coerced, informed consent shall be given in writing and identify the consequences of giving informed consent, that if reasonable efforts to obtain informed consent are made and not acknowledged in any way, silence shall be interpreted as the giving of implied consent, and that informed consent can be limited by the individual. Due to these restrictions, it seems that an opt-out system may not be fully considered informed consent.

Individuals are defined in Minnesota Statute 13.02, Subd. 8.

Informed consent is a limitation on the use of private data according to Minnesota Statute 13.05, Subd. 4 (d).

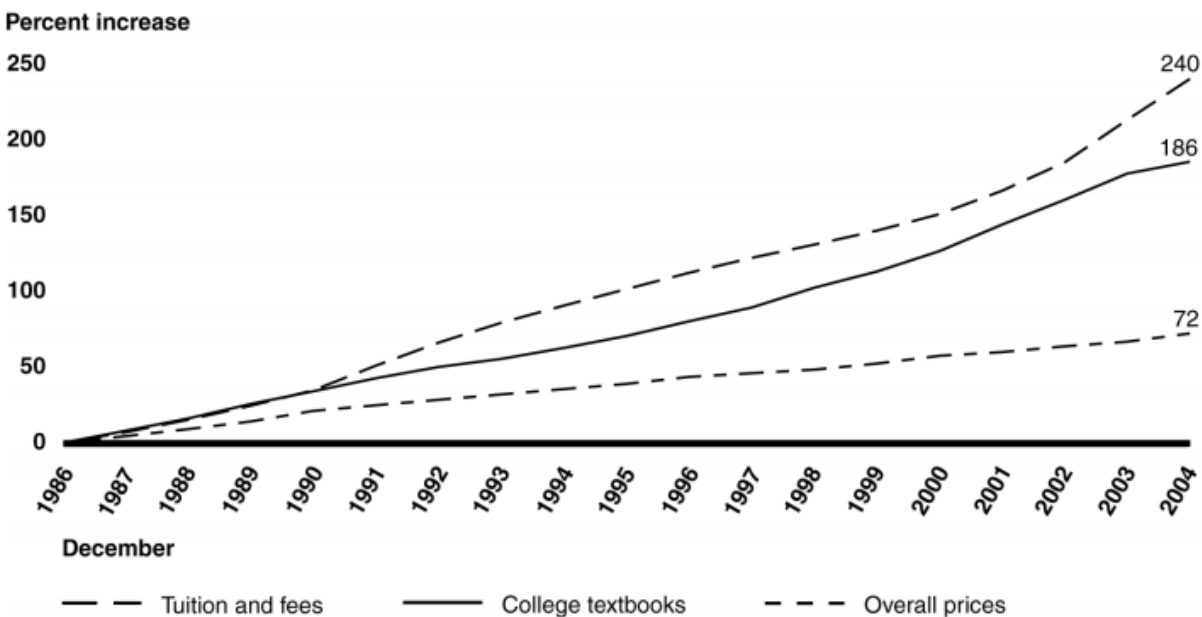
Office of Measurement Services, University of Minnesota, <http://oms.umn.edu/link/SR.php>

Office of Measurement Services, University of Minnesota, Student Rating of Teaching - Student Access Tool <http://oms.umn.edu/srtsr/>

3. Open Educational Resources Initiative

There are a variety of costs that students face when attending college. While tuition is one of the main concerns commonly vocalized by students, which was addressed in our fall report, it does not account for the external costs that influence the overall costs of obtaining a higher education. Among the additional costs faced by students, the rise in textbook prices is an emerging concern in all disciplines and fields. According to the Government Accounting Office, textbook prices rose at twice the rate of inflation averaging 6% a year between 1986 and 2004 (GAO 2005). A study comparing the purchasing price index (PPI) from 1990 to 2009 between “all finished goods” and “college textbook publishing” places the rate of textbook price increases at 4.4 times the rate of inflation (Allen, 2010). An analysis of the Consumer Price Index (CPI) between 1978 and 2012 shows an increase in costs of 812% in textbook prices (Perry, 2012).

Annual Percentage Increase in College Textbook Prices, College Tuition and Fees, and Overall Price Inflation, December 1986 to December 2004



Source: Bureau of Labor Statistics.

While there are multiple reasons for this increase, its impact on higher education affordability has encouraged government agencies and universities to search for solutions including the use of openly licensed or open access textbooks, customized digital course packets with a mixture of open and closed resources, as well as digital and used textbooks. While the best alternative or resource to utilize for a course will vary by instructor, by increasing the availability of high quality choices for instructors, instructors may be able to select a lower cost and high quality option for their students. The University of Minnesota recently developed the Open Textbook Catalog (<http://open.umn.edu>) to increase faculty awareness of high quality open textbooks which can greatly help decrease the total cost of a student’s education.

University of Minnesota students worked together this year to increase the visibility of the Open Textbook Catalog and to encourage faculty to consider adopting open textbooks and

lower cost alternatives for their courses. The Open Textbook Catalog can aid faculty in locating high quality open textbooks, and in its pilot year various open textbooks have been adopted at the University of Minnesota saving tens of thousands of dollars to CEHD students (Ernst, 2013)

The cost of textbooks can be prohibitive for students having an average cost of \$1,200 a year according to College Board's "book and school supplies" statistics, leading many of them to borrow their books from other students, and for up to 70% of students deciding against purchasing all of the textbooks needed for their studies (Allen, 2012, Redden, 2011). There are various significant factors adversely influencing students during the textbook buying process:

- The average full-time student registers for a minimum of five courses per semester, each typically requiring the purchase of at least one textbook. Many courses require more than one textbook and/or supplemental books which may be of assistance to students throughout the semester. The average introductory textbook costs approximately \$176 (Allen, 2012). The large quantity of textbooks required often leads to high costs and, in return, financial distress.
- The typical revision cycle of for many textbooks is three to four years, regardless of whether or not the previous edition needed updating or whether these updates were advocated for by the instructor(s). These short revision cycles, or more frequent revisions, limit students' ability to reduce their costs by purchasing used textbooks and selling their textbooks back to bookstores at the end of the term (Scholarly Publishing Office, 2009).
- The addition of supplemental resources, such as software, access codes and workbooks, are often bundled into a package for students which can substantially increase the cost of the textbook. Publishers say they have increased investments into these supplements, particularly web-based tutorials and self-assessment tools, to meet the increased demands from instructors who hope to enhance student learning (Scholarly Publishing Office, 2009). However, due to many professors being uninformed about some of the details of the textbook ordering process for their courses, many unknowingly choose bundle deals or high priced packages. Because of this, many may not even use the supplemental resources in their respective courses. The Connecticut Board of Governors for Higher Education study (2006) revealed that only 58 percent of that state's faculty was aware of the cost of the textbooks they selected for their courses (Board of Governors for Higher Education, 2006).

The Minnesota Student Legislative Coalition (MSLC), MSA, GAPSA, and other organizations have taken notice of this issue and have since made efforts towards finding ways in which these resources, specifically textbooks, can be made more available and for a more affordable cost. Collectively, these groups have started advocating for the use of Open Educational Resources (OER) within the University of Minnesota System. They advocated for the use of these resources during Open Education Week (March 11-15), at the state capital, and the University Senate. OER, a term first coined by UNESCO, are defined as educational materials offered under a license allowing the public to freely use, adapt, and distribute content, specifically textbooks (UNESCO, 2012, Creative Commons, 2012). Support for this issue among Minnesota students was an interested shared by UMN students with students in the MNSCU

system as together with MSUSA, MSLC, MSA, and GAPSA advocated for the further consideration of open textbooks and the formation of an Open Education Resource Council.

When utilizing an open textbook a faculty member can use the textbook in its entirety or select parts of different textbook and arrange them as they feel is most effectively. As Wikipedia has overtime increased in quality by utilizing an open license and increased access to information to millions worldwide, open textbooks have the potential to be a low cost - high quality alternative in many courses. OER borrowed from many of the production benefits from the Open Source Software (OSS) movement. As with the use of OSS, the intent of students has not been to advocate for the sole use of open resources but for them to be considered alongside other resources. As it is the case with software, there are high quality OSS and proprietary software. Currently Mozilla Firefox, Android OS and Apache Servers are three primary examples of the success of open licenses. By adopting open textbooks in a single course of 50 students with a textbook costing \$100 dollars, students in that course alone will be able to save \$5,000 dollars. The potential saving from open textbooks warrant their review, consideration, and further adoption.

A Florida State legislative report recently found that “there are compelling academic reasons to use open access textbooks such as: improved quality, flexibility and access to resources, interactive and active learning experiences, currency of textbook information, broader professional collaboration, and the use of teaching and learning technology to enhance educational experiences.” (OATTF, 2010). States such as California, Ohio, Texas, and Washington have also introduced legislation, conducted studies, and adoption initiatives.

OER textbooks can include anything from public-domain textbooks to existing textbooks, or even textbooks made specifically for OER. Open textbooks should not only help solve the problem of the high cost of textbooks in the US, but can also help overcome textbook shortages and other obstacles barring access to these resources as they can be duplicated without cost and printed for only the costs of the printing process (Sintjago, 2012). Table 1 below compares and contrasts open textbook resources to traditional textbooks.

Table 1

Open Textbook	Traditional Textbook
Dynamic	Static
Customizable	Non-customizable
Open content, post-publication peer review	Closed content, pre-publication peer review
Personalized for local conditions	Standardized content
Targeted in-depth material	Generic material
Timely	Dated
Integrate research findings into curriculum quickly	Unlikely so
Addresses multiple learning styles	Assumes a uniform learning style
Low-cost or Free	Costly

Note: This table is compiled from information provided by Henry (2004) and available at <http://cnx.org/content/m15226/latest/>

The Minnesota Student Legislative Coalition (MSLC) and GAPSAs, as well as a variety of student organizations from MNSCU, have been working towards the passage of legislation in Minnesota such as House Bill 789 <http://legiscan.com/MN/bill/HF789/2013> which would not only decrease the price of textbooks, but would also make textbooks more accessible to students. The bill is currently being revised to address some remaining concerns. Also, as of fall 2012, the University established the first Open Access Publishing Fund, which consists of funds from the budget of the University Libraries and the Office of the Vice President of Research. These funds are available to UMN authors from all campuses, to cover the costs of making their publications available to the world (Open Access Publishing Fund, 2012). While the efforts of these groups and initiatives are extremely admirable and honorable, greater support behind the use of OER and other similar resources is still needed.

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The Student Representatives to the Board of Regents urge your thoughtful reflection of the report presented above. We request your consideration of our recommendations, and look forward to working with you to both address the concerns of the University of Minnesota student body as well as to highlight the strengths of the University as it compares to its peer institutions.

Respectfully submitted,

Jesse Jennings - Crookston
Hannah Keil - Duluth Alternate
Kyle Kroll - Twin Cities
Kevin Lang - Twin Cities Alternate
Meghan Mason - Twin Cities, Vice Chair
Cody Mikl - Twin Cities, Chair
Josh Preston – Morris
Mike Schmit - Twin Cities
Molly Tomfohrde - Duluth, Vice Chair

University of Minnesota committees and student groups in support of a tobacco-free campus policy:

Academic Health Center Faculty Consultative Committee
Academic Health Center Student Consultative Committee
Benefits Advisory Committee
Camp Kesem Minnesota
College of Biological Sciences Student Board
Graduate and Professional Student Assembly (GAPSA)
Health Advocates
Health Ambassadors
Minnesota Student Association (MSA)
Rothenberger Institute
School of Public Health Student Senate
SNAP (Student Network for Abuse Prevention)
Student Affairs Student Advisory Board
Student Athlete Advisory Committee
Student Dance Coalition
Student Health Advisory Committee
Student Senate
Undergraduate Public Health Association
University of Minnesota Colleges Against Cancer and Relay For Life
University of Minnesota Pre-Med AMSA
University of Minnesota Nursing College Board
University Senate Committee on Social Concerns
University Senate Faculty Consultative Committee

Senator Terri E. Bonoff
Chair Higher Ed/Workforce Development
326 Minnesota State Capitol
St. Paul, MN 55155
Office: (651) 296-4314



Senate

State of Minnesota

April 3, 2013

President Kaler
202 Morrill Hall 100 Church Street S.E.
University of Minnesota
Minneapolis, MN 55455

Dear President Kaler,

I recently met with Taylor Williams, the student body President at the University of Minnesota to discuss their desire for a tobacco-free campus. The tobacco-free initiative has become an effort of great importance for students at the University to ensure they have a healthy campus. Taylor has produced questions to ask regarding this initiative. I intended to ask these questions in our span and layers discussion but did not. These are based on the University's research and impact studies. They believe the following is important to consider:

Their first question is regarding illness and productivity. They would like to know the cost impact of tobacco related illness and the amount of lost productivity to the University. As a follow up, they would like to know what cost savings the University, one of the state's largest employers, expects to see over time with the adoption of a Tobacco-free Campus policy.

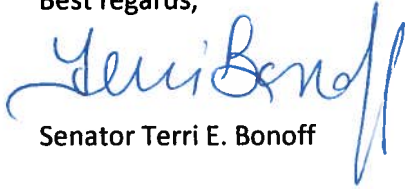
The students indicate that UCLA and the University of Michigan have tobacco-free policies. They would like to know why the University of Minnesota has not joined in the implementation of this policy.

They inform us that 19% of the current students are at least occasional tobacco users and nearly a quarter of these students started using tobacco when they came to the University. Parents may find these statistics very troubling. What policy changes are planned to combat this high rate of initiation on campus?

Lastly, University of Minnesota student governments along with dozens of other student and health related groups have passed resolutions in support of a tobacco-free policy, and when surveyed in 2012, 64% of students they say they support such a policy. With such strong support, have you considered moving towards a tobacco-free campus policy?

Thank you for your consideration. I would like to provide a forum where we can help students facilitate this discussion.

Best regards,

A handwritten signature in blue ink that reads "Terri Bonoff". The signature is fluid and cursive, with the first name "Terri" and last name "Bonoff" clearly legible.

Senator Terri E. Bonoff

cc: Taylor Williams-University of Minnesota Student Body President

Crookston Campus Report

The University of Minnesota, Crookston (UMC) campus underwent one of its biggest changes of the year at the start of the spring 2013 semester. This year marked the opening of a brand new residence hall to the students. On April 18th, the new hall was formally given its name of Heritage Hall, which has been a very exciting experience for its new residents.

On Thursday February 7th, 40 some odd UMC students make the trek down to the capitol to attend support the U day. This was record breaking attendance for the University of Minnesota, Crookston and it was derived from an idea that if our campus got as many students as we could to attend support the U day, it might make a statement that our students are serious about receiving support from the state for a Wellness Center. However, midway through this semester, the funding for the Wellness Center was not passed at this time and will be brought up again next year.

With arrival of a brand new Chancellor, Dr. Fred Wood, on April 19th, Chancellor Wood was formally inaugurated as the fifth chancellor of the University of Minnesota, Crookston. On behalf of the Crookston Student Association we would like to thank the Board of Regents and President Kaler of the University of Minnesota for attending this special ceremony for UMC and the community.

As for the Crookston Student Association (CSA), elections took place during the 11th and 12th of April, resulting in 5 new senators being elected, along with a new student body president and vice president. Elections for the last four remaining senator seats will be held in the fall of 2013.

Duluth Campus Report

We started off the year completing the street signs initiative that has been in progress for over five years. This initiative began after a call by students for a campus that exudes more school pride and a campus Strategic Plan that wanted a defined edge to campus without placing a harsh barrier such as a fence or a building. With help from multiple fundraising partners, students were able to witness the signs being installed this past October. SA has also made large strides in a very difficult initiative this year: Parking. Arguably one of the most commonly cited complaints students make to our organization, Student Association created an ad-hoc committee this year to investigate the current parking system. After many hours of research and discussion SA presented a new and more efficient parking structure to the administration. It may not be a parking ramp, but students can expect to see changes in the parking system soon.

Our Marketing and Communications Committee (MAC) has been busy producing surveys to gather data on the student opinion. Some noteworthy initiatives include the Library Hours Survey. This survey is being revamped and students can expect to see it in their inboxes soon. It will then be presented to staff in the library with the hopes of extending library hours to times that work better for students study schedules. MAC and the Legislative Certificate Program were involved in creating a Duluth Business Expansion survey to gauge student's reactions to the new BlueStone Lofts construction as well as student centered business projects. MAC received a 10% response rate and the Legislative Certificate Program will be presenting this survey to the members of the Duluth City Council in the coming weeks.

Students were invited to attend "Support the U Day" along with the other four coordinate campuses to urge legislators to fund higher education. Students also had the opportunity to attend Bulldog Lobby Day on March 6th to speak with legislators specifically about how UMD is important to them and how funding for their education is increasingly important. Student Association accompanied these lobbying efforts with packets of information for legislators on tuition rates, a "Put the Public Back in Public Education" Petition, as well as a postcard campaign with stories of student debt. These initiatives were a success! These same committees have also been attending city council meetings and events such as the State of the City Address given by Don Ness to speak with the Councilors about issues affecting students such as renting and housing ordinances. Coming in May, the Legislative Certificate Program and the Student Legislative Advocates will be embarking on a capstone project to Washington D.C. to lobby our national legislators and urge them to find more sustainable ways of funding higher education.

Two senior members of our organization Molly Tomfohrde and Kelsey Becker have been sitting on the rebranding task force for a year and a half representing student voices on the new brand. Students have probably seen the "Those Who Can Duluth" brand displayed throughout the school, and perhaps noticed the student rollout event in front of the first-floor bookstore. Student Association was solely responsible for the student rollout aspect of this. As part of this rollout students had the option to participate in a contest to write the next "Those Who Can Duluth" short story that will be published.

Hannah Mumm
Student Association President

Rochester Campus Report

During the spring semester, the Rochester Student Association has made many improvements to our governmental processes and to the campus at large. Within our board, we experimented with introducing parliamentary procedure into our meetings and have found it extremely beneficial for the efficiency and clarity of our meetings. In response to student needs, we have been able to make many updates to our campus as well. Power outlets were added to our commons space, a new ping pong table has been ordered for student entertainment, and a water bottle filtration system will soon be installed. We have also been working closely with our staff and faculty committees to increase sustainability throughout campus, to help ease the capstone experience application process required for all students and to increase the laptop IT service hours available to students. Our Student Activities Board (SAB) hosted our second annual talent show and fostered a relationship with the Rochester Civic Center in the process. Our Full Board also organized a *Pennies for Patients* drive on campus to raise money for the Leukemia and Lymphoma Society. We recently held our elections for the 2013-2014 year using the new Simply Voting electronic system and had the best voter turnout by far. Students also voted on their choice for our first annual Faculty of the Year Award which was presented at SAB's annual Countdown Cup Kickball Tournament. As we begin making the transition, we have begun brainstorming on the improvements that need to be made in the future and have a great list to continue building a strong foundation for the RSA to remain sustainable as UMR grows.

Twin Cities Campus Report (GAPSA and MSA):

Since the Fall semester report, GAPSA has deepened its partnerships with mental health resources across campus including Boynton Health Services and Active Minds. GAPSA supported two events in April. The first was Cirque de Stress (Apr 3), a mental health resource fair aimed at helping students balance their lives, complete with Circus Mojo as entertainment, therapy animals, and the ONE Unicycle Club. On April 16th GAPSA also provided funds and manpower for Mental Health Awareness Day which honored the 1,100 lives lost annually to collegiate suicides by lining the Washington Avenue Bridge with 1,100 chairs as well as having complementary mental health activities.

GAPSA has also been looking for creative ways to provide recreation services to its students based on the West Bank until facilities can be built on that side of campus. We started sponsoring Thursday night Yoga from 4:45-5:45 in Blegen Hall which is free to students. It has been a success, with about 8-10 students attending each session. We intend to continue this next semester as well as look for other opportunities to support physical fitness among our students.

Within GAPSA's assembly meetings, we have had discussions on open textbooks and e-learning, while also practicing some of the conversation and decision-making techniques we have learned through our partnership with the Center for Integrative Leadership (CIL). Just last week we had a conversation with Provost Hanson about the future of e-learning at the University of Minnesota through an "open space" forum where students traveled throughout the room to engage in multiple smaller conversations on a wide range of e-learning topics. We covered topics including the generation gap, fears of e-learning, intellectual property, and the effects of removing in-class interactions, both beneficial and detrimental to student learning. We look forward to working more closely with CIL as we implement a new governance structure next year that better reflects the diverse student population we represent.

Both MSA and GAPSA have passed resolutions in support of a smoke-free or tobacco-free campus. GAPSA was in favor of the tobacco-free campus while MSA voted in favor of a smoke-free campus. Despite the difference in resolutions passed, both student governance bodies are in favor of lessening tobacco use on campus and the revision of the Board Administration Policy on Smoke-free Indoor Air and broaden that to outdoor air on campus.

MSA has worked hard this legislative session to move medical amnesty forward for students. We are working toward immunity for underage persons for possession and consumption of alcohol when seeking medical care for themselves or others. Winona State and Minnesota State Mankato have campus medical amnesty policies as well as hundreds of campuses nationally.

MSA has also been involved in the on-going Light Rail Transit (LRT) discussion. While in support of a fare-free zone, we recognize that may not be an option permissible by the Metropolitan Council. One alternative improvement to Washington Avenue would be a bike lane that runs all the way from Stadium Village to downtown Minneapolis for our bike commuting students.

Mike Schmit (current Student Representative to the Board of Regents) will be next year's MSA president, and Brittany Edwards will continue in her leadership role for GAPSA.

Respectfully submitted,

Taylor Williams
MSA President

Brittany Edwards
GAPSA President



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Board of Regents

May 10, 2013

Agenda Item: Resolution Related to New Integrated Structure for Patient Care Services

review review/action action discussion

Presenters: President Eric W. Kaler
Aaron Friedman, Vice President and Dean, Medical School
Bobbi Daniels, CEO of University of Minnesota Physicians; Vice Dean,
Medical School

Purpose:

policy background/context oversight strategic positioning

The purpose of this item is: 1) to review plans for a new Integrated Structure to manage patient care services provided by University of Minnesota Physicians (UMP); University of Minnesota Medical Center, Fairview (UMMC); Amplatz Children's Hospital; and Fairview-UMP system-wide services in cardiovascular, oncology, and mother and children health, and services at the Maple Grove facility; and 2) to consider a resolution authorizing the President to finalize and execute two agreements between the University of Minnesota, UMP, and Fairview Health Services, creating and establishing the terms for the new Integrated Structure.

Outline of Key Points/Policy Issues:

The University, UMP and Fairview are committed to three essential goals for the State of Minnesota: providing excellent patient care, advancing health through breakthrough research, and educating the next generation of physicians and other health care providers.

To achieve these goals, the parties intend to create a new Integrated Structure to better coordinate management, governance, and operation of UMP and Fairview patient services. This structure represents the next chapter of the University-Fairview-UMP relationship - one that is evolving to meet the ever-changing health care environment.

In forming the Integrated Structure, all three parties are reaffirming a commitment to work together to create a top tier academic health system that provides outstanding patient care; integrates research, education, and patient care; and provides additional investment in the academic programs of the Medical School, and raises its national prominence.

The Integrated Structure has a co-management model through which it will be managed by co-presidents: the CEO of UMP, and the President of UMMC. Assets of UMP and Fairview will remain on the respective parties' books, but the co-presidents will develop the budget for the Integrated Structure (subject to approval of Fairview and UMP), and performance will be tracked on virtual financial statements for those activities within the Integrated Structure.

The Integrated Structure board will have 10 directors, with Fairview appointing five and the University and UMP appointing five, one of whom will be the Dean of the Medical School. The co-presidents of the Integrated Structure will act as ex-officio non-voting directors. The Dean of the Medical School will serve as Chair of the board.

The parties' expectation and goal is that the Integrated Structure will deliver a better patient experience and support enhanced clinical quality, innovation and efficiency, which will drive growth and revenues.

The term of the Agreement is five years, with a five-year renewal. Academic support to the Medical School will be \$7 million dollars annually for the first two years, \$8 million dollars annually for the second two years, and \$10 million dollars annually in the following six years. There may be additional academic funding available through distribution of funds in excess of net income targets.

Background Information:

The Board of Regents has previously reviewed and discussed the strategic goals of the Academic Health Center, including the critical need for a healthy and vibrant clinical enterprise. On May 11, 2012 the Board of Regents approved a resolution authorizing a letter of intent between the University of Minnesota, UMP, and Fairview Health Services to plan a new integrated patient care structure and to prepare the necessary legal agreements. The agreements under consideration at this time are a direct outcome of that letter of intent.

President's Recommendation for Action:

The President recommends that the Board of Regents approve the resolution authorizing the President to execute the necessary legal agreements to create and establish the terms for the new Integrated Structure: a Master Agreement between the University of Minnesota, UMP, and Fairview Health Services; and an Academic Support Agreement between the parties.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO

NEW INTEGRATED STRUCTURE FOR PATIENT CARE SERVICES

WHEREAS, the University of Minnesota (“University”) is party to an academic Affiliation Agreement with Fairview Health Services, a Minnesota nonprofit corporation (“Fairview”), pursuant to which the academic mission of the University and its Academic Health Center (“AHC”) is supported through collaboration in the provision of healthcare services to the people of Minnesota and others;

WHEREAS, University of Minnesota Physicians, the designated practice organization of the faculty of the University of Minnesota Medical School Twin Cities (“UMP”), is party to an Affiliation Agreement and other agreements with Fairview pursuant to which the practice of medicine is conducted at Fairview facilities in a manner supportive of the academic mission of the AHC;

WHEREAS, the University, Fairview, and UMP wish to collaborate in the development of a new business model (“Integrated Structure”) to virtually integrate the governance and management of clinical operations on the University of Minnesota Medical Center (UMMC) campus and in UMP managed service lines in the Fairview system, and to create greater coordination and support of the medical education and research activities of the University’s Medical School to better manage and deliver clinical care; to align the resources and investments of each of them to achieve their mutual goals in research, education, and outreach; and to enhance the long-term development of the entire affiliated academic healthcare system;

WHEREAS, the University, Fairview, and UMP have negotiated a Master Agreement and an Academic Support Agreement that set forth the parties’ goals and commitments with respect to the development of the Integrated Structure;

NOW, THEREFORE, BE IT RESOLVED, that the president and other appropriate University officers are hereby authorized to finalize, execute, and deliver a Master Agreement and an Academic Support Agreement between the University, Fairview, and UMP, and take such further action as may be necessary and appropriate to carry out their intended purpose, subject to obtaining any additional approvals required under Board of Regents Policy: Reservation and Delegation of Authority.



UNIVERSITY OF MINNESOTA BOARD OF REGENTS

Board of Regents

May 10, 2013

Agenda Item: President's Recommended Six-Year Capital Improvement Plan

review **review/action** **action** **discussion**

Presenters: President Eric W. Kaler
Senior Vice President and Provost Karen Hanson
Vice President/CFO Richard Pfitzenreuter
Vice President Pamela Wheelock

Purpose:

policy **background/context** **oversight** **strategic positioning**

The Board-approved Six-Year Capital Improvement Plan ("Plan") sets priorities and direction for continued academic and capital planning efforts.

Outline of Key Points/Policy Issues:

The President's recommended Six-Year Capital Improvement Plan includes major capital improvements planned for fiscal years 2014 through 2019. The Plan includes projects to be funded with state capital support as well as projects funded by the University through a combination of University debt obligations, local unit resources, fundraising, and public/private partnerships. The plan provides an overview for annual state capital requests for 2013 through 2019, University-funded projects, as well as several projects that are under consideration for implementation within the timeframe of the Plan, but that require more definition.

The Plan aligns capital planning with strategic academic and financial planning. Specific capital projects listed for each year are defined by academic units' core priorities and strategic goals as articulated in the academic compact process, as well as facility conditions. The capital projects proposed by academic units have been evaluated in terms of long standing academic criteria, including:

- Centrality to the University's mission
- Quality, productivity, and impact
- Uniqueness and comparative advantage
- Enhancement of academic synergies
- Demand and availability of resources
- Efficiency and effectiveness
- Development and leveraging of resources

Capital projects are also measured against key capital planning principles and metrics. The projects included in the Plan meet one or more of the following goals:

- Ensure student success
- Ensure research productivity and scholarly impact
- Fulfill our statewide mission
- Protect public assets and investment
- Responsibly managing the University's finances

Vice President Pfutzenreuter will review the financial framework for the Plan with the Finance Committee. Vice President Wheelock and Provost Hanson will review the principles and priorities with the Facilities Committee.

Background Information:

Board of Regents Policy directs the administration to conduct capital planning with a “six-year time horizon, updated annually.” This annual capital planning process is completed in two parts, defined below.

This longer-term Capital Improvement Plan is based on academic priorities and establishes the institutions' capital planning for six years into the future. This Plan will become the basis for continued capital and financial planning.

The annual Capital Budget for the next fiscal year (2014) includes projects with completed predesigns and financing plans are approved to proceed with design and construction. It is being presented to the Board in May and June of 2013.

President's Recommendation for Action:

The President recommends that the Board approve the University of Minnesota Six-Year Capital Improvement Plan for fiscal years 2014-2019.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO THE UNIVERSITY'S SIX-YEAR CAPITAL PLAN

WHEREAS, preserving the University campuses through stewardship of public investments that have been made over 150 years is a commitment the Board has made to the State; and

WHEREAS, advancing key academic priorities is critical for the University to achieve and maintain excellence; and

WHEREAS, continuing investment in research infrastructure is essential for the future competitiveness of the University and the State of Minnesota; and

WHEREAS, enhancing the student experience for both undergraduate education and graduate and professional education is required as the core of its mission in order to generate and disseminate knowledge; and

WHEREAS, improving outreach and engagement is necessary in order to transform State communities, fuel the State economy, address State social issues, and improve the State's health; and

WHEREAS, the administration has developed a capital-planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is responsible.

NOW, THEREFORE, BE IT RESOLVED that the Board of Regents approves the University's Six-Year Capital Plan in order to create and maintain facilities that serve as tools in accomplishing the University's education, research and outreach objectives.

2013 SIX-YEAR CAPITAL PLAN FOR FY2014 – FY2019
University of Minnesota

Overview

The *2013 Six-Year Capital Plan* for the University of Minnesota establishes the next three University capital requests to be submitted to the State for consideration; sets priorities and direction for continued capital project and academic planning efforts; identifies the impact of additional University debt; assigns responsibility for capital fundraising; and forecasts additional building operational costs. The plan is updated on an annual basis, and approved by the Board of Regents.

The President's recommended *2013 Six-Year Capital Plan* includes:

- Current state capital request for 2013 (to be acted on by the legislature in the 2013 session)
- Future state capital requests for 2014 through 2019
- Projects proposed to be financed with University resources during the period FY2014 through FY2019

Planning Process

Capital planning at the University of Minnesota begins with the academic compact process. In the spring of each year Vice Presidents, Chancellors, and Deans are asked to identify their most important program priorities and the facility improvements necessary to support those programs. Through the compact process, academic leadership establishes the priorities for each college and campus. Facilities Management simultaneously evaluates the current condition of the buildings and infrastructure that support all academic programs. The capital planning process merges the academic priorities, available financial resources, facility needs, and facility conditions into specific project proposals.

Academic Directives

Academic units have defined their strategic goals and core priorities through an annual planning effort (the compact process) designed to align University capital budgeting with academic strategic positioning goals and objectives. In the compact process, each academic unit relates their own objectives to University-wide priorities and goals. The academic review criteria can be summarized as follows:

- Centrality to the University's mission
- Quality, productivity, and impact
- Uniqueness and comparative advantage
- Enhancement of academic synergies
- Demand and availability of resources
- Efficiency and effectiveness
- Development and leveraging of resources

Although many projects have both academic and organizational value, projects that demonstrated both a programmatic urgency and development readiness were advanced in this six year timeframe. Other factors analyzed before projects were placed in the capital plan include:

- *Projected size of future bonding bills* – The University reviews state economic forecasts, Department of Finance reports and directives, past trends, and budget instruction documents to estimate the likely University share of future legislative capital appropriations.

- *Debt and operating cost impact* – The University has a limited capacity to absorb additional debt and operating costs from new capital projects.
- *Private fundraising capacity* – The University reviews its capacity to fundraise for capital projects in total and for specific projects.
- *Timing and sequencing of projects* – Many capital projects depend upon other capital project “dominoes.” For example, Pillsbury Hall, a future home for English, cannot be renovated until Earth Sciences is moved out of the building and into a renovated Tate Lab, which in turn has had to wait until Physics & Nanotechnology is completed and occupied in early 2014.
- *Impact on academic programs (both research and instructional)* – The University manages the level of disruption that can be absorbed while still maintaining the operation of its research and teaching. Because the University does not close, renovations require “swing space” for programs to continue to operate and the institution needs to maintain a level of functional classrooms.
- *Health, safety, and regulatory requirements* – The University needs to maintain the health and safety of all its students, faculty, and staff, regardless of the program. These issues require some projects to be included in the capital plan.

The resulting plan, shown in tabular form on *Attachment 2*, advances the University’s highest capital priorities while retaining flexibility in support of emerging strategic initiatives. In the case of the Six-Year Capital Plan, it is important to note that many of the investments in later years are targeted to programs with academic strategic value. Specific programmatic details remain to be determined as the project is developed.

The capital improvement plan is built around four primary stages of project development, including a) Proposal/Project Definition; b) Planning and Feasibility; c) Resource Acquisition; and d) Implementation (Design and Construction). Projects included in the Six Year Capital Plan are eligible to begin Predesign, an exploratory process rooted in design and cost estimating that results in physical solutions to space and facility problems. Projects in the Six-Year Capital Plan that require legislative funding are submitted to the Minnesota Legislature on a biennial basis. Projects are eligible to begin fundraising once the predesign process is substantially complete.

Fully funded projects with signed predesign documents are approved by the Board of Regents in the Annual Capital Improvement Budget. Approved projects are then implemented by Capital Planning and Project Management with other key partners such as Facilities Management.

Project Costs

Project costs included in the Six-Year Capital Plan are order-of-magnitude estimates only because programming and predesign studies for each project have not been completed. Projections are based on square foot costs recently experienced with comparable building and space types at the University, applied to the estimated square footage of each project. Also, no cost escalation for inflation has been included for any year because of the uncertainty of construction inflation. When programming is completed and predesign studies are prepared for projects at the appropriate time, based on their position within the Plan, more accurate cost figures will be inserted into the Plan when it is updated annually.

Areas of Focus for the 2013 Six-Year Capital Plan

The University is working to realign its capital planning processes to align with the objectives outlined in the Platform for Excellence. The discussion following defines each of the Platform's themes by their refining criteria as well as the set of metrics used to assess the relative priority of the projects.

Research and Discovery

This theme encompasses continuing investments in the University's research, clinical care, and engagement infrastructure. Capital projects supporting research initiatives to leverage expertise, foster public private partnership, and contribute to economic growth are another component. Strengthening industry partnerships and a culture of innovation are final objectives of this theme.

Criteria that advance the research and discovery theme are based on the extent to which projects promote economically self-sustaining research and advance an academically vibrant scholarly climate. A third criteria is the ability to foster successful interdisciplinary work

Projects associated with this theme are assessed within the Six-Year Capital Plan process based on a) extending research infrastructure rather than initiating new; b) establishment of nationally comparable and competitive research environments; and c) success in winning competitive research grants.

Teaching and Learning

The Teaching and Learning theme is intended to combine up-to-date, engaging teaching pedagogy with a high-touch and high-tech learning experience that attracts diverse, qualified students and faculty, with impacts on University culture and business strategy.

Criteria that advance the teaching and learning theme are based on the extent to which a capital project maximizes the student's academic experience, creates optimal student outcomes, and improves pedagogy among instructors and faculty.

Projects associated with this theme are assessed within the Six-Year Capital Plan process based on a) improved e-learning; b) establishment of nationally comparable and competitive learning environment; and c) improved recruitment and retention of students and top-notch faculty.

Stewardship - Funding and ROI

This theme supports University-wide efforts to advance operational excellence, create a renewed partnership with the state to minimize tuition increases, and maximize the public's return on investment.

Criteria that advance the stewardship and ROI theme are based on the extent to which a capital project uses facilities efficiently, operates cost-effective facilities, and produces multi-functional and shared facilities.

Projects associated with this theme are assessed within the Six-Year Capital Plan process based on a) extended occupancy of spaces, a greater population of multi-use spaces, and an overall reduction in use of space; b) reduced operating costs; and c) improvements in physical condition of buildings as rated in the facility inventory.

Reputation/Brand/Impact

This theme advances our efforts at championing our university, competing globally, and participating in relevant, vital public engagement and advocacy to solve our communities' most vexing problems.

Criteria that advance the reputation/brand/impact theme are identified based on the ability to engage in effective outreach; improved recognition and affiliation with the University of Minnesota; and community-building at the campus and system-wide level

Projects are assessed within the Six-Year Capital Plan process under this theme based on a) participation in mission-specific (teaching and research) community activities; b) improved patient care experience (associated with health care services); and c) attendance at cultural and fan events.

2013 Project Descriptions

2013 State Capital Request

The 2013 state capital request was approved by the Board of Regents in October 2012. Because the state legislature has not acted on these projects, they are included in the Plan.

Higher Education Asset Preservation and Replacement (HEAPR)

This request is for funds used system-wide to maximize and extend the life of the University's existing physical plant. Individual projects will fall into one of four broad categories – Health and Safety, Building Systems, Energy Efficiency, and Utility Infrastructure. The system-wide HEAPR advisory committee makes recommendations on individual projects to the Vice President for University Services using data from the Facility Condition Assessment and Building Code Deficiency Report. HEAPR funds do not require a one-third University funding match.

Twin Cities: Eddy Hall Renovation

This request is for funds to rehabilitate historic Eddy Hall for the undergraduate, international, and transfer student admissions program. Located directly across Pleasant Street from the Office of Admissions' Undergraduate Welcome Center in Jones Hall, Eddy Hall will complement the Jones Hall operations. This project will utilize Alternative Workplace Strategies and reduce the University's overall facility operating costs and 10-year FCA deferred renewal estimates by allowing underutilized buildings such as Fraser Hall to be decommissioned.

Twin Cities: Design -- St. Paul Campus Laboratory Replacement

This request is for funds to design a new laboratory facility on the St. Paul campus to replace obsolete facilities in the College of Biological Science, the College of Food, Agricultural, and Natural Resource Sciences, and the College of Veterinary Medicine. This project will be the first component in the University's St. Paul research laboratory improvement program currently planned for the 2014 and 2016 capital requests.

Twin Cities: Design -- Tate Laboratory Renovation

This request is for funds to design a complete renovation of the Tate Laboratory building for use by the School of Physics and Astronomy and the Department of Earth Sciences. At nearly 200,000 gross square feet, Tate has been the home for Physics since its construction in 1926. In 2011 a new Physics and Nanotechnology lab building began construction and many of the labs currently found in Tate will relocate to the new building. The Physics and Nanotechnology building is slated to open in 2013. The existing Tate building will continue to house the Physics and Astronomy program as well as the University's programs geology, geobiology, hydrogeology, geochemistry, and geophysics. Full funding for the Tate project will be sought in the 2014 capital request.

Crookston: Campus Wellness Center

This request is for funds to design, renovate, and expand the existing campus wellness/recreation center at the Crookston campus. The current facility can no longer support the activities of students, athletes, and the Crookston community as a shared use facility. The purpose of the expansion is to support the academic mission of the University by providing a supportive facility that enriches campus life and fosters a sense of social integration and commitment to the campus. The project is anticipated to include about 17,000 square feet of renovated space, and an additional 55,000 square feet of new construction. As a focal point for student and staff activity on campus, the center will provide a place to teach and learn behaviors that are conducive to a healthy lifestyle. Healthy lifestyle choices built on the seven dimensions of wellness will be the common thread of programming and services offered by the Center. In the early phases of project design, the project is also considering integrating an admissions/welcome center function, which would take advantage of proximity to the main entrance to receive prospective students and their families.

Systemwide: Research Laboratory Improvement Fund

This request is for funds to improve existing research facilities systemwide. Updated research facilities are critical to continuing the University's strong record of research discoveries. Funding will be used to replace the University's existing Bee research facility in the College of Food, Agricultural, and Natural Resource Sciences (CFANS) and to replace obsolete greenhouses in the College of Biological Sciences. The remaining funds will be used system-wide for targeted, strategic investments in research laboratory space that will provide the margin-of-excellence that is needed to attract and retain top researchers or to obtain competitively awarded sponsored research grants.

Systemwide: Active Learning Classroom Fund

This request is for funds to be used system-wide to renovate existing classrooms. Multiple objectives will drive projects in this fund. First, conversion of traditional learning space to active learning classrooms is desired based on significantly improved learning outcomes. Second, rehabilitation of existing resources in underserved areas of the campus are needed, both to meet current demand and in anticipation of future changes such as renovation of Tate Laboratory of Physics, a location that currently houses large-scale classrooms. Third, renovation of existing learning spaces with climate conditioning systems to extend use potential in warmer seasons is an important part of making better and more efficient use of campus resources.

2014 Project Descriptions

Projects are at the resource acquisition stage of capital planning. They have an approved pre-design document and have been approved to actively seek funds.

2014 State Funded Projects

- *Higher Education Asset Preservation and Replacement (HEAPR)*, \$70 million – See description in the 2013 Capital Request section.
- *Tate Lab of Physics Rehabilitation*, \$81 million – This project will renovate the existing 200,000 square foot Tate Laboratory of Physics building after the Physics and Nanotechnology Building, funded in 2011, is completed. Upon its completion, Tate will be the new home for the Department of Earth Sciences (allowing for its move out of Pillsbury Hall which is not suited for scientific research labs) as well as updated science classrooms and the non-laboratory portions of the School of Physics and Astronomy.

- *St. Paul Campus Research Labs: Phase 1*, \$39 million– This project will result in a new lab building for microbiology research in CBS, CFANS, and CVS. These upgrades will allow for the decommissioning of other obsolete research labs on the St. Paul campus.
- *TBD, \$21million* – Additional projects are anticipated but will not be committed at this time, allowing for flexibility and capacity in the capital planning cycle to respond to emerging needs.

2014 University Funded Projects

These projects are listed in the proposed Annual Capital Budget FY 2014. This document is included in the Regents May 2013 docket materials for review.

2015 Project Descriptions

Projects are identified at the Planning and Feasibility phase of development. They have been determined to be an institutional priority and have been approved to begin predesign activities. Financial feasibility, including the completion of a fundraising feasibility study with the University of Minnesota Foundation, is assessed at this stage.

2015 State Funded Projects

- *Higher Education Asset Preservation and Replacement (HEAPR)*, \$45 million – See description in the 2013 Capital Request section.
- *Veterinary Isolation Labs*, \$24 million – The need to improve the existing isolation and holding facility for larger animals has been discussed in the college’s compact reviews for several years. The present day facility was built in 1958 and is essential for researchers requiring bio containment for larger animals. Current conditions restrict full use and preclude grant awards due to the lack of reliability of the facility. This facility is integral to maintaining the institution’s capacity to provide appropriate facilities for continued research in emerging and infectious disease.
- *TBD*, \$15 million – Additional projects are anticipated but will not be committed at this time, allowing for flexibility and capacity in the capital planning cycle to respond to emerging needs.

2015 University Funded Projects

- *UMD Residence Hall Dining Center Renovation*, \$2 million – This project will update the existing residence hall dining center, replacing infrastructure systems as needed, increasing seating areas and improving traffic flow. The intention is to be able to operate the space independently from the serving area and make it a hub for student and campus activity on campus.
- *Biological Sciences Active Learning Classrooms*, \$2 million – This project will combine strategies of converting existing classroom space and building out new locations to create active learning classrooms for laboratory based Biological Sciences coursework.

- *West Bank Wellness and Recreational Center*, \$17 million – This project was included in the combined capital funds program to improve student recreational space across the Twin Cities campus. A new West Bank facility would create approximately a 40,000 square foot building with group exercise rooms, indoor tracks, multi-activity gyms.
- *Space Utilization Program*, \$2.5 million – This project will support a variety of efforts directed at reducing the University’s facility costs. Near-term efforts are focused on implementing pilot projects to match work styles, technology and organizational structure with an overall reduction in space that better suits work methods.

2016 Project Descriptions

Projects are identified at the Planning and Feasibility phase of development. They have been determined to be an institutional priority and have been approved to begin pre-design activities. Financial feasibility, including the completion of a fundraising feasibility study with the University of Minnesota Foundation, is assessed at this stage.

2016 State Funded Projects

- *Higher Education Asset Preservation and Replacement (HEAPR)*, \$70 million – See description in the 2013 Capital Request section.
- *UMD Academic Priority*, \$35 million – This project will address academic facility needs on the Duluth campus. Academic, financial, and physical planning processes on the Duluth campus will identify the campus’s priorities for its next major investment.
- *Health Sciences Repurposing*, \$65 million - This project will renovate office, clinic, and lab space in the Phillips Wangensteen Building and adjacent buildings that will be vacated by groups that will relocate to the Ambulatory Care Clinic and research buildings in the Biomedical Discovery District. The renovated spaces will be a mixture of office, classroom, and laboratory space and will allow the Academic Health Center to begin a multi-phased process of consolidating space assignments and decommissioning obsolete facilities.
- *St. Paul Campus Research Labs: Phase 2*, \$40 million – This phase of investment will focus on renovation of multiple buildings and decommissioning of some obsolete buildings. Renovation of space will be structured to achieve collegiate goals of synergy among researchers and achieve space utilization goals to match the right space to the needs of the users. It is anticipated that the Biosystems and Agricultural Engineering Building and adjacent Fisheries Laboratory, Kaufert Laboratory, and the Biosciences Center will be candidates for wholesale renovation that will be shared among researchers in the College of Biological Sciences (CBS), College of Food, Agricultural, and Natural Resource Sciences (CFANS), and College of Veterinary Medicine (CVM). This could lead to the eventual demolition of at least one and possibly two candidate buildings, pending further analysis.

2016 University Funded Projects

Bolstad Golf Course Renovation, \$7.5 million – This project consists of a reinvestment of the existing Golf Course, clubhouse, and the construction of a new maintenance/storage facility. Renovation feasibility indicated that project could be successful at this target cost. The course

could support academic research from the College of Food, Agricultural, and Natural Resource Sciences and partner with industry. Wide support from alumni and the broader University community indicate substantial interest in redeveloping the facility into a resource providing research, wellness, and community building opportunities for University faculty, staff and students.

2017 Project Description

Projects are identified at the Planning and Feasibility phase of development. They have been determined to be an institutional priority and have been approved to begin predesign activities. Financial feasibility, including the completion of a fundraising feasibility study with the University of Minnesota Foundation, is assessed at this stage.

2017 State Funded Projects

- *Higher Education Asset Preservation and Replacement (HEAPR)*, \$45 million – See description in the 2013 Capital Request section.
- *Libraries and Collections Phase 1*, \$15 million – This project will develop new environmentally-controlled space to house library materials and potentially other campus research-related collections (e.g. seeds.) This type of space will facilitate collection management/preservation and storage, while maintaining access to critical resources. Strategies to improve collection management and storage will allow changes in the use of existing library and other storage spaces.
- *Pillsbury Hall*, \$27 million – This project will renovate historic Pillsbury Hall after the Department of Earth Sciences moves to Tate Laboratory.

2017 University Funded Projects

Space Utilization Program, \$2.5 million – See description above in 2015 University Funded Projects.

2018 Project Description

These projects are in the Proposal phase of readiness. Projects in this stage represent preliminary conceptual ideas regarding program need and related capital requirements. Local units normally identify these ideas as part of the compact process. Projects do not have permission to begin predesign or fundraising without administrative approval from the Capital Oversight Group.

2018 State Funded Projects

- *Higher Education Asset Preservation and Replacement (HEAPR)*, \$70 million – See description in the 2013 Capital Request section.
- *UMR Academic Priority*, \$55 million. The project will create academic space tailored for learning for the growing UMR student community. Current UMR lease holdings are adequate to support enrollment of four classes of 250 students per year. Master plan projections indicate this target is expected to be reached by 2019; which prompts the need for advance planning to create dedicated permanent academic space to meet that timeline. Land assembly for UMR's academic investment

continues, and current enrollment trends suggest this target will be reached as anticipated. The need for this facility is based on the past four years of experience. UMR has learned that student success for 18-22 year old students in a rigorous technology-enhanced curriculum is dependent on their experience of the supportive structure formed by the campus community. The campus community needs a "gathering place" designed to support their interactions and work. Space designed to specifically enrich this interactivity will advance curricular, pedagogical, and integrated student success models and thus enhance student learning and development. The proposed building will include space to support active, collaborative, and adaptive learning environments, space for student laboratories, space for faculty/student interaction, space that supports highly interconnected and ubiquitous technologies, and space that is open and adaptable.

- *Elliot Hall and ICD Replacement*, \$80 million – This project will replace the functionally obsolete Elliott Hall and Institute of Child Development (ICD) as a new combined center for psychology and child development. The Department of Psychology is one of the highest ranked graduate programs at the University of Minnesota and Psychology is the largest generator of external funding within the College of Liberal Arts (CLA). Improved opportunities for collaboration will support increased multi-disciplinary proposals. The proximity of related programs such as Speech-Language-Hearing Sciences, Linguistics, Educational Psychology, and the Center for Cognitive Sciences make the prospect of a “behavioral sciences district” and interdisciplinary work a key driver in support of the Psychology Department in CLA and the Institute of Child Development in the College of Education and Human Development.

2018 University Funded Projects

None identified at this time

2019 Project Description

These projects are in the Proposal phase of readiness. Projects in this stage represent preliminary conceptual ideas regarding program need and related capital requirements. Local units normally identify these ideas as part of the compact process. Projects do not have permission to begin pre-design or fundraising without administrative approval from the Capital Oversight Group.

2019 State Funded Projects

- *Higher Education Asset Preservation and Replacement (HEAPR)*, \$45 million – See description in the 2013 Capital Request section.
- *Libraries and Collections, Phase 2*, \$45 million – This project will renovate the existing Wilson Library into a space that will engage faculty and students and become a destination for scholarly activity. The reconfiguration of Wilson Library will support contemporary learning and scholarship with services focused on enabling new discovery, interaction with digital media and tools, and building community engagement. The renovation will reposition the facility to be user-centric and create exploratory, learning focused spaces while integrating technology into the fabric of the building

2019 University Funded Projects

None identified at this time

Projects Under Consideration

Included in Attachment 2, page 5 is a list of other projects under consideration. These were identified through the Six-Year Capital Planning process as key investments based on collegiate and academic priorities. These projects are unlikely to be part of a state capital request. Some of the projects identified on the list are not sufficiently developed in terms of their programmatic needs and the strategic value of their investment, but are expected to further refine their planning over the near term (one year interval). Some of the unresolved issues may include project scope, location and a financing plan, contingent on the source of funding.

The list of ‘Other Projects Under Consideration’ gives an indication of the potential next tier of capital projects, while allowing some flexibility to respond to changing trends as well as emerging academic priorities.

- UMC Utility Infrastructure
- UMD Kirby Student Center Expansion
- College of Veterinary Medicine: Equine Center Expansion
- Housing and Residential Life: Superblock Dining Replacement
- University Services: Washington Avenue East Bridgehead Plaza

UNIVERSITY OF MINNESOTA

Six Year Capital Plan

Attachment 2

Six Year Plan Project Report

UNIVERSITY OF MINNESOTA

Six Year Plan

2013

Stage: Resource Acquisition

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$125,000	\$125,000	\$0
UMTC	Eddy Hall	\$14,500	\$9,700	\$4,800
UMTC	Design: St. Paul Campus Laboratory	\$6,000	\$4,000	\$2,000
UMTC	Design: Tate Laboratory of Physics Renovation	\$9,000	\$6,000	\$3,000
UMC	Campus Wellness Center	\$18,000	\$12,000	\$6,000
Systemwide	Research Laboratory Improvement Fund	\$12,000	\$8,000	\$4,000
Systemwide	Active Learning Classroom Fund	\$12,000	\$8,000	\$4,000
		\$196,500	\$172,700	\$23,800
	FY Total:	\$196,500	\$172,700	\$23,800
	Running Total:	\$196,500	\$172,700	\$23,800

UNIVERSITY OF MINNESOTA

Six Year Plan

2014

Stage: Resource Acquisition

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$70,000	\$70,000	\$0
UMTC	Tate Laboratory of Physics Renovation	\$81,000	\$54,000	\$27,000
UMTC	St. Paul Laboratory Building - Phase I	\$39,000	\$26,000	\$13,000
Systemwide	TBD - Pending Outcome of Previous Requests	\$21,000	\$14,000	\$7,000
		\$211,000	\$164,000	\$47,000

FY Total:

\$211,000 \$164,000 \$47,000

Running Total:

\$407,500 \$336,700 \$70,800

UNIVERSITY OF MINNESOTA

Six Year Plan

2015

Stage: Planning & Feasibility

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$45,000	\$45,000	\$0
UMTC	Veterinary Isolation Laboratory Replacement	\$24,000	\$16,000	\$8,000
Systemwide	TBD - Pending Outcome of Previous Requests	\$15,000	\$10,000	\$5,000
		\$84,000	\$71,000	\$13,000

University Funded Projects

Location	Project	Total	State Funds	University Funds
UMD	Residence Hall Dining Center Renovation	\$2,000	\$0	\$2,000
UMTC	Biological Sciences Active Learning Classrooms	\$2,000	\$0	\$2,000
UMTC	Recreational Sports: West Bank Rec Center	\$17,000	\$0	\$17,000
UMTC	Space Utilization Program	\$2,500	\$0	\$2,500
		\$23,500	\$0	\$23,500

FY Total: \$107,500 \$71,000 \$36,500

Running Total: \$515,000 \$407,700 \$107,300

UNIVERSITY OF MINNESOTA

Six Year Plan

2016

Stage: **Planning & Feasibility**

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$70,000	\$70,000	\$0
UMD	Academic Priority	\$35,000	\$23,300	\$11,700
UMTC	Health Science Facility Repurposing	\$65,000	\$43,300	\$21,700
UMTC	St. Paul Laboratory Building Replacement - Phase II	\$40,000	\$26,700	\$13,300
		\$210,000	\$163,300	\$46,700

University Funded Projects

Location	Project	Total	State Funds	University Funds
UMTC	Bolstad Golf Course Renovation	\$7,500	\$0	\$7,500
		\$7,500	\$0	\$7,500
	FY Total:	\$217,500	\$163,300	\$54,200
	Running Total:	\$732,500	\$571,000	\$161,500

UNIVERSITY OF MINNESOTA

Six Year Plan

2017

Stage: Proposal

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$45,000	\$45,000	\$0
UMTC	Libraries & Collections - Phase I	\$15,000	\$10,000	\$5,000
UMTC	Pillsbury Hall Renovation	\$27,000	\$18,000	\$9,000
		\$87,000	\$73,000	\$14,000

University Funded Projects

Location	Project	Total	State Funds	University Funds
UMTC	Space Utilization Program	\$2,500	\$0	\$2,500
		\$2,500	\$0	\$2,500
	FY Total:	\$89,500	\$73,000	\$16,500
	Running Total:	\$822,000	\$644,000	\$178,000

UNIVERSITY OF MINNESOTA

Six Year Plan

2018

Stage: Proposal

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$70,000	\$70,000	\$0
UMR	Academic Priority	\$55,000	\$36,700	\$18,300
UMTC	Elliot Hall and ICD Replacement	\$80,000	\$53,300	\$26,700
		\$205,000	\$160,000	\$45,000
			FY Total: \$205,000	\$45,000
			Running Total: \$1,027,000	\$223,000

UNIVERSITY OF MINNESOTA

Six Year Plan

2019

Stage: Proposal

State Funded Projects

Location	Project	Total	State Funds	University Funds
Systemwide	HEAPR	\$45,000	\$45,000	\$0
Systemwide	Libraries & Collections - Phase II	\$45,000	\$30,000	\$15,000
		\$90,000	\$75,000	\$15,000
			FY Total: \$90,000	\$15,000
			Running Total: \$1,117,000	\$238,000

UNIVERSITY OF MINNESOTA

Six Year Plan

Under Consideration / Evaluation

Stage: **Proposal**

University Funded Projects

Location	Project	Total	State Funds	University Funds
UMC	Utility Infrastructure	\$0	\$0	\$0
UMD	Kirby Student Center Expansion	\$0	\$0	\$0
UMTC	Equine Center Expansion	\$0	\$0	\$0
UMTC	Superblock Dining Replacement	\$0	\$0	\$0
UMTC	Washington Ave East Bridgehead Plaza	\$0	\$0	\$0

70

FY Total:

\$0 \$0 \$0

Running Total:

\$1,117,000 \$879,000 \$238,000

UNIVERSITY OF MINNESOTA

Six Year Plan

Definitions

Proposal: Projects in this stage represent preliminary conceptual ideas regarding program need and related capital requirements. Local units normally identify these ideas as part of the compact process. Projects do not have permission to begin pre-design or fundraising without administrative approval from the Capital Oversight Group.

Planning and Feasibility: Projects in this stage have been determined to be an institutional priority and have been approved to begin pre-design activities. Financial feasibility, including the completion of a fundraising feasibility study with the University of Minnesota Foundation, is assessed at this stage.

Resource Acquisition: Projects in this stage have an approved pre-design document and have been approved to actively seek funds.



UNIVERSITY OF MINNESOTA BOARD OF REGENTS

Board of Regents

May 10, 2013

Agenda Item: President's Recommended FY2014 Annual Capital Improvement Budget

review **review/action** **action** **discussion**

Presenters: President Eric W. Kaler
Vice President/CFO Richard Pfutzenreuter
Vice President Pamela Wheelock

Purpose:

policy **background/context** **oversight** **strategic positioning**

The University adopts an annual capital improvement budget, which authorizes projects to begin design and construction during the upcoming fiscal year.

Outline of Key Points/Policy Issues:

The FY 2014 Annual Capital Improvement Budget authorizes projects totaling \$289,774,000 to begin design or construction during the next fiscal year. Approximately 60 percent of the capital budget is State of Minnesota supported debt for the University's 2014 capital request (\$172,667,000). The remaining 40 percent of the capital budget supports the University's share of the state bonding projects as well as University-funded capital improvements. This capital budget includes the University's full legislative request. The Capital Budget will be updated in June to reflect the outcome of the 2013 session.

The Annual Capital Budget is reflective of the planning priorities established by the Six-Year Capital Improvement Plan approved by the Board of Regents annually.

Background Information:

The University requires that all capital projects spending more than \$500,000 on either design or construction be included in the Annual Capital Improvements Budget. In order to be included, the project must be approved by the respective Vice President or Chancellor, have completed an appropriated level of planning (typically a predesign), have all the required funding identified, and be ready to proceed if approved by the Board of Regents. These requirements lead to better projects, but also exclude from the capital budget some important projects still in development. As these projects meet the Board's criteria, they will be presented as Capital Budget Amendments.

President's Recommendation for Action:

The President recommends approval of the FY 2014 Annual Capital Improvement Budget and reaffirmation of its prior year capital expenditure authorization.



REGENTS OF THE UNIVERSITY OF MINNESOTA
RESOLUTION RELATED TO
FY 2014 CAPITAL IMPROVEMENT BUDGET

WHEREAS, the Board of Regents directed the administration to annually submit a capital improvement budget and a six-year capital improvement plan; and

WHEREAS, the Board has adopted principles to guide the formulation of the capital improvement budget and six-year capital improvement plan; and

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic;

NOW, THEREFORE, BE IT RESOLVED, that the Board of Regents approves the FY 2014 Capital Improvement Budget and reaffirms its prior year capital expenditure authorizations.

FY2014 ANNUAL CAPITAL BUDGET
University of Minnesota

Annual Capital Budget Definitions by Funding Source

Local Funds

These funds have been allocated to or generated by colleges and departments, including state appropriations, tuition, external sales and other unrestricted funds.

Grants / Gifts

Grant and gift funds are provided to the University to support specific construction projects.

University Funds

This category of resources represents a broad array of funds from within the University including, but not limited to, funds allocated from the internal loan pool, central reserves, prior year balances, and funds budgeted annually for specific repair and replacement projects.

Self-Supporting

Auxiliary (business units) and Internal Service Organizations of the University generate revenue to support both their operating and capital needs. These self-supporting units are responsible for routine building renewal and remodeling needs.

State Debt

These funds are provided from State sold bond proceeds for use on legislatively authorized projects.

U of M Debt

These funds come from the sale of bonds issued by the University. The source of the debt service payment varies by project

Project Funding Report

UNIVERSITY OF MINNESOTA

Funding Report

Academic Affairs

File	Facility	Project Title	Total	Local Funds	Grants/ Gifts	University			Univ. Debt	Comments
						Funds	Self Support	State Debt		
<i>College of Science & Engineering</i>										
3115	Physics/Nano Bldg	Lab Build Out	\$854	\$854	\$0	\$0	\$0	\$0	\$0	Amendment
3114	Amundson Hall	Gore Annex Lab Build Out	\$2,100	\$700	\$0	\$1,400	\$0	\$0	\$0	Amendment
3106	Tate Laboratory	Building Renovation	\$9,000	\$0	\$0	\$0	\$0	\$6,000	\$3,000	FY13 State Request
<i>Multiple Colleges</i>										
3113	New Facility	St. Paul Campus Laboratory Design	\$6,000	\$0	\$0	\$0	\$0	\$4,000	\$2,000	FY13 State Request
<i>Student Affairs</i>										
3118	Coffman Union	Second Floor Renovation	\$2,500	\$0	\$0	\$0	\$2,500	\$0	\$0	
3134	Coffey Hall	Boynton Health Center Remodel	\$559	\$559	\$0	\$0	\$0	\$0	\$0	
3135	Boynton Health Service	4th Floor Remodel	\$750	\$750	\$0	\$0	\$0	\$0	\$0	
<i>Systemwide</i>										
3109	Multiple Bldgs	Active Learning Classroom Fund	\$12,000	\$0	\$0	\$0	\$0	\$8,000	\$4,000	FY13 State Request
<i>Undergraduate Education</i>										
3112	Eddy Hall	Building Renovation	\$14,500	\$0	\$0	\$0	\$0	\$9,667	\$4,833	FY13 State Request
3150	Northrop	Renovation - Phase II	\$2,373	\$371	\$0	\$237	\$0	\$0	\$1,765	Amendment
				\$50,636	\$3,234	\$0	\$1,637	\$27,667	\$15,598	

UNIVERSITY OF MINNESOTA

Funding Report

Health Sciences

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>AHC Shared Units</i>										
3132	New Facility	Microbiology Building	\$9,000	\$0	\$0	\$0	\$0	\$0	\$9,000	Amendment - BDD fund balance
3161	New Facility	Ambulatory Care Clinic	\$22,500	\$0	\$0	\$0	\$0	\$0	\$22,500	Amendment
<i>College of Veterinary Medicine</i>										
3119	Raptor Center	Mews Replacement	\$1,500	\$500	\$1,000	\$0	\$0	\$0	\$0	
3120	Animal Science	Active Learning Classroom	\$1,000	\$1,000	\$0	\$0	\$0	\$0	\$0	
3123	Vet Med South	Surgery and Clinical Skills Lab	\$1,000	\$1,000	\$0	\$0	\$0	\$0	\$0	
			\$35,000	\$2,500	\$1,000	\$0	\$0	\$0	\$31,500	

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UNIVERSITY OF MINNESOTA

Funding Report

Intercollegiate Athletics

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>Athletics</i>										
3155	TC Campus	R&R - Twin Cities	\$1,500	\$0	\$0	\$0	\$1,500	\$0	\$0	
			\$1,500	\$0	\$0	\$0	\$1,500	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Research

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>Systemwide</i>										
3108	Multiple Bldgs	Research Lab Improvement Fund	\$12,000	\$0	\$0	\$0	\$0	\$8,000	\$4,000	FY13 State Request
			\$12,000	\$0	\$0	\$0	\$0	\$8,000	\$4,000	

UNIVERSITY OF MINNESOTA

Funding Report

Crookston Campus

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>Facilities Management</i>										
3148	Crookston Campus	R&R - UMC Campus	\$490	\$350	\$0	\$140	\$0	\$0	\$0	
<i>Student Affairs</i>										
3107	New Facility	Campus Wellness Center	\$18,000	\$0	\$0	\$0	\$0	\$12,000	\$6,000	FY13 State Request
			\$18,490	\$350	\$0	\$140	\$0	\$12,000	\$6,000	

UNIVERSITY OF MINNESOTA

Funding Report

Duluth Campus

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>Auxiliary Services</i>										
3144	UMD Campus	R&R - UMD Campus	\$2,500	\$0	\$0	\$0	\$2,500	\$0	\$0	
<i>Facilities Management</i>										
3146	Stadium Apartments	Building Demolition	\$3,300	\$0	\$0	\$3,300	\$0	\$0	\$0	
3147	Utility Bldg	Additional Chiller	\$500	\$500	\$0	\$0	\$0	\$0	\$0	Amendment
3145	UMD Campus	R&R - UMD Campus	\$3,000	\$1,885	\$0	\$1,115	\$0	\$0	\$0	
			\$9,300	\$2,385	\$0	\$4,415	\$2,500	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Morris Campus

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>Facilities Management</i>										
3149	Morris Campus	R&R - UMM Campus	\$865	\$750	\$0	\$115	\$0	\$0	\$0	
			\$865	\$750	\$0	\$115	\$0	\$0	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

University Services

File	Facility	Project Title	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt	Comments
<i>Capital Planning and Project Management</i>										
3138	Donhowe	Work+	\$800	\$550	\$0	\$250	\$0	\$0	\$0	
<i>Facilities Management</i>										
3142	TC Campus	R&R - Twin Cities	\$7,200	\$1,000	\$0	\$6,200	\$0	\$0	\$0	
3143	TC Campus	R&R - Utility Infrastructure	\$9,150	\$0	\$0	\$0	\$9,150	\$0	\$0	
3154	TC Campus	R&R - Energy Conservation	\$2,900	\$0	\$0	\$2,000	\$900	\$0	\$0	
3137	New Facility	Landcare Sand & Salt Storage Bldg	\$998	\$998	\$0	\$0	\$0	\$0	\$0	
<i>Housing & Residential Life</i>										
3127	TC Campus	R&R - Housing & Residential Life	\$9,575	\$0	\$0	\$0	\$9,575	\$0	\$0	
<i>Parking & Transportation Services</i>										
3130	TC Campus	Ramp/Garage Lighting Upgrade	\$1,400	\$0	\$0	\$0	\$1,400	\$0	\$0	
3157	TC Campus	Transportation Infrastructure	\$2,160	\$0	\$0	\$0	\$2,160	\$0	\$0	
3131	TC Campus	R&R - Parking	\$1,825	\$0	\$0	\$0	\$1,825	\$0	\$0	
<i>Systemwide</i>										
3111	Systemwide	HEAPR	\$125,000	\$0	\$0	\$0	\$0	\$125,000	\$0	FY13 State Request
<i>University Dining Services</i>										
3129	TC Campus	R&R - Dining Services	\$975	\$0	\$0	\$0	\$975	\$0	\$0	
			\$161,983	\$2,548	\$0	\$8,450	\$25,985	\$125,000	\$0	

UNIVERSITY OF MINNESOTA

Funding Report

Report Summary

	Total	Local Funds	Grants/Gifts	University Funds	Self Support	State Debt	Univ. Debt
	\$289,774	\$11,767	\$1,000	\$14,757	\$32,485	\$172,667	\$57,098

Project Description Report

The following project information sheets, ordered by file number, provide brief descriptions of each project.

Information sheets for Repair and Replacement (RR) projects are not included because each budget line item for these categories represent multiple projects.

UNIVERSITY OF MINNESOTA

Project Description Report

3106 Building Renovation

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Tate Laboratory
Total Cost: \$9,000

FFC: College of Science and Engineering
FFC Contact: Crouch, S.
Project Manager: Ross, K.

Description: This project will initiate the design process to completely renovate the Tate Laboratory building. This building will be used by the School of Physics and Astronomy and the School of Earth Sciences.

3107 Campus Wellness Center

Vice President: Crookston Campus
Campus: Crookston
Facility: New Facility
Total Cost: \$18,000

FFC: Crookston Campus
FFC Contact: Wood, F.
Project Manager: Everson, S.

Description: This project will renovate and expand the existing campus wellness/recreation center on the Crookston campus.

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3108 Research Lab Improvement Fund

Vice President: Research
Campus: Systemwide
Facility: Multiple Bldgs
Total Cost: \$12,000

FFC: Research
FFC Contact: Herman, B.
Project Manager: TBD

Description: This project will replace the existing Bee Research facility in the College of Food, Agriculture and Natural Resource Sciences (CFANS) and replace obsolete greenhouses in the College of Biological Sciences. Additional funds will be used system-wide for targeted, strategic investments in research laboratory space.

UNIVERSITY OF MINNESOTA

Project Description Report

3109 Active Learning Classroom Fund

Vice President: Academic Affairs
Campus: Systemwide
Facility: Multiple Bldgs
Total Cost: \$12,000

RRC: Undergraduate Education
RRC Contact: Mc Master, R.
Project Manager: TBD

Description: This project will provide funding for systemwide renovation of existing classrooms to help meet current demand for active learning classrooms.

3112 Building Renovation

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Eddy Hall
Total Cost: \$14,500

RRC: Undergraduate Education
RRC Contact: Mc Master, R.
Project Manager: Ross, K.

Description: This project will rehabilitate Eddy Hall for the undergraduate, international, and transfer student admissions programs.

3113 St. Paul Campus Laboratory Design

Vice President: Academic Affairs
Campus: Twin Cities
Facility: New Facility
Total Cost: \$6,000

RRC: Multiple RRCs
RRC Contact: Levine, A.
Project Manager: MacKenzie, M.

Description: This project will design a new laboratory facility on the St. Paul campus to replace obsolete facilities in the College of Biological Science, the College of Food, Agriculture and Natural Resource Sciences, and the College of Veterinary Medicine.

UNIVERSITY OF MINNESOTA

Project Description Report

3114 Gore Annex Lab Build Out

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Amundson Hall
Total Cost: \$2,100

FFRC: College of Science and Engineering
FFRC Contact: Crouch, S.
Project Manager: Oelze, P.

Description: This capital budget amendment increases the funding for the Amundson Hall Gore Annex Addition by \$2,100 for a new total of \$29,700. These funds will be used to build out lab space on the 3rd floor and basement levels. In the original plan, these areas were designated as unfinished shell space.

3115 Lab Build Out

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Physics/Nano Bldg
Total Cost: \$854

FFRC: College of Science and Engineering
FFRC Contact: Crouch, S.
Project Manager: Stringfellow, M.

Description: This capital budget amendment increases the funding of the Physics and Nanotechnology project by \$854 for a new total of \$85,242. This additional funding will complete the build out of two labs in the new Physics and Nanotechnology Building. In the original plan, these areas were designated as unfinished shell space.

3118 Second Floor Renovation

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Coffman Union
Total Cost: \$2,500

FFRC: Student Affairs
FFRC Contact: Rinehart, G.
Project Manager: Waganda, W.

Description: This project will remodel the 2nd floor of Coffman Memorial Union to improve existing space and to create more mixed use space to accommodate a greater number of student groups.

UNIVERSITY OF MINNESOTA

Project Description Report

3119 Mews Replacement

Vice President: Health Sciences
Campus: Twin Cities
Facility: Raptor Center
Total Cost: \$1,500

RRC: College of Veterinary Medicine
RRC Contact: Ames, T.
Project Manager: Nickel, P.

Description: This project will replace animal housing and display spaces for educational and rehabilitation raptors. Existing wooden structures are 30 years old and are beyond repair, presenting safety and security issues for the raptors, staff, and the public visiting the outdoor educational display and programming areas.

3120 Active Learning Classroom

Vice President: Health Sciences
Campus: Twin Cities
Facility: Animal Science
Total Cost: \$1,000

RRC: College of Veterinary Medicine
RRC Contact: Ames, T.
Project Manager: Nickel, P.

Description: This project will update an existing teaching lab in the Animal Science building to an active learning classroom.

3123 Surgery and Clinical Skills Lab

Vice President: Health Sciences
Campus: Twin Cities
Facility: Vet Med South
Total Cost: \$1,000

RRC: College of Veterinary Medicine
RRC Contact: Ames, T.
Project Manager: Nickel, P.

Description: This project will upgrade an existing Vet Med South surgical and clinical skills teaching lab. The remodel will allow the lab to accommodate larger class sizes and to replace broken/obsolete surgical tables/prep areas.

UNIVERSITY OF MINNESOTA

Project Description Report

3130 Ramp/Garage Lighting Upgrade

Vice President: University Services
Campus: Twin Cities
Facility: TC Campus
Total Cost: \$1,400

RRC: Auxiliary Services
RRC Contact: Scheich, L.
Project Manager: Green, J

Description: This project will replace various parking facility lighting with more energy efficient options.

3132 Microbiology Building

Vice President: Health Sciences
Campus: Twin Cities
Facility: New Facility
Total Cost: \$9,000

RRC: Multiple RRCs
RRC Contact: Freidman, A.
Project Manager: Johnson, R.

Description: This capital budget amendment increases the funding of the Microbiology building by \$9M for a new total of \$61M. An estimated \$9M of Biomedical Discovery District (BDD) funding will be unspent on the Cancer/Cardio building. This unspent BDD funding will be transferred to the Microbiology project upon completion of the Cancer/Cardio building.

3134 Boynton Health Center Remodel

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Coffey Hall
Total Cost: \$559

RRC: Student Affairs
RRC Contact: Rinehart, G.
Project Manager: Waganda, W.

Description: This project will remodel existing vacant space in Coffey Hall for expansion of the Boynton Health Center due to increased demand for mental health services. This remodel of space will allow for additional exam rooms, offices, and increased reception space to alleviate privacy concerns.

UNIVERSITY OF MINNESOTA

Project Description Report

3135 4th Floor Remodel

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Boynton Health Service
Total Cost: \$750

RRC: Student Affairs
RRC Contact: Rinehart, G.
Project Manager: Mahowald, G.

Description: This project will remodel existing space in the Boynton Health building. This remodel will allow for expanded clinical space on the 4th floor of Boynton Health Center to handle increased demand for clinic services.

3137 Landcare Sand & Salt Storage Bldg

Vice President: University Services
Campus: Twin Cities
Facility: New Facility
Total Cost: \$998

RRC: Facilities Management
RRC Contact: Berthelsen, M.
Project Manager: Nickel, P.

Description: This project will provide a new building for sand and salt storage used by University Landcare. This is needed as the current structure being used for storage will be demolished as part of the Combined Heat and Power Plant project.

3138 Work+

Vice President: University Services
Campus: Twin Cities
Facility: Donhowe
Total Cost: \$800

RRC: Capital Planning and Project Management
RRC Contact: Smith, S.
Project Manager: Ellis, I.

Description: This project will renovate the first floor of the Donhowe Building for the Office of Human Resources. The project will serve as a pilot for the University's Alternative Workplace Strategy Program, Work+. The project will consolidate the unit from multiple spaces and is projected to reduce overall space use for the units by approximately 30 percent.

UNIVERSITY OF MINNESOTA

Project Description Report

3146 Building Demolition

Vice President: Duluth Campus
Campus: Duluth
Facility: Stadium Apartments
Total Cost: \$3,300

RRC: Facilities Management
RRC Contact: Black, L.
Project Manager: Rashid, J.

Description: This project will decommission and demolish the Stadium Apartments on the Duluth Campus. The existing apartments are located in the Tischer creek flood plain and have water infiltration and indoor air quality issues. In addition, the University code office has determined the top floor can no longer be used because of egress issues. The buildings will be demolished and they will not be replaced. The site will become green space.

3147 Additional Chiller

Vice President: Duluth Campus
Campus: Duluth
Facility: Utility Bldg
Total Cost: \$500

RRC: Facilities Management
RRC Contact: Black, L.
Project Manager: Rashid, J.

Description: This capital budget amendment increases the funding for the UMD Utility Building by \$500K to a new total of \$4,500. The additional funds are to purchase a second chiller for the new Utility Building which is currently being designed. This additional capacity is projected to be needed in the near future by the campus and adding it during building construction will result in cost savings versus adding it post construction.

3150 Renovation - Phase II

Vice President: Academic Affairs
Campus: Twin Cities
Facility: Northrop
Total Cost: \$2,373

RRC: Undergraduate Education
RRC Contact: Mc Master, R.
Project Manager: Denny, M.

Description: This capital budget amendment increases the funding of the Northrop renovation project by \$2,373 (2.8%) for a new total of \$88,199. These additional costs address unforeseen conditions identified during the deconstruction phase, cost escalation due to a delay in start date to February 2011, and the highly complex design and construction of this project.

UNIVERSITY OF MINNESOTA

Project Description Report

3157 Transportation Infrastructure

Vice President: University Services

Campus: Twin Cities

Facility: TC Campus

Total Cost: \$2,160

RRC: Auxiliary Services

RRC Contact: Scheich, L.

Project Manager: TBD

Description: This project will fund a number of transportation infrastructure projects on the Twin Cities Campus including the redesign and reconstruction of Pillsbury Drive between East River Rd and Pleasant St, the reconstruction of 8th St S.E., and work related to the Pleasant St. and Pillsbury Dr. roundabout.

3161 Ambulatory Care Clinic

Vice President: Health Sciences

Campus: Twin Cities

Facility: New Facility

Total Cost: \$22,500

RRC: AHC Shared Units

RRC Contact: Freidman, A.

Project Manager: Johnson, R.

Description: This capital budget amendment increases the funding for the Ambulatory Care Clinic by \$22.5M (15.8%) based on completed predesign. This facility will house ambulatory surgery, specialty clinics & related ancillary services.

University of Minnesota
Potential Additions
Annual Capital Improvement Budget

Campus	Unit	Facility	Project Title	Notes
UMD	Facilities Management	Glensheen Estate	Water Damage repair and Cleanup	Predesign and funding not complete
UMD	Facilities Management	Romano Gymnasium	Gym Renovation	Predesign and funding not complete
UMD	Intercollegiate Athletics	Malosky Stadium	Second Floor Build Out	Predesign and funding not complete
UMTC	Auxiliary Services	Bailey Hall	Replace HVAC System	Predesign not complete
UMTC	Auxiliary Services	University Stores North	Loading Dock	Predesign not complete
UMTC	College of Food, Ag & Natural Resource Sciences	Engineering and Fisheries	Lab Remodel	Predesign and funding not complete
UMTC	College of Food, Ag & Natural Resource Sciences	New Facility	Arboretum Bee Discovery Center	Predesign not complete
UMTC	College of Science and Engineering	St. Anthony Falls Lab	Renovation	Funding not complete
UMTC	College of Veterinary Medicine	Vet Med South	Preventative Medicine Remodel	Predesign not complete
UMTC	Facilities Management	Multiple Bldgs	GESP - Energy Conservation Program	Predesign and funding not complete
UMTC	Intercollegiate Athletics	TCF Bank Stadium	MIN Vikings Projects	Facility Use Agreement not complete
UMTC	Medical School	Variety Club Research Ctr	First Floor Remodel	Predesign not complete



UNIVERSITY OF MINNESOTA BOARD OF REGENTS

Board of Regents

May 10, 2013

Agenda Item: Collaboration Across the Big Ten: An Overview of the Committee on Institutional Cooperation (CIC)

review review/action action discussion

Presenters: Senior Vice President and Provost Karen Hanson

Purpose:

policy background/context oversight strategic positioning

At a time when research universities need more than ever to work together to advance the missions of higher education, the Committee on Institutional Cooperation is uniquely positioned to help address issues common across the membership.

Outline of Key Points/Policy Issues:

The presentation offers an overview of the Committee on Institutional Cooperation (CIC) and collaborative projects underway to further common goals of CIC member institutions, which include:

- Leadership development
- Library and technology collaborations
- Purchasing and licensing
- Sharing access to courses
- Global collaborations

Background Information:

The CIC is a consortium of the Big Ten member universities plus the University of Chicago. For more than half a century, these world-class research institutions have advanced their academic missions, generated unique opportunities for students and faculty, and served the common good by sharing expertise, leveraging campus resources, and collaborating on innovative programs.

Governed and funded by the Provosts of the member universities, CIC mandates are coordinated by a staff from its headquarters in Champaign, Illinois. Senior Vice President and Provost Karen Hanson is the current chair of CIC.

The University of Maryland and Rutgers University have accepted invitations to join the Committee on Institutional Cooperation, effective July 1, 2013.



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

Board of Regents

May 10, 2013

Agenda Item: Resolution Related to Alcoholic Beverage Sales at TCF Bank Stadium, Mariucci Arena Club Room, and Williams Arena Club Room During Vikings Games

review review/action action discussion

Presenters: General Counsel Mark Rotenberg
Vice President Pamela Wheelock

Purpose:

policy background/context oversight strategic positioning

The purpose of this item is to review and consider a resolution authorizing alcoholic beverage sales and service at TCF Bank Stadium, Mariucci Arena Club Room, and Williams Arena Club Room during Vikings games. The resolution implements the terms of Section 12 of the Facility Use Agreement with the Minnesota Vikings.

Outline of Key Points/Policy Issues:

The proposed Board resolution related to alcohol during Vikings' games provides for alcohol sales and service throughout TCF Bank Stadium at locations approved by the administration and in the Mariucci and Williams Arena Club Rooms, with certain particular limitations, consistent with the terms of Section 12 of the Facility Use Agreement. The Facility Use Agreement reflects sensitivity to the concerns of the Shakopee Mdewakanton Sioux Community by expressly prohibiting the sale and service of alcohol on the Minnesota Tribal Nations Plaza.

Background Information:

The resolution under consideration authorizes the sale of alcohol during Vikings' games in accordance with the terms of Section 12 of the Facility Use Agreement, which Agreement was reviewed and acted upon by the Facilities and Operations Committee on May 9, 2013, and presented to the Board of Regents as part of the Committee's report.

President's Recommendation for Action:

The President recommends that the Board of Regents approve a resolution authorizing alcoholic beverage sales and service at TCF Bank Stadium, Mariucci Arena Club Room, and Williams Arena Club Room during Vikings games.



REGENTS OF THE UNIVERSITY OF MINNESOTA

RESOLUTION RELATED TO ALCOHOLIC BEVERAGE SALES AT THE TCF BANK STADIUM, MARIUCCI ARENA CLUB ROOM, AND WILLIAMS ARENA CLUB ROOM DURING VIKINGS GAMES

WHEREAS, the University of Minnesota (“University”) has important policy, public safety, and educational interests in controlling the consumption, use, and sale of alcoholic beverages on its campuses; and

WHEREAS, Board of Regents policy prohibits the sale of alcoholic beverages on University property except when authorized by license, approved by the Board of Regents, and sold and served in accordance with applicable law; and

WHEREAS, the University and Minnesota Vikings Football, LLC (“Vikings”) have reached an agreement whereby the Vikings may play football games in TCF Bank Stadium while a new Vikings stadium is being constructed under specific terms provided in a Facility Use Agreement; and

WHEREAS, the Vikings desire to sell and serve alcoholic beverages at TCF Bank Stadium and in the club rooms of Williams Arena and Mariucci Arena (“Arena Club Rooms”), to the extent the Arena Club Rooms are made available for the Vikings’ use in accordance with the terms of the Facility Use Agreement;

NOW, THEREFORE, BE IT RESOLVED, that the Board of Regents, exercising its exclusive constitutional authority to govern and manage University property and facilities, and consistent with Board of Regents Policy: *Alcoholic Beverages on Campus*, directs that the sale and service of alcoholic beverages shall be permitted at TCF Bank Stadium and in the Arena Club Rooms during Vikings games played in the TCF Bank Stadium, subject to applicable law as amended from time to time, including without limitation, Minn. Stat. § 340A.404 Subd. 4a., and subject to University policies and restrictions, and further subject to the following limitations provided in the Facility Use Agreement: (i) beer and wine may be sold throughout TCF Bank Stadium pursuant to the University’s license, (ii) beer, wine, and other alcoholic beverages (“hard liquor”) may be sold in premium seating areas only (i.e., club seating, loge boxes, and suites) pursuant to the University’s license; (iii) beer, wine and hard liquor may be sold in the Arena Club Rooms pursuant to University’s license, to the extent such space is made available for, and used by, the Vikings; (iv) no alcoholic beverages may be sold or served in the Minnesota Tribal Nations Plaza; and (v) the University administration shall approve all alcohol sales locations.