Automatic Detection of Driver Fatigue – Phase III
Traffic centers gather information from traffic sensors at regular intervals, but storing the data for future analysis becomes an issue. This report details work to improve the speed and effectiveness of traffic databases.

In this project phase, researchers redesigned the data model based on the previous phase's data model and decreased the storage requirements by one-third. Researchers developed a web-based Graphical User Interface (GUI) for users to specify the query of interest; the outcome of the performance tuning gave users reasonable response time.

The beneficiaries of this effective database would include the driving public, traffic engineers, and researchers, who are generally not familiar with the query language used in the database management system. This report summarizes the detailed reference, such as benchmark query, sample data, table schema, conversion code, and other information.
Automatic Detection of Driver Fatigue

Phase III Report

Prepared by:

Sarbjit Singh Kaur
Martin Eriksson
Nikolaos P. Papanikolopoulos

Artificial Intelligence, Robotics, and Vision Laboratory
Department of Computer Science
University of Minnesota
Minneapolis, MN 55455

June 1999

Published by
Minnesota Department of Transportation
Office of Research Services
First Floor
395 John Ireland Boulevard, MS 330
St. Paul, MN 55155

The contents of this report reflect the views of the authors who are responsible for the facts and accuracy of the data represented herein. The contents do not necessarily reflect the views or policies of the Minnesota Department of Transportation at the time of publication. This report does not constitute a standard, specification, or regulation.

The authors and the Minnesota Department of Transportation do not endorse products or manufacturers. Trade or manufacturer's name appear herein solely because they are considered essential to the report.
Acknowledgments

We would like to thank Steve Hay and Ron Cassellius for their support.
Executive Summary

Sleep deprivation and sleep disorder are becoming a more common problem for car drivers in a society in which people seem not to have enough time to perform all the activities they need to carry out on a daily basis. Reducing the number of accidents related to driver fatigue would save the society a significant amount of money and personal suffering. By monitoring the driver's symptoms, we can determine driver fatigue early enough as to take preventive course to avoid an accident due to lack of awareness.

This paper describes advances towards a non-intrusive approach for real-time detection of driver fatigue. It uses a video camera that points directly towards the driver's face. It monitors the driver's eye in order to detect micro-sleeps (short periods of sleep of about 3-4 seconds). The input to the system is a continuous sequence of images fed from a video camera. From this sequence, the system can analyze the eyes in each image, as well as compare the eyes between frames. The system deals with skin-color information in order to search for the face in the input space. Allowing only those pixels with skin like color to be considered, we perform blob operation in order to determine the exact position of the face. We reduce the search space by analyzing the horizontal gradient map of the face, taking into account the knowledge that eye regions in the face present great changes in the horizontal intensity gradient. In order to find the exact location of the pupil, we use gray scale model matching. Using this pattern recognition technique, we track the eyes in the video frame sequence until we detect errors in the tracking module. We also use the same pattern recognition technique to determine whether the eye is open or closed. If the eyes remain closed for an abnormal number of times (3-4 sec) the system draws the conclusion that the person is falling asleep and issues some kind of warning signal.

Alternatively, we have also developed a real-time face profile tracking system. By adding a second camera to the system, we can detect fatigue in the form of backward or forward head bouncing movements. As the face tracker, it uses a skin color based approach to locate the profile and it performs blob statistics analysis to determine the inclination. The system is able to automatically diagnose fatigue by continuously monitoring the face profile and counting the number of consecutive frames in which the head has an abnormal forward or backward inclination.
The system uses a Pentium Pro 200 MHz personal computer with a Matrox Genesis imaging board which holds a Texas Instruments TMS320C80 DSP chip. The system’s performance is 15 frames per second for tracking and 10 frames per second for fatigue detection.
TABLE OF CONTENTS

CHAPTER 1 - INTRODUCTION ........................................................................................... 1

CHAPTER 2 - OVERVIEW OF THE SYSTEM ..................................................................... 3
  FUNCTIONAL DESCRIPTION ...................................................................................... 3
  EXPERIMENTAL SETUP .......................................................................................... 4

CHAPTER 3 - THE EYE TRACKER .................................................................................. 7
  LOCALIZATION OF THE EYES .................................................................................. 7
    Localization of the Face .......................................................................................... 7
    Computation of the Vertical Location of the Eyes ................................................ 8
    Computing the Exact Location of the Eyes ............................................................ 9
    Estimation of the Position of the Iris ..................................................................... 11
  TRACKING THE EYES .............................................................................................. 12
  CALCULATING TRACKING ERROR .......................................................................... 12
  SUMMARY ............................................................................................................... 14

CHAPTER 4 - IMPROVING THE SYSTEM BY USING FACIAL FEATURE KNOWLEDGE ....... 15
  USING THE SKIN COLOR OF THE FACE ................................................................. 15
  Tracking the Eyes .................................................................................................. 18
  RESULTS ................................................................................................................. 20

CHAPTER 5 - DETECTION OF FATIGUE IN THE FORM OF MICRO-SLEEPS ............... 21
  USING EYE MODELS .............................................................................................. 21
  USING THE HORIZONTAL HISTOGRAM ACROSS THE PUPIL .............................. 22

CHAPTER 6 - DETECTION OF FATIGUE IN THE FORM OF BOUNCING HEAD MOVEMENTS .......................................................... 25
  TRACKING THE HEAD PROFILE .............................................................................. 25
    Determining The Profile Orientation ................................................................... 25
    Tracking Profile Movement ................................................................................. 28
  DETERMINING DRIVER FATIGUE .......................................................................... 31
  RESULTS ................................................................................................................. 31
  SUMMARY ............................................................................................................... 32

REFERENCES ........................................................................................................... 33


<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Flowchart diagram of the processing of each frame.</td>
<td>4</td>
</tr>
<tr>
<td>2</td>
<td>The symmetry histogram.</td>
<td>8</td>
</tr>
<tr>
<td>3</td>
<td>The original image, the edges and the histogram of projected edges.</td>
<td>9</td>
</tr>
<tr>
<td>4</td>
<td>Result after connected region extraction.</td>
<td>10</td>
</tr>
<tr>
<td>5</td>
<td>The eye-template.</td>
<td>11</td>
</tr>
<tr>
<td>6</td>
<td>Iris localized from image.</td>
<td>12</td>
</tr>
<tr>
<td>7</td>
<td>Snapshots from the system during tracking. Note that in the second image,</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>the system missed tracking of one eye.</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Detection of face using skin color information.</td>
<td>17</td>
</tr>
<tr>
<td>9</td>
<td>Tracking eyes.</td>
<td>19</td>
</tr>
<tr>
<td>10</td>
<td>Sample open eye model.</td>
<td>19</td>
</tr>
<tr>
<td>11</td>
<td>Closed eye model</td>
<td>19</td>
</tr>
<tr>
<td>12</td>
<td>Eye model used for detection of fatigue.</td>
<td>21</td>
</tr>
<tr>
<td>13</td>
<td>Fatigue signal activated.</td>
<td>22</td>
</tr>
<tr>
<td>14</td>
<td>Histograms corresponding to an open and a closed eye.</td>
<td>22</td>
</tr>
<tr>
<td>15</td>
<td>Profile extraction from the scene.</td>
<td>26</td>
</tr>
<tr>
<td>16</td>
<td>Profile orientation.</td>
<td>28</td>
</tr>
<tr>
<td>17</td>
<td>Sample forward bouncing movement due to fatigue.</td>
<td>28</td>
</tr>
<tr>
<td>18</td>
<td>Sample head back laying due to fatigue.</td>
<td>29</td>
</tr>
<tr>
<td>19a</td>
<td>Multiple head bouncing (deg vs. frame number).</td>
<td>29</td>
</tr>
<tr>
<td>19b</td>
<td>Driver slowly falling asleep followed by a panic bounce.</td>
<td>30</td>
</tr>
<tr>
<td>19c</td>
<td>Backward head falls.</td>
<td>30</td>
</tr>
</tbody>
</table>
CHAPTER 1

INTRODUCTION

Introduction

A large number of automobile accidents are caused due to driver fatigue. Sleeping deprivation is becoming a more common problem for car drivers in a society in which people seem not to have enough time to perform all the activities they need to carry out in a daily basis. Reducing the number of accidents related to driver fatigue would save the society a significant amount of money and personal suffering. By monitoring the driver's symptoms, we can determine driver fatigue early enough as to take any preventive course to avoid an accident due to lack of awareness.

There are many indicators of oncoming fatigue, some of which are possible to detect by using a camera. Two of the most notorious of these symptoms that we consider feasible to detect are micro-sleeps (short periods, 2-3 seconds, in which the driver loses consciousness) and the forward bouncing movement of the driver's head.

The input to the system is a continuous sequence of images fed from a video camera. From this sequence, the system can analyze the eyes in each image, as well as compare the eyes between images. The analysis of face images is a popular research area with applications such as face recognition, virtual tools and handicap aids (White, Hutchinson and Carley, 1993) (Tello, 1983), human identification and database retrieval (Cox, Ghosn and Yianilos, 1995). There are also many real-time systems, being developed in order to track face features (Tock and Craw, 1995) (Stiefelhagen, Yang and Waibel, 1996) (Xie, Sudhakar and Zhuang, 1995).

For our study of fatigue in the form of micro-sleeps, we have extracted and tracked the eye locations throughout the entire video sequence. This is a valuable information in order to detect micro-sleep symptoms. We achieved this by dividing the problem into the following functional phases:
1) Localization of the eyes (in the first frame),
2) Tracking the eyes in subsequent frames,
3) Detection of failure in tracking.

Localizing the eyes at the first frame is the most computationally expensive phase of the system. In this phase, the system has no previous information about the eyes location in the image. The system has to find the area of the image that will be used in the subsequent frames in order to track the eyes. During the tracking phase, the search space is reduced as the system has an approximate knowledge of the eye's position from the previous frame. This tracking can be done at a relatively low computational effort. In order to detect failure in tracking, general constraints such as the distance between the eyes and the horizontal alignment of the two eyes can be used. The eyes should be relocated after a certain period, even though no failure has been detected, to periodically make sure that the correct feature is being tracked.

For the detection of fatigue in the form of head bouncing movements we present a real-time face profile tracking system. We add a second camera to the system, which detects fatigue in the form of backward or forward head bouncing movements. As the face tracker, it uses a skin color based approach to locate the profile and it performs blob statistics analysis to determine the inclination.

This report is organized as follows: In Section 2, we describe the system operation. Section 3 describes the experimental setup. In Section 4 we describe the Eye Tracker, how we locate the eyes and track them in a sequence of video input frames. Results and future work are discussed in Section 5. Finally, Section 6 contains the conclusions.
CHAPTER 2

OVERVIEW OF THE SYSTEM

Functional Description

Prior to system design, we have studied a number of factors that are important in order to make it acceptable in real world conditions. First, the system is non-intrusive; the driver can be totally unaware of the system, as it does not interact with him/her at all. The system must detect and track the eyes, and determine when the tracking system fails without the intervention of the driver. Second, the system should be robust; it should be able to detect and correct any error of eye tracking in order to guarantee an accurate detection of fatigue symptoms. Finally, the system should be reliable; even though it is important to diagnose driver fatigue and to signal a warning sign to the driver in order to avoid an accident, it is equally important to avoid false signals. A system that emits a high frequency of false warnings signals would not only be unreliable but also intrusive, and would certainly reject the acceptance of most drivers.

Figure 1 shows an operational flow chart of the system. Two well-differentiated functional phases have been defined in the system: the Eye Tracking and the Fatigue Detection. The eye tracker receives the first frame as an input from the camera. At this point it is assumed that there is no previous knowledge about the location of the eyes. We use the initial frame to localize the eyes within the entire input image. It may be the case that due to unfavorable illumination conditions or head orientation in the initial image, the eye localization fails. In this case we have to grab a new initial frame and apply the localization algorithm in order to find the position of the eyes. This process is repeated until we have an acceptable certainty about the position of the eyes. After the portion of the image containing the driver’s eyes is estimated, the system enters into its tracking mode. In this mode the search space for eye localization in consequent input frames is reduced to the small area surrounding the eye region from the previous input frame. This is based in the assumption that the driver’s head exhibits very small displacements in the time required to grab and process consecutive input frames. During tracking, error-detection is performed in order to recover
from possible tracking failure. If an error occurs, the system gets out of tracking mode and recalculates the eye’s location from the active image frame. The fatigue detection phase is closely related to the tracking phase. The information obtained from the processing of each consecutive input frame in the eye tracking phase, is fed directly to the fatigue detection phase if no tracking error is detected. This phase is responsible of extracting the information from the eyes image, that will determine any signs of micro-sleeps. At each frame, when the eyes are localized, the system determines whether the eyes are open or not. Thus, we are able to tell when the eyes have been closed for too long. In practice, we count the number of consecutive frames during which the eyes are closed. If this number gets too large, we issue a warning signal. If the system can determine fatigue it will emit a warning signal, otherwise it would go back to grab a frame and repeat the entire processing.

Experimental Setup

The Eye Tracker is to be mounted at the top of the dashboard inside the vehicle, pointing towards the driver’s face. At present we are testing the system using a laboratory setup in which the
camera is mounted on top of the computer monitor. The system does not have the capability to control the tilt or zoom factors in order to adjust for the driver's head movements, however it is advisable to have this capability in order to get a better resolution inside the region of interest in the input image. For experimentation, we are using a JVC color video camera and for capturing and image processing we use the Matrox Genesis imaging board which uses the Texas Instruments' TMS320C80 DSP over a Pentium Pro 200 MHz personal computer.
CHAPTER 3
THE EYE TRACKER

As we had mentioned earlier the eye tracker can be divided into three functional units:

Localization of the Eyes

We localize the eyes in a top-down manner, reducing the search-space at each step. The steps involved are:

Localization of the Face

Assuming a frontal view of the face initially, and assuming a horizontal symmetry on the drivers face, we use an approach similar to (Yoo and Oh, 1996). He suggested that in an image focusing a person’s face, we can find the area containing the maximum horizontal symmetry to be the vertical center line of the human face.

In order to increase computational speed we resample the input image of resolution of 640x480 into a 160x120 frame. A symmetry value is then computed for every pixel-column in the reduced image. If the image is represented as \( I(x, y) \) then the symmetry-value for a pixel-column is given by:

\[
S(x) = \sum_{w=1}^{k} \sum_{y=1}^{\text{ysize}} \left[ \text{abs}(I(x - w, y) - I(x + w, y)) \right].
\]

\( S(x) \) is computed for \( x \in [k, \text{size} - k] \) where \( k \) is the maximum distance from the pixel-column that symmetry is measured, and \( \text{size} \) is the width of the image. The \( x \) corresponding to the lowest
value surrounded by two peaks in the symmetry plot of $S(x)$ is the center of the face. Once we have found the symmetry of the face, we can consider the area within radius $w$ from the centerline, as the boundaries of the face. The result from this process is shown in Figure 2.

![Figure 2. The symmetry histogram](image)

By reducing the search space to the face area, not only do we gain speed for further processing, but we also reduce the probability of having distracting features in the background.

**Computation of the Vertical Location of the Eyes**

As suggested in (Stringa, 1993), we use the observation that eye-regions correspond to regions of high intensity gradients. This time using the reduced image from the previous unit, we create the gradient-map, $G(x,y)$. Any edge-detection method could be used. We choose to use a Sobel kernel convolution. We then do a horizontal projection over $G(x,y)$ by summing the gray level of pixels along the horizontal rows:
\[ H(y) = \sum_{i=1}^{xsize} G(i, y), \]

where \( H(y) \) is the horizontal projection histogram value at pixel row \( y \) over the gradient image. Since both eyes are likely to be positioned at the same row, \( H(y) \) will have a strong peak on that row. However, in order to reduce the risk of error, we consider the best three peaks in \( H(y) \) for further search rather than just the maximum. Figure 3 shows the result of this process.

![Figure 3. The original image, the edges and the histogram of projected edges](image)

**Computing the Exact Location of the Eyes**

Using only the area of the image corresponding to the face, and with the observation that eye regions correspond to valleys in intensity values, we threshold and binarize the image. This divides the intensity ranges into two groups: black, for those pixels with intensity values lower than a certain threshold, and white for all other pixels. Once we have this black and white image, we apply blob operation in order to perform connected region extraction, resulting generally in four to six regions. In order to resolve which of these regions correspond to the eyes, we use the information in \( H(y) \). We discriminate all those regions that do not fall within the selected three best peaks from of
the $H(y)$ plot. For discrimination we also use geometrical constraints as size, vertical displacement, and distance from symmetry line. Figure 4 illustrates the result of this process.

![Figure 4. Result after connected region extraction.](image)

It must be taken into account that thresholding is the backbone step of this method. It is difficult to establish a predefined threshold hold value that would satisfy all cases. Many different factors intervene in the selection of this value, such are skin color, hair color, and illumination. We have used an adaptive thresholding technique (Stiefelhagen, Yang and Waibel, 1996) that starts out with a low threshold. If two good eye-regions are found, then threshold is stored, and used the next time the eyes have to be localized. If no good eye-regions are found, the system automatically attempts with a higher threshold, until the regions are found. When the threshold gets too high, the system will start over with a low threshold again. If no good regions are found on any of the three biggest peaks in $H(y)$ with any of the attempted thresholds, we conclude that there are no eyes in the image (e.g., the driver is looking over his shoulder). In this case the localization unit fails and the entire localization process is repeated.
Estimation of the Position of the Iris

Once we have an estimated position of the location of the eyes in the image and using the original 640x480 input frame, we apply a template matching technique over the eye region, in order to localize the iris. Template matching refers to the process of detecting an object having a certain size, shape, and orientation in an image by applying an operator containing positive weights in a region resembling the object and negative weights surrounding the positive weights. To create an operator that will respond to the match of the iris we use a template as show in figure 5. A good match would result in many dark pixels in the area inside the inner circle, and many bright pixels in the area between the two circles. This match occurs when the inner circle is centered on the iris and the outside circle covers the sclera.

The match $M(a_1,a_2)$ is computed as

$$M(a_1,a_2) = \sum_{(p,q) \in a_1} I(p,q) - \sum_{(p,q) \in a_2} I(p,q).$$

A low value for $M(a_1,a_2)$ corresponds to a good match.

We decided to use a fixed size template that does not deform taking into account the desirability of a real time tracking system versus a highly accurate one.

Figure 5. The eye-template.
Tracking the Eyes

Tracking a feature in a sequence of images involves looking for that feature in a small neighborhood centered at the location of that feature in the previous frame. The main assumption is that the feature being tracked generally has small displacements between frames. In our case, we do not need to go through the entire process of localizing the eye over again in the following frames. We track the eye by looking for the darkest pixel in the predicted region (Stiefelhagen, Yang and Waibel, 1996). Since the darkest pixel will almost certainly be inside the pupil, this will work well, if we assume that the eyes will not move very far between two consecutive frames.

Calculating Tracking Error

In order to recover from tracking errors, we make sure that the distance between the eyes remains constant and reasonable. We also control that the eyes are reasonably close horizontally to each other. If any of the geometrical constraints are violated, we relocalize the eyes in the next frame. To find the best match for the eye-template, we initially center it at the darkest pixel, and then perform a gradient descent in order to find a local minimum.
Figure 7. Snapshots from the system during tracking. Note that in the second image, the system missed tracking of one eye.
Summary

We have presented a non-intrusive real time eye tracking system. The system is able to localize and track the pupil of a user as soon as he sits down in front of the video camera’s view field. The system uses a symmetry based approach to locate the face and template based eye feature extraction. During tracking, the system is able to automatically detect any error that might have occurred. In case of a tracking error, the system is able to recover and resume the proper tracking. The Eye Tracker will provide useful information that will be further used in a micro-sleep detection phase in order to achieve an automatic detection of driver fatigue.
CHAPTER 4

IMPROVING THE SYSTEM BY USING FACIAL FEATURE KNOWLEDGE

During this phase of the project, we have readapted the system to use color information. We have used the skin color features of the face in order increase the robustness and information inquiry during the face location. We have improved our template matching algorithms in order to quickly determine and track the location of the eyes. The same template matching technique is used to find closed eyes, information that is later used to determine driver fatigue in the form of microsleep.

Finally we outline part of the research in progress related to capturing and tracking facial features that will be helpful in determining fatigue by detecting the forward bouncing movement of the driver's head.

Using the Skin Color of the Face

In the previous chapter, we had used a symmetry based approach in which it is assumed that there exists a horizontal symmetry on human faces and that we could reduce the search space for eyes by focusing our attention on a stripe of image around this symmetry line. This approach, although very fast, showed to be quite fragile because the system expected that the driver's face be in a totally frontal view every time the system entered into the face detection step. Moreover, the technique gave information about the possible left and right margins of the face but was incapable to provide any prediction of the upper and lower margins of the face.

We have adopted an approach that uses skin color feature information in order to predict and track the position of the driver's face. Skin color models have shown to be very successful to
segment human faces in noisy images. We have used the approach suggested in [1]. The main idea
behind most skin color models lays on the notion that skin pixels do not vary as much in color as
they do in brightness. This is true even for individual among different races. In other words, given
an RGB representation of an image, and a pixel $P_1$ with value $[r_1, g_1, b_1]$ in RGB space, and a pixel
$P_2$ with value $[r_2, g_2, b_2]$, we say that they have similar color but possible different brightness if the
following expression (described in [1]) holds:

$$\frac{r_1}{r_2} = \frac{g_1}{g_2} = \frac{b_1}{b_2}$$

As brightness is not important to represent human skin under normal light condition, we can
eliminate it by making a $R_3 \rightarrow R_2$ transformation from RGB space to chromatic color space $(r,g)$ by
simple normalization

$$r = \frac{R}{R+G+B}$$

$$g = \frac{R}{R+G+B}$$

Skin color pixels are clustered in chromatic color space and they can be represented using a
Gaussian distribution. For this purpose, we used as a sample space a representative portion of the
face of different people under different light condition. We represented the skin color distribution
using the Gaussian model $N(m, \Sigma^2)$, where $m = (\bar{r}, \bar{g})$, with

$$\bar{r} = \frac{1}{N} \sum_{i=1}^{N} r_i,$$

$$\bar{g} = \frac{1}{N} \sum_{i=1}^{N} g_i,$$
The procedure for creating skin color model is fully explained in [1,2]. Once the skin model was created, we used it in our system to filter the incoming video frames to allow the filtering of only those pixels that had a high likelihood of being face pixels. This allowed us to quickly detect the region of the image where the face is located. In order to reduce the computational cost we resample the input image of resolution of 640x480 into a 160x120 frame. Figure 8.b shows the result of applying this method over the incoming video frame on Figure 8.a. As one may see, only those pixels that resemble skin color have been admitted. In order to determine the exact face region from the image we use simple blob operation (we chose the largest connected region as the face region). Figure 8.c. shows binarization of the skin pixels and Figure 8.d. shows the result of the entire face detection process after the blob operation is executed.

\[
\Sigma = \begin{bmatrix}
\sigma_{rr} & \sigma_{rg} \\
\sigma_{rg} & \sigma_{gs}
\end{bmatrix}
\]

Figure 8.a. Incoming video frame
Figure 8.b. Skin color separation
Figure 8.c. Binarization of skin color image.
Figure 8.d. Estimated face position after blob operation.

Figure 8 Detection of face using skin color information
Tracking the eyes

After estimating the position of the eyes in the image as described in our previous chapter and using the original 640x480 input frame, we use gray scale correlation over the eye region in order to find the exact position of the iris. Gray scale correlation is a pattern matching technique that allows us to search for a pattern in an image. Models were created and stored in a database using rectangular areas of well-known sample eye images from different persons and at different face angles (see Figure 10). A search is performed by assigning a match score to each pixel in the target image, based on how closely the model matches the region around that pixel.

The match \( M \) is computed as

\[
M = \frac{\sum_{i=1}^{N} I_i M_i - \sum_{i=1}^{N} I_i \sum_{i=1}^{N} M_i}{\sqrt{\left[ N \sum_{i=1}^{N} I_i^2 - \left( \sum_{i=1}^{N} I_i \right)^2 \right] \left[ N \sum_{i=1}^{N} M_i^2 - \left( \sum_{i=1}^{N} M_i \right)^2 \right]}}
\]

where \( N \) is the number of pixels in the model, \( M \) is the model and \( I \) is the image against which the pattern is being compared.

We defined an acceptance level of match score above which a match is considered to be true. In other words, if the match score of a pixel is above the acceptance level, we consider that the iris of the eye is center at that pixel point; if the match score is below the acceptance level, we conclude that the current pattern has no correspondence, and we use another model retrieved from the database. On the other hand, if a match is found, a new model is grabbed from the live image and used in future pattern matching. We do this in order to avoid searching the database in each eye localization phase and to make the tracking process as smooth and accurate as possible.

Tracking a feature in a sequence of images involves looking for that feature in a small neighborhood centered at the location of that feature in the previous frame. The main assumption is that the feature being tracked generally has small displacements between frames. In our case, we do not need to go through the entire process of localizing the eye in the following frames. If we assume
that the eyes will not move very far between two consecutive frames, we can predict that the next eye match will happen almost certainly in an area surrounding the current iris position.

Figure 9. Tracking eyes

Figure 10. Sample open eye model
Figure 11. Closed eye model
Results

We have tested the system with drivers of different skin color, with facial hair, and of different gender. We have monitored the system's response with different degrees of rotation and inclination. The system is able to complete the eye localization at 10 frames per second and tracking at 15 frames per second. For small head-movements, the system rarely loses track of the eyes. The system has a tolerance on head rotation of up to 45 degrees and on tilt of up to 30 degrees. Under these circumstances, the system was able to detect prolonged eye blinks most of the times and it produced occasional false alarms.
CHAPTER 5

DETECTION OF FATIGUE IN THE FORM OF MICRO-SLEEPS

Using Eye models

As we had stated earlier in this paper, this system detects micro-sleeps symptoms in order to diagnose driver fatigue. As the driver’s fatigue increases, his/her eye blinks tend to last longer. We can determine his blink rate by counting the number of consecutive frames in which the eyelashes remain closed. Our main problem was to differentiate between an open eye, a closed eye and a total absence of eyes in the given image. As the eye closes, we can be certain that the pattern-matching algorithm will fail to keep track of the eye. However this notion should not always be interpreted as tracking error. It is very possible that a closed eye may have caused the recognition failure. Taking this into consideration, we have used a second model to keep track of the eye blinking. The model we have used is that of a closed eye, as shown in Figure 12, and the technique used is exactly the same as the one to find an open eye. If the tracking of the open eye fails, we try with the model of a closed eye. If none of these models seem to produce an acceptable match, we declare the tracking void and go back to the step relocating the eyes. Figure 13 shows how the system signals a “fatigue alert” when the eyes have been closed for many consecutive input frames (3-4 seconds).

Sample open eye model

Closed eye model

Figure 12. Eye model used for detection of fatigue
Using the Horizontal Histogram Across the Pupil

Another way to determine whether the eyes are open or closed is by using the characteristic curve generated by plotting the image-intensities along the line going through the pupil from left to right. The pupil is always the darkest point. Surrounding the pupil, we have the iris, which is also very dark. To the right and left of the iris is the white sclera. In Figure 14 we show two curves, one corresponding to an open eye, and one corresponding to a closed eye.
Note that the curve corresponding to the closed eye is very flat.

We compute the matching function $M(x,y)$ as

$$M(x, y) = \frac{I(x, y)}{\min\{I(x-r, y), I(x+r, y)\}}$$

where $(x,y)$ is the computed center of the pupil and $r$ is the radius of the iris. $I(x,y)$ is the image intensity at $(x,y)$. When the eye is open, the valley in the intensity-curve corresponding to the pupil, will be surrounded by two large peaks corresponding to the sclera. When the eye is closed, this curve is usually very flat in the center. However, in the latter case there is no pupil to center the curve on which can lead to a very unpredictable shape. In order to minimize the risk of having one big peak nearby (due to noise), we always use the minimum peak at the distance $r$ from the pupil. This will lead to a good match when the eye is open, and very likely to a bad match when the eye is closed. The reason that we can choose this method instead of using the match generated by the circular template, is that the horizontal line captures the characteristic eye-colors more accurately than a circular template does. A very good example of this is when the head is tilted forward. A large fraction of the circular template would end up outside the eye, since the perspective makes the eye “thinner.” However, the histogram of the line going through the pupil will retain its characteristic shape. This is a very important observation, since when the driver is getting tired, his or her head is very likely to fall slightly forward.
CHAPTER 6
DETECTION OF FATIGUE IN THE FORM OF BOUNCING HEAD MOVEMENTS

Tracking the Head Profile

In the earlier chapter of this report, we had studied the detection of eyes blink rate and other facial futures for detection of micro-sleeps. The current task report examines the feasibility of monitoring driver fatigue by analyzing the motion pattern of the driver’s face profile. Studies in the area have shown that certain patterns of motion of the face profile indicate driver fatigue (e.g., repetitive forward motion towards or against the steering wheel). Tracking the face profile enables efficient monitoring of driver fatigue even when the driver eyes are out of the reach of a frontal camera. We have used a second camera that tracks the driver’s face profile which optical axis is perpendicular to the optical axis of the frontal camera. The objective of this camera is to obtain information about the head-moving pattern by tracking the driver’s profile and determining his head orientation in a continuous video feed.

Determining The Profile Orientation

In order to determine the profile orientation, the system first determines the location of the face and separates it from the scene background. For this we have used a skin color based approach already described in [1] (and in the Task 2 report) that takes advantage of the notion that skin pixels do not vary as much in color as they do in brightness. This is true even for individuals among different races.
Figure 15.b shows the result of the face profile separation from Figure 15.a. Only the biggest skin colored blob, presumably belonging to the face, has been extracted and binarized. In order to fill holes and remove some noise data, blobs were dilated and eroded several times.

We also want to determine the position of the profile in the image. Because the profile does not have a regular geometrical shape, the usual practice is to choose as the center the center of mass of the blob. This is the point where all the mass of the blob could be concentrated without changing the first moment about any axis. For our case, we have used the approximation:

\[
\bar{x} = \frac{M_{x,y}}{\text{BlobArea}} = \frac{\sum\sum (x \cdot P(x,y))}{\sum\sum P(x,y)},
\]

where \( M \) is the moment and \( P(x,y) \) is the binary value of the pixel at coordinates \( (x,y) \) from the top of the image.

Also
\[
\bar{y} = \frac{M_{x_0}y_1}{BlobArea} = \frac{\sum_x \sum_y (y \cdot P(x, y))}{\sum_y \sum_x (P(x, y))},
\]

Being \((\bar{x}, \bar{y})\) the center of gravity.

Now we determine how is the orientation of the face profile in the image as shown in Figure 16. Assuming that the head is somewhat elongated, the orientation of the elongation can be used to define the inclination of the driver's face. We define the axis principal angle as the angle at which a blob has the least moment of inertia. For elongated blobs, it is aligned with the longest axis. This angle is calculated as follows:

\[
\theta = -\frac{1}{2} \cdot \arctan \left( \frac{2 \cdot M_{cx}y_1}{M_{cx}y_0 - M_{cx}y_2} \right)
\]

where \(M_{cmn}\) are the moments with respect to the center of gravity. Expanding this expression we obtain:

\[
\theta = -\frac{1}{2} \cdot \arctan \left( \frac{2 \cdot \sum_{x'} \sum_{y'} (x' \cdot y' \cdot P(x', y'))}{\sum_{x'} \sum_{y'} (x'^2 \cdot P(xc, yc)) - \sum_{y'} \sum_{x'} (y'^2 \cdot P(x', y'))} \right)
\]

\((x',y')\) being the coordinates of the pixels with respect to the center of gravity.
Having determined a method for calculating the head inclination, we proceeded to monitor it in search for a pattern that would help us early diagnose fatigue. We mainly studied two kinds of head movement pattern. The first one was the forward bouncing movements of the head, until the driver totally loses sense with his head completely down, as in Figure 17.

The other very common symptom of driver unawareness due to fatigue is the total inclination backwards as shown in Figure 18. However as you can see in the right most image, the system may fail to predict the right inclination due to side tilt of the head.

Figure 17. Sample forward bouncing movement due to fatigue
Figure 18. Sample head back laying due to fatigue

Figure 18 shows the result of the profile tracking experiment performed in a Lab. Figure 19.a represents 5 bouncing movements while Figure 19.b represents the case when the driver slowly falls asleep and there is only one bounce that is produced due to a sudden panic. Bounces in the driver's head correspond to minimas in the chart. Figure 19.c, shows the result of monitoring during backward head fallings. This time it is the peaks of the chart that provide us with useful information of possible incoming fatigue.

Figure 19.a. Multiple head bouncing (deg vs. frame number)
Figure 19.b. Driver slowly falling asleep followed by a panic bounce

Figure 19.c. Backward head falls
Determining Driver Fatigue.

The method we have presented for tracking face profile, provides useful information about the driver’s head movement. However, the question on when to signal a fatigue alarm has yet to be determined precisely. Determining a pattern that may be present in most users is a difficult task, especially because we observe that different people have different head movement tendencies while driving under fatigue and the may not even repeat among individual people. We can use heuristics deduced from the charts to define an appropriate moment to emit a warning signal. In our case, we emit a warning signal when the user’s head inclination falls below $35^\circ$ or goes beyond $120^\circ$ for over 3 sec. Because these are movements that are very infrequent in a person while driving, we not only maximize are chances of fatigue detection, but also avoid the signaling of constant false positives.

The exact answer to when is the right moment to signal an alarm should come from specialists in Human Factor or Psychologists. They can study better what patterns in the head movement correspond to driver fatigue. We have designed our system in a flexible way to adapt for any future knowledge in the area.

Results

We have tested the system with drivers of different skin color, with facial hair, different gender, and with eye-glasses. We have monitored the system’s response with different degrees of head inclination. The system tracks the persons profile at 10 frames per second. The system rarely loses track of the person’s profile, however it may determine a wrong profile inclination of some backfalling head movement especially in those with pronounced side tilts. Including these rare special cases, the system was able to detect fatigue in 90% of the cases, and almost never produced false alarm.
Summary

We have presented a non-intrusive real-time face profile tracking system. We have added a second camera to the system, which detects fatigue in the form of backward or forward head bouncing movements. Similarly to the face tracker, it uses a skin color based approach to locate the profile and it performs blob statistics analysis to determine the inclination. The system is able to automatically diagnose fatigue by continuously monitoring the face profile and counting the number of consecutive frames in which the head has an abnormal forward or backward inclination.
REFERENCES


