

# LARES

## Liberal Arts Research Newsletter

September 15, 1988

LARES, Vol. XIV, No. 1

Telephone: 625-4801  
Hours: 8:30-4:30, M-F

Editor: Geri H. Malandra

### I. GENERAL ANNOUNCEMENTS

1. From the Editor. We are happy to report that we can start the academic year with some good news about trends in externally funded research by CLA faculty. As the table below illustrates, by every measure, research proposals and funding have increased significantly this past year. These increases are particularly noteworthy, since they occurred in a year when many federal programs were level funded, and when foundations began to limit the kinds of programs they would fund. The search for external funding is expected to remain highly competitive this coming year. However, trends in CLA research suggest that our faculty will continue to succeed in an increasingly challenging environment; for example, in 1988, there were more awards than in the previous year from NIH, NSF, NEH and a variety of private foundations.

#### Externally Funded CLA Research, FY 1987 and FY 1988

	<u>FY 1988</u>	<u>FY 1987</u>	<u>% Increase</u>
Proposals Submitted	154	142	8%
Amount Requested	\$26,622,305	\$14,420,568	85%
Awards Received	94	80	17%
Award Amount	\$ 6,181,458	\$ 4,229,808	46%
Indirect Costs	\$ 946,102	\$ 630,248	50%
<u>Funded projects starting in fiscal year</u>	\$ 5,482,620	\$ 4,362,491	26%

## 2. A Few Start-of-the-Year Reminders:

a. New BA-23 Forms. New BA-23 forms should now be available in each department; they can also be obtained from the storehouse.

b. New Fringe Benefit Rates. For projects beginning in January, 1989, the following fringe benefit rates apply:

<u>Academic</u>	<u>Civil Service</u>	<u>Students</u>
31%	25%	10.85% (75% to 99% work) 3% (0% to 74% work)

### c. Indirect Cost Rates.

For projects beginning:	6/30/89	6/30/90
Research		
On Campus	43.0%	44.0%
Off Campus	21.0	21.5
Other Sponsored Activity		
On Campus	33.4	33.4
Off Campus	16.8	16.8
Instruction		
On Campus	68.7	68.7
Off Campus	27.9	27.9

## II. FUNDING NOTES

### Internal

1. Graduate School Grants-in-Aid. The fall deadline will be October 3, 1988. Resources this fall are tight, so the Graduate School has decided to give priority to applications in the following categories: support for new faculty members, interim support during a lapse in external funding, support for faculty members moving into a new area of research, or support for faculty members in fields where external funding is scarce. Copies of the application form can be obtained from the Graduate School Research Office, 625-2356.

2. McKnight Land-Grant Professorships. These awards provide three years of support to outstanding young faculty. Individuals can be nominated as early as their first year at the University, but no later than the start of the fourth year. Nominations will be due October 28, 1988. Additional information is available from the Dean of the Graduate School.

3. **CLA Faculty Travel and McMillan Travel Fund.** The next deadline will be October 10, 1988 to apply for support for travel between December 16, 1988 and March 15, 1989. Funds are available for transportation and living expenses in relation to travel to conduct research or present the results of scholarly or creative activity at a major national or international conference. For further information and application forms, contact the CLA Faculty Affairs Office, 624-9839.

4. **Graduate School Faculty Summer Research Fellowships.** November 14 is the deadline for 1988 Faculty Summer Research Fellowship applications. The purpose of the program is to encourage research and artistic creation by enabling members of the faculty to devote full time to intensive work on their projects for one summer term of approximately five weeks. Awards will be \$4,000 each. Information and application forms are available from Lori Wallin (625-2356).

5. **Office of International Education Faculty Grant Program.** Grants may cover: establishment of collaborative research, training or service; educational exchanges of faculty; completion of individual research of an international nature; curriculum development; international seminars and other programs held at the University of Minnesota or involving international scholars outside the University; participation in international professional conferences held outside the U.S. Applications are due November 15 for activities beginning in January, February or March. Information and application forms are available from the OIE Development Grant Coordinator, 624-5580.

6. **Bush Sabbatical Program.** Supplementary sabbatical income is provided for mid-career faculty who seek to integrate research and undergraduate teaching. Tenured faculty who are eligible for a regular University Sabbatical Furlough may apply. Women and members of minority groups are especially encouraged to apply. Applications are first evaluated by the college; CLA will set a late-November deadline for its internal review. CLA forwards its recommendations to the Office of Educational Development Programs to meet a January 9 deadline. Information about the CLA review process is available in the Faculty Affairs office, 624-9839; application forms and additional information about the program are available in the EDP office, 625-0088.

7. **Single Quarter Leave.** This program is designed to advance special studies, research, scholarly writing, and self-improvement programs that will enrich and strengthen individual knowledge and understanding of scholarship and/or university teaching for faculty members with regular appointments. Applications reviewed first by the college; there will be a late-November CLA deadline for applications for Single Quarter Leaves. CLA will then forward its recommendations to the Office of the Vice President for Academic Affairs for final review in early January. Direct questions about the CLA review process to the Faculty Affairs Office 624-9839; when available, application materials will be distributed to each department.

External

1. **American Council of Learned Societies 1988-1989 Fellowships and Grants.** The general programs of the ACLS support postdoctoral research in the humanities; research in the social sciences with a predominantly humanistic emphasis will also be considered. The Ph.D. or its equivalent is required. Younger scholars and independent scholars are encouraged to apply. The Area Programs, sponsored jointly by the Social Science Research Council, support postdoctoral and predoctoral research and study in the humanities and social sciences. All programs administered by ACLS require U.S. citizenship or permanent residence. Additional information or application forms may be obtained by writing to the address below; requests for application forms must include the following information: citizenship or permanent residence, highest academic degree received, academic or other position, field of specialization, proposed subject of study, period of time for which support is requested, and the specific program under which application is contemplated. For the programs with September 30 deadlines, requests for application forms must be received by ACLS no later than September 25, 1988.

American Council of Learned Societies  
228 East 45th St.  
New York, NY 10017-3398

ACLS Fellowships for research in the humanities. Six to 12 months of support between July 1, 1989 and December 31, 1990. Maximum award: \$15,000. Deadline: September 30, 1988.

ACLS/Ford Fellowships for humanistic research on social issues of particular interest to the Ford Foundation. Six to 12 months of support between July 1, 1989 and December 31, 1990. Maximum award: \$15,000. Deadline: September 30, 1988.

ACLS Research Fellowships for Recent Recipients of the Ph.D. for research in the humanities for applicants whose Ph.D. will have been conferred between January 1, 1986 and December 31, 1988. Same tenure as above. Maximum award: \$10,000. Deadline: September 30, 1988.

ACLS Grants-in-Aid to support expenses of humanistic research in progress. Grants to be expended between April 15, 1989 and April 15, 1990. Maximum award: \$3,000. Deadline: December 15, 1988.

ACLS Grants for Travel to International Meetings for scholars in humanistic disciplines to participate in international meetings held outside North America. (Written requests for applications should be directed to the ACLS Travel Grant Office and, in addition to the information listed above, should set forth the name, dates, place and sponsorship of the meeting as well as a brief description of the nature of the applicant's scholarly interests and

proposed role in the meeting.) Note new deadlines: October 1, 1988 for meetings January-June, 1989, March 1, 1989 for meetings July-December, 1989.

For additional information about these and other ACLS programs, you may contact ORD, or write to ACLS at the address given above and request the general program description. For information about ACLS/SSRC programs, write to:

Office of Fellowships and Grants  
Social Science Research Council  
605 Third Avenue  
New York, NY 10158

2. **Guggenheim Fellowships.** Fellowships are awarded to scholars of high intellectual and personal qualifications who have already demonstrated unusual capacity for productive scholarship or unusual creative ability in the arts. The Fellows are usually between 30 and 45 years old, but there are no age limits. Appointments are ordinarily made for one year, but in no case for a period shorter than 6 consecutive months. Amounts of grants are adjusted to the needs of the Fellows; teachers receiving sabbatical leave on full or part sabbatical salary are eligible for appointment. Guggenheim fellowships may not be held concurrently with other fellowships. (In 1987, the Foundation awarded 273 fellowships for a total of \$6,336,000. There were 3,421 applicants.) Deadline for application for 1989 fellowships is October 1, 1988. Application forms must be requested directly from the foundation:

John Simon Guggenheim Memorial Foundation  
90 Park Avenue  
New York, NY 10016  
(212) 687-4470

3. **American Philosophical Society.** The APS grants about \$400,000 a year in support for scholarly research in all areas of knowledge. Grants may cover living costs while away from home; microfilms, photostats and photographs; consumable supplies; and necessary foreign and domestic travel. The maximum grant that will be made for a full professor is \$2,500. The APS will also consider making supplemental grants for travel if an applicant has received other grants (e.g., NEH, Guggenheim, SSRC) that do not include travel that is necessary to the applicant's research program. Deadlines for applying are: December 1, February 1, April 1, August 1. Decisions are made within three months. For application forms, briefly describe your project and proposed budget in a letter to: Committee on Research, American Philosophical Society, 104 South Fifth St., Philadelphia, PA 19106.

4. **Howard Foundation Fellowships for Sabbatical Research.** In 1988-89, the Howard Foundation will award seven fellowships, with a stipend of \$18,000, in Classical and Archaeological Studies, History of Science, Philosophy, and Religious Studies. The fellowships are awarded to "promising

individuals at the crucial middle stages of their careers." Applications are by nomination only. The College of Liberal Arts can nominate two applicants. The College has set October 14 as the deadline to receive a proposal requesting nomination. For additional information, contact ORD, 625-4801.

5. **Jerome Foundation Arts Travel and Study Grants.** Grants will be awarded in Dance, Visual Arts, Theater, and Literature, to individuals working in these areas either as independent professionals or as staff of nonprofit organizations. The purpose of the program is to support significant periods of professional development. The program may allow for artist-to-artist communication on aesthetic issues, the experience of seeing artistic work outside of Minnesota, time for reflection and individualized study, the development of future exhibitions and collaborations, and opportunities for artists to attend exhibitions of their work in other locations. The deadline will be October 3, 1988. ORD has additional information and application forms.

6. **National Humanities Center Fellowships.** October 15 is the deadline for applications for 1988-89 residency fellowships at the NHC. These fellowships are available to scholars in all fields of the humanities, and also in fields normally associated with the social sciences and arts, as long as proposed work has a humanistic dimension. The program is open both to scholars of demonstrated achievement as well as to younger scholars (up to ten years beyond the Ph.D.) who plan to work at the NHC for an academic year. Applicants submit the Center's form, a curriculum vitae, a 1000-word project proposal, and three letters of recommendation. For application material write to:

Kent Mullikin, Assistant Director  
National Humanities Center  
7 Alexander Drive  
Research Triangle Park, NC 27709  
Telephone: (919) 549-0661.

7. **Bush Foundation Artists Fellowships.** The program is designed for artists of exceptional talent and demonstrated ability. Applicants must be residents of Minnesota, and at least 25 years old. Students are not eligible. Awards will be made in the following categories: **Literature (fiction and non-fiction writers, poets, playwrights);** deadline is October 30. **Music composers;** deadline is November 6. **Choreographers;** deadline is November 6. **Visual artists (painters, sculptors, graphic artists, photographers, film and videomakers and those working in multimedia and/or performance art);** deadline is November 13. For further information and to request application forms, write to:

Bush Artist Fellowships  
E-900 First National Bank Building  
St. Paul, MN 55101  
221-5222.

**8. Social Science Research Council. Grants for Advanced International Research.** Grants are available for periods usually ranging from two months to one year to individuals with Ph.D. degrees or equivalent research experience for research in the humanities or social sciences. These programs are designed to support research in one country, comparative research between countries in an area, and comparative research between areas. Grants may be used for travel, research, and maintenance expenses. Program areas include: Africa, Comparative Studies of Muslim Societies, Japan, Korea, Latin American and the Caribbean, the Near and Middle East, Russia and the Soviet Union, South Asia, and Southeast Asia. Grant amounts and desired focus vary according to the program; ORD has additional information. The deadline in all cases is December 1.

**Advanced Research Fellowships in Foreign Policy Studies.** These support up to two years of research on the processes of U.S. foreign policy making, including examination of forces and institutions that are not the typical focus in this area: Comparative studies of policy-making processes; analysis of influences of institutions, groups or broad societal forces on these processes; use of theories and insights from diverse social science disciplines. The program permits--but does not require--fellows to acquire additional training through formal course work, tutorials, or supervised study. Awards will not exceed \$35,000. Deadline for applications is December 1. Application materials for all SSRC programs area available from:

Social Science Research Council  
Fellowships and Grants  
605 Third Avenue  
New York, NY 10158  
(212) 661-0280

**9. The Mary Ingraham Bunting Institute.** Fellowships are available to women in academic or professional fields, in creative writing, or in the arts (visual, performing, and music). Applicants must have had their doctorates at least two years prior to appointment. Awards include a stipend of \$17,600, office or studio space, auditing privileges, and access to the libraries and other resources of Radcliffe College and Harvard University. Deadline for applying: October 15. Additional program information and application materials may be obtained from:

The Mary Ingraham Bunting Institute  
Fellowship Program  
Radcliffe College  
10 Garden Street  
Cambridge, MA 02138  
(617) 495-8212.

**10. Rockefeller Foundation: Changing Gender Roles in Post-Industrial Society.** October 3 is the deadline for letters of interest in this program, designed to lead to new understanding and recognition of the interrelationships of gender with other important phenomena in post-industrial

societies. The competition is open to men and women trained in the social sciences, humanities, law, and related natural sciences. Projects should address the social, legal, economic, psychological, political, philosophical, and historical dimensions of the changing status and perception of women. Gender research on minority group populations will be given priority. Consequences and policy implications of changing gender roles is a critical focus. Application procedures are available from the Foundation:

The Rockefeller Foundation  
Gender Roles Program  
1133 Avenue of the Americas  
New York, NY 10036  
(212) 869-8500.

**Rockefeller Foundation Fellowships in the Humanities.** For 1988-89, fellowships will be offered as year-long residencies at host institutions selected for their potential to promote individual scholarship in the humanities. Each institution selects scholars to receive Rockefeller Foundation Fellowship stipends. Resident fellowships make outstanding resources accessible to individual scholars, stimulate exchange within and between disciplines, and strengthen emerging areas of inquiry in the humanities. They are meant to serve scholars who are testing disciplinary boundaries or moving into new fields of inquiry. There are 21 residency sites, with programs in Afro-American Studies, History of Medicine, Women's Studies, Islamic Society and Civilization, Film, History of Science, and much more. Applications are submitted directly to the host institution. ORD has a complete list of residency sites and contact information. Application deadlines (usually in early winter), eligibility, and stipends may vary according to the host institution.

**12. Kellogg National Fellowship Program.** This program provides opportunities for qualified professionals in academic, public or private sector settings to stretch their perspectives, explore new interdisciplinary pathways, and develop a richer knowledge and experience background. The goal is to prepare people for expanded leadership roles in a society where issues grow more complex each year. Awards of up to \$35,000 for a three-year period, plus 12 1/2 % of annual salary (not exceed a total for three years of \$20,000) will be made to up to 50 people who have leadership potential. Fellows will then carry out nondegree, interdisciplinary, self-directed activities to take them beyond the confines of their profession. They will also participate in Foundation-sponsored seminars to explore a wide variety of problems and issues to develop leadership skills. (These fellowships are not awarded for pursuit of basic research or to complete a degree.) The deadline is December 15. Additional information is available from:

Applications  
Kellogg National Fellowship Program  
W.K. Kellogg Foundation  
400 North Avenue  
Battle Creek, MI 49017-3398  
(616) 968-1611.



13. **NEH Reference Materials: Tools and Access.** This program provides support for projects that promise to facilitate research in the humanities by organizing essential resources for scholarship and by preparing finding aids and other reference materials that can improve scholarly access to information and collections. The Endowment is interested in supporting significant materials that are likely to be used by a wide range of scholars. Grants in the **Tools** category support the creation of dictionaries, historical or linguistic atlases, encyclopedias, concordances, catalogues raisonnées, linguistic grammars, descriptive catalogues, data bases, and other materials that serve to codify information essential to research in the humanities. Awards can be made for periods extending from one to three years, and range from less than \$10,000 to more than \$150,000 depending on the scope of the project.

Grants in the **Access** category support projects that promise to increase the availability of important research collections and other significant source material in all fields of the humanities. Support is provided for such activities as archival arrangement and description projects; bibliographies; records surveys, cataloguing projects involving print, graphic, film, sound and artifact collections; indices; and other guides to humanities documentation. Up to three years of support may be provided, ranging from \$20,000 to \$150,000 depending on the size of the project.

In both categories, NEH will fund no more than 80% of the project's total costs, and applicants are encouraged to seek full or partial support through federal matching funds. The deadline for both programs is **November 1, 1988**. ORD has application brochures and additional information. NEH strongly encourages potential applications to contact the program office before a proposal is submitted. The contact number is (202) 786-0358.

14. **The Society for the Humanities.** **November 1** is the deadline for applications for junior Postdoctoral Fellowships carrying stipends of \$25,000. Applicants must have a Ph.D. and at least one year's teaching experience. Emphasis is placed on interdisciplinary work on the Society's focal theme which for 1989-90 is "Producing, Inventing, and Remembering Revolution." For application materials, contact: The Society for the Humanities, Cornell University, Andrew D. White House, 27 East Avenue, Ithaca, NY 14853-1101, (607) 255-4086.

15. **Fulbright-Hays Faculty Research Fellowships Abroad.** The Department of Education is seeking applications under Fulbright-Hays training grant programs, including faculty research abroad, group projects abroad, and doctoral dissertation research abroad. About \$700,000 will be available for 25 three- to 12-month faculty research fellowships averaging \$28,000 each; and \$1.5 million for 95 doctoral dissertation research awards averaging \$17,500 each. The application deadline will be **October 31, 1988**. For additional information, contact Merion Kane, Faculty Research Abroad Program (202) 732-3301, or write to Education Department, M/S 3308, 400 Maryland Ave. SW, Washington, DC 20202.

16. **J. Paul Getty Postdoctoral Fellowships in the History of Art and the Humanities.** This program is designed to advance the discipline of art

history by supporting the work of outstanding young scholars who show promise of making a substantial and original contribution to the history of the visual arts. Applications are especially encouraged from scholars proposing to explore connections among the humanistic disciplines. Foreign candidates are welcome. Candidates in related fields of the humanities are eligible if their work will contribute to art history. The 1989-90 fellowships are reserved for those who have received their Ph.D. degree or equivalent qualification since January 1983. This year 15 fellowships will be awarded through an open competition. Awards provide a \$23,000 stipend for twelve months. There is a December 10 deadline. Application forms for the open competition are available from:

Postdoctoral Fellowships  
Getty Grant Program  
401 Wilshire Boulevard, Suite 1000  
Santa Monica, CA 90401-1455  
Tel.: (213) 393-4244

17. **NEH Younger Scholars Awards.** November 1, 1988 will be the deadline for this program, providing support to college students below the senior year conducting research or writing a paper under the close supervision of a humanities scholar. Awardees are expected to work full-time for nine weeks on these projects. Awards are \$2,200 for college students; each stipend includes \$400 for the project advisor. ORD has application forms, or you may contact NEH, Fellowships and Seminars, Room 316, 1100 Pennsylvania Ave. N.W., Washington, DC 20506, (202) 786-0463.

18. **Jacob K. Javits Fellows Program.** Approximately 150 fellowships will be available, designed to assist graduate students of superior abilities who are beginning their studies for doctoral-level degrees in selected fields of the arts, humanities and social sciences. In 1988, the emphasis (40% of awards) was on the humanities. Since its inception, there have been delays in announcements about the program, so that it was difficult to inform graduate students in time to secure competitive applications. According to staff at the Department of Education, this year it is expected that application materials will be available in late November, with an early February 1989 deadline. Our Graduate School Fellowship office coordinates applications from University of Minnesota graduate students. Please contact them or us for additional information.

III. AWARDS

The following awards were made to CLA faculty in the period June - August, 1988.

NAME & DEPARTMENT	PROJECT	SOURCE	AMOUNT
Philip Ackerman Psychology	Individual Difference in Learning and Cognitive Abilities	Office of Naval Research	\$ 64,979
C. Anthony Anderson Joseph Owens Keith Gunderson Philosophy	Propositional Attitudes: Role of Content in Logic, Language and Mind	National Endowment for the Humanities	15,988
Donald Berry Statistics	Variations of Bernoulli Bandit Problems	National Science Foundation	35,919
Irving Biederman Psychology	Human Image Understanding	Air Force Office of Scientific Research	149,000
Thomas Bouchard Psychology	Genetic and Environmental Determinants of Behavior	Seaver Institute	50,000
	Twins Reared Apart and the Adoptive Siblings of Twins	The Pioneer Fund	100,000
Kathryn Chaloner Kinley Larntz Applied Statistics	Optimal Bayesian Design for Non-Linear Problems	National Science Foundation	46,546
Morris Eaton Statistics	Prediction Theory, Asymptotic Distributions of Eigenvalues	National Science Foundation	30,915
Sara Evans Advanced Feminist Studies	Theorizing Female Diversity: The Social Construction of Difference	Rockefeller Foundation	225,000

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Joseph Galaskiewicz Sociology	A Panel Study of Environmental and Organizational Decline	National Science Foundation	120,077
Christine Hastorf Anthropology	The Late Prehistoric Political Economy of the Upper Mantaro Valley, Peru	Columbia University (NSF Prime)	4,436
Eldon Johnson Anthropology	Trunk Highway 61 Bryan Site Archeological Resource	Minnesota Dept. of Transportation	43,500
James Krause Psychology	Survival Following Spinal Cord Injury	Amer. Assoc. of Spinal Cord Injury Psychologists	14,175
Lung-Fei Lee Hidehiko Ichimura Economics	Semi-Parametric Estimation of Microeconomic Models	National Science Foundation	57,378
Gloria Leon Psychology	Personality and Interrelated Factors in Psychopathology	National Institute of Mental Health	52,673
Matthew McGue Betsy Hirsch Psychology/Lab Medicine	Twin Study of Normal Aging	National Institute on Aging	170,420
J. Bruce Overmier Psychology	Center for Research in Learning, Perception and Cognition	National Institute on Child Health	206,713
Leonard Polakiewicz Russian and East European Studies	Minnesota Project in Critical Area Studies	U.S. Department of Education	70,000
Christopher Sims Economics	Dynamic Quantitative Macroeconomics	National Science Foundation	86,517
Charles Speaks Communication Disorders	Preparation of Educational Audiologists	U.S. Department of Education	76,964

Charles Speaks Communication Disorders	Preparation of Speech Language Pathologists	U.S. Department of Education	85,449
William Sudderth Statistics	Abstract Gambling Theory Bayesian Statistics and Probability	National Science Foundation	61,442
Travis Thompson Psychology	Opioid Mechanisms in Self-Injury	National Institute on Child Health	119,548
Travis Thompson Psychology	Behavioral Effects of Methadone and Cocaine	National Institute on Drug Abuse	104,839
Luke-Jon Tierney Statistics	Asymptotic Methods for Bayesian and Likelihood Analysis	National Science Foundation	77,214
Neil Wallace Edward Prescott Christopher Sims Economics	Coordination of Macroeconomic Policies in Dynamic Open Economies	Alfred P. Sloan Foundation	170,000
Sanford Weisberg Statistics	Mathematical Sciences: Diagnostics Quarter	National Science Foundation	11,500
Sanford Weisberg Dennis Cook Statistics	Mathematical Sciences: Statistical Graphics	National Science Foundation	80,000
Kenneth Wolpin Economics	Estimating Equilibrium Search Models	National Science Foundation	91,122
Kenneth Wolpin Economics	Human Capital Accumulation and Equilibrium Job Assignment	National Science Foundation	68,276
Tzvee Zahavy Classical and Near Eastern Studies	A Bridge to Scholarship for Jewish Educators	Wexner Heritage Foundation	25,000

In addition:

Alan Isaacman (History) has been awarded an ACLS/Ford Postdoctoral Fellowship for Senior Scholars, for his research on "Commodity Production, Rural Differentiation and Peasant Social Protest in Colonial Africa: The Mozambican Forced Cotton Regime."

Peter Wells (Ancient Studies) has received a grant-in-aid from ACLS for his work on "Urbanization and Culture Change: A Case Study in Early Industrial New England."

1988-89 Fulbright fellowships have been awarded to Anatoly Liberman (German) and Jeffrey Broadbent (Sociology).

#### IV. PROFILES AND TRENDS

The substantial success of CLA faculty in obtaining research grants represents months, or even years, of hard work, as proposals are refined, submitted, and--more often than is commonly understood--resubmitted. The following common-sense advice from federal agencies clarifies some basic elements that go into successful proposals.

Some Basics. According to agency officials, it is surprising how often cardinal rules are ignored. Read application instructions carefully and thoroughly; follow them closely; call with the slightest question. Some investigators ignore or interpret loosely deadlines or page limits--they are not the successful ones.

Square Pegs in Round Holes? Make sure your project fits within the agency's and sub-program's specific topic areas and program priorities. Evaluation criteria can give a good idea about the priorities if they are not clearly articulated elsewhere in application guidelines. If you are not sure whether your project fits a program, call the agency and ask! Program staff are there to answer general and specific questions.

Consider the Reader. Reviewers have a lot to read. Help them find your most important ideas. Summarize major points at the beginning of the proposal and provide highlights at the start of each section to make skimming, or rereading the proposal easier. Prepare a carefully written abstract, describing the main purpose, hypotheses, methods of data collection and analysis, and significance of the proposed study. Include a wrap-up section that reiterates the significance of the study and anticipates and addresses any problems or limitations.

Follow Up. Find out what your proposal's panel assignment is (for NIH). Call the study section's executive secretary for results of the initial review and, given a fundable score, about prospects for funding. Whether the application is successful or not, look carefully at the reviewers' comments. For some agencies, these must be requested in writing, for others, they are automatically sent to investigators. If your proposal was not successful, evaluate your chances for resubmission. For example, a reviewer may write that "the principal investigator is urged to attempt to devise more systematic and quantitative behavioral tests," or that, "a less extensive study might be more appropriate at this time."

#### V. DEADLINES

Sept. 30	ACLS	Fellowships
Sept. 30	MacArthur Foundation	Grants for Research and Writing in International Peace and Security
October 1	NEH	Summer Stipends
October 1	NEH	Humanities Instruction in Institutions of Higher Education
October 1	NEH	Research: Interpretive Research (Projects; Humanities, Science and Technology)
October 1	ACLS	Travel Grants for Humanists for International Meetings
October 1	American Philosophical Society	Research Grants
October 1	Guggenheim	Fellowships
October 1	International Research and Exchanges Board	(IREX) Short-term Travel Grants to the USSR and Eastern Europe
October 1	NIH	FIRST Awards; New Research Grants; Small Grants Program
October 1	NSF	Presidential Young Investigator Awards
October 1	Wilson Center	In-residence Fellowships for Advanced Research
October 3	Graduate School	Grants in Aid of Research, Artistry and Scholarship
October 10	CLA	CLA Travel/McMillan Travel Fund
October 15	National Humanities Center	Fellowships
October 16	Minnesota Humanities Commission	General Grants (draft) for Nov. 6 final deadline
October 23	MHC	Visiting Scholar Fellowships
October 28	Graduate School	McKnight Land Grant Professorships

November 1	NEH	Research: Texts (Reference Materials; Tools; Access)
November 6	MHC	General Grants, Final Proposals
November 14	Graduate School	Faculty Summer Research Fellowships
late November	CLA	Single Quarter Leave (internal CLA deadline)
late November	CLA	Bush Sabbaticals (internal CLA deadline)
November 15	OIE	International Development Grants
December 1	Social Science Research Council	Grants for Advanced International Research; Advanced Research Fellowships in Foreign Policy Studies
December 1	American Philosophical Society	Research Grants
December 15	ACLS	Grants-in-Aid
December 15	Kellogg Foundation	National Fellowships
January 1	NSF: BBS	Systematic Anthropological Collections; Social and Developmental Psychology;
15	NSF: BBS	Decision, Risk, and Management Science; Economics; Geography and Regional Science; History and Philosophy of Science; Political Science; Sociology
15	NEH	Travel to Collections
15	Minnesota Humanities Commission	General Grants (Drafts) Visiting Scholars Program
February 1	NSF: BBS	Ethics and Values Studies; Linguistics; Memory and Cognitive Processes
1	NIH	Research Grants
1	APS	Research Grants
5	MHC	General Grants (Final)
March 1	NEH	Summer Seminars for College Teachers: Directors
1	ACLS	Travel Grants: International Meetings
3	Graduate School	Grants-in-Aid
April 1	NEH	Humanities Instruction in Institutions of Higher Education
1	NEH	Summer Seminars for Secondary School Teachers: Directors
1	APS	Research Grants
16	MHC	General Grants (Drafts)
29	MHC	Visiting Scholars Program
May 7	MHC	General Grants (Final)
June 1	NEH	Fellowships for University Teachers
1	NEH	Research: Texts (Editions, Translations)
1	NIH	Research Grants



University Archives  
10 Walter Library  
Attn: Barbara Wagner

College of Liberal Arts  
Office of Research Development  
205 Johnston Hall  
101 Pleasant Street S.E.  
University of Minnesota  
Minneapolis, Minnesota 55455

Liberal Arts Research Newsletter



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## RESEARCH INFORMATION SHEETS

ORD has revised its information brochures. Please indicate here which ones you would like to receive. They will be available in early summer.

\_\_\_ I. THE RESEARCH PROCESS: PRELIMINARY STAGES

\_\_\_ II. SOURCES OF FUNDING AND THE FUNDING SEARCH

\_\_\_ A. The Search for Funding

\_\_\_ B. Sabbaticals: Research Funding Opportunities

\_\_\_ C. Internal Research Support for CLA Faculty

\_\_\_ III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES

\_\_\_ IV. GUIDE TO BUDGET PREPARATION

\_\_\_ Sponsored Project Management. A Guide for Faculty and Staff [ORTTA]

mlw/gf2352

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Telephone: 625-4801  
Hours: 8:30-4:30, M-F

Editor: Geri H. Malandra

### I. GENERAL ANNOUNCEMENTS

From the Director. There is a formidable problem brewing in 404 Johnston Hall, in the Office of Research Development. It is a problem of communication, the sort of problem we have learned to dread from the burgeoning pop-psychology literature, and the talk shows that, more than astrology tables, now guide our lives and inform our sense of well being. The communication problem in 404 pivots around word processing systems. Geri Malandra uses WordPerfect, the system also used in the Dean's office and other high places. I learned the more venerable and somewhat less useful Wordstar system. These two systems can only talk to each other through an intermediary. Our memoranda are in danger of crossing in the electronic night. Of course, I could set aside my rigid ways and adjust to new realities. I could learn the more modern system Geri uses. But I am stubborn. My fingers know their way around Wordstar and I'm reluctant to meddle with them. There is, I am daily discovering, a limit on the number of new things I can compass and I am not willing to assign any spare cortical cells to a new word processing program.

Something is amiss when technology that is supposed to liberate us puts barriers between persons of good will. Choosing a word processing system is akin to choosing a neighborhood. It determines the society in which one feels familiar and comfortable. It sets social and intellectual boundaries that one crosses at some peril. Choosing a computer is even worse. My son-in-law barely speaks to me because I bought an IBM rather than a MacIntosh. In fact, I put off buying any computer at all for years because I was stymied by the social implications of the choice. I couldn't decide which community I wanted to identify with. I finally bought an IBM not for philosophical reasons or as a social statement, but because I knew someone who had a used IBM for sale at a substantial discount. Perhaps that is a social and philosophical statement after all.

For the record, this is being composed on an electric typewriter and I take full responsibility for selecting as well as processing any words found herein. Jerry Siegel

## II. FUNDING NOTES

### Internal

1. **Office of International Education Development Grants.** Grants may cover: establishment of collaborative research, training or service; educational exchanges of faculty; completion of individual research of an international nature; curriculum development; international seminars and other programs held at the University of Minnesota or involving international scholars outside the University; participation in international professional conferences held outside the U.S. Applications are due February 15 for April, May, and June activities; May 15 for July, August, and September activities. Information and application forms are available from the OIE Development Grant Coordinator, 624-5580.

2. **Bush Sabbatical Program.** Supplementary sabbatical income is provided for mid-career faculty who seek to integrate research and undergraduate teaching. Tenured faculty who are eligible for a regular University Sabbatical Furlough may apply. Women and members of minority groups are especially encouraged to apply. Applications are first evaluated by the college; CLA has established a November 30 deadline for its internal review. CLA forwards its recommendations to the Office of Educational Development Programs to meet a January 9 deadline. Information about the CLA review process is available in the Faculty Affairs office, 624-9839; application forms and additional information about the program are available in the EDP office, 625-0088.

3. **Single Quarter Leave.** This program is designed to advance special studies, research, scholarly writing, and self-improvement programs that will enrich and strengthen individual knowledge and understanding of scholarship and/or university teaching for faculty members with regular appointments. Applications reviewed first by the college; November 30 will be the CLA deadline for applications for Single Quarter Leaves. CLA will then forward its recommendations to the Office of the Vice President for Academic Affairs for final review in early January. Direct questions about the CLA review process to the Faculty Affairs Office 624-9839; application materials will be distributed to each department.

4. **CLA National Fellowship Supplements.** December 21 is the deadline for nominations by chairs for CLA faculty seeking supplements to major national fellowships they may receive during a non-sabbatical year. This program matches fellowships up to an individual's full salary, providing an incentive for faculty to apply for and accept major fellowships even in years

when they would not be eligible for a sabbatical. For additional information contact ORD or the Dean's office.

5. **CLA Travel/McMillan Travel Fund.** This fund provides support for faculty, administrative staff, and graduate students who have passed preliminary examinations, for travel to conduct research or present the results of scholarly or creative activity at a major national or international conference. The next deadline will be January 1, 1989 for travel from March 15 - June 16; April 10, 1989 for travel from June 16 - December 15, 1989. Application forms and additional information are available in the faculty affairs office 624-9839.

6. **Western European Areas Studies Mellon Fund Grants.** Under its grant from the Mellon Foundation, the Western European Area Studies Center will provide a second round of grants for faculty/graduate training; visiting scholars, conferences, colloquia, workshops; improvement of language literacy; and for special opportunities relating to Western Europe. The Center encourages applications with a humanistic emphasis and those that involve collaborative efforts. The application deadline is December 1, 1988. For further information, contact WEAS, 625-1557.

7. **Research Expeditions (REX) Program.** REX is an innovative program in Extension Classes that facilitates adult volunteer involvement in University of Minnesota faculty research. The program provides capable adult assistance in research fieldwork, greater community exposure for faculty research work, and a small stipend to the project for each volunteer participant. Interested faculty should call REX program director, Claire Walter-Marchetti at 624-9345, or leave a message at 626-0214, for information or a proposal form.

8. **Minnesota Supercomputer Institute Research Grants.** MSI provides three research grant programs for computer time on the supercomputers of the Minnesota Supercomputer Center: Computer Resource Grants (deadlines April 15 and October 15); Visiting Research Scholarships (deadline January 15); Travel Grants (deadline three months before proposed travel). Late proposals may be considered on a funds-available basis in special cases. For further details contact: Research Programs Administration, MSI, 1200 Washington Ave. S., Minneapolis, MN 55455; telephone: 625-1818.

### External

1. **American Council of Learned Societies 1988-1989 Fellowships and Grants.** The general programs of the ACLS support postdoctoral research in the humanities; research in the social sciences with a predominantly humanistic emphasis will also be considered. The Ph.D. or its equivalent is required. Younger scholars and independent scholars are encouraged to apply. The Area Programs, sponsored jointly by the Social Science Research Council, support

postdoctoral and predoctoral research and study in the humanities and social sciences. All programs administered by ACLS require U.S. citizenship or permanent residence. Additional information or application forms must be obtained by writing to the address below [please note: ACLS will not provide our office with forms]; requests for application forms must include the following information: citizenship or permanent residence, highest academic degree received, academic or other position, field of specialization, proposed subject of study, period of time for which support is requested, and the specific program under which application is contemplated.

American Council of Learned Societies  
228 East 45th St.  
New York, NY 10017-3398

ACLS Grants-in-Aid to support expenses of humanistic research in progress. Grants to be expended between April 15, 1989 and April 15, 1990. Maximum award: \$3,000. Deadline: December 15, 1988.

ACLS Grants for Travel to International Meetings for scholars in humanistic disciplines to participate in international meetings held outside North America. (Written requests for applications must be sent to the ACLS Travel Grant Office and, in addition to the information listed above, should set forth the name, dates, place and sponsorship of the meeting as well as a brief description of the nature of the applicant's scholarly interests and proposed role in the meeting.) Note new deadline: March 1, 1989 for meetings July-December, 1989.

For additional information about these and other ACLS programs, you may contact ORD, or write to ACLS at the address given above and request the general program description.

2. **American Philosophical Society.** The APS grants about \$400,000 a year in support for scholarly research in all areas of knowledge. Grants may cover living costs while away from home; microfilms, photostats and photographs; consumable supplies; and necessary foreign and domestic travel. The maximum grant that will be made for a full professor is \$2,500. The APS will also consider making supplemental grants for travel if an applicant has received other grants (e.g., NEH, Guggenheim, SSRC) that do not include travel that is necessary to the applicant's research program. Deadlines for applying are: December 1, February 1, April 1, and August 1. Decisions are made within three months. For application forms, briefly describe your project and proposed budget in a letter to:

Committee on Research  
American Philosophical Society  
104 South Fifth St.  
Philadelphia, PA 19106.

3. **J. Paul Getty Postdoctoral Fellowships in the History of Art and the Humanities.** This program is designed to advance the discipline of art history by supporting the work of outstanding young scholars who show promise of making a substantial and original contribution to the history of the visual arts. Applications are especially encouraged from scholars proposing to explore connections among the humanistic disciplines. Foreign candidates are welcome. Candidates in related fields of the humanities are eligible if their work will contribute to art history. The 1989-90 fellowships are reserved for those who have received their Ph.D. degree or equivalent qualification in the last six years. This year, awards of \$23,000 are completely portable. The fellow can pursue research at any location with or without institutional affiliation. Host institutions are given a \$3,000 allowance to cover costs of medical benefits, library privileges and related expenses. The application deadline is December 10. Application forms are available from:

Getty Grant Program  
401 Wilshire Boulevard, Suite 1000  
Santa Monica, CA 90401-1455  
Tel.: (213) 393-4244

4. **Social Science Research Council. Grants for Advanced International Research.** Grants are available for periods usually ranging from two months to one year to individuals with Ph.D. degrees or equivalent research experience for research in the humanities or social sciences. These programs are designed to support research in one country, comparative research between countries in an area, and comparative research between areas. Grants may be used for travel, research, and maintenance expenses. Program areas include: Africa, Comparative Studies of Muslim Societies, Japan, Korea, Latin America and the Caribbean, the Near and Middle East, Russia and the Soviet Union, South Asia, and Southeast Asia. Grant amounts and desired focus vary according to the program; ORD has additional information. The deadline in all cases is December 1.

**Advanced Research Fellowships in Foreign Policy Studies.** These support up to two years of research on the processes of U.S. foreign policy making, including examination of forces and institutions that are not the typical focus in this area: Comparative studies of policy-making processes; analysis of influences of institutions, groups or broad societal forces on these processes; use of theories and insights from diverse social science disciplines. The program permits--but does not require--fellows to acquire additional training through formal course work, tutorials, or supervised study. Awards will not exceed \$35,000; most average \$25,000. Deadline for applications is December 1. Application materials for all SSRC programs are available from:

Social Science Research Council  
Fellowships and Grants  
605 Third Avenue  
New York, NY 10158  
(212) 661-0280

5. The **Bibliographic Society of America** invites applications for its annual short-term fellowship program, which supports bibliographical inquiry as well as research in the history of the book trades and in publishing history. Applications will be due January 31, 1989. Prospective applicants should write to the BSA Executive Secretary, P.O. Box 397, Grand Central Station, New York, NY 10163. ORD has a sample application form.

6. **Rockefeller Foundation Fellowships in the Humanities**. For 1989-90, fellowships will be offered as year-long residencies at host institutions selected for their potential to promote individual scholarship in the humanities. Each institution selects scholars to receive Rockefeller Foundation Fellowship stipends. Resident fellowships make outstanding resources accessible to individual scholars, stimulate exchange within and between disciplines, and strengthen emerging areas of inquiry in the humanities. They are meant to serve scholars who are testing disciplinary boundaries or moving into new fields of inquiry. The 26 residency sites and application deadline for each are listed below. Applications are submitted directly to the host institution. ORD has contact information. Eligibility and stipends vary according to the host institution; application deadlines are generally between December 1988 and February 1989. Below are names, locations and application deadlines for all centers:

**Women's Studies:**

Women's Studies Research Center--Duke University/University of North Carolina,  
1/15/1989

Women's Studies Program (rural women)--University of Iowa, 2/1/89

Southwest Institute for Research on Women/Women's Studies--University of  
Arizona, 1/13/89

Center for Advanced Feminist Studies, University of Minnesota, 2/1/89

Women's Studies in Religion, Harvard Divinity School, 12/1/88

**Medicine, Ethics, and History of Science:**

Institute for Medical Humanities--University of Texas Medical Branch, 1/15/89  
Francis C. Wood Institute for the History of Medicine--College of Physicians  
of Philadelphia, 1/15/89

Institute for the Study of Applied and Professional Ethics--Dartmouth College,  
12/1/88

The History of Science in Western Civilization Program--University of  
Oklahoma, 1/16/89

**Nonwestern and Ethnic Studies:**

Center for the Study of Islamic Societies and Civilizations--Washington  
University, 1/16/89

D'Arcy McNickle Center for the History of the American Indian--Newberry  
Library, 2/1/89

School of Social Science (post-colonial nationalism), Institute for Advanced Study--Princeton University, 12/1/88  
Center for Near Eastern and North African Studies--University of Michigan, 1/15/89  
Center for the Study of Civil Rights, Woodson Institute for Afro-American and African Studies--University of Virginia, 12/1/88  
Chinese Popular Culture Project--University of California, Berkeley, 1/30/89  
Southeast Asia Program, Cornell University, 12/1/88  
Southwest Hispanic Research Institute, University of New Mexico, 1/15/88  
Asian/American Center, Queens College, CUNY, 1/15/89  
Center for Pacific Island Studies, University of Hawaii at Manoa, 12/31/88  
Center for Cultural Studies, Rice University, 12/1/88  
Department of Spanish and Portuguese, University of Maryland, 12/15/88  
Center for the Study of Black Literature and Culture, University of Pennsylvania, 1/15/89  
Walter P. Reuther Library of Labor and Urban Affairs, Wayne State University, 1/15/89

**Art and Humanities:**

Center for the Humanities (Narrative)--Wesleyan University, 2/1/89  
Film and Video Department--Whitney Museum of American Art, 2/1/89  
History of Art and Anthropology, Johns Hopkins University, 12/15/88

**7. National Endowment for the Humanities: Travel to Collections.**

The next deadline is January 15, 1989 to apply for funds to work at libraries, archives or other research collections in this country or abroad during the period June 1 to November 30, 1989. Awards offer \$750. The application process is relatively simple, but requires a letter from the library or archive confirming the availability of materials to be studied. For information and application forms call (202) 786-0463.

**8. National Endowment for the Humanities: Summer Seminars for College Teachers.** March 1, 1989 is the deadline (January 1 is an informal deadline for preliminary proposals) to apply to direct a summer seminar in 1990. This program provides support for six- to eight-week seminars in which college teachers can enrich their knowledge of the subjects they teach, work with distinguished scholars and with other college teachers who share similar interests, and undertake an individual project. Seminars are characterized by the scholarly and teaching quality of their directors, the breadth and appeal of the seminar topics to teachers of undergraduate students, the significance of the topics to the humanities, and their promise of engendering collegiality and promoting intellectual stimulation for participants. Prospective directors are strongly encouraged to discuss their proposed seminars with NEH staff well before the application deadline. NEH staff will work closely with applicants in planning proposals, and will review and critique preliminary drafts of proposals. The telephone number is (202) 786-0463.



**9. American Antiquarian Society Visiting Research Fellowships.**

Fellowships of from one to three and six to twelve months' duration will be available at the American Antiquarian Society for tenure during the period June 1, 1989 to May 31, 1990. All awards are for research in American history and culture through 1876. Special support is available for scholars working in early American bibliography or printing and publishing history and American literary studies. The application deadline is January 31, 1989. ORD has additional information. For application forms, contact the American Antiquarian Society, 185 Salisbury St., Worcester, MA 01609-1634; phone: (617) 752-5813 or 755-5221.

**10. Kellogg National Fellowship Program.** This program provides opportunities for qualified professionals in academic, public or private sector settings to stretch their perspectives, explore new interdisciplinary pathways, and develop a richer knowledge and experience background. The goal is to prepare people for expanded leadership roles in a society where issues grow more complex each year. Awards of up to \$35,000 for a three-year period, plus 12 1/2 % of annual salary (not exceed a total for three years of \$20,000) will be made to up to 50 people who have leadership potential. Fellows will then carry out nondegree, interdisciplinary, self-directed activities to take them beyond the confines of their profession. They will also participate in Foundation-sponsored seminars to explore a wide variety of problems and issues to develop leadership skills. (These fellowships are not awarded for pursuit of basic research or to complete a degree.) Please note that the Graduate School is coordinating submission of applications, and must receive your application a week before the final deadline of December 15. Additional information is available from the Graduate School (625-2359) or:

Applications  
Kellogg National Fellowship Program  
W.K. Kellogg Foundation  
400 North Avenue  
Battle Creek, MI 49017-3398

**11. Bush Leadership Program.** The objective of the Fellowship Program is to enrich, through academic and/or internship periods, the experience of individuals in midcareer and prepare them for high-level responsibility. Persons seeking administrative training have been selected more often than those endeavoring to complete specialized training. Persons already established in a career are preferred over those planning a change. Applications must have a minimum of five years work experience, be between 28 and 54 years old, and reside in the four-state area of Minnesota and surrounding states. January 3, 1989 is the application deadline for the Bush Leadership Fellow (a full-year) program; March 1, 1989 is the application for the Bush Summer Fellow program. For additional information and application materials, write to: Bush Leadership Program, P.O. Box 24140, Minneapolis, MN 55424; 926-0948. ORD has sample application forms.

12. **Minnesota Humanities Commission.** The Minnesota Humanities Commission welcomes applications for projects (conferences, lectures or lecture series, exhibits, film and video) that link humanities scholarship in a wide range of fields with a public audience. Application deadlines for 1988 are:

(preliminary draft) January 15, 1989	(final) February 5, 1989
April 15, 1989	May 6, 1989
July 22, 1989	August 12, 1989
October 14, 1989	November 4, 1989

(Please contact us for deadlines for the Media Grant Category.)

The MHC continues to fund an Independent Scholars program, providing funds to bring scholars into a department to offer a lecture or course on subjects not regularly taught at the applicant institution. Deadlines for this program are:

January 15, 1989  
 April 29, 1989  
 July 29, 1989  
 October 21, 1989

For further information about any of these programs, contact Tom Trow, CLA Community-Cultural Affairs, 624-1359, or the MHC, 580 Park Square Court, 400 Sibley St., St. Paul, MN 55101-1938; 224-5739.

13. **Newberry Library Fellowships in the Humanities.** The Newberry Library offers many postdoctoral and senior residency fellowships in a wide range of humanities fields. These include NEH residency fellowships (deadline: January 15, 1989), Lloyd Lewis Fellowships in American History (deadline: January 15, 1989), Short-term Resident Fellowships for Individual Research (deadline: March 1, 1989), D'Arcy McNickle Center for the History of American Indian Fellowships (deadline: February 1, 1989), and others. For additional program descriptions, call ORD. For application forms, write to: Committee on Awards, The Newberry Library, 60 West Walton St., Chicago, IL 60610.

14. **Harry Frank Guggenheim Foundation.** This foundation sponsors an international program of scientific research and scholarly study concerning, in Mr. Guggenheim's words, "Man's Relation to Man." Its long-term aim is to improve the human social condition through a better understanding of the causes and consequence of dominance, aggression, and violence. Grants are made to individuals for individual projects, either through an institution on behalf of the researcher, or directly to the individual. Most awards range from \$15,000 to \$25,000 for periods of one or two years. Applications are due February 1, 1989 for a decision by June. ORD has sample application and program information, or contact: The Harry Frank Guggenheim Foundation, 527 Madison Ave., New York, NY 10022-4301; (212) 644-4907.

15. **National Research Council Postdoctoral Fellowships for Minorities.** Approximately thirty-five awards will be made to scientists, engineers and scholars in the humanities who show greatest promise of future achievement in academic research and scholarship in higher education. Groups eligible include American Indians and Alaskan Natives, Black Americans, Mexican Americans/Chicanos, Native Pacific Islanders, and Puerto Ricans. The deadline for submitting applications is January 15, 1989. ORD has additional information and application forms. Inquiries may also be directed to: The Fellowship Office, National Research Council, 2101 Constitution Avenue, Washington, DC 20418.

### III. AWARDS

The following faculty in CLA have received grant award announcements in the period from late August to mid-October, 1988:

NAME & DEPARTMENT	PROJECT	SOURCE	AMOUNT
Jeffrey Broadbent Sociology	Politics of Labor Policy	U.S. Dept. of Education Fulbright Hays	\$ 46,496
Thomas Clayton English	New Variorum Edition of Shakespeare's <u>Coriolanus</u>	National Endowment for the Humanities	95,124
Seymour Geisser Statistics	Predictive Methods	National Institute of General Medical Sciences	98,664
Luther Gerlach Anthropology	Social and Cultural Dimensions of the Drought of 1988	Blandin Foundation	30,000
Linda Hinderscheit Joe Reichle Communication Disorders	Materials/Technical Assistance: Augmentative Communication	U.S. Department of Education	79,506
Gary Jahn Adele Donchenko Russian and East European Studies	Minnesota Project for the Improvement of Russian Language Instruction	U.S. Department of Education	73,242

James Jordan Economics	Information Processing in Interactive Organizations	National Science Foundation	109,340
Brian Job Institute of International Studies	National Resource Center in International Studies	U.S. Department of Education	103,308
Timothy Kehoe Economics	Asset Prices in Stationary Rational Expectation Models	UCLA (NSF Prime)	11,107
Margaret Marini Sociology	Age, Gender and Careers	National Institute on Aging	55,728
	Age, Gender and Careers	National Institute on Aging	20,682
J. Kim Munholland Western European Studies Center	Western European Studies Center	U.S. Department of Education	42,615
Mark Rosenzweig Economics	Parent Demographic Behavior	National Institute of Child Health	109,833
Eric Sheppard Geography	Spatial Price Competition and Market Share	National Science Foundation	85,434
Mark Snyder Psychology	Social and Psychological Aspects of AIDS Volunteerism	American Foundation for AIDS Research	59,982
Charles Speaks Communication Disorders	Preparation of Leadership Personnel	U.S. Department of Education	86,715

#### IV. PROFILES AND TRENDS

Corporate and Foundation Proposals at the University. The University Foundation recently has made changes in the way it works with proposals to private foundations and corporations, in an effort to develop and maintain a more accurate view of contributions from these important sources to research, teaching and other activities. New foundation staff have special expertise in

this area; a database has been set up to compile comprehensively corporate and foundation proposals and awards, and information about foundation personnel, objectives, and links to the the University of Minnesota. This information is already proving to be helpful for faculty considering applying for funds from this sector, and we encourage all CLA faculty to consult with us about the best way to utilize this resource.

Part of this effort has been the development of a "tracking list" of major national and local foundations in which the University has special interests or concerns, or that themselves have requested central University ranking and/or clearance of proposals. Although we should know about any contact you have with all foundations, it is particularly important that you let us know if you have plans to work with those listed below. In all cases, the University Foundation will be able to provide information that may assist in preparing and timing a competitive proposal.

University Foundation Corporate/Foundation Tracking List

- |  |   |
|--|---|
| 1. Alliss Educational Foundation   | 28. Jostens                                     |
| 2. American Express  | 29. Keck Foundation                             |
| 3. F.R. Bigelow Foundation   | 30. W.K. Kellogg Foundation                     |
| 4. Charles K. Blandin Foundation   | 31. Kresge Foundation                           |
| 5. Otto Bremer Foundation  | 32. Lilly Endowment                             |
| 6. Burlington Northern   | 33. John D. & Catherine T. MacArthur Foundation |
| 7. Bush Foundation [please note, no more proposals may be submitted to Bush until June 1989] | 34. Mardag Foundation                           |
| 8. Cargill   | 35. McKnight Foundation                         |
| 9. Carnegie Corporation of New York  | 36. Medtronic                                   |
| 10. Edna McConnell Clark Foundation  | 37. Andrew W. Mellon Foundation                 |
| 11. Cowles Media Company   | 38. Minneapolis Foundation                      |
| 12. Dayton Hudson  | 39. 3M Foundation                               |
| 13. Deluxe Check Printers  | 40. Charles Stewart Mott Foundation             |
| 14. DuPont de Nemours  | 41. Northern States Power Company               |
| 15. Exxon  | 42. Northwest Area Foundation                   |
| 16. First Bank System  | 43. Northwestern National Life Insurance        |
| 17. Ford Foundation  | 44. Norwest Corporation                         |
| 18. H.B. Fuller Company  | 45. Pew Memorial Trust                          |
| 19. B.C. Gamble & P.W. Skogmo Foundation   | 46. Pillsbury                                   |
| 20. Gannett Company Inc.   | 47. Rockefeller Foundation                      |
| 21. General Mills  | 48. Rockefeller Brothers Fund                   |
| 22. Honeywell  | 49. St. Paul Companies                          |
| 23. Emma B. Howe Foundation  | 50. Saint Paul Foundation                       |
| 24. IDS Financial Corporation  | 51. Super Valu                                  |
| 25. International Dairy Queen  | 52. Surdna Foundation                           |
| 26. International Multifoods   | 53. Toro  |
| 27. Inter-Regional Financial Group   |   |

DEADLINES

December	1	Social Science Research Council	Grants for Advanced International Research; Advanced Research Fellowships in Foreign Policy Studies
	1	American Philosophical Society	Research Grants
	1	NSF: BBS	Archaeology; Cultural Anthropology; Physical Anthropology
	15	ACLS	Grants-in-Aid
	18	Kellogg Foundation	National Fellowships
	21	CLA	National Fellowship Supplements
December-February		Rockefeller Foundation	Residency Fellowships
January	1	NSF: BBS	Systematic Anthropological Collections; Social and Developmental Psychology; McMillan/CLA Travel Fund
	10	CLA	
	15	NSF: BBS	Decision, Risk, and Management Science; Economics; Geography and Regional Science; History and Philosophy of Science; Political Science; Sociology
	15	NEH	Travel to Collections
	15	Minnesota Humanities Commission	General Grants (Drafts) Visiting Scholars Program
February	1	NSF: BBS	Ethics and Values Studies; Linguistics; Memory and Cognitive Processes
	1	NIH	Research Grants
	1	APS	Research Grants
	5	MHC	General Grants (Final)
March	1	NEH	Summer Seminars for College Teachers: Directors
	1	ACLS	Travel Grants: International Meetings
	2	CLA	Scholarly Conference Fund
	3	Graduate School	Grants-in-Aid
April	1	NEH	Humanities Instruction in Institutions of Higher Education
	1	NEH	Summer Seminars for Secondary School Teachers: Directors
	1	APS	Research Grants
	10	CLA	McMillan/CLA Travel Fund
	16	MHC	General Grants (Drafts)
	29	MHC	Visiting Scholars Program

June	1	NEH	Fellowships for University Teachers
	1	NEH	Research: Texts (Editions, Translations)
	1	NIH	Research Grants
July	1	NSF: BBS	Archaeology; Cultural Anthropology; Physical Anthropology; Social and Developmental Psychology
	15	NEH	Travel to Collections
August	1	APS	Research Grants
Sept.	15	CIES-Fulbright	Research/Lectures in Europe
	15 (approx)	NEH	Summer Stipends: Internal Deadline
	30	ACLS	Fellowships
October	1	ACLS	Travel Grants: International Meetings
	1	Guggenheim	Fellowships
	1	Woodrow Wilson Center	Residency Fellowships
	1	NEH	Summer Stipends
	1	NIH	Research Grants
	5	Graduate School	Grants in Aid
	10	CLA	McMillan/CLA Travel Fund
	15	National Humanities Center	Residency Fellowships
Nov.	14	Graduate School	Faculty Summer Research Fellowships
	15	Office of International Education	Development Grants
	30	Bush Sabbaticals	CLA Deadline
	30	Single Quarter Leave	CLA Deadline

THIS IS JUST A PARTIAL LIST. ORD HAS INFORMATION ON MANY OTHER OPPORTUNITIES IN ALL LIBERAL ARTS FIELDS. PLEASE CONTACT US FOR INFORMATION ABOUT THESE, OR OTHER GRANT PROGRAMS.

Liberal Arts Research Newsletter  
College of Liberal Arts  
Office of Research Development  
205 Johnston Hall  
101 Pleasant Street S.E.  
University of Minnesota  
Minneapolis, Minnesota 55455



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## RESEARCH INFORMATION SHEETS

ORD has revised its information brochures. Please indicate here which ones you would like to receive. They will be available in early summer.

- I. THE RESEARCH PROCESS: PRELIMINARY STAGES
- II. SOURCES OF FUNDING AND THE FUNDING SEARCH
  - A. The Search for Funding
  - B. Sabbaticals: Research Funding Opportunities
  - C. Internal Research Support for CLA Faculty
- III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES
- IV. GUIDE TO BUDGET PREPARATION
- Sponsored Project Management. A Guide for Faculty and Staff [ORTTA]



Office

# LARES

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## Liberal Arts Research Newsletter

January 16, 1989

LARES, Vol. XIV, No. 3

Telephone: 625-4801  
Hours: 8:30-4:30, M-F

Editor: Geri H. Malandra

### I. GENERAL ANNOUNCEMENTS

As we reported in our September issue, by every measure--proposals, awards, and expenditures--CLA sponsored research has increased significantly over the past five years. For example, between 1987 and 1988, the number of external grants to the college increased by seventeen percent; the total dollar amount of these awards increased by forty-six percent to \$6,181,000. And, even before we have reached the mid-point of the current fiscal year, the dollar amount of grants awarded to CLA is 111% higher than external awards made in the same period last year.

In the midst of this exemplary success in obtaining grants, some people have raised questions about the effect on research activities of university and collegiate indirect cost recovery (ICR) policies. With discussions about indirect costs now taking place in University Senate committees, this seems an appropriate time to comment briefly on ICR here.

Federal agencies and some foundations agree to pay indirect costs (sometimes called "overhead") on grants because they acknowledge that there are indirect institutional costs involved in conducting research that may not be identified specifically for each project, for instance, electricity, heat, building maintenance, library collections and others. These costs may vary project by project, but there is agreement that they are real, and that an aggregate "rate" can be calculated for the institution. A recent survey shows that the University's federally negotiated indirect cost rate is among the lower rates for comparable research universities across the country.

Most federal agencies automatically agree to pay indirect costs on grants according to our negotiated rate. However, there are some cases where payment is limited to a certain percentage for all grantees. Some foundations will pay indirect costs but typically will set their own limit on the percentage to be paid. The University cannot require a foundation or agency to pay indirect costs.

Until recently, decisions about grant budgets were often made independently of considerations about indirect costs requested. However, a growing number of grant programs are placing ceilings on the total amount of funds that can be requested. As a result, the portion that must be allocated

for indirect costs has become a greater concern for grant seekers who may now be more inclined to ask the college or university to "return" some portion of the indirect costs they have "earned" in a grant. Recent University Senate committee proposals for formula allocations to colleges, departments, and individual investigators reflect this among other concerns.

As tables distributed last year showed, ICR is viewed as a state and university resource. Before any ICR is available to the University, an offset is retained by the state (although it has decreased in recent years and the University has requested that the legislature eliminate any offset). Another portion is retained centrally for system-wide functions (graduate school research development, libraries, hazardous waste management). After these allocations are made, a little more than one-third remains for collegiate units, \$10,720,623 in 1988.

ICR monies come to the college in three forms: 1) funds for grant administration, based on a proportional formula of total ICR generated the previous year (\$51,300 in 1988); 2) an unrestricted ICR allocation (\$95,000 in 1988); and 3) special allocations for set-ups and matches (in 1988, \$250,000 of a total \$580,000 needed for these purposes came from ICR). Within CLA, grant administration monies have been passed through to units in proportion to ICR generated. The Dean's office has viewed the use of other ICR monies as investments in individuals and projects that have the potential to generate additional external funding and to raise the overall level of CLA faculty research.

In CLA, ICR funds for unrestricted use are maintained in an all-college pool. This has provided the college with flexibility to assist in set-ups and required matches, and to leverage additional matching funds from central administration. Formula allocations would reduce collegiate flexibility, and would have the further effect of placing greater responsibility on individual researchers to raise additional funds for their work.

As we encounter more funding ceilings in grant programs, and as federal agencies and private foundations increase matching requirements for grants, we will have to exercise great flexibility and creativity in using our resources and in finding supplementary sources of funding for research. ORD will be happy to review specific proposal budgets, and encourage early consultation about potential matching or cost-sharing needs for grant-funded work. The Dean's office is preparing a more detailed report on CLA use of ICR for general distribution this winter.

GHM

## II. FUNDING NOTES

### Internal

1. **Office of International Education Development Grants.** Grants may cover: establishment of collaborative research, training or service; educational exchanges of faculty; completion of individual research of an international nature; curriculum development; international seminars and other

programs held at the University of Minnesota or involving international scholars outside the University; participation in international professional conferences held outside the U.S. Applications are due February 15 for April, May, and June activities. Information and application forms are available from the OIE Development Grant Coordinator, 624-5580.

2. **Graduate School Grants-in-Aid.** March 6 is the deadline for applications for research funds, covering personnel such as graduate or undergraduate research assistants, equipment, supplies, and limited travel. In most cases, grants provide "seed" money for developing projects to the point of attracting more complete, external funding. Applications are accepted from faculty members holding regular appointments. Information and application forms are available in the Graduate School Research Office; contact Lori Wallin (625-2356).

3. **CLA Travel/McMillan Travel Fund.** The spring application deadline is April 10 for funds to cover transportation and living expenses during the period June 16 to December 15, 1989 for research or to present the results of scholarly or creative activity at major national or international conferences. CLA faculty, administrative staff, and graduate students are eligible to apply. Detailed information and application forms are available in the CLA Faculty Affairs Office, 624-9839.

4. **CLA Scholarly Conference Fund.** Applications are due March 2 for funds to help defray the cost of conferences held locally and organized by faculty of the College. Proposals will be judged on the basis of the conference's promise of high scholarly quality, its appeal to a significant number of faculty, and its potential for a demonstrable impact upon faculty scholarship and instruction. For application forms and additional information, call the Faculty Affairs office, 624-9839.

5. **CLA Undergraduate Internship Grant Program.** The College of Liberal Arts offers a special grant program to assist CLA undergraduate students in carrying out internships that will help them to achieve their college objectives. To apply, students should see a adviser in the Office for Special Learning Opportunities (OSLO), 220 Johnston Hall, 624-7577. The deadline for applications for winter quarter grants is March 1, 1989.

### External

1. **CIC Graduate Scholarships for Foreign Language Study.** The CIC Foreign Language Enhancement Program (FLEP) will award 24 scholarships to graduate students pursuing foreign language study this summer under the Traveling Scholar Program. Scholarships of up to \$1,500 will be awarded to cover living expenses incurred while attending any CIC host institution. This program is intended to help students take advantage of language offerings not

available to them at their home university. The application deadline is February 17, 1989. For more information, contact the CLA Faculty Affairs Office, 624-9839.

**2. Cornell University Mellon Postdoctoral Fellowships, 1989-90.**

Cornell University's continuing program under a grant from the Andrew W. Mellon Foundation provides postdoctoral fellowships for nontenured scholars and teachers in the humanities who received their Ph.D. after June 1984 and before applying. Three postdoctoral fellowships of \$23,000 will be offered for a one-year residency. Areas of specialization for 1989 will include Celtic literature and folklore, modern Chinese history, American sign language, discourse analysis, classical and modern Jewish history, Iranian history, culture, language, relations among religious traditions of the Near East, Italian literature and culture of the Middle Ages and Renaissance. Of particular interest are scholars working on interdisciplinary projects, or on projects addressed to the 1989-90 theme for the Cornell Society for the Humanities, "Producing, Inventing, Remembering Revolution." The application deadline is February 15, 1989. ORD has application instructions, and also some information about Mellon postdoctoral fellowships at other institutions.

**3. American Council of Learned Societies Travel Grants.** The general programs of the ACLS support postdoctoral research in the humanities; research in the social sciences with a predominantly humanistic emphasis will also be considered. The Ph.D. or its equivalent is required. Younger scholars and independent scholars are encouraged to apply. All programs administered by ACLS require U.S. citizenship or permanent residence. Additional information or application forms must be obtained by writing to the address below; requests for application forms must include the following information: citizenship or permanent residence, highest academic degree received, academic or other position, field of specialization, proposed subject of study, period of time for which support is requested, and the specific program under which application is contemplated.

American Council of Learned Societies  
228 East 45th St.  
New York, NY 10017-3398

ACLS Grants for Travel to International Meetings for scholars in humanistic disciplines to participate in international meetings held outside North America. (Written requests for applications must be sent to the ACLS Travel Grant Office and, in addition to the information listed above, should set forth the name, dates, place and sponsorship of the meeting as well as a brief description of the nature of the applicant's scholarly interests and proposed role in the meeting.) Deadline: March 1, 1989 for meetings July-December, 1989.

4. **American Philosophical Society.** The APS grants about \$400,000 a year in support for scholarly research in all areas of knowledge. Grants may cover living costs while away from home; microfilms, photostats and photographs; consumable supplies; and necessary foreign and domestic travel. The maximum grant that will be made for a full professor is \$2,500. The APS will also consider making supplemental grants for travel if an applicant has received other grants (e.g., NEH, Guggenheim, SSRC) that do not include travel that is necessary to the applicant's research program. Deadlines for applying are: December 1, February 1, April 1, and August 1. Decisions are made within three months. For application forms, briefly describe your project and proposed budget in a letter to:

Committee on Research  
American Philosophical Society  
104 South Fifth St.  
Philadelphia, PA 19106.

5. **National Endowment for the Humanities: Summer Seminars for College Teachers.** March 1, 1989 is the deadline (January 1 is an informal deadline for preliminary proposals) to apply to direct a summer seminar in 1990. This program provides support for six- to eight-week seminars in which college teachers can enrich their knowledge of the subjects they teach, work with distinguished scholars and with other college teachers who share similar interests, and undertake an individual project. Seminars are characterized by the scholarly and teaching quality of their directors, the breadth and appeal of the seminar topics to teachers of undergraduate students, the significance of the topics to the humanities, and their promise of engendering collegiality and promoting intellectual stimulation for participants.

Prospective directors are strongly encouraged to discuss their proposed seminars with NEH staff well before the application deadline. NEH staff will work closely with applicants in planning proposals, and will review and critique preliminary drafts of proposals. The telephone number is (202) 786-0463.

6. **National Endowment for the Arts Fellowships for Creative Writers: Fiction, Poetry, and Belles Lettres.** Fellowships of \$20,000 will be awarded to published creative writers of exceptional talent to enable them to set aside time for writing, research, or travel, and generally to advance their careers. Applicants must satisfy eligibility requirements including publications of a substantial corpus since January 1, 1978. The application deadline is March 3. For application forms and information, contact:

National Endowment for the Arts, Literature Program, Room 723, 1100 Pennsylvania Ave., N.W., Washington, DC 20506, (202) 682-5451.

7. **The Newberry Library Resident Fellowships.** The library, founded in 1887, is a privately endowed independent research institution located in Chicago. Comprising more than one million volumes and five million manuscripts, it has a strong general collection embracing history and the humanities within Western Civilization from the late Middle Ages to the early twentieth century. Fellowship programs include Short-Term Resident Fellowships for Individual Research, Resident Fellowships for Unaffiliated Scholars, American Society for Eighteenth-Century Studies Fellowships, and others. The application deadlines for all programs is March 1 or October 15, 1988. ORD has additional program information. For application forms, write to the Committee on Awards, Newberry Library, 60 W. Walton St., Chicago, IL 60610, (312) 943-9090.

8. **National Science Foundation Directorate for Biological, Behavioral and Social Sciences: Unsolicited Research Proposals in the Division of Social and Economic Science.** This division supports basic and applied disciplinary and multidisciplinary research in the programs listed below. The goal of the Division is to develop basic scientific knowledge of social and economic systems, organizations and institutions and human social behavior, interaction and decisionmaking. Programs within the Division also consider proposals for doctoral dissertation support, research conferences, the acquisition of specialized research and computer equipment, group international travel, and data collection. NSF encourages prospective applicants to contact appropriate staff before submitting proposals. The division includes the following programs:

<u>Program</u>	<u>Program Director</u>	<u>Telephone</u>
Economics Program	James Slaugh	(202) 357-5915
Geography and Regional Science	Thomas Baerwald	(202) 357-7326
Murray Aborn	Measurement Methods and Data Improvement	(202) 357-7913
Phyllis Moen	Sociology	(202) 357-7802
Frank Scioli, Jr.	Political Science	(202) 357-7534
Felice Levine	Law and Social Sciences	(202) 357-9567
Robin Gregory	Decision, Risk, and Management	(202) 357-7417
Roberta Balstad Miller	Division Director	(202) 357-7966

III. AWARDS

In the period July through November, 1989, 74 proposals requesting \$10,706,584 (some multi-year projects) were submitted by CLA faculty. This represents a 17% increase in the number submitted over the same period last year. In this same period, 36 awards were received for a total of \$2,756,160, a 111% increase in dollars awarded to this point last year. Recent awards include:

NAME & DEPARTMENT	PROJECT	SOURCE	AMOUNT
Philip Ackerman Psychology	Effect of Practice on the Validity of Spatial Tests	Personnel Decision Research Institute (Navy Prime)	\$ 22,069
Nina Etkin Anthropology	Medical Anthropology in Nigeria	National Science Foundation	42,075
Joseph Galaskiewicz Sociology	Panel Study of Environmental and Organizational Decline	Yale (Rockefeller Foundation prime)	10,121
Christine Hastorf Anthropology	Long-Term Political and Social Impact on Natural Resources	McKnight (NSF Presidential Young Investigator Match)	37,500
Brian Job International Studies	Institutional Fellowship Program in Peace and International Cooperation	MacArthur Foundation	600,000
Mary Jo Nissen Psychology	Procedural and Declarative Learning	U.S. Navy	58,350
Richard Sykes Speech-Communication	Exploratory Analysis of Hostage Negotiations	National Institute of Justice	45,690

In addition:

Kent Bales (English) has received a Fulbright fellowship for research in Hungary.

Peter Firchow (English) was awarded a Fulbright fellowship for research in West Germany.

Barbara Hanawalt (History) received a Fulbright fellowship for research in the United Kingdom.

Donna Jackson (Music) has received two grants, one from the NEH Travel to Collections program and one from the American Philosophical Society, for her project on "The Winchester Partbooks: A Gift to Queen Elizabeth I of England."

Anatoly Liberman (German) received a Fulbright for research in West Germany.

#### IV. TRENDS AND PROFILES

1. NEW AREAS OF INTEREST AT THE NATIONAL ENDOWMENT FOR THE HUMANITIES: AFRICA AND EASTERN EUROPE. According to the director of the Division of Research Programs at NEH, there is a shortage of graduate students and young faculty in African literature, history and other humanities fields. He sees a similar situation for researchers studying Eastern European history and literature, and for political scientists specializing in the Soviet Union. He has suggested that people working in these areas take advantage of the relative lack of competition for NEH funding. Also, NEH is trying to encourage research that is conceived in broader terms, as a counter to the overspecialization that has become a pervasive problem in the humanities.

There are a variety of grant and fellowship programs that prospective applicants can consider. The simplest is the Travel to Collections program (with mid-January and mid-July) deadlines; offering \$750 to visit humanities resources. NEH Fellowships for University Teachers, with a June 1 deadline, provide funds for a year of uninterrupted research. Scholars further advanced in their research might consider applying for grants from the Texts (Editions or Translations) program, or for funds to conduct summer seminars for college or secondary school teachers.

Historically, there are two keys to successful grantseeking at NEH: contact with NEH staff prior to proposal submission and resubmission of proposals after rejection. Potential applicants can get valuable advice from



NEH staff that can make their formal proposals more competitive. Contact can be made by phone, correspondence, rough drafts, or in some cases, visits to the Endowment (ORD can provide support for such travel).

Overall, proposals have a 20 percent success rate. But revised and resubmitted proposals do much better, with a 30 to 50 percent success rate, than initial proposals, if researchers have requested and taken into account reviewers' comments.

NEH remains the most important source of support for humanities research. Grants and fellowships from NEH provide the means to conduct research and, in addition, the prestige attached often helps to leverage funding from other organizations. We have extensive files of information and application forms from NEH, and will be happy to consult with anyone considering an application to NEH.

2. DATABASES AND COMPUTER TOOLS FOR HUMANITIES TEXTS. The National Endowment for the Humanities has recently distributed a list of databases and computer tools whose development has been supported by the Tools category in the Reference Materials Program of the Division of Research Programs since 1967. Listings include brief descriptions of the projects and access information. Disciplines covered include art and architectural history, folklore, history, history of science, language and linguistics, literature, and music and music criticism. This list may be helpful to scholars who are developing other humanities computer tools. Please call ORD if you would like a copy.

3. ENHANCING INTERNATIONAL COMPETENCE OF AMERICANS. Recently, working groups of the Coalition for the Advancement of Foreign Languages and International Studies (CAFLIS) met to consider ways to improve American's understanding of the world. One working group, chaired by Stanley Katz, president of the American Council of Learned Societies, explored the need for a new national entity that would provide greater federal support for international education, area studies, and foreign language teaching and training. (Private foundations, like Ford and Rockefeller, have already begun to increase emphasis on these areas.) Different models for the new entity based on the National Endowment for the Humanities, the Fund for the Improvement of Postsecondary Education, and the Soviet-East European Research Program, were discussed. There appears to be an emerging consensus that if present federal programs supporting foreign language and international studies were maintained, this entity would provide enhanced visibility to this long-neglected area of vital importance to the United States.

Another group explored private-sector involvement in programs to increase foreign language and international proficiency. Participants suggested that support from the private sector would be achieved through specific programs within the business setting, not through the university or pre-collegiate education system.

These meetings are part of an ongoing series of discussions that will continue in March.

V. DEADLINESInternal

February 15	OIE	Development Grants
March 2	CLA	Scholarly Conference Fund
March 6	Graduate School	Grants-in-Aid (always the first Monday in March)
April 10	CLA	McMillan/CLA Travel Fund

External

February 1	American Philo- sophical Society	Research Grants
February 1	AAUW	Research and Project Grants for Women
February 5	MHC	General grants (final)
February 15	NEH, Research	Regrants: Conferences, International Research, Selected Areas
February 19	CIC	Foreign Language Enhancement Program
March 1	ACLS	Grants for Travel to International Meetings
March 1	NEH	Summer Seminars for College Teachers (Directors)
March 1	Social Science Research Council	Berlin Program for Advanced German and European Studies
March 7	U.S. Dept. of Education	FIPSE Final Proposals (by invitation only)
April 1	American Philo- sophical Society	Research Grants
April 1	NEH, Education	Humanities Instruction in Institutions of Higher Education
April 1	NEH, Fellowships	Summer Seminars for School Teachers (Directors)
April 1	NEH, Research	Publication Subventions
April 15	MHC	General grants (draft)
April 29	MHC	Visiting Fellowships for Independent Scholars
May 1	NEH	Challenge Grants
May 6	MHC	General grants (final)
June 1	NEH, Fellowships	Fellowships for University Teachers; Fellowships for College Teachers and Independent Scholars
June 1	NEH, Research	Editions; Translations
June 1	Wenner-Gren Foundation for	Senior Scholar Research Stipends; Small Grants Program

University Archives  
10 Walter Library  
Attn: Barbara Wagner

Liberal Arts Research Newsletter  
College of Liberal Arts  
Office of Research Development  
205 Johnston Hall  
101 Pleasant Street S.E.  
University of Minnesota  
Minneapolis, Minnesota 55455



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## RESEARCH INFORMATION SHEETS

ORD has revised its information brochures. Please indicate here which ones you would like to receive.

- I. THE RESEARCH PROCESS: PRELIMINARY STAGES
- II. SOURCES OF FUNDING AND THE FUNDING SEARCH
  - A. The Search for Funding
  - B. Sabbaticals: Research Funding Opportunities
  - C. Internal Research Support for CLA Faculty
- III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES
- IV. GUIDE TO BUDGET PREPARATION
- Sponsored Project Management. A Guide for Faculty and Staff [ORTTA]

# LARES

## Liberal Arts Research Newsletter

March 3, 1989

LARES, Vol. XIV, No. 4

Telephone: 625-4801  
Hours: 8:30-4:30, M-F

Editor: Geri H. Malandra

### I. GENERAL ANNOUNCEMENTS

From the Director. I was attacked recently by an old -- a very old -- friend. My ancient, creaky, wooden desk in Shevlin Hall turned surly and in an instant I had a huge splinter in the pinky of my right hand. This is not the first splinter I've gotten sitting at that desk. Most of my trousers are reinforced by slivers of wood. But this last incident was the first time my person had been pierced. The situation is demonstrably serious. After several days of benign, and cowardly, neglect, the finger became red and swollen and I could no longer avoid calling Group Health. In the hour between my call and when I was to leave for the clinic, I got another splinter, in my thumb. There is nothing so vengeful as a spurned desk.

At Group Health I discovered that medical science hasn't advanced very far in this particular area. When I was a child, my mother would hold a sewing needle over a gas burner for a moment or two before wielding the needle with a gusto that made me wonder whether she wasn't thinking about the vase I had broken, or some impertinence I had uttered not too long before.

The physician at Group Health must have found me a pleasant diversion from the runny noses and croupy coughs in the emergency care unit. He could barely restrain his delight when I presented my hand, with what now felt like two canoe paddles supporting the fingers. He unveiled a sterile needle much like the one my mother had used, only longer and angrier, and proceeded to chip away at flesh, bone, vessels, and eventually, wood. When he retrieved the first piece of lumber, he held it triumphantly for my view. I was about to suggest we might whittle it into something artistic when he dug in again, the thumb this time. It occurred to me as he probed that a bit of board was not so out of place in a thumb, and that perhaps we might just leave it there to see whether it would sprout roots, or to gather some other important scientific facts. Instead, I endured while he exclaimed at how close he had come to snagging that second piece of lumber. At 13 I at least had the privilege of crying out piteously. All I allowed myself now was a faint

"ouch" that was intended to be pregnant with meaning and implication, but was scarcely apprehended by the busy tree surgeon working on my thumb.

When my ordeal finally ended, and both fingers were wrapped in bandages, I asked my rescuer his name.

"Dr. Pane," he told me.

My mind was instantly flooded with retorts. "Did you take that appellation before or after medical school?" "Does it pain you to have people constantly punning on your name?" "Is your name the window to your personality?" "Hey, Doc, you're a real glass act." -- and others too painful to relate. But I found a strength I hadn't thought possible, and I suppressed them all -- no puns, no quips, no cutting remarks.

The swelling has gone down, but that's not the end of it. What I am suffering now is mental anguish. I am afraid to sit at my desk, not knowing what part of my anatomy will be attacked next. I'm suffering a profound crisis of confidence. I reveal all of this in expectation some of you have had similar unsettling experiences with chairs, tables, desks in your elegant university offices, and would be willing to join with me in a kind of support group -- a splinter group, if you will. In the meanwhile I'm thinking of replacing my untrustworthy porcupine desk. I understand there's a rather expensive one floating around the university, but no one seems to know where it is. G. M. Siegel.

## II. FUNDING NOTES

### Internal

**CLA Travel/McMillan Travel Fund.** The spring application deadline is April 10 for funds to cover transportation and living expenses during the period June 16 to December 15 for research or to present the results of scholarly or creative activity at major national or international conferences. CLA faculty, administrative staff, and graduate students are eligible to apply. Guidelines and application forms are available in the CLA Faculty Affairs Office, 624-9839.

**Office of International Education Development Grants.** Grants may cover: establishment of collaborative research, training or service; educational exchanges of faculty; completion of individual research of an international nature; curriculum development; international seminars and other programs held at the University of Minnesota or involving international scholars outside the University; participation in international professional conferences held outside the U.S. Applications are due May 15 for July, August or September activities; August 15 for October, November or December activities. Information and application forms are available from the OIE Development Grant Coordinator, 624-5580.

**Undergraduate Research Opportunities Program.** April 10 will be the deadline for proposals for 1989-90 projects that involve full-time undergraduate students in research with a faculty member. Students will

receive stipends up to \$750 and/or expense allowances up to \$250 for a maximum award of \$1,000. CLA students should obtain additional information and lists of faculty involved in the program from the office of Individualized Degree Programs, 220 Johnston Hall, 624-8006.

**Research Explorations (Support for Faculty Research).** Research Explorations is an innovative research enhancement program that facilitates adult volunteer participation in University of Minnesota faculty research. Because participants (selected by the project leader) pay for their involvement in research, the project receives supplemental funding in the form of a modest stipend. The program provides capable public assistance in lab and field work, and greater community exposure for faculty research. Interested faculty or research assistants may call program director, Claire Walter-Marchetti at 624-9345, or secretary, Susan Gorman, at 626-0214, for more information or a proposal form.

External

**NEH 1989 Institutes for College and University Faculty.** Institutes on the following themes will be conducted this summer for four- to eight-week period at research institutions across the country. These provide good opportunities for study and research on specific topics. Please note that application deadlines are in March 1989, so you must act quickly if you are interested in applying. Below are titles of institutes, name of sponsoring institution, and contact telephone numbers. ORD has additional information, but not application forms:

Classic Texts in Early American History  
University of Connecticut  
(203) 486-3722

Historical Archaeology of European  
Expansion, 1550-1700  
Flowerdew Hundred Foundation  
(804) 541-8897

The Problem of Intellectual History for  
Shakespeare's Age  
Folger Shakespeare Library  
(202) 544-4600

Transatlantic Encounters: New Systems of  
Thought and Action in England and American,  
1400-1700  
Newberry Library  
(312) 943-9090

Don Quixote  
Arizona Center for Medieval and Renaissance  
Studies, Arizona State University  
(602) 965-5900

The Intellectual World of Christopher  
Columbus  
University of California, Los Angeles  
(213) 206-1992

The Translation Institute III  
University of California, Santa Cruz  
(408) 429-4090

The Encounter of Cultures: Sixteenth-  
Century Mexico  
University of Maryland  
(301) 454-4305

Spanish Explorers and Indian Chiefdoms  
University of Georgia  
(404) 542-3141

Nagarjuna and Buddhist Thought  
University of Hawaii  
(808) 948-8783

Joseph Haydn & the Culture of the Habsburg  
Realm  
Rutgers University/Aston Magna Foundation  
(413) 528-3595

Christendom in the High Middle Ages  
University of Notre Dame  
(219) 239-6603

The Southern Novel & Southern Community  
University of North Carolina, Chapel Hill  
(919) 962-5481

Approaches to Language in the Greek  
Enlightenment: Ethics, Rhetoric, & Poetics  
Princeton University  
(609) 452-3951

Theory & Interpretation of the Visual Arts  
University of Rochester  
(716) 275-9249

Petrarch, the Poet-Humanist & Petrarchism  
Yale University  
(203) 432-0598

The French Revolution: Texts and Contexts  
CUNY Graduate School  
(212) 642-2308

Recreating the New World Contact:  
Indigenous Lang. & Lit. of Latin Am.  
University of Texas, Austin  
(512) 471-5551

Future of the Avant-Garde in Postmodern  
Culture  
Harvard University  
(617) 495-2546

Technology in American Culture, 1607-1940  
Tufts University  
(617) 381-3562

Spenser's The Faerie Queene  
Princeton University  
(609) 452-4060

Ottoman History for European Historians,  
1815-1923  
Princeton University  
(609) 452-3629

Iberia & the New World, 1500-1800: Cultural  
Encounter & Mutual Exchange  
University of Wisconsin, Madison  
(608) 262-3855

Spanish & Hispanic-American Archival  
Sciences  
Newberry Library  
(312) 943-9090

**National Endowment for the Humanities Fellowships for University Teachers.** June 1 is the annual deadline to apply for fellowships that provide junior and senior scholars with six to twelve months' support (a maximum stipend of \$27,500) for advanced study or research in history, philosophy, languages, linguistics, literature, archaeology, jurisprudence, the history, theory and criticism of the arts, ethics, comparative religion, and aspects of the social sciences that employ historical or philosophical approaches. Work supported by NEH Fellowships may contribute to scholarly knowledge, to the conception and substance of individual courses in the humanities, or to the

general public's understanding of the humanities. Projects may address broad topics or focus on research in a specialized field. Applications are evaluated according to the quality of the applicant's work as a teacher, scholar, or interpreter of the humanities; the significance of the contribution the proposed project will make to thought and knowledge in the applicant's field and to the humanities generally; the conception, definition, organization, and description of the proposed project; and the likelihood that the applicant will complete the project. ORD will have application materials. Additional information can be obtained from: Division of Fellowships and Seminars, Room 316, NEH, 1100 Pennsylvania Ave., N.W., Washington, DC 20506.

**National Endowment for the Humanities Research Division Texts Program.**  
June 1 is also the deadline for two grant lines in this program:

**Translations.** Support is provided for individual or collaborative projects to translate into English works that provide insight into the history, literature, philosophy, and artistic achievements of other cultures and that make available to scholars, students, teachers, and the public the thought and learning of those civilizations. Applications may propose to translate from any language, and the texts to be translated may be either primary sources or secondary works. Eligible projects include translations of significant single works, the complete works of a writer or school, and collections or anthologies. Applications must demonstrate that the texts to be produced will be of value to a diverse audience but are not likely to be commercially profitable. Awards are made for up to three years, and usually range from \$3,500 to \$100,000 per year.

**Editions.** Grants in this category support various stages in the preparation of authoritative and annotated editions of works and documents of significant value to humanities scholars and general readers. Support is provided for projects that make available important texts and documents that have been either previously unavailable or accessible only in seriously flawed editions. Applicants must demonstrate that the form (printed, microform, or a combination) proposed for the edition represents the most effective means of disseminating the material. (In order to encourage the preparation of editions of the letters and papers of American artists, NEH makes available small planning grants that allow scholars to conduct surveys of the type, quantity, importance, and location of existing documents.) Awards are made for up to three years and usually range from \$25,000 to \$100,000.

ORD has program descriptions and application forms. NEH encourages prior consultation about grant applications in these categories. For additional information, contact Division of Research Programs: Texts, NEH, Room 318, 1100 Pennsylvania Ave., N.W., Washington, DC 20506. Telephone: (202) 786-0207.

**American Philosophical Society.** The APS grants about \$400,000 a year in support for scholarly research in all areas of knowledge. Grants may cover living costs while away from home; microfilms, photostats and photographs;



consumable supplies; and necessary foreign and domestic travel. The maximum grant that will be made for a full professor is \$2,500. The APS will also consider making supplemental grants for travel if an applicant has received other grants (e.g., NEH, Guggenheim, SSRC) that do not include travel that is necessary to the applicant's research program. Deadlines for applying are: April 1, August 1, December 1, February 1. Decisions are made within three months. For application forms, briefly describe your project and proposed budget in a letter to: Committee on Research, American Philosophical Society, 104 South Fifth St., Philadelphia, PA 19106.

**United States Institute of Peace.** The focus of the Institute is on questions of international peace and conflict management. It carries out this mandate with a program of grants supporting basic and applied research, education projects, training and symposia, and through publications. The theme of the 1989 solicited grant program is regional conflict management and resolution in the developing world. Proposals are invited that use the case-study approach to examine specific historical incidents primarily but not exclusively in the post-World War II period, particularly in Africa, Asia, Latin America, and the Middle East. ORD has additional information about applications. You may contact the Institute at: United Institute of Peace, 1550 M St., N.W., Suite 700, Washington, DC 20005-1708. Telephone: (202) 457-1700.

**Center for the Humanities, Oregon State University 1989-90 Research Fellowships.** Up to four research fellows will be appointed for 1988-89, for work within the current theme, "Representations and Their Authority: Past and Present." Residencies are available for either Fall (August-December) or Spring (January-May). In rare instances, a two-term residency may be considered. Research Fellows will pursue individual research writing. In addition, they will be expected to contribute to the intellectual vitality of the humanities at Oregon State through teaching one course or seminar, or by presenting a short series of public lectures or seminars. Stipends will range up to \$14,000 per term depending on sabbatical or other fellowship support and current salary. Travel allowances and other benefits may also be available. The application deadline is April 1, 1989. For application forms, write: Peter J. Copek, Director, Center for the Humanities, Oregon State University, Corvallis, OR 97331. Telephone: (503) 754-2450.

**Commission on the Bicentennial of the U.S. Constitution--**  
**Constitutional Bicentennial Educational Grant Program.** Grant applications are solicited for programs designed to improve the teaching of the Constitution and Bill of Rights to elementary or secondary school students. For 1989 the commission will focus on the Judiciary and its historical development; for 1990-91, the focus will be on the Bill of Rights and subsequent amendments. Approximately \$2 million will be available in 1989 to support 25 to 35 awards ranging in size from \$3,000 to \$125,000. The application deadline is May 15, 1989. For additional information, contact: Anne A. Fickling, Associate Director of Educational Programs, Commission on the Bicentennial of the U.S. Constitution, 808 17th St. N.W., Suite 800, Washington, DC 20006, (202) 653-5110.

**International Educational and Cultural Programs, United States Information Agency.** The USIA has issued its semiannual broad competition announcement for international education and cultural programs. Proposals are solicited that involve collaboration between people of different nations and contribute to long-term communication between the U.S. and other countries. Priority will be given to worldwide programs that involve leaders in such fields as government, law, journalism, public policy and the arts. The agency encourages projects involving Africa, the Near East and South and Southeast Asia. Applications will be accepted between March 1 and May 30 for projects to be conducted between July 1 and December 31. Applications are due at least four months before the projects dates. There will another call for proposals in June for projects to begin after December 31. For additional information contact: Raymond Harvey, Office of Private Sector Programs, Bureau of Educational and Cultural Affairs, U.S.I.A., 301 Fourth St. S.W., Washington, DC 20546, (202) 485-7348.

**Ethics and Values Studies Program, Biological and Behavioral Sciences Directorate, National Science Foundation.** NSF is inviting proposals to research current ethics and values issues in science and technology. This program funds research studies and conferences to foster inquiry into the mutual influences of science and engineering and the moral life of individuals, groups, institutions, communities and nations. Deadlines will be May 1 and November 1 for preliminary proposals; August 1 and February 1 for final proposals. Under new guidelines NSF encourages preliminary proposals, especially for first-time applicants, but does not require them. \$1.2 million will be available; project funding will average \$50,000 for 18 months. For additional information contact: Rachelle Hollander, EVIST, BBS Directorate, NSF, Rm. 312, 1800 G. St. N.W., Washington, DC 20550, (202) 357-3886.

III. AWARDS

The following CLA faculty have received research awards in the period from January to March, 1989:

NAME & DEPARTMENT	PROJECT	SOURCE	AMOUNT
Irving Biederman Psychology	Conference on Three- Dimensional Representation	Air Force Office of Scientific Research	\$ 14,885

Rose Brewer Center for Advanced Feminist Studies	Incorporating Feminist Scholarship on Gender and Culture Diversity into the Curriculum	Metropolitan State University (Ford Foundation prime)	\$ 40,400
Rene Dawis David Weiss Psychology	Vocational Assessment Program	Minnesota Department of Jobs and Training	\$ 169,310
Richard Depue Psychology	Persons at Risk for Depressive Disorders	National Institute of Mental Health	\$ 159,835
Edwin Fogelman Political Science	Foundations and Applications of the Social Sciences	Ford Foundation	\$ 330,000
Norman Garmezy Psychology	Literature Review of Competent Disadvantaged Black Children	MacArthur Foundation	\$ 5,200
Christine Hastorf Anthropology	Diet and Agriculture in Prehistoric Peru	National Science Foundation	\$ 66,063
Ruth Kanfer Psychology	Ability and Metacognitive Determinants of Skill Acquisition and Transfer	Air Force Office of Scientific Research	\$ 19,996
Gordon Legge Psychology	Psychophysics of Reading: Normal and Low Vision	National Eye Institute	\$ 171,087
Matthew McGue Psychology Betsy Hirsch Lab Medicine	Twin Study of Normal Aging	National Institute on Aging	\$ 9,846 (increase)
Jeylan Mortimer Sociology	Work Experience and Adolescent Well-Being	National Institute of Mental Health	\$ 153,724
Mark Snyder Psychology	Motivational Foundations of Behavioral Confirmation	National Science Foundation	\$ 84,112

In addition:

William Brustein (Sociology) has received a \$15,000 grant from the U.S. Department of Education Fulbright Western European Research Program for his project on "Political Geography of Interwar Fascist Membership."

The Minnesota Humanities Commission has awarded a \$5,440 grant to the Cesar Farah (Department of South and Southwest Asian Studies) for a project, "Invisible in America," on Palestinian-Americans.

Three members of the CLA faculty have received McKnight-Land Grant fellowships:

Nita Krevans (Classics), "The Rise of the Book in Classical Antiquity"

Ellen Messer-Davidow (English), "The Organization of Knowledge across Academic Disciplines"

Gianna Pomata (History), "The Social and Cultural History of Healing Practices in Early Modern Europe"

#### IV. PROFILES AND TRENDS

##### **New Federal "Drug Free" Requirements for Research Environments.**

Federal agencies have begun notifying grantees that they will have to certify that they ensure a drug-free environment for research. These requirements apply to the institution receiving grants, in our case, the University of Minnesota. This means that ORTTA will provide this certification for all applications being submitted through the University. Individual investigators are not required to provide this certification.

**Guggenheim Foundation Seeks Support from Former Fellows.** For the first time, the John Simon Guggenheim Memorial Foundation, a high-profile source of prestigious fellowships for senior scholars, has begun soliciting donations from former fellows and other friends of the foundation. This campaign is intended to shore up funds available for its fellowship program. Inflation and the unstable stock market have diminished these funds so that fewer fellowships of lower average size have been awarded in recent years.

In 1988 290 fellowships were awarded (from a pool of approximately 3,700 applicants), 92 less than in 1971. The average \$24,000 award has not kept up with inflation.

The Guggenheim Foundation was established with a \$3-million bequest from Simon Guggenheim. The last gift from the family came in 1970; if another donation does not come from this source, the foundation may have to make further reductions in its fellowship program. Foundation officers may also seek additional support from corporations and foundations as part of a long-range financial plan. (Information based on story in the January 24, 1989 Chronicle of Philanthropy.)

**What Grant Seekers Should Know about the "Baby Boom" Generation.** In a recent presentation to a group of University development officers, Paul Light, Associate Dean of the Humphrey Institute and author of the book, Baby Boomers, offered some provocative observations about the effect "baby-boom" attitudes might have on grant and gift seeking in the 1990s. He suggested that this generation's individualized and pragmatic, results-oriented attitude toward all spheres of activity will make the following strategies most effective: 1) an emphasis on performance, 2) focus on the legacy that an activity or donation will engender, 3) connection to solutions to economic problems, and 4) a link to community building among individuals engaged in volunteerism. He predicts that the concern (which might even be characterized as impatient) among baby-boom grant-givers for specific results, will result in giving programs that require specific products or effects. We should expect to see fewer open-ended grant programs and instead, be able to produce preliminary studies that demonstrate what the impact of a grant will be before requesting funding.

**New Directions in Social and Economic Sciences at the National Science Foundation.** During a recent visit to the University of Minnesota, Roberta Balstad Miller, Director of the Division of Social and Economic Sciences, described a number of changes and significant new program emphases at NSF.

NSF continues to support only basic research, not projects designed to solve social problems. Also, since social science is a minor part of a physical-science-dominated agency, NSF does not support a full range of social sciences, leaving out more humanistically oriented problems. For example, it will fund studies of voting behavior but not of political philosophy.

Although there is strong support for a proposal to double the NSF budget by 1993, the stepped increases necessary to achieve this goal have not been approved by Congress. Much of the new money allocated to NSF supported a rapid increase in science education, at the expense of basic research. Social and Economic Sciences funding has increased exactly as much as the overall NSF budget.

It is clear that NSF has an overriding concern with opening up the "scientific pipeline" to train future scientists, recruit from underrepresented groups (women and minorities), and to improve the curriculum in targeted areas, such as calculus and biology.

NSF maintains an "open window" for grant applications: as long as a proposal is for basic scientific research falling within one or more of the agency's program divisions, the proposal will be accepted. At the same time, agency officials recognize needs in emerging disciplines, in multi-

disciplinary work, and in work that cuts across national boundaries. New initiatives have been developed to focus on these areas.

The newest initiative in the Social and Economic Sciences Division is a program on the Human Dimensions of Global Environmental Change. An early deadline of March 15, 1989 was set for the first round of proposals, with \$300,000 available to fund projects. \$500,000 has been requested in the 1990 budget for this program, which seeks projects that will consider: 1) global environmental change over decades or centuries; 2) interactions across national boundaries; 3) the balance of national and cross-national forces such as national security vs. environmental concerns; 4) links to studies of uncertainty. (ORD has additional information about this initiative.)

#### V. DEADLINES

March 21	National Gallery of Art	Visiting Senior Fellowships
April 1	American Philosophical Society	Research Grants
April 1	ACLS	China Conference Travel Grants (June-August conferences)
April 1	NEH, Education	Humanities Instruction in Institutions of Higher Education
April 1	NEH, Fellowships	Summer Seminars for School Teachers (Directors)
April 10	CLA	McMillan/Travel Fund
April 15	Minnesota Humanities Commission	General grants (draft)
April 29	MHC	Visiting Fellowships for Independent Scholars
May 1	Wenner-Gren	Small Grants Program
1	NSF	International Cooperative Science programs
May 6	MHC	General grants (final)
May 10	NIH	Fogarty International Center Fellowships
May 15	Office of International Education	Development Grants (July, August, September activities)
June 1	NEH, Fellowships	Fellowships for University Teachers; Fellowships for College Teachers and Independent Scholars
June 1	NEH, Research	Editions; Translations
June 1	Wenner-Gren Foundation for Anthropological Research	Senior Scholar Research Stipends;

March 3, 1989

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July 1	NSF: BBS	Archaeology; Cultural Anthropology; Physical Anthropology; Social and Developmental Psychology
15	NEH	Travel to Collections
August 1	APS	Research Grants
August 15	OIE	Development Grants (October, November, December activities)
Sept. 15	CIES-Fulbright	Research/Lectures in Europe
15	(approx) NEH	Summer Stipends: Internal Deadline
30	ACLS	Fellowships
October 1	ACLS	Travel Grants: International Meetings
1	Guggenheim	Fellowships
1	Woodrow Wilson Center	Residency Fellowships
1	NEH	Summer Stipends (agency deadline)
1	NEH, Education	Humanities Instruction in Institutions of Higher Education
1	NIH	Research Grants
2	Graduate School	Grants in Aid
10	CLA	CLA/McMillan Travel Fund
15	National Humanities Center	Residency Fellowships

Please note: This is just a partial list. ORD has additional information about the programs listed here, as well as many others targeted at more specific disciplines or topics.

University Archives  
10 Walter Library  
Attn: Barbara Wagner

Liberal Arts Research Newsletter  
College of Liberal Arts  
Office of Research Development  
205 Johnston Hall  
101 Pleasant Street S.E.  
University of Minnesota  
Minneapolis, Minnesota 55455



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**RESEARCH INFORMATION SHEETS**

ORD has revised its information brochures. Please indicate here which ones you would like to receive.

- I. THE RESEARCH PROCESS: PRELIMINARY STAGES
- II. SOURCES OF FUNDING AND THE FUNDING SEARCH
  - A. The Search for Funding
  - B. Sabbaticals: Research Funding Opportunities
  - C. Internal Research Support for CLA Faculty
- III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES
- IV. GUIDE TO BUDGET PREPARATION
- Sponsored Project Management. A Guide for Faculty and Staff [ORTTA]



# LARES

## Liberal Arts Research Newsletter

May 31, 1989

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\*\*\* and more \*\*\*

*Address change or correction? Please let us know, preferably by sending a corrected label. We will take care of the change.*

Telephone: 625-4801

Hours: 8:30-4:30, M-F

Editor: Geri H. Malandra

### I. GENERAL ANNOUNCEMENTS

From the Dean. We are pleased to announce that beginning July 1, 1989, Geri Malandra will assume the title of Director of the Office of Research Development. This is a consequence of Jerry Siegel's resignation as director, and our confidence in Dr. Malandra's development of the potential of the research office. Jerry Siegel has served the college well as director and we are very appreciative of his leadership over the past three years.

The office will continue to be advised by a faculty committee appointed by the dean and chaired by a member of the faculty.

The Office of Research Development will continue to assist CLA faculty in all phases of securing support for research including: monitoring sources of funding to identify agency deadlines, program priorities and new initiatives; identifying likely agencies and foundations for specific projects; communication with program officers about proposals; helping to orient new faculty to sources of research support within and outside the university; and assisting faculty in preparing and editing proposals.

From the Director. At Nicoloff's Shoe Repair in South Minneapolis I had one of those delightful and unexpected experiences that connects the present with a past that often seems too distant to have been real. Mr. Nicoloff, by the way, is no longer the maitre de behind the counter of the shoe repair parlor he inherited from his father. He has passed on to his own reward; died with his boots on, working in the shop until his final days. I've lived in the neighborhood and traded with Nicoloff for almost 25 years. After his death I had a brief flirtation with

Fast Eddie's in Dinkytown but then returned to Nicoloff's even though Mr. Nicoloff ain't there any more.

On my recent visit I had hardly bared my soles when the counter woman asked, "Where in New York are you from?"

That sort of identification still startles me. I haven't lived in New York since 1954. As an undergraduate in speech at Brooklyn College I had been required to take a course in "speech pedagogy" to eradicate my Brooklyn accent. I have a Ph.D. in speech pathology and I've been talking Minnesotan since 1961. Furthermore, it was almost a year since the last visit from my mother and Aunt Esther so I hadn't had my annual Brooklynese booster. Despite all of the intervening experiences, I can scarcely utter my name or read out a grocery list without some stranger asking me where in New York I am from.

The woman at Nicoloff's spotted me in a minute because she, too, was a displaced New Yorker. We had gone to different high schools. Danny Kaye had been resident at mine until he was booted out for irrepressible high jinx. She claimed Barbara Streisand. Sylvia Fine, Danny Kaye's wife and one of his chief writers, had written our school song, an inane piece that scarcely revealed her genius. Vic Damone had gone to her high school. It seemed a standoff, though my personal opinion is that Kaye and Fine clearly trump Streisand and Damone. I had another card in this game of Brooklyn-upmanship, however. I had delivered groceries to the Damone household (that wasn't their real name) on Cropsy Avenue.

"Really," she said, "Why were you delivering groceries in that neighborhood?"

"My uncle owned the grocery store on the corner of Cropsy and Bay 22d," I told her.

"He did? I knew that store. I used to go into it."

I was a bit incredulous. That seemed too much of a coincidence. For all of my high school years I had been Uncle Meyer's delivery boy, propelling a push cart among the earliest Trump homes.

"Give me a sign," I told her. "Tell me a secret word or an undisclosed bit of information that only an initiate would know."

She thought for a moment and then said, "The pickle barrel. There was an old fashioned, wooden pickle barrel just to the left as you enter the store. It was filled with sour pickles and tomatoes and I'd reach in and get my own pickle, the brine running down my fingers, for a dime--or a quarter."

"It depended whether you got the sour or the half-sour," I told her, quite excited now. It was true. She was a stranger, but part of my past. We spent the next half hour reminiscing, ranging widely, talking about our backgrounds, how we had gotten to Minnesota, our families, who was left in New York, what remained of our former attachments.

It was a thrilling half hour. I left there aglow, remembering and reexperiencing earlier years. I'll probably not see her again. She was only filling in for the proprietor that day. Anyway, it wouldn't be the same on another occasion. I'd be too busy, or too preoccupied for the magic of nostalgia to work in quite the same way. But it was wonderful for those moments we managed to bring Uncle Meyer's grocery store to South Minneapolis and I could almost taste the sour pickle, my teeth breaking through the resistant outer skin, then the juice flooding my mouth as the pickle exploded into eye-watering taste. Marvelous! They don't make 'em that way any more.

I thought as I started this essay, I'd end up tying it in with research: with the experience of taking a new insight and connecting it up with the past, creating

something like my pickle adventure. But I think not. Research is fine, but pickles from Uncle Meyer's barrel can stand on their own. Jerry Siegel

## II. FUNDING RESOURCES

Sabbatical Planning: It is definitely not too early to start looking for sources of sabbatical support for 1990-91. For instance, applications are due June 1, 1989 for NEH fellowships that can be used during that period. Other major fellowships typically used during a sabbatical include ACLS, Guggenheim, and Fulbright, all with primary deadlines in early fall 1989. A planning schedule should start with requests for application forms and information in mid-July, followed by submission of applications from mid-September to early October. The last application to submit could then be for the internal Bush sabbatical fellowship, due in late November. There may be other more specialized sources of support for your particular project; please contact ORD if you would like to consult about your plans.

Special Resource: ORTTA offers a training course, "Bookkeeping for Sponsored Programs," designed to familiarize departmental accounting personnel with the special requirements of accounting for programs funded by non-University sources. Department administrators or accounting personnel may request instruction at any time; training will be offered from now until August 1, 1989. Also, ORTTA can make available UACCOUNTS, a computerized budget maintenance system. For more information, contact Carol Perusse at ORTTA, 624-2307.

### Internal

1. **Office of International Education Faculty Grant Program.** Grants may cover: establishment of collaborative research, training or service; educational exchanges of faculty; completion of individual research of an international nature; curriculum development; international seminars and other programs held at the University of Minnesota or involving international scholars outside the University; participation in international professional conferences held outside the U.S. Applications are due August 15 for October, November or December activities; November 15 for activities beginning in January, February or March. Information and application forms are available from the OIE Development Grant Coordinator, 624-5580.

2. **Graduate School Grants-in-Aid.** The fall deadline will be October 2, 1989. (The winter deadline will fall on the first Monday in March, 1990.) New faculty should be encouraged to apply since the review committee has favored them when resources are tight. Copies of the application form can be obtained from the Graduate School Research Office, 625-2356.

3. **Graduate School Summer Fellowships.** The deadline will be November 13, 1989 for summer 1990 fellowships. Please contact the Graduate School Research Office for information and application materials, 625-2356.

4. **McKnight Land-Grant Professorships.** These awards provide three years of support to outstanding young faculty. Individuals can be nominated as early as their first year at the University, but no later than the start of the fourth year. Nominations will be due in October 1989. Additional information is available from the Dean of the Graduate School.

5. **CLA Faculty Travel and McMillan Travel Fund.** The next deadline will be October 9, 1989 to apply for support for travel between December 16, 1989 and March 15, 1990. Funds are available for transportation and living expenses in relation to travel to conduct research or present the results of scholarly or creative activity at a major national or international conference. For further information and application forms, contact the CLA Faculty Affairs Office, 624-9839.

### External

#### 1. National Endowment for the Humanities

**Travel to Collections (July 15, 1989 deadline)** grants enable individual scholars to travel to use the research collections of humanities materials in libraries, archives, museums, or other repositories. ORD has application forms; they are relatively simple to fill out. It is well worth the small amount of time it takes to apply for a Travel to Collections grant. Not only will the grant get you to a research collection, but also, NEH views this program as one of its primary "entry-level" grants. If you receive one, you will have easily established a beginning track record with NEH, which will help in future applications to other programs.

**NEH Summer Stipends** for summer 1990 provide support for college and university teachers to pursue two consecutive months in full-time study or research. The University may nominate three members of its faculty for Summer Stipends through an internal competition. The scope of support includes but is not limited to, the following: history, philosophy, languages, linguistics, literature, archaeology, jurisprudence, history and criticism of the arts, ethics, comparative religion and those aspects of the social sciences that employ historical or philosophical approaches. This last category includes cultural anthropology, sociology, political theory, international relations, and other subjects concerned with questions of value rather than quantitative matters. Short proposals for nomination will, as in past years, be submitted to the Graduate School for a September 5 deadline; three nominees will be notified by mid-September with their final proposals due at NEH October 1. Additional information will be available later this summer, when new NEH guidelines are published. Please let ORD know if you would like to receive application information. **IF YOU THINK YOU MIGHT NEED SUMMER SUPPORT IN 1990, WE STRONGLY ENCOURAGE YOU TO GET INFORMATION ABOUT THIS PROGRAM NOW, SINCE APPLICATION MATERIALS AND THE DEADLINE COME UP SO EARLY IN FALL QUARTER.**

**NEH Education Division--Humanities Instruction in Institutions of Higher Education (deadline: October 1, 1989)** combines efforts that were previously distributed among several programs: projects to reform the curriculum, projects to enhance the knowledge and expertise of humanities faculty in colleges and universities, and projects to provide access to humanities instruction for those who are not served by the traditional structures of higher education. We encourage those interested in developing a proposal in this area to consult with us early in their planning process. This year, NEH has noted special interest in proposals related to foreign language and literature instruction. ORD has additional information and application packs, or you may contact the program office at (202) 786-0380.

**Research Conferences--**the next application deadline will be **July 1, 1989** for conferences to take place after April 1, 1990. Grants support conferences designed to advance the state of research in a field or topic of major importance in the humanities. Grants

range from \$6,000 to \$40,000; there is usually a substantial matching requirement. Applicants are strongly encouraged to submit a draft proposal or prospectus to the program six to eight weeks before the deadline. ORD has application materials, or you may contact the program office at (202) 786-0204.

2. **American Philosophical Society.** The APS grants about \$400,000 a year in support for scholarly research in all areas of knowledge. Approximately 700 applications are reviewed each year; about 30% of applicants receive funding. Grants may cover living costs while away from home; microfilms, photostats and photographs; consumable supplies; and necessary foreign and domestic travel. The maximum grant that will be made for a full professor is \$3,000; up to \$4,000 for others, in keeping with APS's long-standing practice to encourage research by younger and less well-established scholars. The APS will also consider making supplemental grants for travel if an applicant has received other grants (e.g., NEH, Guggenheim, SSRC) that do not include travel that is necessary to the applicant's research program. Deadlines for applying are: August 1, December 1, February 1, April 1. Decisions are made within three months. For application forms, briefly describe your project and proposed budget in a letter to: Committee on Research, American Philosophical Society, 104 South Fifth St., Philadelphia, PA 19106.

3. **American Council of Learned Societies 1989-1990 Fellowships and Grants.** The general programs of the ACLS support postdoctoral research in the humanities; research in the social sciences with a predominantly humanistic emphasis will also be considered. The Ph.D. or its equivalent is required. Younger scholars and independent scholars are encouraged to apply. The Area Programs, sponsored jointly by the Social Science Research Council, support postdoctoral and predoctoral research and study in the humanities and social sciences. All programs administered by ACLS require U.S. citizenship or permanent residence. Additional information or application forms may be obtained by writing to the address below; requests for application forms must include the following information: citizenship or permanent residence, highest academic degree received, academic or other position, field of specialization, proposed subject of study, period of time for which support is requested, and the specific program under which application is contemplated. For the programs with September 30 deadlines, requests for application forms must be received by ACLS no later than September 25, 1989.

American Council of Learned Societies  
228 East 45th St.  
New York, NY 10017-3398

ACLS Fellowships for research in the humanities. Six to 12 months of support between July 1, 1990 and December 31, 1991. Maximum award: \$15,000. Deadline: September 30, 1989.

ACLS/Ford Fellowships for humanistic research on social issues of particular interest to the Ford Foundation. Six to 12 months of support between July 1, 1990 and December 31, 1991. Maximum award: \$15,000. Deadline: September 30, 1989.

ACLS Research Fellowships for Recent Recipients of the Ph.D. for research in the humanities for applicants whose Ph.D. will have been conferred between January 1, 1987 and December 31, 1989. Same tenure as above. Maximum award: \$10,000. Deadline: September 30, 1989.

ACLS Grants-in-Aid to support expenses of humanistic research in progress. Grants to be expended between April 15, 1990 and April 15, 1991. Maximum award: \$3,000. Deadline: December 15, 1989.

ACLS Grants for Travel to International Meetings for scholars in humanistic disciplines to participate in international meetings held outside North America. (Written requests for applications should be directed to the ACLS Travel Grant Office and, in addition to the information listed above, should set forth the name, dates, place and sponsorship of the meeting as well as a brief description of the nature of the applicant's scholarly interests and proposed role in the meeting.) **Note two deadlines: October 1, 1989** for meetings January-June, 1990, **March 1, 1990** for meetings July-December, 1990.

For additional information about these and other ACLS programs, you may contact ORD, or write to ACLS at the address given above and request the general program description. For information about ACLS/SSRC programs, write to:

Office of Fellowships and Grants  
Social Science Research Council  
605 Third Avenue  
New York, NY 10158

4. **Guggenheim Fellowships.** Fellowships are awarded to scholars of high intellectual and personal qualifications who have already demonstrated unusual capacity for productive scholarship or unusual creative ability in the arts. The Fellows are usually between 30 and 45 years old, but there are no age limits. Appointments are ordinarily made for one year, but in no case for a period shorter than 6 consecutive months. Amounts of grants are adjusted to the needs of the Fellows; teachers receiving sabbatical leave on full or part sabbatical salary are eligible for appointment. Guggenheim fellowships may not be held concurrently with other fellowships. (In 1988, the Foundation awarded 262 fellowships for a total of \$6,343,000 (an average grant of \$24,210). There were 3,421 applicants. Deadline for application for 1990 fellowships is **October 1, 1989**. Application forms must be requested directly from the foundation:

John Simon Guggenheim Memorial Foundation  
90 Park Avenue  
New York, NY 10016  
(212) 687-4470

5. **Rockefeller Foundation Research Program on Women's Status and Fertility in Developing Countries.** This program supports research on the interrelations between fertility and women's status and roles in developing countries. Of interest are projects that would examine concepts such as gender inequality, female autonomy, women's roles or position, and patriarchy; clarify how these concepts should be defined and measured; and analyze how they relate to fertility. Most projects supported will be in the range of \$30,000 to \$60,000. The application deadline is **August 15, 1989**. ORD has additional application information. Inquiries may also be directed to: Population Sciences, The Rockefeller Foundation, 1133 Avenue of the Americas, New York, NY 10036.

6. **Rockefeller Foundation Research Program on Population Policies and Programs in Sub-Saharan Africa.** Research will be supported on the interrelations between population growth and distribution and economic, social, and cultural factors in sub-Saharan Africa. Studies designed to build a database on population trends and which have policy and programmatic implications are of particular interest. Project support

will range from \$15,000 to \$50,000. Applications may be submitted at any time but no later than the deadline of July 1, 1989. ORD has a more detailed program statement, or inquiries may be directed to Population Sciences--African Grants, The Rockefeller Foundation, 1133 Avenue of the Americas, New York NY 10036.

7. **American Institute of Indian Studies 1990 Fellowship Programs.** A variety of fellowships are offered for research in India: Senior Research Fellowships, Short-Term Fellowships, Fellowships for Scholarly Development (for established scholars who have not worked in India before), Junior Fellowships, and Translation Projects. The deadline for all applications is July 1, 1989, for projects which will begin after July 1, 1990. ORD has additional information; application forms may be obtained from the Department of South and Southwest Asian Studies, 192 Klaeber Court, 624-7030.

8. **George A. and Eliza Gardner Howard Foundation Fellowships.** The Foundation awards a limited number of fellowships for independent projects in fields selected on a rotational basis each year. For 1990-91, applications will be accepted in Art History and the Fine Arts, including painting, sculpture, musicology, music composition, photography and film. The program is focused on younger people in mid-career whose work to date is evidence of their promise, normally at the rank of assistant or associate professor. Application is by nomination by the College of Liberal Arts only (the college can nominate two candidates). The internal deadline to apply for nomination will be October 12, 1989. Please contact ORD for additional information.

9. **NIH Initiatives for Underrepresented Minorities in Biomedical Research.** NIH has recently developed several programs designed to increase the number of underrepresented minorities in biomedical research. These programs include: Minority High School Summer Student Research Apprenticeships, Research Supplements for Minority Undergraduate Students, Research Supplements for Graduate Research Assistants, and Research Supplements for Minority Investigators. Any principle investigator holding an active NIH grant and which has a minimum of two years of research support remaining at the time of a supplemental award, is eligible to submit a request for an **administrative supplement** to the awarding component of the parent grant. This purpose of the request will be to support a minority undergraduate student, graduate research assistant, or faculty member to participate in ongoing research projects. ORD has a complete description of program, or you may contact NIH staff administering the parent grant.

10. **Dissertation Fellowships for Social and Behavioral Science.** (1) The **National Science Foundation Division of Behavioral and Neural Sciences** provides dissertation improvement grants which provide funds for items not normally available from the student's university or other sources (travel to specialized facilities or field research sites, sample survey costs, specialized research equipment and services, supplies, microfilms and other forms of unique data, payments to subjects or informants and other similar costs). Funds may not be used as a stipend for the student, tuition, textbooks, or journals or for travel to scientific meetings. A proposal should be submitted, six months prior to need, through regular university channels by the dissertation advisor on behalf of a graduate student who is at the point of initiating dissertation research. Applicants should submit proposals to one of the four relevant programs within the BNS division: Anthropology; Biological Basis of Behavior; Language, Cognition, and Social Behavior; Neuroscience. For additional information contact NSF at (202) 357-7564.

(2) The **Harry Frank Guggenheim Foundation** will consider applications for support during the period of dissertation write-up for projects in any discipline related to the foundation's program focus on dominance, aggression, and violence. Applications will be

due on February 1, 1990 for awards to commence in July, August or September 1990. 1990 will be the first pilot year of this program, and the foundation expects to award five such fellowships of \$10,000 each. For proposal guidelines, contact the Harry Frank Guggenheim Foundation, 527 Madison Ave., New York, NY 10022-4201, (212) 644-4907.

### III. AWARDS

The following CLA faculty have received external grants in the period from March through mid-May, 1989.

NAME & DEPARTMENT	PROJECT	SOURCE	AMOUNT
Irving Biederman Gordon Legge Psychology	Conference on Three- Dimensional Representation	Air Force Office of Scientific Research	\$ 5,000 (increase)
Patricia Broen Communication Disorders	Familial Risk for Articulation Disorder	National Institute of Neurological and Communication Disorders	68,333
Dwight Burkhardt Psychology	Vision and Intraretinal Potentials	National Eye Institute	108,818
Caesar Farah South and Southwest Asian Studies	Invisible in America	Minnesota Humanities Commission	5,440
Norman Garmezy Psychology	Risk and Protective Factors in the Major Mental Disorders	MacArthur Foundation	171,968
Gordon Legge Psychology	Role of Suprathreshold Contrast in Vision	National Eye Institute	111,605
J. Kim Munholland Western European Area Studies	Dutch Area Studies	Dutch Ministry of Culture	3,000
Leonard Polakiewicz Russian and East European Studies	Minnesota Seminar on Contemporary Poland	U.S. Department of Education	50,000



Rudolph Vecoli  
Immigration History  
Research Center

Greek-American  
Experience

Minnesota  
Humanities  
Commission

5,950

In addition:

Tom Conley (French and Italian) has been awarded a National Endowment for the Humanities Summer Stipend for work on "The Spanish Conquest and the Beginnings of Literary Anthropology, 1560-1592."

Oliver Nicholson (Classics) is the first University of Minnesota faculty member to receive a Howard Foundation fellowship for research on "Lactantius and the Christian Revolution."

Carla Phillips (History) has been awarded a grant through the Society for Spanish and Portuguese Historical Studies from the National Endowment for the Humanities General Programs Division for a public program on the Columbian Quincentenary.

Nancy Roberts (Journalism) has received a grant from the American Philosophical Society for research at the Swarthmore College Peace Collection.

Stuart Schwartz (History) has received an ACLS Senior Fellowship for research on "The Rebellion of Portugal and the Crisis of the Seventeenth Century."

Mark Snyder (Psychology) has been awarded a James McKeen Cattell Fellowship for work on a book about his research on the social psychology of stereotypes and prejudice.

#### IV. TRENDS AND PROFILES

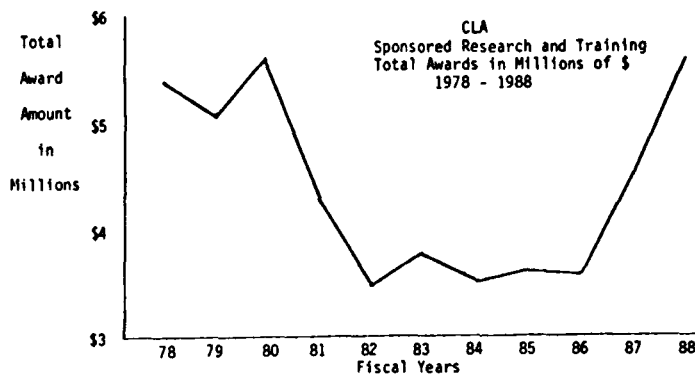
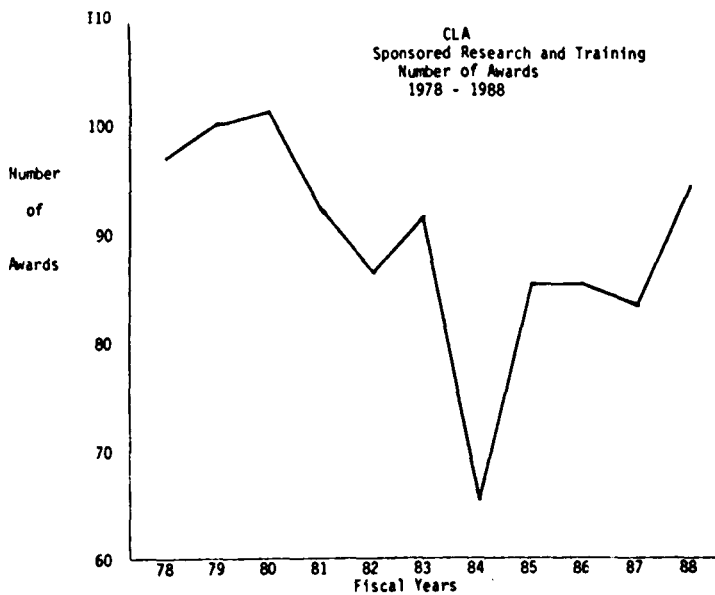
##### TRENDS: CLA SPONSORED RESEARCH 1978-88.

The attached tables and graphs (p. 10) illustrate the history of externally funded research and training in the College of Liberal Arts over the past ten years. The graphs in particular highlight the serious decline in proposals submitted and dollars requested in 1981-82, which resulted in substantial reductions in awards made and dollars received in 1983-85. Since then CLA has experienced a steady increase by all measures in sponsored support so that, for example, the total award amount in FY 1988 was higher than in FY 1978, and the total of dollars requested in FY 1988 had nearly doubled the amount requested ten years earlier. Moreover, this statistical picture does not include the many individual fellowships CLA faculty have received from ACLS, Guggenheim and NEH and other sources, which are not entered in the sponsored research database. Taken together, these and other measures clearly reveal the continuing and increasing success of CLA faculty in obtaining external support for their research.

COLLEGE OF LIBERAL ARTS  
 SPONSORED RESEARCH AND TRAINING  
 TEN-YEAR PROPOSAL AND AWARD ACTIVITY  
 FY 1978 - 1988

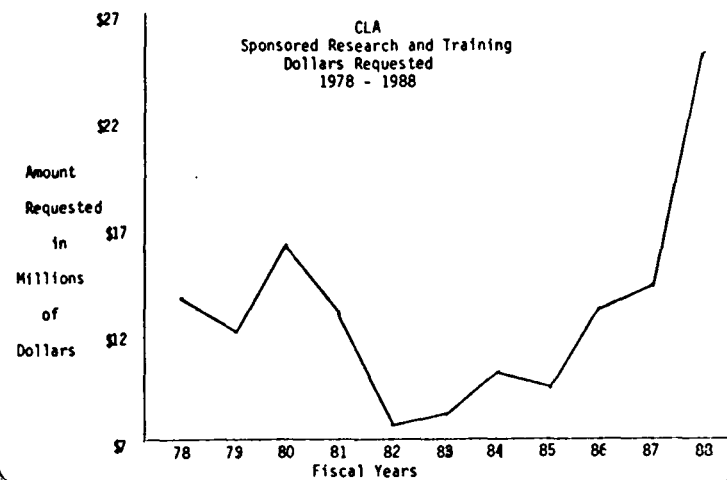
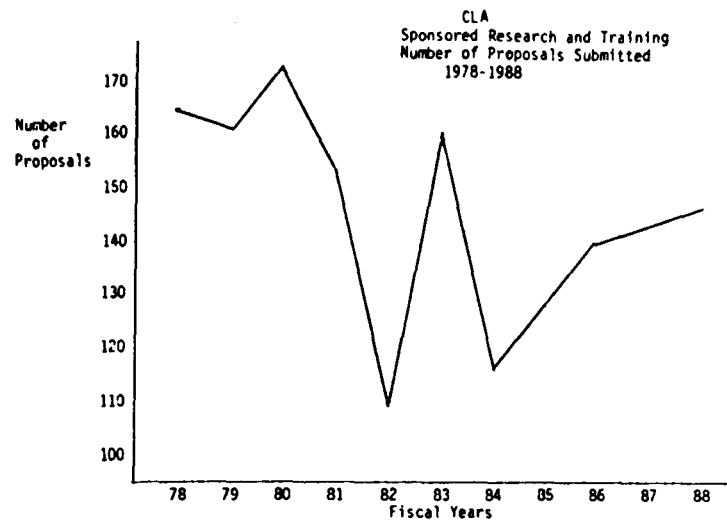
Total Awards

Fiscal Year	Number	%Change Number	Amount	%Change Amount
1978	97	21.2	\$ 5,367,855	32.5
1979	100	3.1	\$ 5,033,859	-6.2
1980	101	1.0	\$ 5,593,707	11.1
1981	92	-8.9	\$ 4,243,419	-24.1
1982	86	-7.0	\$ 3,424,286	-19.0
1983	91	5.8	\$ 3,736,494	9.1
1984	65	-28.6	\$ 3,472,406	-7.1
1985	85	30.8	\$ 3,570,996	2.8
1986	85	0	\$ 3,556,902	-0.4
1987	83	-2.0	\$ 4,446,586	25.0
1988	94	13.0	\$ 5,516,372	24.0



Proposals Submitted

Fiscal Year	Number	%Change Number	Amount	%Change Amount
1978	164	10.1	\$13,856,744	18.1
1979	161	-1.8	\$12,170,332	-12.7
1980	172	6.8	\$16,121,397	32.5
1981	153	-11.1	\$13,052,495	-19.0
1982	110	-28.0	\$ 7,943,867	-39.0
1983	160	45.5	\$ 8,428,804	6.1
1984	116	-27.5	\$10,024,555	18.9
1985	128	10.3	\$ 9,306,669	-4.3
1986	140	9.4	\$13,306,678	38.7
1987	143	2.1	\$14,488,616	8.9
1988	146	2.0	\$25,469,026	76.0



**PROFILES: "FULBRIGHTS."** These are a group of three independent programs all loosely called "Fulbrights," supported by annual appropriations from Congress to the U.S. Information Agency. Their administrative homes and intended audiences differ. Best known is the Council for International Exchange of Scholars' Fulbright Scholar program, which sends hundreds of scholars overseas to lecture and/or do research. Less well known is the U.S. Department of Education Fulbright program, which also sends faculty, alone or in groups, as well as graduate students abroad for research or curriculum development. There is also a separate Fulbright program specifically for predoctoral research or study, administered by the Institute of International Education in New York.

**Fulbright Scholar Program.** The Council for International Exchange of Scholars (CIES) is the principal private agency administering the Fulbright scholar grants for advanced research and university teaching funded by the U.S. Information Agency. CIES is now accepting applications for 1990-1991 Fulbright Scholar awards, which will include more than 300 grants in research and 700 grants in university lecturing for periods ranging from three months to a full academic year. There are openings in over 100 countries and, in some instances, the opportunity for multi-country research is available. Scholars in all academic ranks are eligible to apply. Eligibility requirements include U.S. citizenship, a Ph.D. or comparable professional qualifications, university or college teaching experience, and for some assignments, proficiency in a foreign language. Under a new policy, scholars are not limited to two Fulbright awards, and may apply every three years. Deadlines are: June 15, 1989 for travel to Australasia, India, and Latin America; September 15, 1989 for travel to Africa, Asia, Europe, Middle East, and lecturing awards to Mexico, Venezuela, and the Caribbean; January 1, 1990 for NATO Research Fellowships. ORD has contact information, or you may call CIES, 11 Dupont Circle N.W., Washington, DC 20036, (202) 939-5401 or our Office of International Education (624-5580) for additional information and application forms.

**U.S. Department of Education Fulbright Programs.** Two programs are available for the purpose of developing modern non-Western language and area studies programs in U.S. schools and universities:

- (1) Doctoral Dissertation Research Abroad program enables advanced graduate students who plan to teach in U.S. institutions of higher education to pursue doctoral dissertation research overseas in modern foreign language and area studies. Grants are not given for Western Europe. (In 1988, 95 awards averaging \$17,500 were planned.)
- (2) Faculty Research Abroad Program enables faculty members of U.S. institutions of higher education to pursue a year of study and research abroad to strengthen the modern-non-Western language and area studies curricula at their institutions. (In 1988, 25 awards averaging \$28,000 were planned.)
- (3) Group Projects Abroad provides grants to institutions for training, research and study abroad in modern foreign languages and area studies by groups of individuals engaged in a common endeavor. (In 1988, 38 awards averaging \$55,000 were planned.)

The application deadline for all three programs was October 31, 1988. Although the U.S. Department of Education has not yet announced a firm deadline for 1989, program staff has advised that it will probably fall in late October. Applications for these programs are made through regular University of Minnesota sponsored research channels. ORD has additional information and sample application forms.

**Institute of International Education Fulbright Grants for Graduate Study Abroad.** The majority of these awards are reserved for advanced graduate students who will be engaged in research for the doctoral dissertation. However, awards are also available to other graduate students, graduating seniors, and candidates who wish to further their careers in the creative and performing arts. In 1988, 638 awards were made; 3,064 applications were received. The deadline for applications is October 31. The program provides:

(1) Fulbright Full Grants provide round-trip transportation, language or orientation courses, tuition, books, maintenance for one academic year in one country and health benefits; most of the award is payable in local currency. These are available for most countries in which there are Fulbright Commissions/Foundation.

(2) Fulbright Fixed Sum Grants provide a fixed sum payment in U.S. dollars to the grantee, normally available where there is no Fulbright Commission/Foundation.

(3) Fulbright Travel Grants, providing round-trip transportation, are tied to maintenance and tuition scholarships which are specific to individual countries. A student may apply for a travel grant if he or she is under consideration for a basic maintenance or tuition award.

IIE also administers the Fulbright Collaborative (Pre-doctoral) Research Grant program. The application deadline falls in mid-January.

**Please note:** Graduate students must submit applications for these programs through the University of Minnesota Graduate School. Internal deadlines precede the deadlines noted here; contact the Graduate School for additional information about the selection process.

## V. DEADLINES

June	1	NEH	Fellowships for University Teachers
	1	NEH	Research: Texts (Editions, Translations)
	1	NIH	Research Grants
	15	Fulbright	Australasia, South Asia, Latin America
July	1	NSF: BBS	Archaeology; Cultural Anthropology; Physical Anthropology; Social and Developmental Psychology
	1	NEH	Research Conferences
	15	NEH	Travel to Collections
August	15	NSF	Social and Economics Sciences Target Date
Sept.	1	MHC	Final application: General and Media Grants
	15	CIES-Fulbright	Research/Lectures in Europe, Africa, Middle East
	5	NEH	Summer Stipends: Internal Deadline
	30	ACLS	Fellowships

October 1	ACLS	Travel Grants: International Meetings
1	Guggenheim	Fellowships
1	Woodrow Wilson Center	Residency Fellowships
1	NEH	Summer Stipends
1	NIH	Research Grants
2	Graduate School	Grants in Aid
9	CLA	CLA/McMillan Travel Fund
15	National Humanities Center	Residency Fellowships
November 2	U.S. Dept. of Ed. (approx)	Title VI Undergraduate International Studies and Foreign Language Program
13	Graduate School	Faculty Summer Research Fellowships
15	Office of International Education	Development Grants
16	U.S. Dept. of Ed.	FIPSE Preliminary Proposals
30	Bush Sabbaticals	CLA Deadline
30	Single Quarter Leave	CLA Deadline
December 1	Social Science Research Council	Grants for Advanced International Research; Advanced Research Fellowships in Foreign Policy Studies
December 1	American Philosophical Society	Research Grants
December 1	NSF: BBS	Archaeology; Cultural Anthropology; Physical Anthropology
December 15	ACLS	Grants-in-Aid
December 18	Kellogg Foundation	National Fellowships
[December-February	Rockefeller Foundation]	Residency Fellowships
December 29	Minnesota Humanities Commission	General Grants (Final)
January 1	NSF: BBS	Systematic Anthropological Collections; Social and Developmental Psychology;
15	NSF: BBS	Decision, Risk, and Management Science; Economics; Geography and Regional Science; History and Philosophy of Science; Political Science; Sociology
15	NEH	Travel to Collections
February 1	NSF: BBS	Ethics and Values Studies; Linguistics; Memory and Cognitive Processes
1	NIH	Research Grants
March 1	NEH	Summer Seminars for College Teacher
1	ACLS	Travel Grants: International Meetings
5	Graduate School	Grants-in-Aid
7	U.S. Department of Education	FIPSE Final Proposals (by invitation only)
10	CLA	CLA McMillan Travel Fund

University Archives  
10 Water Library  
Attn: Barbara Wagner

Liberal Arts Research Newsletter  
College of Liberal Arts  
Office of Research Development  
205 Johnston Hall  
101 Pleasant Street S.E.  
University of Minnesota  
Minneapolis, Minnesota 55455



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### RESEARCH INFORMATION SHEETS

ORD has revised its information brochures. Please indicate here which ones you would like to receive.

- I. THE RESEARCH PROCESS: PRELIMINARY STAGES
- II. SOURCES OF FUNDING AND THE FUNDING SEARCH
  - A. The Search for Funding
  - B. Sabbaticals: Research Funding Opportunities
  - C. Internal Research Support for CLA Faculty
- III. PROPOSAL WRITING: STAGES AND STRATEGIES WITH EXAMPLES
- IV. GUIDE TO BUDGET PREPARATION
- Sponsored Project Management. A Guide for Faculty and Staff [ORTTA]

Name \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_