

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

lar-es (lâr' ēz, lār' ēz), *n. pl.*
Rom. Religion. the spirits who,
if propitiated, watch over the
house or community to which
they belong.

Liberal Arts RESEARCH NEWS AND COMMENT

September 28 1979

LARES Volume V Number 1

NATIONAL HUMANITIES CENTER FELLOWSHIPS AVAILABLE

Located in the wooded 5400-acre Research Triangle Park in North Carolina, the National Humanities Center offers scholars the best possible conditions for advanced study and discourse with colleagues. Each Fellow of the Center has a private study, and the Center's building also includes conference rooms, comfortable lounges and a dining area. A typing pool assists with the preparation of manuscripts and research material.

At the heart of the Center's activities is the work of the individual scholar. Each year 40 to 50 successful applicants are awarded fellowships to pursue projects of their own choosing at the Center. In addition to the freedom to pursue individual research, residence at the Center gives Fellows the opportunity to participate in interdisciplinary study groups. Participation in these groups is voluntary. Two topics of inquiry that have been planned for the period 1980-82 are "Biography as History" and "Literary Theory and Interpretation".

In some instances the Center receives support to sponsor a special study and recruits scholars to undertake it. The Center anticipates support for two such projects and encourages applications from scholars whose areas of research are related to the following subjects: (1) The Idea of a Profession; (2) Energy and the Values of Modern Society.

The Center welcomes applications from men and women in fields traditionally associated with the humanities and also representatives of the natural sciences, the behavioral sciences and the professions. If you wish to apply for a fellowship you should submit six copies of the required application material, including (1) the application form, (2) a current curriculum vitae with a representative list of publications, (3) a brief description (not to exceed 1000 words) of the project, and (4) a financial statement on a separate sheet listing (a) your current salary, (b) the support requested from the Center, and (c) other possible sources of support. Please ask your referees to send their letters of recommendation directly to the Center. Recommendations should include specific comment on your project.

Application forms are available from the following address: National

Humanities Center, P.O. Box 12256, Research Triangle Park, North Carolina 27709. All application material and letters of recommendation must be postmarked no later than January 10, 1980 in order to be considered for the academic year 1980-81.

Professor Martin H. Krieger, HHH Institute of Public Affairs, has been a Fellow at the Center and rates it as a fine place to do work and live. Professor Krieger is willing to answer any questions the faculty might have about the Center. He can be reached at 1431 Poinsett Drive, Chapel Hill, North Carolina 27514 (telephone: 919/967-2849). Information is also available in 404 Johnston Hall, 373-5101.

Funding Notes
by Marybeth Bremer

* November 15 is the deadline for receipt of McMillan Fund applications for research-related travel. Funds must be used for transportation only; no funds will be available for living costs, the purchase of materials or the hiring of personnel. For information call 373-5101 or contact Professor Stephen Prager, Department of Chemistry, 235 Smith Hall, 373-2329.

* Several scholars and some artists and musicians from the University of Minnesota have been invited by the Guggenheim Foundation to submit proposals, on special forms prescribed, on or before October 1. There is some time extension. The office is helping out with the preparation of some proposals and has been able to provide small amounts of money for last-minute clerical expenses. The move to encourage applications is important in view of last year when CLA colleagues reversed the pattern of not bothering to apply. It happens that research proposals by our faculty are very competitive. If there are last minute decisions by faculty to compete for this attractive, flexible grant, please contact this office immediately (3-5101).

* Applications from scholars for fellowships to support projects that promise to contribute to a better understanding of significant contemporary problems common to industrial societies should be submitted to the German Marshall Fund by November 30. Fellows are usually established scholars with advanced degrees and may come from any recognized academic field or profession. Each project must have U.S. and European components, but may also involve other countries. Studies on comparative political, economic and social aspects of both domestic and international problems are supported, with program priority areas including: (1) Employment and the Nature of Jobs; (2) Public Participation in Decision Making; (3) Innovations and Alternatives in Service Delivery; (4) Foreign Workers and Migrant Populations in Industrialized Countries; and (5) International Interdependence. Awards include a stipend up to a fixed maximum and some travel expenses; fellows are

encouraged to apply for supplementary funding. For more information and application materials contact: The German Marshall Fund of the United States, 11 Dupont Circle, N.W., Washington, D.C. 20036. Telephone: 202/797-6430.

* The seven programs of the National Science Foundation's Division of Behavioral and Neural Sciences accept proposals at any time. Applicants should allow at least eight months between the date of submission and the requested effective date. Most proposals will be reviewed by subcommittees which meet three times a year: in fall (October), winter (January-February), and late spring (May-June).

* The Rockefeller Foundation has announced a program of awards in support of research designed to influence the understanding and resolution of minority-group issues. Under this program, 15 to 20 minority-group fellows will be selected to undertake policy-oriented social science research on problems of high priority in areas such as education, employment, housing and civil rights. The program will also provide support to encourage minority-group scholars working in disciplines such as history, literature, philosophy, cultural anthropology and linguistics. There are no rigid criteria for eligibility. Previous experience in an appropriate field or demonstrated research ability may be considered minimally necessary qualifications. A Ph.D. may be an important credential in some fields. Fellowships are usually awarded for one year; each grant will vary in amount but will not exceed \$25,000. Application procedures are available in 404 Johnston Hall or from the following address: Research Fellowship Program for Minority-Group Scholars, The Rockefeller Foundation, 1133 Avenue of the Americas, New York, New York 10036. Telephone: 212/869-8500. Deadline for submission is January 15, 1980.

* Faculty members in CLA may receive fellowships for periods of 3 to 12 months for research abroad in modern foreign languages and area studies under the Fulbright-Hays Faculty Research Abroad Program administered by the U.S. Office of Education. Awards will be granted only for research that could not be conducted in the United States or for which a foreign country or region provides significantly superior research facilities or materials. For further information and application materials please call 373-5101. Application deadline is November 2, 1979.

* November 9, 1979 is the filing deadline for Bush Foundation Fellowships for Artists. Eligible artists include painters, graphic artists, still photographers, film artists, poets, fiction writers, sculptors, and video artists who are 25 years old at the application filing deadline. Artists must also be residents of Minnesota for at least one continuous year prior to the deadline. Stipend is \$12,000 maximum for 12 to 18 months plus program and travel expenses up to \$2,000. Application forms are available from: Bush Foundation Fellowships for Artists, E-900 First National Bank Building, St. Paul, Minnesota 55101. Telephone 227-0891.

* Funds to aid publication of research assisted by the National Endowment for the Humanities are available on a competitive basis to publishers. The University of Minnesota Press encourages faculty members in the humanities and related social sciences to contact Press editors about projects they have underway or are bringing to completion with the help of the NEH. The latest NEH awards will assist publication of Frederick Asher's The Art of Eastern India, 300-800 (to be published in 1980) and Richard F. Tomasson's Iceland: The First New Society (fall 1979). For more information contact Lindsay Waters at 376-2971.

* The National Council for Soviet and East European Research invites proposals for research contracts in its 1979-80 Research Program. This program will concentrate on processes of change in the contemporary Soviet Union and the states of Eastern Europe. It will be especially concerned with the ability of the regimes to foster, manage and contain these processes and with the possible systemic and strategic corollaries and consequences of their efforts to do so. Research topics include, but are not limited to, the following; political leadership and organization; policy-making structures and procedures; political dissidence and protest; civil-military relations; military doctrine and policy; resource mobilization and allocation; social stratification and differentiation; cultural identity and self-expression; and sub-national, national and international integration. Deadlines for submission of proposals are November 1 and May 1. Complete information and a copy of the guidelines is available in 404 Johnston Hall, 373-5101.

* Grants of up to \$10,580 will be awarded to individuals by the Charles A. Lindbergh Fund whose proposed projects represent a significant contribution toward the achievement of a balance between technological progress and the preservation of our natural environment. Citizens of all countries are eligible. Applications, related credentials and letters of recommendation are to be submitted no later than January 1. For information on grant application procedures call 373-5101.

* Fairleigh Dickinson University Press announces an award of \$2,500 for the best manuscript submitted in the field of ethnic studies. Manuscripts must represent the results of original research and must be formally documented in accordance with normally accepted scholarly standards. Deadline for entries is June 30, 1980. For further information write: The Chairman, FDU Press, 285 Madison Avenue, Madison, New Jersey 07940.

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$1,044,650 for the period 1 May through 31 July 1979.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Guy Gibbon Anthropology	Archaeological Survey of Pipestone, Rock & Nobles Counties	Minnesota Historical Society	\$ 10,000
Fred Smith Art History	Fellowship Stipends in Museology	Smithsonian Institute	12,000
Anne Krueger Economics	Foreign Trade & Employment	National Bureau of Economic Research	8,069
Thomas Rose Wayne Potratz Studio Arts	Artists, Critics & Photographers in Residence Program	National Endowment for the Arts	1,500
David Weiss Psychology	Computerized Adap- tive Ability Testing	U.S. Department of Defense--Navy	95,008
William Sudderth Schl of Statistics	Abstract Gambling Theory	National Science Foundation	12,430
David Hinkley Schl of Statistics	Statistical Methods of Data Analysis	National Science Foundation	19,483
John L. Sullivan Political Science	Political Tolerance and Intolerance	National Science Foundation	9,894
David La Berge Psychology	Perceptual Informa- tion Processing	National Science Foundation	48,930
J. Bruce Overmier Psychology	Pavlovian Condition- ing & Behavior	National Science Foundation	39,350
David Weiss Psychology	Computerized Adaptive Performance Evaluation	U.S. Department of Defense--Navy	40,000
David Weiss Psychology	Computer Based Adap- tive Measurement	U.S. Department of Defense--Navy	28,373
David Ward Criminal Justice Studies	Consequences of Long Term Confinement Under Conditions of Maximum Custody	U.S. Department of Justice--Law Enforce- ment Assistance Ad- ministration	147,806
Charles Speaks Communication Disorders	Preparation of Person- nel in Communication Disorders of Handi- capped Children	U.S. Office of Education	32,300

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Morris Eaton Schl of Statistics	Multivariate Statistical Inference	National Science Foundation	15,211
Sheila McNally Art History	John Boardman Lecture	Minnesota Humanities Commission	750
Anne Krueger Economics	Foreign Trade & Employment	National Bureau of Economic Research	4,468
Neil F. Bracht Schl Social Work	Mental Health Case Management-MSW & PHD	National Institute of Mental Health	137,894
Raymond Duvall Political Science	Analysis of Governmen- tal Dynamics in Depen- dent Societies	National Science Foundation	62,588
Norman Garmezy Psychology	Studies of Stress- Resistant Children and Adults	National Institute of Mental Health	181,885
Herbert Pick Jr. J. B. Overmier Psychology	Center for Research in Human Learning	National Science Foundation	55,447
Seymour Geisser Schl of Statistics	Predictive Sample Reuse Methodology	National Institutes of Health	43,241
Stephen Fienberg Schl of Statistics	Statistical Methods & Computing Algorithms for Analysis of Cate- gorical Data	U.S. Department of Defense--Navy	19,980
John Brandl HHH Inst of Public Affairs	Terminating Parental Rights	Rand Graduate Institute	8,134
TOTAL			\$1,044,650

DEADLINES

DATE	PROGRAM	AGENCY
September 30	Amer Council Learned Societies	Fellowships
September 30	Professor Zahareas	NEH Summer Stipend In- ternal Competition

DATE	PROGRAM	AGENCY
October 1	Guggenheim Foundation	Fellowships
October 1	U of M Graduate School	Applications for Research Funds
October 1	Natl Endowment Humanities	Research Materials Grants
October 1	Rockefeller Foundation	Humanities Fellowships
October 1	Woodrow Wilson Intl Center	Fellowships
October 12	Amer Inst Indian Studies	All Programs
October 15	Natl Endowment Humanities	Summer Stipends
October 15	Natl Endowment for the Arts	Artists' Fellowships
October 18	Natl Institute Education	Research on Law & Govern- ment in Education
November 1	Harvard University	Villa I Tatti Fellowships
November 1	Natl Council Soviet & East European Research	Research Grants
November 1	Harvard University	Mellon Fellowships
November 1	Amer Council Learned Societies	Travel Grants for Meetings March - June
November 1	Howard Foundation	Fellowships in Fine, Ap- plied & Performing Arts
November 1	IREX	Various Programs
November 2	U.S. Office of Education	Fulbright-Hays Training Grants--Faculty Res Abroad
November 2	National Science Foundation	Postdoctoral Fellowships
November 2	National Science Foundation	NATO Postdoc Fellowships
November 2	Minnesota Humanities Comm	Proposals
November 9	Bush Foundation	Fellowships for Artists
November 15	Wesleyan University	Mellon Fellowships
November 15	Harvard University	Dumbarton Oaks Fellowships
November 15	Univ of Minnesota	McMillan Travel Funds
November 15	American Academy in Rome	Rome Prize Fellowships
November 30	German Marshall Fund	Fellowships
December 1	IREX	Exchange Programs
December 1	Japan Foundation	All Programs
December 1	Princeton University	Davis Ctr for Historical Studies
December 1	ACLS-SSRC	Programs in Humanities and Social Sciences
December 3	National Science Foundation	Science Faculty Professional Development Program
December 15	ACLS	Grants-in-Aid
December 15	AAUW	Fellowships
January 1	Charles A. Lindbergh Fund	Research Grants
January 10	National Humanities Center	Fellowships

DIRECTOR'S CORNER

In the June issue I attempted to account for recent operations of the ORD, especially to identify research trends that might have an impact on faculty. My report contrasted past and present data and analyzed the implications of the continuing success in attracting outside funding: the CLA can now count on a true research foundation of its own. In practical terms, more faculty investigators now submit highly competitive proposals by thorough research, shared expertise, pertinent consultation, careful preparation and responsible deadlines. I pointed out once more the uneven research activity among various units and the need to create a wide-spread base for research in the CLA.

This is the year that, hopefully, much of the research activity--and responsibility--will move to the departments. The Director's Corner will therefore be often used to analyze activities and impart basic information. One such activity that is key to grant proposals is the faculty travel sponsored by the ORD.

To begin, the travel allocations to colleagues are supported by Graduate School faculty travel funds. Because of rising airfares last year, ORD ran out of travel money fairly early (May 1979) and, under a special agreement, spent an extra \$218 over its yearly amount of \$3,435. Some necessary travel had to be supplemented through the Overhead budget or the Office of International Programs or Concerts and Lectures or even the Graduate School.

The point is that visits to funding agencies have become vital to ORD's activities and plans at a time when inflation and higher airfares or hotel rates are cutting drastically into our travel allocations. Yet a summary of all funded trips would show the value of on-the-spot visits and suggest the need for higher allocations for 1979-80. The Director and his associates alone need to visit more foundations in order to make meaningful contacts, keep current with changes, negotiate, represent certain applicants, argue some rejected cases, learn of hurdles to avoid and appraise new trends.

Travel leads to regular contacts with program officers of Federal agencies. We have not as yet contacted many people in private foundations--at our loss--nor have we met all the important government officers. Visits lead either to vital information not often recorded in brochures or to follow-through negotiations. New patterns of funding evolve rapidly and changes of policy or new trends in practice are not reflected in the printed literature. (Two recent examples: R. Kvavik found out about HEW's new guidelines before their publication and the extra time was a factor in preparing a successful proposal; W. Godzich could not find out about the particular interest in Hispano-Arabic manuscripts without talking directly to the NEH Director in Washington.)

Director's Corner, continued

"Fact finding" determines the proper preparation of important, often large, grant proposals; "inquiries" lead to reviews and advice for re-submission; "mid-way" visits lead to negotiations or adjustments in funding; at times visits are advisable when proposals are rejected and applicants consider resubmission. Long-distance telephone calls do not replace visits because officers, often in a hurry, are politely curt on the phone and not always informative. Visits are by appointments when faculty or directors can project patiently CLA plans or request information or even argue certain issues. In short, chances for awards increase if those who prepare grant proposals can exchange ideas or even negotiate with program officers.

There are criteria and procedures. Travel has to be related, directly or indirectly, to the preparation of a proposal or to the opportunity of reviewing and negotiating submitted budgets to an agency. Faculty usually make their requests in writing; they are always asked to explain the connection between a proposed visit and the preparation of a proposal. There are delinquents but we persist. Sometimes the ORD urges faculty to visit agencies in order to negotiate, in person, their grant while other times some experienced colleagues are asked to visit agencies and bring back specialized information. All those who have travelled have had to give a report explaining and evaluating their visit.

Travel funding is usually partial; our policy has been to convince departments either to share some costs or to help recruit money from the OIP, the Graduate School or from outside sources. Besides stretching somewhat our small travel allocations, cost sharing has become one more way of involving various departments into research development of their faculty.

Finally, the steady improvement on all fronts is proof that discreet travelling has been an integral part of ORD activities. The increase both in requests and in awarded grants has been, in good part, the result of contacts between faculty and funding agencies. Some travels are used to solve borderline cases, that is, to find out where to fit a proposal and how to go through the various steps effectively. Last year's visits through travel, for example, have added to the ORD's pool of information and thus represent a good investment for the near future.

Tony Lakawson

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LARES

Liberal Arts RESEARCH NEWS AND COMMENT

MIW
GR2352

lar-es (lār' ēz, lār' ēz), *n. pl.*
Rom. Religion. the spirits who,
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October 31 1979

LARES Volume V Number II

GOOD NEWS: RESEARCH RELATED FUNDS

All members of the CLA faculty have received in the last two weeks two separate but related memos concerning funds for the academic year 1979-80 that are to be used only in support of CLA research. The memo to all chairpersons and directors from Dean Arturo Madrid reported general increases for: indirect cost recovery funds, usually known as "overhead fund"; faculty travel funds to support developing research; and matching funds reserve between the University and the CLA. The memo to all faculty members from Warren E. Ibele, Dean of the Graduate School, spelled out the details of faculty travel, provided a "request form" and explained that the funds are to be used only in order to secure financial support for research from outside sources.

This is good news, especially in two of the above categories. The overhead fund, for example, has increased considerably because with the extra \$12,000 added to last year's \$30,000, CLA faculty can now count on at least \$42,000 for research development. The increase will lead to more seed money as well as to more help in preparing strong research proposals. There is also more flexibility now because funds will be available for bigger projects and for some equipment related to grants.

Travel funds have more than doubled because the initial allocation for the College of Liberal Arts now stands at \$7,000. This amount has been placed directly into the CLA Budget Office and will be distributed from ORD. The criteria for faculty travel funds are clear-cut this year and, because of the obligation to report to the Legislature on the use of these funds, the CLA and the Graduate School are working closely to make such funds useful and, at the same time, accounted for.

ORD is now working with the CLA Budget Office and the Deans to create some procedures for the distribution and use of these funds. We are also meeting with the research coordinators of the departments in order to have full faculty representation. In the next issue of LARES we will report on the decisions.

FUNDING NOTES

by Marybeth Bremer

* Applications for Single Quarter Leaves for the year 1980-81 are due in 215 Johnston Hall November 19. Application forms are being sent to all department chairpersons to be distributed to all full-time regular faculty. Please call Carl Brandt, 6-4546 (Social Sciences and Professional Schools), or Jean Cameron-Hiel, 3-2557 (Humanities and Fine Arts), with any questions regarding single quarter leaves.

* Approximately 25 fellowships will be awarded annually in a national competition conducted by the National Research Council on behalf of the Ford Foundation to help members of designated minority groups already engaged in university teaching to develop as scholars in their respective fields. Major disciplines included are the Biological Sciences, Physical Sciences, Mathematics, Engineering Sciences, Social Sciences, and the Humanities. Information regarding this program is available in 404 Johnston Hall or from the following address: The Fellowship Office, National Research Council, 2101 Constitution Avenue, Washington, D.C. 20418. Deadline for submissions is February 1, 1980.

* Andrew W. Mellon Postdoctoral Fellowships are available at the University of Pennsylvania for younger scholars who, by September 1, 1980, will have held the Ph.D. for not less than three and not more than eight years. Research proposals are invited in all areas of humanistic studies. The award carries an annual stipend of \$14,000. Completed applications must be received no later than February 15, 1980. For further information and applications write: Dr. Alfred J. Rieber, Chairman, Humanities Coordinating Committee, 16 College Hall/CO, University of Pennsylvania, Philadelphia, Pennsylvania 19104.

* The College of Liberal Arts is pleased to announce the nomination of Professor Gayle Graham Yates, Women's Studies, as the University of Minnesota's candidate for the 1980-81 Non-Tenured Women Faculty Fellowship Program at Radcliffe College. Professor Yates was chosen from among several nominees from the colleges in the University and is an outstanding colleague in CLA who has achieved a national reputation. Congratulations and good luck in the national competition!

* The Kellogg National Fellowship Program will award grants of up to \$30,000 to 50 individuals of exceptional merit and technical competence in the general fields of agriculture, education (including the liberal arts), and health. Fellowships will be for three years and will be awarded to individuals who are 40 years of age or younger as of July 1, 1980. All recommendations and applications must be submitted by December 1, 1979. For further information and application forms call 373-5101.

* Duke University is offering five Mellon postdoctoral research positions in the humanities for humanists who have received their Ph.D.'s after July 1, 1974 and by June 30, 1979. Eligible disciplines include the languages, literature, history, philosophy, the history of music, the history of art, religion, comparative literature, and Medieval-Renaissance studies. Fellowships carry an annual \$13,500 stipend. If you are interested in applying for a fellowship please call 373-5101 for particulars. December 3, 1979 is the deadline.

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$741,640 for the period 1 August through 31 August 1979.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Luther Gerlach Anthropology	Publications Development of Solar Energy Diffusion & Adoption	Northern States Power Company	\$ 6,200
James Jordan Economics	Allocation & Prediction in Stochastic Environments	National Science Foundation	60,410
Rudolph Vecoli History	Support of Current Programs--Immigration History Research Ctr	Northwest Area Foundation	34,000
David Weiss Psychology	Experimental Procedures for Adaptive Aptitude Testing of Military Personnel	U.S. Department of Defense--Navy	150,000
Steven Hollon Psychology	Cognitive Therapy in Relation to Drug Depression	National Institute of Mental Health	121,190
Rene Dawis Lloyd Lofquist Psychology	DVR Vocational Assessment Program	State of Minnesota	117,531
James Butcher Psychology	Clinical Psychology Training	National Institute of Mental Health	89,555
Norman Garmezy Psychology	Vulnerable and Invulnerable Children	National Institute of Mental Health	32,400

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Ronald S. Geizer Robert S. Maier Sociology	Evaluation of Informa- tion Center & of Other Aspects of Energy Con- servation	Minnesota--State Energy Agency	\$ 24,968
Andrew Rutherford David Ward Criminal Justice Studies	Cross-National Study of Correctional Policy and Practice	U.S. Department of Justice	64,786
R. Dennis Cook Sanford Weisberg Schl of Statistics	Statistical Case Analysis	National Institutes of Health--General Medical Sciences	40,600
		Total	<u>\$741,640</u>

DEADLINES

DATE	AGENCY	PROGRAM
November 1	Harvard University	Villa I Tatti Fellow- ships
November 1	Natl Council Soviet & East European Research	Research Grants
November 1	Harvard University	Mellon Fellowships
November 1	Amer Council Learned Societies	Travel Grants for Meetings March-June
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November 15	University of Minnesota	McMillan Travel Funds
November 15	American Academy in Rome	Rome Prize Fellowships
November 19	University of Minnesota	Single Quarter Leave Applications
November 30	German Marshall Fund	Fellowships
December 1	Kellogg Foundation	Kellogg National Fel- lowship Program

DATE	AGENCY	PROGRAM
December 1	IREX	Exchange Programs
December 1	Japan Foundation	All Programs
December 1	Princeton University	Davis Center for Historical Studies
December 1	ACLS - SSRC	Programs in Humanities and Social Sciences
December 3	Duke University	Mellon Fellowships
December 3	National Science Foundation	Science Faculty Professional Development Program
December 15	ACLS	Grants-in-Aid
December 15	AAUW	Fellowships
January 1	Charles A Lindbergh Fund	Research Grants
January 10	National Humanities Center	Fellowships
January 15	Harvard University-Warren Ctr for Studies in American History	Fellowships
February 1	National Research Council	Ford Foundation Fellowships for Minorities
February 15	University of Pennsylvania	Mellon Fellowships

*****THE USE OF ORD FUNDING*****

We are calling your attention to some difficulties in securing, quickly, monies after they have been awarded to faculty members for research from our office. Although such difficulties are unrelated to research or to the award itself, the processing of the papers as well as the concrete use of funds require certain steps--and some patience--in order to avoid some inevitable frustrations. The purpose here is, first, to spell out the nature of the difficulties and, second, to indicate the proper steps needed to facilitate the process.

Steve Atkinson from the CLA Budget Office and Pat Burg from the Department of Spanish and Portuguese have been generous in helping us outline some of the instructions which faculty members should follow once they have been awarded ORD funds. Hopefully, the following appraisal concerning the details of the "transfer," even though it may fail to simplify the process itself, could speed up the process and avoid irritations by eliminating many of the cross-purpose telephone inquiries to various offices.

To begin, two transactions are necessary for the ORD funds to be used as intended: it is the department of the investigator which must spend the funds while the CLA Budget Office, in turn, must repay the department. Note that ORD only approves the transfer of funds--it does not handle the funds or the transfer.

There are attempts to facilitate the present order by transferring

awarded funds to the departments as soon as an award is made, but we are not sure how a reversal of present practices can take place. The CLA Budget Office would in such cases be left with two choices: one, require that the department send documentation when the funds are used. This would lead to problems similar to those with some travel advances: once the funds are obtained, the incentive to provide documentation is diminished considerably. (As far as the CLA Budget Office is concerned, there are numerous follow-ups and often a large file of unfinished business; as far as our office is concerned, we often have to send reminders in order to receive the expected reports on awarded monies used for travel.) Two, do not require any documentation and assume that the departments will spend funds awarded to a member as intended. This, of course, would be the easiest solution but the CLA Budget office is sure that the resulting lack of control--and often the lack of proper documentation--might render it unacceptable.

ORD and the CLA Budget Office are working at coming up with a formula. Meanwhile, following are three steps to be taken by the departments (usually the principal secretary who handles the budget in collaboration with the investigator and/or the chairperson) when processing the papers to collect funds awarded from the ORD:

HONORARIUM: Prepare type 36, prepare statement of services performed (sample found on page 4 of C. T. Johnson's memo on REVISED PROCEDURES FOR SECURING INDIVIDUAL CONSULTANT SERVICES), send both forms, with copy of the letter from ORD granting the funds, to CLA Budget Office asking the office to transfer the money to cover the honorarium.

SUPPLIES: Keep a list of expenditures, prepare type 02 Transfer of Funds form which is sent to CLA Budget Office, along with a copy of the grant letter, requesting the money to be transferred.

TRAVEL: Complete two travel forms, collect receipts, obtain signatures; send these materials and a copy of the grant letter to CLA Budget Office requesting the money to be transferred to the investigator's department to cover the expenses.

The above information indicates that there are merely certain formalities to be followed in order to use awarded funds. Such formalities should not present any difficulties. On the contrary, formalities are proof that there are funds available for research development and that faculty should be encouraged to make use of them.

Tony Zahareas
Marybeth Bremer
Ann Moore

MIW
9R235d

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

Liberal Arts REsearch NEWS AND COMMENT

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November-December 1979

LARES Volume V Number 3

GOOD NEWS!

The National Endowment for the Humanities has announced that five CLA faculty members have been awarded 1980 Summer Seminars. The five seminar directors are Anatoly Liberman, Sheila McNally, Robert Moore, Mulford Q. Sibley and Rudolph Vecoli. Details will follow in the January LARES.

RESEARCH DEVELOPMENT & CLA FUNDING

During the past month, the three of us have been meeting with chairpersons from various departments as well as with some of the designated research coordinators. Our purpose in these candid discussions has been to reappraise the availability of overhead and travel funds for on-going research.

Financial needs are as varied as the proposals themselves. It is therefore difficult to establish standard, uniform rules that would apply equally to every colleague requesting help for research. This type of flexibility may be, however, an advantage because it allows the office to respond promptly to immediate needs as they come up, one by one. The level of bureaucracy may be the lowest in the college. Research needs vary considerably and often range from minimal, clerical help or xeroxing expenses while preparing a grant proposal, to the acquisition of costly but indispensable equipment. It could involve a short visit for consultation or an extensive trip to discuss projects with members of a funding agency.

Funds authorized from ORD must be related, directly or indirectly, to concrete research proposals or, as a minimum, to a stage or process toward the preparation of a proposal. This is why most of the requests, even if short, must explain the relationship between the request and the preparation of the proposal. Similarly, we expect recipients to give us a report, indicating the stages of the research proposal after the funds authorized have been spent. This connection between funds and research

proposals is a "given" of all requests and is, in fact, dictated by the Graduate School and the Legislature. The process has been working well because, apart from the help and success of those funded, the reports are turning out to be first-rate documents on the intricacies of starting, finishing and negotiating a particular proposal. Most of these reports, especially those describing interviews with agency officers, are invaluable and might soon be available to colleagues who wish to consult them.

Rather than stating set rules, then, it is better to sum up here some of the patterns of recent funding. The faculty could--perhaps should--add to the list.

(1) Small amounts of seed funding, say, ranging from \$50 to \$250, are almost always available upon a documented request. This is an encouraging situation; the increased overhead budget can cover most of the present requests. Perhaps there should be more requests. Seed funding can be used in an assortment of ways that would be connected to research proposals--typing, xeroxing, editing, bibliographing, research help, short visits, consulting etc., etc.

(2) Any equipment request is usually cleared first with the Deans because departments can periodically acquire materials through other sources. In any case, ORD finances some equipment, especially if it is pertinent to already funded projects or to those about to be funded.

(3) With proper matching, ORD has helped defray costs of outside visitors whose specialty is such that they help advance an on-going proposal.

(4) ORD entertains departmental requests to help clusters of colleagues who, with the help of the department, are preparing collaborative projects and need some funds to create flexibility in long-distance phone calls, visits, consultations, bibliographical research, etc.

(5) There are three types of travel funds: (a) if investigators, on the basis of a preliminary proposal, visit an agency official to discuss research projects, they are funded without difficulty (some small matching funds might be requested from the department); (b) if a trip is meant to be only exploratory, then the decision is based on a judgment after extensive conversations with the investigator and the agency involved; (c) the above travel funds come from the Graduate School Research Development Center and are only for faculty members who visit identifiable private or federal agencies. However, other related visits are considered sometimes favorably by ORD and, with the consent of chairpersons, some supplemental funds are available through the overhead budget.

We have not gone into the details of all the requests received because each one of them has its own distinguished flavor. We do not wish this flexibility to end. On the contrary, this note on current funding is meant to encourage every single member of the CLA to seek some type of seed funding. We would like to back up this encouragement by reiterating the fairly good news that, when it comes to research funding for 1979-80, the CLA of the University of Minnesota is not the poorest of the lot.

*Anthony N. Zahareas
Marybeth Bremer
Ann Moore*

FUNDING NOTES*by Marybeth Bremer*

* The National Endowment for the Humanities has released most of its schedule of deadlines for 1980. Following are deadlines which may be of interest to CLA faculty:

General Research Program

Basic Research - April 1, 1980
 April 1, 1981
 Basic Research/Archaeological Projects-
 October 15, 1980
 October 15, 1981
 Research Conferences-
 February 15, 1980
 September 15, 1980
 November 15, 1980

Research Materials Program

Research Tools, Reference Works, Editions-
 October 1, 1980
 October 1, 1981
 Translations - July 1, 1980
 July 1, 1981
 Research Collections-
 June 1, 1980
 June 1, 1981

Division of Fellowships

Fellowships for Independent Study & Research-
 June 2, 1980
 Fellowships for College Teachers & Young Humanists-
 June 2, 1980
 Summer Seminars for College Teachers-
 July 1, 1980 (Directors)
 Summer Stipends for 1981-
 October 15, 1980 (probable)

For further information on these programs please call 373-5101.

* January 15 is the deadline for the Small Grants Research Program of the Office of International Programs. Grants will be made to support research which is international in nature and shows promise of strengthening the University's overall competence in the international field. Grants may be used for travel expenses, research materials, and supplies; awards will average about \$1,200. For more information and application forms contact: Office of International Programs, 201 Nolte-West, 373-3793.

* A tentative deadline of February 1 has been set for receipt of Educational Development Proposals (EDP). A wide range of proposals is solicited from individual faculty members, groups of faculty and student/faculty groups. EDP proposals must be submitted on a special form, copies of which are available from Professor Michael Root, Director of Cross-Disciplinary Studies, who is coordinator for EDP in the college (B18 Johnston Hall, phone 3-5069). You are encouraged to seek Professor Root's assistance in developing your proposal. A descriptive brochure is available from Cross-Disciplinary Studies or the Educational Development Center (317 Walter Library, phone 3-4537).

* The National Science Foundation, through its Applied Social and Behavioral Science Research Initiation Grants Program, seeks to provide opportunities in applied social, economic and behavioral research to new investigators. This program is directed toward full-time social and behavioral science faculty members who have had no substantial research support. Grants, awarded on a competitive basis, are to be used for the initiation of theoretical and/or empirical research projects in any area normally supported by the Social and Behavioral Sciences Section of NSF. Applications must be postmarked by February 1. For more information please call 373-5101.

* The American Schools of Oriental Research invite applications for four postdoctoral NEH fellowships in ancient Near Eastern studies with residence in Jerusalem or Amman. Stipend is up to \$20,000. Details are available from ASOR Administrative Office, 126 Inman Street, Cambridge, Massachusetts 02139. Telephone 617/547-9780. Application deadline is January 15.

* One Andrew W. Mellon Fellowship in the humanities will be awarded by Emory University for a one- or two-year appointment to a non-tenured scholar. This year particular interest will be shown for scholars who have training in: Anthropology, Philosophy, Religion, Regional and Ethnic Studies, and Intellectual and Cultural History, e.g. Judaic Studies, Irish Literature, or Southern History and Literature. Send a vitae, two letters of recommendation, and a 4-5 page description of your current research and two courses you would like to teach to: Professor Jerome Beaty, Chairman of the Mellon Fellowship Committee, Department of English, Emory College, Emory University, Atlanta, Georgia 30322.

* The National Endowment for the Humanities is cooperating with the National Science Foundation's U.S. Antarctic Research Program in selecting a scholar in the humanities to spend one to six months in Antarctica from October 1980 to March 1981. Potential applicants might come from among humanists working in the fields of U.S.-Soviet relations, international cooperation, and international law among others. Applications should be submitted no later than January 2. More information on this program is available by calling Mr. David Coder or Mr. Joseph Neville at 202/724-0333.

* The Newberry Library in Chicago has announced the following deadlines for their programs: National Endowment for the Humanities Fellowships - February 1; Short-term Resident Fellowships for Individual Research - March 15 or November 1; Ford Foundation Postdoctoral Fellowships for Minorities - February 1; Monticello College Foundation Fellowship for Women - March 15; The Center for the History of the American Indian Fellowships - April 1. For additional information and application forms write to: Committee on Awards, The Newberry Library, 60 West Walton Street, Chicago, Illinois 60610.

* Applications for pre- and post-doctoral fellowships in residence at the Smithsonian Institution must be submitted by January 15. Awards are available in the fields of American history and material culture, history of art, history of science and technology, anthropology, and the biological and earth sciences. Postdoctoral applicants should generally be no more than five years beyond their degree. For application kits, contact: Gretchen Gayle Ellsworth, Office of Fellowships and Grants, Smithsonian Institution, L'Enfant Plaza, Room 3300, Washington, D.C. 20560. Telephone: 202/381-5071.

* The Columbia University Society of Fellows in the Humanities will appoint two Senior Fellows for the academic year 1980-81. Awards will be made to scholars who have held the doctorate and who have been teaching as full-time faculty for at least five years, and who have at least one significant publication to their credit. Deadline for nominations and supporting materials is January 15. For more information call 373-5101.

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$2,565,484.00 for the period 1 September through 31 October 1979.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
James Preus CLA Administration- Student Personnel	National Outreach Pilot Project	Several Foundations	\$ 6,250
Tom Plummer German	The Artist as a Soc- ial Critic in Germany from WWI to the Rise of Hitler	National Endowment for the Humanities- Public Programs	200,000
Neil Bracht Schl Social Work	Educational Outreach Project	Minnesota Department of Public Welfare	147,010

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Mulford Q. Sibley Political Science	Ideals & the Quest for Utopia-NEH Summer Seminar	National Endowment for the Humanities	\$ 53,321
Winifred Strange Psychology	Development of Phonetic Perception	National Institute of Mental Health	89,012
James Jenkins Psychology	Studies of Speech Perception	National Institutes of Health	87,760
Roberta Simmons Sociology	Self-Image & the Quality of Life	National Institute of Mental Health	66,740
Richard Sykes Sociology	Systematic Observations in Criminal Justice	National Institute of Mental Health	60,129
Byron Marshall S. Asian Studies	Foreign Language & Area Studies Fellowships	U.S. Office of Education	11,160
William Malandra S. Asian Studies	The Yasts-Translations from the Avesta	National Endowment for the Humanities	21,136
Charles Speaks Communication Disorders	Clinical Audiologist Services	Minn Independent School District #287	19,452
Robert T. Holt Political Science	Conferences on Intl Economics & Intl Politics	National Science Foundation	50,120
Gordon Legge Psychology	Matrix Displays in Low Vision Reading Aids	NIH-National Eye Institute	48,934
Neil Bracht Schl Social Work	Traineeship Program for Specialization in Child Welfare Services	HEW-Administration for Children, Youth & Fam	85,259
Esther Wattenberg Schl Social Work	Curriculum for Advanced Practice Specialization in Child Welfare	HEW-Administration for Children, Youth and Family	40,543
Robert Kvavik Political Science	Western European Langu- age & Area Studies Ctr	U.S. Office of Education	108,800
James Jenkins Psychology	Research in Human Learn- ing Center	NIH-Child Health and Human Development	268,936
Norman Garmezy Auke Tellegen Psychology	Stress-Resistant Children and Adults	Grant Foundation	152,300

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Thomas Bouchard, Jr. Leonard Heston Psychology	Psychological & Medical Study of Monozygotic Twins Reared Apart	Industry	\$ 15,000
John Clark Sociology	Theft by Employees in Work Organizations	U.S. Department of Justice	249,997
M.A.R. Barker S. Asian Studies	Foreign Language & Area Studies Fellowships	U.S. Office of Education	39,375
Rhonda Montgomery Family Study Center	Family Relations of Resi- dents of Long Term Care Facilities	HEW-Administration on Aging	5,500
Charles Speaks Comm Disorders	Preparation of Speech Pathologists	Rehabilitation Services Administration	28,668
John Adams HHH Institute of Public Affairs	Public Service Edu- cation Program	U.S. Office of Education	70,900
Michael Patten Sociology	Evaluation of NSP Hotline	Northern States Power Company	9,980
Total			<u>\$2,565,484</u>

DEADLINES

DATE	AGENCY	PROGRAM
January 1	Charles A. Lindbergh Fund	Research Grants
January 1	Emory University	Mellon Fellowships
January 1	Assoc. of American Colleges	National Fellows Program
January 10	National Humanities Center	Fellowships
January 15	Smithsonian Institution	Fellowships in Residence
January 15	Amer Schl of Oriental Res	NEH Fellowships
January 15	Minn Humanities Commission	Proposals
January 2	NEH - NSF	Anarctic Fellowship
January 21	Natl Institute of Education	Teaching & Learning Research Grants
February 1	National Research Council	Ford Foundation Fellowships for Minorities
February 1	Natl Institutes of Health	Research Grants, Renewals
February 1	Newberry Library	NEH Fellowships
February 1	Newberry Library	Ford Foundation Postdoctoral Fellowships for Minorities

DATE	AGENCY	PROGRAM
February 1	National Science Foundation	Applied & Basic Research Initiation Grants
February 1	Educational Development Ctr	Educational Development Proposals
February 1	Inst for Study of World Politics	Fellowships
February 15	University of Pennsylvania	Mellon Fellowships
February 15	Natl Endowment Humanities	Research Conferences
March 1	Amer Historical Association	Jameson Fellowship
March 1	Natl Institutes of Health	Research Grants, New
March 15	Newberry Library	Short-Term Resident Fellowships

DIRECTOR'S CORNER

On Monday, December 10, the College of Liberal Arts hosted a visit from Dr. Harold Cannon, Director of Research, National Endowment for the Humanities, Washington, D.C. Besides meeting President Peter McGrath and discussing new trends in research activity with CLA Deans and the Associate Dean of the Graduate School, Professor John Wallace, Dr. Cannon spent the entire day, 7:30 to 4:15 p.m. discussing concrete proposals by CLA faculty or, in a few cases, exploring new areas of research within traditional disciplines.

The projects discussed represent on-going research, ranging from one to seven or more years. Preference for appointments was given to colleagues who, after preparing preliminary proposals, were ready to submit final versions to the NEH by the March 15 to April 15 deadlines. In fact, Dr. Cannon gracefully accepted the invitation to visit the campus because he had advanced notice of proposed research and knew some of the principal investigators from previous contacts. Some of the discussions, however, involved a general evaluation of research development in view of the NEH's recent shifts in orientation and budgeting.

What was remarkable about these practical discussions of individual proposals was (according to us and to Dr. Cannon) the assortment of research and scholarly activity taking place at the CLA. Moreover, it was this diversity that focused, even if indirectly, on a series of problems that have worried various foundations and bothered many university professors--for example, the meaning of "end products"; the relations between subject matter and budgeting; the intricacies of the review process; so-called "collaborative" research; and, finally, the possible influence of federal agencies in the direction of basic and applied research.

Many of these issues overlap. I have asked those who met with Dr. Cannon to prepare succinct, analytical appraisals of the discussions. We shall try to pluck from them a general statement involving the theory and practice of research activity from the vantage point of the NEH. Hopefully, this statement will appear in the next issue of LARES.

My preliminary observations are that, despite the expected differences among highly specialized projects, each one reflected a range of identifiable problems and difficulties involved in researching, preparing, submitting and negotiating a solid grant proposal. Some of the advanced projects discussed were the social history of fictive texts, the collections of the Immigration History and Research Center (already funded), censorship and journalism, computers and language teaching, a history of madness, medieval bilingual manuscripts, South Asian documents and some primary research. Panels and workshops were discussed in connection with the Comparative Literature Program, with a projected Center for Medieval and Renaissance Studies and with support for advanced studies in language, style and literary theory. Historians analyzed areas of research while there were attempts to define collaborative research in the humanities.

In his answers to individual scholars and in the general comments to some forty chairpersons, departmental research coordinators and administrators, Dr. Cannon described collaborative research, loosely, as that area of topics that demand multidisciplinary approaches. Though admittedly such research is not really new, what was stressed was the overall plan of a proposal that suggests the need of more than one person from more than one discipline conducting the research involved. It was implied that collaborative research is not a substitute for traditional individual research and that, instead, it is meant to fill gaps in view of increased knowledge in different but overlapping fields. Since the issue of "individual v. collaborative" research (like that of "basic v. applied" research) affects both the humanities/arts and the social sciences; the question will continue to be debated within the College of Liberal Arts.

Tom Cannon

MTW
8R2352

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

lar-es (lār' ēz, lār' ēz), *n. pl.*
Rom. Religion. the spirits who,
if propitiated, watch over the
house or community to which
they belong.

Liberal Arts RESEARCH NEWS AND COMMENT

January 31 1980

LARES Volume V Number 4

CLA DOES IT AGAIN! FACULTY AWARDED FIVE NEH SUMMER SEMINARS

On the heels of the sweep of Guggenheim Fellowships by CLA faculty last spring, the National Endowment for the Humanities has awarded five CLA faculty members directorship of 1980 Summer Seminars for College Teachers. The five seminar directors are Anatoly Liberman, Sheila McNally, Robert Moore, Mulford Q. Sibley and Rudolph Vecoli.

NEH Summer Seminars provide opportunities for teachers at undergraduate and two-year colleges to work in their areas of interest with distinguished scholars at institutions across the United States. It is particularly gratifying that CLA was awarded five seminars, more than any other institution in the Big Ten, since our normal "quota" has been only two each summer.

Following is a brief description of each seminar to be held this summer.

ANATOLY S. LIBERMAN, Professor of German: "German Folklore."

The seminar will address itself to the following issues. (1) Basic concepts of verbal folklore. (2) The main genres of German folklore, with special attention paid to folktales. (3) The history and typology of the extant genres. Point 3 will be of marginal importance, but the medieval roots of German folktales and comparison between them and the folktales of other nations will be incorporated into the lectures and research papers. The seminar will open with a series of lectures in which the main problems will be formulated and discussed. Each student will then be invited to do research into one of the subjects proposed or into a problem of his/her own choice.

SHEILA J. McNALLY, Professor of Art History: "Dionysiac Themes in Art."

Professor McNally's seminar will examine the function of Dionysiac themes (i.e. the actions and appearance of Dionysus and his followers) as represented in art. The seminar will concentrate on examples drawn from Greece and Rome, but survivals down to the present day will also be considered. The varieties in subject matter, the different

ways in which the same subject matter is presented, and the purposes of the presentations will all be considered. The depiction of Dionysiac themes in art is not parallel to that in literature, so the seminar will also appraise the role of art as evidence for the values of a culture. Issues will also be raised about the relationship of reason to irrationality, belief to behavior, etc., which are basic to understanding Western Civilization.

ROBERT E. MOORE, *Professor of English: "English Literature and Art, 1660-1760."*

This seminar will pursue a comparative analysis of connections between literature and major musical, architectural, and graphic works of the Restoration and eighteenth century in historical, thematic, and stylistic aspects. In addition to background reading in aesthetics and the history of ideas, participants will study selected works of Dryden, Purcell, Pope, Gay, Hogarth, Thomson, Gray, Burke, and Reynolds.

MULFORD Q. SIBLEY, *Professor of Political Science: "Ideals and the Quest for Utopia."*

Professor Sibley's seminar will examine the role and nature of ideals in human life with particular reference to those dramatizations which we term utopian and dystopian. An effort will be made to show the relevance of "impossible ideals" for such areas as personal goals, city planning, social reform, scientific development, and political change. The role of imagination in human existence will be explored, as will the concepts of fictions, myths, symbols, and "ideal types," all within the context of utopian-dystopian literature and experimentation.

RUDOLPH J. VECOLI, *Professor of History and Director of the Immigration History Research Center: "Ethnicity in 20th Century America: An Interdisciplinary and Comparative Approach."*

The seminar will explore ethnicity in 20th century America through and interdisciplinary and comparative study of selected ethnic groups (those originating in the immigrations from Eastern, Central and Southern Europe). The emphasis will be upon the internal development of ethnic cultures and communities from immigrant origins through processes of adaptation and integration to American society. The overall objective will be an understanding of how ethnicity as a source of group identity and behavior has persisted and evolved in the context of a society hellbent on modernization.

FUNDING NOTES

by Marybeth Bremer

* February 15 is the deadline for receipt of McMillan Fund applications for research-related travel. Funds must be used for transportation only; no funds will be available for living costs, the purchase of materials or the hiring of personnel. For information call 373-5101 or contact Professor Stephen Prager, Department of Chemistry, 235 Smith Hall, 3-2329.

* American colleges and universities have been invited to participate in the Fulbright-Hays USSR Visiting Lecturers program for 1981-82. Invitations normally should be for a full quarter or academic year. International travel is provided, but the host institution is asked to support the visiting scholar with an appropriate stipend. Information regarding invitations for 1981-82 will be exchanged with the appropriate Soviet ministries no later than April 1. Consequently, the Council for International Exchange of Scholars (CIES) requests all invitations reach the Council by March 1, 1980. For more information call 373-5101 or William A. James, Program Officer, CIES, Eleven Dupont Circle, Washington, D.C. 20036. Telephone 202/833-4990.

* With the support of the Andrew W. Mellon Foundation, the National Research Council (NRC) will offer a limited number of resident fellowship appointments for work at the NRC with NRC committees. Applications may be submitted at any time. Typical areas of committee study include environmental protections; energy issues; national materials policy issues; national and international health-research programs; nutrition; child development; space sciences and applications; education; urban problems; and priorities and resources in science. For complete information call 373-5101 or write to: The Fellowship Office, National Research Council, 2101 Constitution Avenue N.W., Washington, D.C. 20418.

* Postdoctoral research grants are available for U.S. scientific personnel who want to carry out research in Spain. Areas in which research grants are available include: agriculture, natural resources, oceanography, environment, urban and regional planning, industrial technology, energy, biomedical sciences and basic science. For more information and application forms, contact: Morris H. Crawford, Bureau of Oceans and International Environmental and Scientific Affairs, Room 4330, Department of State, Washington, D.C. 20520. February 27 is the deadline for applications.

* The Program on Women at Northwestern University offers four postdoctoral fellowships for women and minorities who wish to develop their skills in educational research. Degree-holders in the humanities and social sciences as well as those with professional degrees are invited to apply. Applications will be accepted until April 1. For more information contact: Bari Watkins, Acting Director, The Program on Women, Northwestern University, 1902 Sheridan Road, Evanston, Illinois 60201.

* Congress has finally approved the fiscal year 1980 budget for the National Endowment for the Humanities (NEH)--a total of \$150.1 million as proposed by the administration--but only after a threatened cut was averted through negotiations. A Senate-passed reduction of \$2.3 million in the proposed NEH research budget was restored during a joint House-Senate conference in early November. Although NEH funding was in the end restored to the full level proposed by the administration, the incident has NEH staff and the humanities community worried about the level of support the humanities can expect from within the Senate.

* March 10 is the deadline for applications for Faculty Research Funds in the Graduate School. Letters will be sent to all faculty informing them of the guidelines and application procedures.

IMPORTANT

A sensitive issue keeps surfacing in ORD; that is our inability to help advanced graduate students seeking pre- or postdoctoral grants (dissertation, travel, further research, etc.). A number of students, on the advice of their professors, keep visiting our office expecting that, somehow, ORD can come up with sources of funds. It is also a touchy issue because the Graduate School has a special office dedicated exclusively to providing such pertinent information--the Graduate School Fellowship Office. The three of us would like to be able to be more helpful but, by the very function of initiating and processing faculty grant proposals, we simply do not handle student grants. The only exceptions are (1) the National Science Foundation's dissertation research program, (2) the National Endowment for the Arts' Fellowships and (3) the National Institutes of Health dissertation programs. But even in these cases the proposals must be submitted in the name of the student's advisor.

In short, it is probably harmful to advise graduate students to drop in at ORD because false hopes are often raised. ORD handles only individual and collaborative research by CLA faculty. Henceforth, we are advising graduate students who plan joint proposals with faculty to come to ORD with their professor or advisor.

*Anthony N. Zahareas
Marybeth Bremer
Ann Moore*

ORD OFFICE HOURS & ACTIVITIES

Three times a week, usually Monday, Wednesday and Thursday, the office opens at 8:00 a.m. and closes around 4:00 p.m.; on Tuesday and Friday it opens at 9:00 a.m. and closes around 4:30 p.m. The Manuscript Service is open from 8:00 to 4:30. On weekends, faculty can leave messages on our tape.

Besides the office at 404 Johnston there is now available a small conference room in 410 Johnston where small groups can meet to discuss plans or proposals.

Because there are constant local and long-distance phone calls coming in on our two lines, we often cannot pick up the receiver immediately. Please be patient or call back soon. There are also times when we are in conference or processing a late proposal. If there is a very urgent matter and the phone is not answered, please call Paul Escen at 373-2842 and leave a message; he knows how to contact the office. Do not call the Dean's office. If a proposal is ready for a signature it can be brought to 205 Johnston Hall and handed to Paul Escen.

* * * * *

Requests for travel money to visit private foundations or federal agencies should be filled out and sent to the Office of Research Development in care of 205 Johnston Hall. Please do not send them to the CLA Deans or to the Graduate School or to Morrill Hall. Rerouting a request takes a long, long time--when it is not lost in the shuffle.

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$599,458 for the period 1 November through 30 November 1979.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Elden Johnson Jan E. Streiff Anthropology	Archaeological Survey of 19 State Parks in Minnesota	State of Minnesota- Department of Nat- ural Resources	\$ 17,683
Gerald Kline Everette Dennis Schl Journalism & Mass Communication	Minorities Scholar- ships in Broadcast Journalism	Minneapolis Foundation	33,000
Neil Bracht Helen Yesner Schl Social Work	Title XX Field In- structional Project	Ramsey County	157,794

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Travis Thompson Mr. Beardsley Psychology	Predoctoral Fel- lowship Award	National Institute on Drug Abuse	\$ 6,900
Ralph Cherry Sociology	Effects of Inter- organizational Re- lationships & Organ- izational Structure On Organizational Service Diversification	Office of Human Development Ser- vices, HEW	5,500
Russell Thornton Amer. Indian Stu.	American Indian Family and Fertility	National Institutes of Health	47,728
Charles Speaks Communication Disorders	Preparation of Speech Pathologists	OAD-Rehabilitation Services Administration	27,751
Jeanne Bader HHH Inst Public Affairs	Aging Planning, Policy & Programming	State of Minnesota- Dept of Public Welfare	182,302
William Copeland Iver Iverson HHH Inst Public Affairs	Technical Assistance to States in Plan- ning for Deinstitu- tionalization	Office of Human Development	120,800
Total			<u>\$599,458</u>

IN ADDITION:

Anthony N. Zahareas, Professor of Spanish and Director of the Office of Research Development, has been awarded a National Endowment for the Humanities Fellowship for Independent Study and Research. Professor Zahareas will research the literary and historical sources of medieval narrative poetry, in particular the 14th century Book of True Love.

DEADLINES

DATE	AGENCY	PROGRAM
February 1	National Research Council	Ford Foundation Fellowships for Minorities
February 1	Natl Institutes of Health	Research Grants, Renewals
February 1	Newberry Library	NEH Fellowships

DATE	AGENCY	PROGRAM
February 1	Newberry Library	Ford Foundation Postdoc Fellowships for Minorities
February 1	Natl Science Foundation	Applied & Basic Research Initiation Grants
February 1	Educational Development Ctr	Educational Development Proposals
February 1	Inst for Study of World Politics	Fellowships
February 15	University of Minnesota	McMillan Travel Fund Applications
February 15	University of Pennsylvania	Mellon Fellowships
February 15	Natl Endowment Humanities	Research Conferences
February 27	U.S. Department of State	U.S.-Spain Postdoctoral Grants
March 1	CIES	Fulbright-Hays USSR Visiting Lecturers Program
March 1	Natl Institutes of Health	Research Grants, New
March 10	U of M Graduate School	Faculty Research Funds
March 14	Minn Humanities Commission	Proposals
March 15	Newberry Library	Short-Term Resident Fellowships
April 1	Natl Endowment Humanities	Basic Research Grants
April 1	Northwestern University	Postdoc Fellowships for Women
June 1	Natl Endowment Humanities	Research Collections
June 2	Natl Endowment Humanities	Fellowships for Independent Study and Research
July 1	Natl Endowment Humanities	Translations
July 1	Natl Endowment Humanities	Summer Seminars - Directors

DIRECTOR'S CORNER

Research development in the CLA has always been a highly complex matter, and that sector of it dealing with the practicalities of preparing and submitting proposals is no less so. Complexities are recently increasing for this office because we cannot possibly organize, meaningfully for each department, all the bits of information or trends emerging monthly or weekly from numerous, diverse foundations. Also, we are not sure that all the available information is pertinent or even desirable. There are reasons to think that so-called "grantsmanship" (a dreadful jargon) relies more on opportunism than intellectual integrity. The recent concerns of Regents about "tail wagging the dog" in the University at large is also a concern of many CLA colleagues who worry that the constant changes on the part of agencies (corporations, private foundations, government agencies) may be influencing and even determining the research priorities of departments and faculty members.

ORD can help analyze how foundations review proposals or explain here and there what process some agencies use in making grants. We rarely venture on what foundations are really looking for in proposals. Our task is to identify foundations which might fund

research projects undertaken by colleagues, to help collect the basic, necessary facts on agencies' requirements, and, whenever necessary, to help present a proposal effectively. At times, especially regarding ready proposals, we can do no more than clarify a few basic issues or raise some fundamental questions. And, surprisingly, most of the information is available in carefully prepared encyclopedic volumes. Some of the reference works are especially helpful but, ultimately, the most useful information is that prepared by each foundation itself. Here ORD can be quite helpful.

There is, of course, much opportunistic literature--in fact, some of the gimmicks of several so-called guides on "getting grants" border on the amusingly grotesque and the downright frivolous. Manuals and magazines are flooding the academic market urging us to think positively because "Grants are for everybody"; to learn "the best way to write a winning proposal"; to look for "inside tips on how to get the funder's attention"; to be guided on "how to best present credentials" or how to show one's "track record"; to learn "appealing to the [funder's] interests"; to be urged "learning to tap sources" or "learning the language of grants," etc. etc., and finally, our favorite optimistic banality: "Despite the money crunch, millions of dollars go unclaimed."

What are we to do with these gimmicks--perhaps the first trickles of an impending flood? Ignore them. Even Machiavelli and Ovid were never as boring: one told how to get and hold a principality (Prince), the other how to get and hold a woman (Ars Amatoria). "Grantsmanship" is presumably telling University professors how to get and hold a grant. It is no help reading about grant-getting opportunities when they are subjected to a seriousness they are not equipped to bear. At worst they are mercilessly boring; at best, somewhat amusing. A detailed examination of some of these "how to get grants" manuals that compete with each other for attention in the academic communities, shows whimsical notions about grants that are rarely if ever related to the authentic research of our CLA or to what our colleagues do, or to whom, or why.

Concrete information about areas, focus, deadlines, budget, is one thing; opportunistic guides about "grantsmanship," pet projects, track records, etc. is something else. Information about the proper presentation of serious research for interested agencies is credible; the "how to best ..." guides to unclaimed money is frivolous. In their proposals for private foundations and Government agencies CLA faculty have authentic research on their side, an absence of obligation to grantsmanship. And they have been succeeding in the end because the research is serious and the presentation believable, while opportunistic "Grantspeak" (is there an uglier-looking word?) is not. Colleagues should not confuse opportunism with credibility.

The preparation of proposals is, in our view, a complex matter because what difficulties there are belong more to the basic or applied research itself than to the presentation of it. For this reason, now may be the proper time for some reminders and hints that may help prepare proposals in terms of the research conditions which produce the need for them: deadlines begin again around April 1, gain momentum around June 1--July 1 and culminate on October 1; start preparing proposals now, not shortly before deadlines, so that ORD might be of some usefulness; appraise carefully prospective referees keeping in mind that analytical comments are more telling and more helpful than encomiastic prose; attempt to get outside evaluations before submitting a proposal; consider visiting the foundation or agency where a proposal is to be submitted in order to discuss details; streamline budgets to fit the needs of the project; count on the financial and practical help of ORD. There is of course much more to the preparation of a proposal but the danger with reminders or information of this kind is that they risk boring those already familiar with the process and puzzling those for whom preparing proposals is something new.

Tony Johnson

MIW
LR 2352

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

lar-es (lār' ēz, lār' ēz), *n. pl.*
Rom. Religion. the spirits who,
if propitiated, watch over the
house or community to which
they belong.

Liberal Arts RESearch NEWS AND COMMENT

March 15 1980

LARES Volume V Number 5

FUNDING NOTES

by Marybeth Bremer

* As a result of large institutional commitments from several of the major local foundations, it has been determined that for the foreseeable future it will be impossible to approve submission of proposals to these foundations without a clear written indication from the foundation that the proposal will be welcome. Foundations which must invite applications are: The Bush Foundation, McKnight Foundation, General Mills Foundation, Dayton-Hudson Foundation and the Charles K. Blandin Foundation. For more information on this policy please contact the Office of Research Administration.

* April 30 is the deadline for the Small Grants Program administered by the Center for Educational Development. The purpose of the program is to improve undergraduate education in the University with particular emphasis on liberal education. Approximately 50 projects can be supported with grants ranging from \$100 to \$3500. For a complete description of the program please call 373-5101.

* Think ahead! Applications for 1981-82 Fulbright-Hays awards for university teaching and advanced research abroad should be submitted by June 1 (Australia, New Zealand and American Republics) or July 1 (Africa, Asia and Europe). If you are interested you should register now on forms available from the following address: Council for International Exchange of Scholars, Eleven Dupont Circle, Suite 300, Washington, D.C. 20036. Those registered will be sent copies of the 1981-82 announcement.

* April 15 is the deadline for receipt of McMillan Fund applications for research-related travel. For information call 373-5101 or contact Professor Stephen Prager, Department of Chemistry, 235 Smith Hall, 373-2329.

* The Special Foreign Currency Program of the National Science Foundation is designed to develop and support scientific cooperation between the United States and participating "excess foreign currency" countries. The

participating countries are Burma, Egypt, Guinea, India, Pakistan, Poland and Tunisia; the list is subject to change. These foreign currencies may be used for conducting research and supporting scientific activities overseas, including programs and projects of scientific cooperation between the United States and other countries. Other activities supported include international travel, international meetings and guest scientists. Investigators are invited to discuss proposed activities involving foreign scientists or institutions with the Foundation staff. For more information call 373-5101 or the National Science Foundation, 202/632-5796.

* Applications for cooperative research grants for academic year 1980-81 in Spain must be submitted by May 9. Projects may be in the humanities, arts, psychology, anthropology, social sciences, political sciences, law, education and the communication sciences. Colleges and universities are eligible to apply in collaboration with nonprofit Spanish institutions. For application forms contact: Joint Administrative Staff of the U.S.-Spanish Joint Committee for Educational & Cultural Affairs, Calle Cartagena, 83-85, 3° Madrid 28, Spain.

* * * * *

In the next issue of LARES there will be a report on the findings of the Carnegie Commission and its implications for research. The Chambers Report may also have far-reaching implications for research, especially clusters of collaborative activities. Finally, the Director has been visiting major foundations (Rockefeller, Mellon) and will have some comments ready for the next issue.

* * * * *

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$481,230 for the period 1 December through 31 January 1980.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Sheila McNally Art History	NEH Summer Seminar- Dionysiac Themes in Art	National Endowment for Humanities	\$46,375

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Charles Holt Jr. Economics	Signalling Auction Markets	National Science Foundation	\$59,631
Robert Moore English	NEH Summer Seminar- English Literature and Art	National Endowment for Humanities	49,707
Anatoly Liberman German	NEH Summer Seminar- German Folklore	National Endowment for Humanities	48,173
Rudolph Vecoli History	NEH Summer Seminar- Ethnicity in 20th Century America	National Endowment for Humanities	48,848
Errett McDiarmid Library School	Bibliography of the Kahler & Hench Col- lection of Doyleana and Sherlockiana	Various Foundations	15,000
Gordon Legge Psychology	Binocular Interaction in Pattern Vision	Natl Institutes of Health--Eye Institute	38,887
Thomas Bouchard Psychology	Monozygotic Twins Reared Apart	National Science Foundation	50,000
Thomas Bouchard Leonard Heston Psychology	Monozygotic Twins Reared Apart	Various Foundations	32,000
Eugene Borgida Psychology	Evidence in Rape Trials	National Institute of Mental Health	8,824
Ronald Anderson Sociology	Feasibility of Sec- ondary Analysis of Nat- ional Assessment Data	National Science Foundation (subcon- tract from Univer- sity of Illinois)	63,200
Ronald Geizer Sociology	Evaluation of MEA Energy Conservation Center	Minnesota State Energy Agency	7,000
William Sudderth Schl of Statistics	Topics in Abstract Gambling Theory, Founda- tions of Statistics & Finitely Additive Proba- bility	National Science Foundation	13,585

IN ADDITION:

Professor Evelyn Firchow, Department of German, was awarded an NEH Fellowship for Independent Study and Research for 1980-81. Professor Firchow will work on an analysis of Notker Labeo's Old High German Language.

DEADLINES

DATE	AGENCY	PROGRAM
April 1	National Endowment Humanities	General Research Grants
April 1	Northwestern University	Postdoc Fellowships for Women
April 15	University of Minnesota	McMillan Travel Fund
April 30	U of M Center for Educational Development	Small Grants Program
May 9	U.S.-Spanish Joint Commission for Educational & Cultural Aff.	Cooperative Research Grants Proposals
May 15	Minn Humanities Commission	Fulbright-Hays for Australia, New Zealand, American Republics
June 1	CIES	Research Collections
June 1	National Endowment Humanities	Fellowships for Independent Study and Research
June 2	" "	Fulbright-Hays for Africa, Asia and Europe
July 1	CIES	Translations
July 1	National Endowment Humanities	Summer Seminars - Directors
July 1	" "	

LARES

College of Liberal Arts
 Office of Research Development
 404 Johnston Hall
 101 Pleasant Street S.E.
 University of Minnesota
 Minneapolis, Minnesota 55455

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

Liberal Arts REsearch
NEWS AND COMMENT

MITU
g R 2 5 2
lar-es (lār' ēz, lār' ēz), *n. pl.*
Rom. Religion. the spirits who,
if propitiated, watch over the
house or community to which
they belong.

April 25 1980

LARES Volume V Number 6

CLA FACULTY AWARDED THREE GUGGENHELMS

The Office of Research Development is pleased to announce that three CLA professors were awarded Guggenheim Fellowships for the academic year 1980-81. Only 276 applicants out of 3,066 were chosen for this prestigious award by the Guggenheim Foundation.

Here are the three CLA Guggenheim Fellows for 1980-81:

JOHN S. CHIPMAN, Professor of Economics; JASPER HOPKINS, Professor of Philosophy; and ANATOLY LIBERMAN, Professor of German.

Professor Chipman will continue research on a new international trade model which could ultimately help policy-makers analyze the effects of foreign economic events on the domestic economy.

Professor Hopkins will use his fellowship to write the fourth in a series of books on the 15th century German philosopher Nicholas of Cusa. He will focus on the philosopher's ideas about the relation of God to the universe, his conception of the infinite and the "metaphysical principles that underlie his conception of nature."

Professor Liberman will research the second of two volumes on Germanic accentology--the study of accents, tones and stress in the Germanic languages of English, German, Dutch, Frisian and Scandinavian.

Congratulations to our three colleagues for winning these significant scholarly awards!

THINK AHEAD!

Faculty members should begin to plan and prepare now for upcoming summer and fall deadlines of major funding agencies. The Office of Research Development urges you to look carefully at the Funding Notes and Deadlines in this issue of LARES. It is not too early to begin to plan for 1981-82 and '82-83 sabbaticals and leaves! Call us at 373-5101--we will be glad to assist you in any way.

FUNDING NOTES

by Marybeth Bremer

* June 2 is the application closing date for National Endowment for the Humanities Fellowships for Independent Study and Research. These fellowships are designed to provide time for uninterrupted full-time study and research. Fellowships are awarded for continuous periods of either six or 12 months, and carry a maximum stipend of \$10,000 for six months and \$20,000 for 12. Fellows may supplement their awards with small grants from other sources. Forms are available from: National Endowment for the Humanities, Division of Fellowships, 806 15th Street N.W., Washington, D.C. 20506. Please note that these applications do not have to be processed through our office.

* Reminder! June 1 is the deadline for submitting applications for Fulbright-Hays Awards in Australia, New Zealand and the American Republics. Applications for awards in Africa, Asia and Europe are due July 1. For more information call 373-5101.

* In order to encourage and strengthen the nation's basic and applied research efforts, the National Science Foundation is providing grants for the support of cooperative research projects between universities and industrial firms. To qualify for support, proposals must be prepared jointly by academic and industrial researchers and be submitted jointly by their respective institutions. The research should focus on fundamental scientific questions of a basic or applied nature rather than on technological development. For further information contact Dr. Frederick Betz, Industry/University Cooperative Research Program, National Science Foundation, 1800 G Street N.W., Washington, D.C. 20550. Telephone: 202/632-4050.

* The Literature Program of the National Endowment for the Arts has announced its latest set of guidelines. Categories include the semi-annual Fellowships for Creative Writers and pilot Fellowships for Creative Translators. Guidelines, which include application forms, are available from the Literature Program, Mail Stop 607, National

Endowment for the Arts, Washington, D.C. 20506. Telephone: 202/634-6044. Deadlines: Fellowships for Translators: June 2; Fellowships for Creative Writers: February 16, 1981.

* The National Science Foundation has established a set of uniform guidelines for projects that involve international science collaboration in developing countries. The program supporting these projects is now called the Science in Developing Countries (SDC) Program. Projects in low-and middle-income developing countries of Africa, Asia and Latin America (including the Caribbean) will be considered for support. The following categories of awards are made: Research Participation Grants, Conference Grants and Dissertation Improvement Grants. Letters and preliminary proposals should be sent to: Science in Developing Countries, Division of International Programs, National Science Foundation, Washington, D.C. 20550. Future deadlines are September 1 and March 1. Complete information is available by calling 373-5101.

* July 1 is the deadline for submitting applications to direct an NEH Summer Seminar in the summer of 1981. Summer Seminars provide opportunities for teachers at undergraduate and two-year colleges to work in their areas of interest with distinguished scholars at institutions with library resources suitable for advanced study. Proposal guidelines will be available shortly from NEH. Last year's guidelines are available in our office (373-5101); we will be glad to look over preliminary proposals but we ask that you get them to us as soon as possible.

* The National Endowment for the Humanities through its Research Materials Program, offers translation grants for annotated, scholarly translations of works that contribute to an understanding of the history and intellectual achievements of other cultures and can serve as tools for further research. Texts from all disciplines relevant to the humanities are eligible for consideration. For detailed information regarding these grants call 373-5101. July 1 is the deadline for submitting translation grants proposals.

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$221,737 for the period 1 February through 29 February 1980.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Phillip Tichenor Cecilie Gaziano Journalism & Mass Communication	Role of Neighborhood Newspapers & Groups in Acquisition of Knowledge	General Mills Foundation	\$ 2,095
Phillip Tichenor Cecilie Gaziano Journalism & Mass Communication	Same as Above	National Science Foundation	2,045
Mark Snyder Psychology	Cognitive, Behavioral & Interpersonal Con- sequences of Social Perception	National Science & Foundation	61,353
Dwight Burkhardt Psychology	Vision and Intra- retinal Potentials	National Institutes of Health-Eye Inst	43,029
Steven Hollon Ms. LeDray Psychology	Fellowship	Health Resources Administration- Division of Nursing	6,900
Roberta Simmons Sociology	Social Determinants of Self-Image	National Institute of Mental Health	38,718
Morris Eaton Schl of Statistics	Multivariate Sta- tistical Analysis	National Science Foundation	17,367
Stephen Fienberg Schl of Statistics	Statistical Aspects of the Redesign & Analysis of the National Crime Survey	Miscellaneous, Non-Federal	50,230
Total			\$221,737

IN ADDITION:

Eva C. Keuls, Classics Department, was granted a one-year membership in the School of Historical Studies, the Institute for Advanced Study in Princeton, New Jersey, as an NEH Fellow for the academic year 1980-81.

Richard Leppert, Humanities Program, has been awarded a National Endowment for the Humanities Summer Stipend for 1980. Professor Leppert will continue his research on musical iconography in English paintings of the 17th and 18th centuries.

A postdoctoral research grant from the American Council of Learned Societies and the Social Science Research Council has been awarded to Thomas S. Noonan, Department of History. His research project is entitled "The Relations Between the Islamic World and Eastern Europe, 800-1015: An Analysis of the Numismatic Data."

DEADLINES

DATE	AGENCY	PROGRAM
April 30	U of M Center for Educational Development	Small Grants Program
May 9	U.S.-Spanish Joint Comm for Educational & Cultural Aff.	Cooperative Research Grants Proposals
May 15	Minn Humanities Commission	Fulbright-Hays for Australia, New Zealand, American Republics
June 1	CIES	Research Resources
June 1	Natl Endowment Humanities	Fellowships for Independent Study and Research
June 2	Natl Endowment Humanities	Fellowships for Translators
June 2	Natl Endowment for Arts	Unsolicited Research Proposals - Div of Applied Research (Applied Social & Behavioral Sciences)
June 30	Natl Science Foundation	Unsolicited Proposals
June 30	Natl Institute of Education	Fulbright-Hays for Africa, Asia and Europe
July 1	CIES	Translation Grants
July 1	Natl Endowment Humanities	Summer Seminars--Directors
July 1	Natl Endowment Humanities	Travel Grants for Meetings Scheduled November-February
July 1	Amer Council Learned Soc	Research Grants, New
July 1	Natl Institutes of Health	Research Grants
July 1	NIA, Social & Behavioral Research on Aging	Research Grants, New
July 1	Alcohol, Drug Abuse & Mental Health	Intl Travel Grant Program; Individual Travel between Oct. 1 and Dec. 31, 1980
July 1	Natl Science Foundation	Travel Grants; Grants-in-Aid Science in Developing Countries
July 31	Univ of Melbourne, Australia	Research Conferences
September 1	Natl Science Foundation	Fellowships
September 15	Natl Endowment Humanities	Research Materials, Tools & Editions
September 30 (Approx.)	Amer Council Learned Societies	Fellowships
October 1	Natl Endowment Humanities	Humanities Fellowships
October 1	Guggenheim Foundation	Fellowships
October 1 (approx.)	Rockefeller Foundation	Humanities Fellowships
October 1	Woodrow Wilson Intl Ctr	Fellowships
October 15	Natl Endowment Humanities	Basic Research/Archaeological Projects

DIRECTOR'S CORNER

I am reviewing some recent results and trends in research that might be pertinent to the faculty of the College of Liberal Arts.

Three (3) U of M faculty earned Guggenheim Fellowships, all in the CLA (the writer Jon Hassler from Brainerd earned one for fiction). Among Big Ten schools only U of Michigan (5) and U of Wisconsin (4) had more. Indiana and Northwestern also had three. In the country at large, U of M received the same as MIT, Cornell, Princeton and UCLA, less than Columbia (9), Harvard (7), NYU (6) and Washington (4) and more than Yale (2), UC Berkeley (2), Stanford (2) or Chicago U, Texas U, U of Penna. The above figures represent Guggenheims in the Liberal Arts. U of M has rarely received Guggenheim Fellowships outside the CLA. This is not true of other comparable universities (especially Michigan, Indiana, Washington, Harvard, Yale, Columbia and Cornell) which received several Fellowships in areas ranging from medicine, physics, chemistry, mathematics, biology, astronomy, architecture, law. Columbia, Harvard, Cornell, Washington and Indiana did very well while some of the institutions that in the past were well represented (like Yale, Berkeley, Princeton, Penna, Hopkins, U of Texas, Chicago and Stanford) did not fare well in 1980. Perhaps the most impressive improvements are those of Washington (9 altogether, 4 in CLA) and Indiana (8 altogether, 3 in CLA).

I am not really sure if the above distributions are pertinent. There may be no real trends, except the continuation of severe competition for individual fellowships, most of them in basic research, especially in some areas like English, foreign languages and, recently, sociology, statistics and linguistics. Our CLA proposals are quite good and very competitive. I would suggest, however, starting earlier the preparation of the proposal. Besides the substance of the research itself there are two vital areas that might help applications from individual research: solicit professional reactions to a preliminary proposal and make sure, if possible, that those who send recommendations analyze carefully the contents, aims and prospects of the application.

April-May may be the time to prepare proposals for individual research. Deadlines begin June-July (especially NEH) and end around September-October. Please check the dates of submission and, if necessary, feel free to ask ORD for help. In other parts of LARES colleagues are reminded of the NEH Summer Seminars which need considerable consultation and preparation. (Incidentally, Martin Steinman Jr., English, who had submitted his "Speech Acts, Rhetoric, and Literary Criticism" while here at U of M was also awarded a NEH Summer Seminar and will offer it at the U of Illinois, Chicago Circle.)

Some related pot-pouri: CLA received \$12,000 as indirect cost recovery funds for 1979-80. Recently an additional \$6,000 was added as merits. ICR monies (\$18,000) have been invested by ICR mainly toward "collaborative research." I shall report in more detail on the results. Meanwhile, feel free to make inquiries about these funds and even consider using them if there is need--or will--to explore research proposals. Along with ICR I shall report on some of the recent visits to federal and private foundations by colleagues.

Finally, the final report of the Carnegie Council on Policy Studies in Higher Education (or at least its thorough digest in the Chronicle of Higher Education, January 28, 1980) is must reading for all those concerned with research development in the CLA. The report identifies some of the important sources for the near future and analyzes many of the forthcoming difficulties. Above all, it provides strong hints for continuing research development successfully at large universities like U of M.

Tony Salazar

A Newsletter from
the Office of
Research Development
College of Liberal Arts
University of Minnesota
373-5101

LARES

Liberal Arts REsearch
NEWS AND COMMENT

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lar-es (lār' ēz, lār' ēz), *n. pl.*
Rom. Religion. the spirits who,
if propitiated, watch over the
house or community to which
they belong.

June 15 1980

LARES Volume V Number 7

DIRECTOR'S CORNER

As with every June issue there is a need to review briefly some of the year's patterns, speculate about the 1980-81 outlook and provide or repeat some pertinent information.

I shall be absent for the academic year 1980-81 because I had the fortune to earn an NEH Fellowship in order to finish some writing on already researched materials. My leave begins this August/September and I will be back again tentatively in September 1981.

Professor Wlad Godzich, Director of Comparative Literature, will be Acting Director and will replace me during the 12 months. He is an extraordinarily gifted colleague with considerable experience in all aspects of research development, ranging from the preparation of preliminary proposals to dealing directly with many private and public foundations. Moreover, he has already been the Acting Director during Winter Quarter of 1979 and has been closely connected to the office and to legislative specials during the past five months. No radical changes are anticipated except for the happy fact that the office will have even more money to seed research and help prepare proposals than at any time in its short history.

Marybeth Bremer will be the Assistant Director of ORD and with her accumulated knowledge and remarkable professionalism the office will benefit. She will spend more time evaluating proposals, helping fund most preliminary research, encouraging proposals, correlating activities, providing information for LARES and watching over deadlines. Ann Moore is now putting her considerable experience into integrating and thus imparting effectively most of the scattered information regarding research opportunities. She is now working at classifying the information according to units and areas so that timely details can be more readily available.

By September the booklet on research opportunities will have been revised and brought up to date. Given the fluctuation in academic and research patterns, there are considerable changes in the orientation of many foundations.

In addition, there will be a second booklet that might serve as a manual for the preparation of proposals, ranging from the initial steps of preliminary research to the advanced stage of negotiating funding with given agencies.

One important improvement of the recent year was the ability of the office to help proposals by evaluating them and even editing them. We have been lucky in raising money for such vital activity as editing and also lucky in securing the best possible quality in this delicate type of work. Colleagues are encouraged to take advantage of this valuable help for any type of proposal, individual or collaborative. ORD may be able to even raise money to hire experts who could help in the actual writing of the proposal. Finally, the experts at the Office of Research Administration (ORA) have been more willing than ever to help any grant proposal with the difficult task of preparing an itemized budget. As long as the office has adequate time there is no problem in helping a proposal on all fronts.

There are now three different budgets available with which to help develop research proposals: travel to agencies and foundations; all aspects of preparatory stages, including equipment; and stimulating collaborative processes in some vital research areas. In all three categories the office has had much more money than it could spend. This is important in view of the fact that in the past two years there has been much intellectual investment by various units to the point that a broader base has been created for continuing preparation of grant proposals.

CIA did very well this year in the so-called Indirect Cost Recovery Funds. We came third in the University--only IT and the Medical School "merited" more than the CIA. The outlook for continued funding is very optimistic indeed. The hope is that more colleagues will take advantage of what is available.

Finally, funds are now also invested on what has come to be known as "collaborative research." Collaboration within overlapping research areas has become a central feature of funding agencies--state, federal and private. More recently, collaboration among faculty is stimulating research in new areas and is making use of resource materials in new ways. Such collaborations are intended (and this has been emphasized by the agencies themselves) to help bring together from various units scholars best qualified to work on a research area that cannot be handled by individuals alone.

Tony Sakara

FUNDING NOTES

by Marybeth Bremer

* Applications for postdoctoral research fellowships in India are due July 1. Contact the Indo-American Fellowship Program, Council for International Exchange of Scholars, Suite 300, 11 Dupont Circle, Washington, D.C. 20036; telephone 202/833-4978.

* A grant from the Andrew W. Mellon Foundation to Wesleyan University provides for the establishment of a number of Postdoctoral Fellowships in the Humanities. Scholars who have received their Ph.D. degrees within the last three years (between June 1977 and November 1980) are invited to apply. During 1981-82 the Wesleyan Center for the Humanities will examine areas of study relevant to all fields of the humanities. Applicants, however, must demonstrate a strong interdisciplinary interest. Call 373-5101 for complete information. Applications must be postmarked by November 15, 1980.

* Reminder! July 1 is the deadline for submitting applications to direct an NEH Summer Seminar in 1981. Contact our office (3-5101) as soon as possible if you are interested in this program.

* July 1, 1980 is the application deadline for 1981-82 Fulbright Lectureships to the USSR. Eligibility requirements include U.S. citizenship; Ph.D. and teaching experience at the level and in the field in which the lectureship is sought. Knowledge of Russian is not required but will be very useful. Stipend is \$1,700 per month plus economy class air travel for the grantee and for one accompanying dependent for appointments of four months or longer. In addition, the Soviet government provides a supplementary allowance of 300 rubles per month plus housing. A list of fields of interest is available in 404 Johnston Hall. For application forms contact: William A. James, Program Officer, Council for International Exchange of Scholars, Eleven Dupont Circle, Suite 300, Washington, D.C. 20036; telephone 202/833-4990.

* The National Institute of Mental Health (NIMH) has a small grants research program for individuals interested in conducting research on problems associated with mental health. The program is focusing on the effects of divorce on the mental health of children of divorced parents, the effects on children of mentally disturbed parents, and the effects of the war on Vietnam veterans. Small grants may be requested for a year or less and are limited to \$10,000 for the direct costs of conducting the research. Proposals may be submitted at any time. For further information write: Dr. Ellen Simon Stover, NIMH, 5600 Fishers Lane, Rockville, Maryland 20852, or phone 301/443-4337.

* Radcliffe College received a grant from the Carnegie Corporation of New York for a fellowship program for non-tenured faculty women from research universities throughout the country. The program provides 12 fellowships to women who have demonstrated substantial achievement as scholars, artists, or professionals to pursue independent projects designed to make significant contributions to their fields. Fellowship recipients will receive a two-year Bunting Institute appointment to be held concurrently with their academic appointments. If you are interested in being nominated by the University of Minnesota for this program please submit a curriculum vitae and a brief description of your research project to Marybeth Bremer, Office of Research Development, 205 Johnston Hall no later than July 28, 1980. The institutional nomination will be made by September 2.

* New funding priorities have recently been established by the German Marshall Fund of the United States. Under the *Domestic Problems Program* the new priorities are: Employment and the Nature of Jobs, Innovation in Delivery of Services, Public Participation in Decision-Making and Foreign Workers and Immigrant Populations in Industrial Countries. Support within the *European-American and Internatinal Issues Program* is concentrated on international economic, political, social and institutional problems. Guidelines and application procedures are available in 404 Johnston Hall, 373-5101. Applications are acted upon three times annually.

INTERNATIONAL PROGRAMS ANNOUNCES SMALL GRANT AWARDS

The Office of International Programs has awarded funds to support 18 proposals under the Small Grants Research Program for 1980-81. CLA recipients of the awards are as follows:

Allen Isaacman, History and Afro-American Studies, for "Forced Cotton Production and Peasant Resistance in Mozambique, 1938-1961."

Patrick Lauderdale, Sociology, for "The Impact of Varying Definitions of Behavior: Political Versus Criminal Definitions in Cuba and the U.S."

Gloria Leon, Psychology, for "Concentration Camp Survivors and Their Children: Current Status and Adjustment."

Richard Leppert, Humanities, for "Musical Subjects in English Portraits and Conversation Pieces of the 17th and 18th Centuries: Research Project in Musical Iconography."

Mary Niles Maack, Library School, for "Library Associations and Professional Development in France, Great Britain, and the United States: A Cross-Cultural History, 1876-1980."

Thomas Noonan, History, for "Relations Between the Islamic World and Eastern Europe, 800-1015: An Analysis of the Numismatic Data."

John Sullivan, Political Science, for "Political Tolerance in Comparative Perspective: New Zealand, Israel, and the U.S."

James Tracy, History, for "The Rise of Holland: The Political Development of a Habsburg Province, ca. 1520-1584."

Pauline Yu, Humanities, for "The Development of Chinese Nature Poetry."

CONGRATULATIONS!

The following scholars were awarded grants and contracts in the amount of \$462,422 for the period 1 March through 30 April 1980.

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
George Hoshino Schl Social Work	Improving Management Practices & Technologies in Minnesota's Title XX Agency	HEW-Office of Human Development Services	\$75,000
Neil Bracht Schl Social Work	Child Welfare Training Center	Subcontract from University of Illinois	6,991
David LaBerge Psychology	Perceptual Information Processing	National Science Foundation	51,070
David Weiss Psychology	Computerized Adaptive Measurement of Achievement and Ability	U.S. Department of Defense-Navy	31,911
David Weiss Psychology	Computerized Adaptive Performance Evaluation	U.S. Department of Defense-Navy	20,000
Roberta Simmons Sociology	Self-Image in Adolescence: Coping with Change	National Institute of Mental Health	97,216
David Ward Criminal Justice Studies	Study of Corrections Policy and Practice	Law Enforcement Assistance Administration (USDJ)	23,541
Theatre Arts William Metcalf	Fellowship Program	National Endowment for the Arts	2,970

NAME & DEPARTMENT	PROJECT	AGENCY	AMOUNT
Ronald Geizer Sociology	Evaluation of MEA Conservation Center	Minnesota - State Energy Agency	\$11,200
John Brandl HHH Inst Public Affairs	Summer Program in Public Policy Studies	Alfred P. Sloan Foundation	70,000
Terrence Hopmann Robert Kudrle HHH Inst Public Affairs	Hubert H. Humphrey North-South Fellowship Program	Institute of Inter- national Education	26,999
Jeylan Mortimer Sociology	Occupational Experience and Attitude Change	National Institute of Mental Health	45,524
		Total	\$462,422

IN ADDITION:

Professors Armand Renaud and Jay Caplan, French and Italian, have recently received grants from the Camargo Foundation. The grants consist of an apartment in France for each scholar, furnished and equipped for research and writing.

DEADLINES

DATE	AGENCY	PROGRAM
June 30	Natl Science Foundation	Unsolicited Research Proposals Div of Applied Research (Ap- plied Social & Behavioral Sci)
July 1	CIES	Fulbright-Hays for Africa, Asia and Europe
July 1	CIES	Postdoc Research Fellowships in India
July 1	Natl Endowment Humanities	Translation Grants
July 1	Natl Endowment Humanities	Summer Seminars--Directors
July 1	CIES	Fulbright Lectureships to USSR
July 1	Amer Council Learned Soc	Travel Grants for Meetings Scheduled November-February
July 1	Natl Institutes of Health	Research Grants, New
July 1	NIA, Social & Behavioral Research on Aging	Research Grants
July 1	Alcohol, Drug Abuse & Mental Health	Research Grants, New

DATE	AGENCY	PROGRAM
July 1	Natl Science Foundation	Intl Travel Grant Program; Individual Travel Between Oct. 1 and Dec. 31, 1980
July 28	CLA Office of Res. Dev.	Preliminary Applications for Bunting Institute Fellowships
July 31	Univ of Melbourne, Aus.	Travel Grants; Grants-in-Aid
September 1	Natl Science Foundation	Science in Developing Countries
September 15	Natl Endowment Humanities	Research Conferences
September 26	CLA Office of Res. Dev.	Preliminary Applications Due for NEH Summer Stipend Competition
September 30 (Approx.)	Amer Council Learned Societies	Fellowships
October 1	Natl Endowment Humanities	Research Materials, Tools and Editions
October 1	Guggenheim Foundation	Fellowships
October 1 (Approx.)	Rockefeller Foundation	Humanities Fellowships
October 1	Woodrow Wilson Intl Ctr	Fellowships
October 13	Natl Endowment Humanities	Summer Stipends
October 15	Natl Endowment Humanities	Basic Research/Archaeological Projects
November 1	IREX	Most Programs
November 15	Wesleyan University	Mellon Fellowships in Humanities