

# UNIVERSITY OF MINNESOTA

## BOARD OF REGENTS

Friday, March 13, 2009

9:00 - 11:00 a.m.

600 McNamara Alumni Center, Boardroom

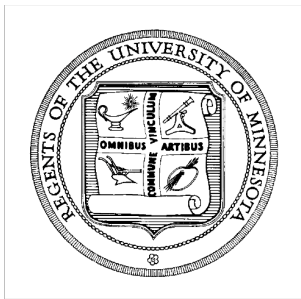
### Board Members

Patricia Simmons, Chair  
Clyde Allen, Vice Chair  
Anthony Baraga  
Dallas Bohnsack  
Linda Cohen  
John Frobenius  
Venora Hung  
Steven Hunter  
Dean Johnson  
David Larson  
David Metzen  
Maureen Ramirez

### AGENDA

1. Recognition of McKnight Land-Grant Professors - R. Bruininks (p. 3)
2. Approval of Minutes - Action - P. Simmons
3. Report of the President - R. Bruininks
4. Report of the Chair - P. Simmons
5. Receive and File Reports (pp. 4-11)
  - A. Quarterly Report of Grant & Contract Activity
6. Board Calendar Annual Review - P. Simmons (pp. 12-23)
7. Consent Report - Review/Action - P. Simmons (pp. 24-35)
  - A. Report of the All-University Honors Committee
  - B. Gifts
  - C. Quarterly Summary of Expenditures
8. Report of the Faculty Consultative Committee - E. Hoover (pp. 36-38)
9. Board of Regents Policy: *Board Authority* - Action - P. Simmons (pp. 39-40)
10. Board of Regents Policy: *Board Policy Development* - Action - P. Simmons (pp. 41-43)
11. Board of Regents Policy: *Student Representatives to the Board of Regents* - Action - P. Simmons (pp. 44-47)
12. Resolution Related to: FY2008-09 Operating Budget - Review/Action - R. Bruininks/  
R. Pfutzenreuter (pp. 48-50)
13. University Budget Update - R. Bruininks/R. Pfutzenreuter (p. 51)
14. Report of the Audit Committee - L. Cohen
15. Report of the Faculty, Staff & Student Affairs Committee - J. Frobenius
16. Report of the Finance & Operations Committee - S. Hunter
17. Report of the Educational Planning & Policy Committee - D. Larson
18. Report of the Facilities Committee - D. Metzen

19. Report of the Litigation Review Committee - A. Baraga
20. Old Business
21. New Business
22. Adjournment



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Recognition of McKnight Land-Grant Professors

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks  
E. Thomas Sullivan, Senior Vice President for Academic Affairs and Provost

**Purpose:**

policy       background/context       oversight       strategic positioning

To recognize the 2009-2011 McKnight Land-Grant Professors:

Professor Arindam Banerjee, Computer Science & Engineering  
Professor Giancarlo Casale, History  
Professor Ryan Elliott, Aerospace Engineering and Mechanics  
Professor Tian He, Computer Science & Engineering  
Professor Alan C. Love, Philosophy  
Professor Julian Marshall, Civil Engineering  
Professor Steven P. Matthews, History  
Professor Kieran McNulty, Anthropology  
Professor Jennifer Powers, Ecology, Evolution, & Behavior  
Professor Martin O. Saar, Geology and Geophysics  
Professor Sangwon Suh, Bioproducts and Biosystems Engineering

**Outline of Key Points/Policy Issues:**

**Background Information:**

The McKnight Land-Grant Professors are junior scholars chosen for their potential for important contributions to their field; the degree to which their past achievements and current ideas demonstrate originality, imagination, and innovation; the potential for attracting outstanding students; and the significance of the research and the clarity with which it is conveyed to the non-specialist. Recipients are honored with the title McKnight Land-Grant Professor, a special award that they will hold for two years. The award consists of a research grant in each of two years, summer support, and a research leave in the second year.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2008**

**Agenda Item:** Receive and File Reports

review       review/action       action       discussion

**Presenters:** Regent Patricia Simmons

**Purpose:**

policy       background/context       oversight       strategic positioning

**Outline of Key Points/Policy Issues:**

**Background Information:**

There is one item for receipt and filing:

1. Quarterly Report of Grant & Contract Activity

# UNIVERSITY OF MINNESOTA

Meeting of the Board of Regents



**Quarterly Report of Grant/Contract Activity**

**Fiscal Year 2009  
Second Quarter Data: October - December, 2008**

Produced by: Office of the Vice President for Research

Version date: 2/23/09

**Board of Regents Report**  
**Fiscal Year 2009**  
**Second Quarter: October – December, 2008**

Explanation and Impact of the New Enterprise Financial System  
(EFS) on Grant and Contract Activity Report

A review of second quarter data for fiscal year 2009 indicates that award levels are stabilizing according to expectations outlined in our first quarter report. We expect this to continue throughout the remainder of FY09 as our staff gain even more experience with the new EFS system. First quarter staffing increases and expanded work hours assisted in addressing a considerable backlog of awards following EFS system conversion.

Second quarter award levels continue to be down compared to the same period last year. Key contributors to the apparent decline include:

1. A complex PeopleSoft data entry process that continues to slow down the recording of awards in the system.
2. A change in the method used to track non-federal clinical trial awards as a result of the new system. Historically, the full amount of the expected award was entered to the system; now the award is recorded as revenues are earned. As a result, colleges who receive these types of awards will report higher numbers of awards in smaller increments over the course of the award period.
3. A disproportionate number of very large awards recorded in fiscal year 2008, resulting in higher award levels during that period. This adds to the disparity in award levels between the second quarter data.

In summary, we are making good progress toward reducing our backlogs and increasing our confidence with the new system. Increased familiarity with the new PeopleSoft business processes and system technology stabilization has also contributed significantly toward more normalized processing levels.

As we work past our issues with the new system, the poor economy has introduced new challenges and some promising opportunities related to potential federal stimulus funding. The Office of the Vice President for Research is playing a key role in facilitating the University research community's response to the proposed funding and we will do everything within our power to align these dollars with the funding needs of our research.

**University of Minnesota**  
**Quarterly Report of Grant and Contract Activity**  
**Award Summary by Sponsor**  
**Fiscal Year 2009; Second Quarter October - December 2008**

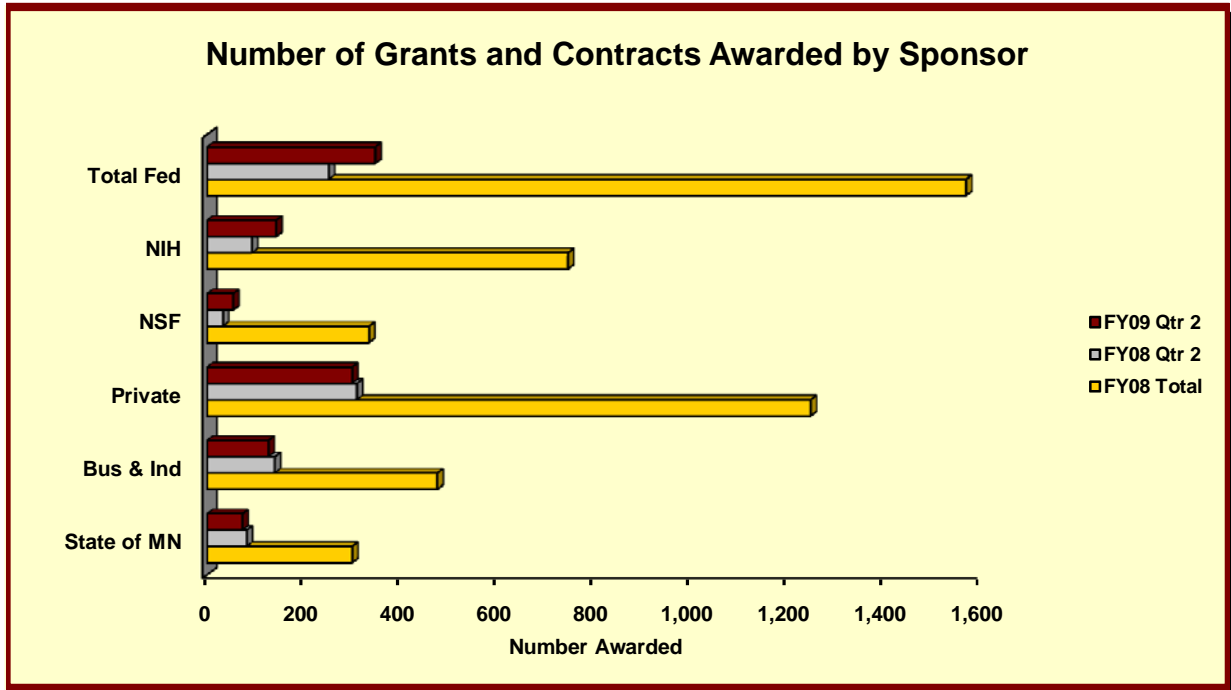


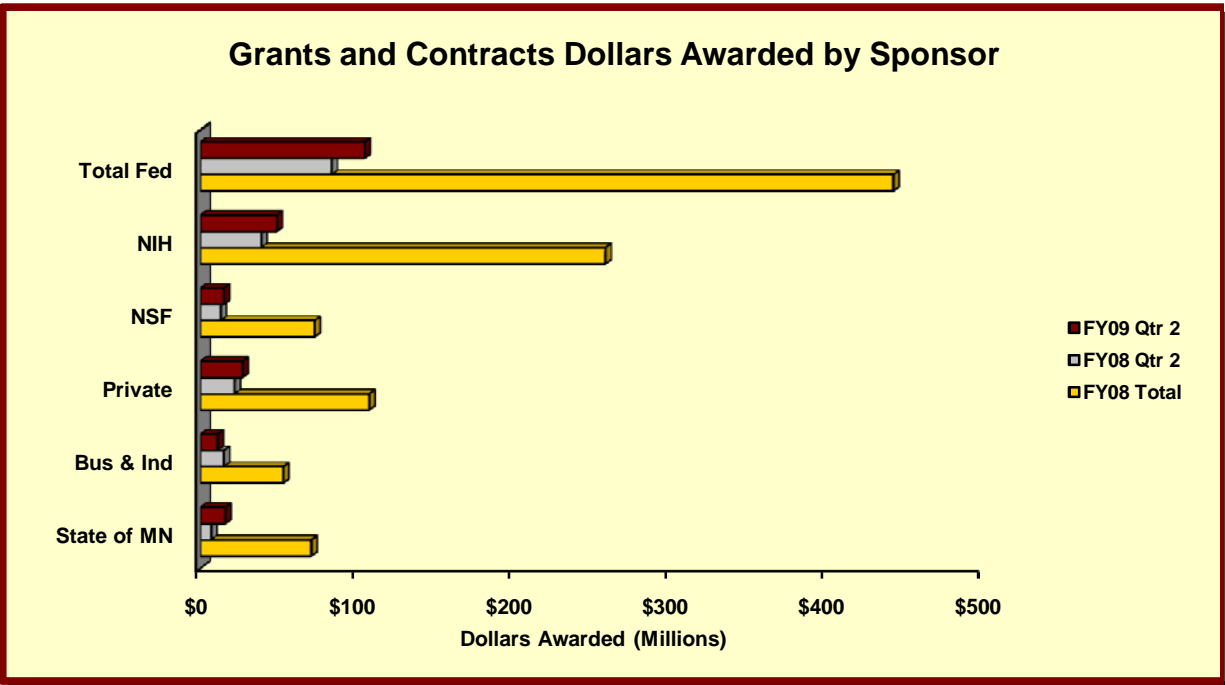
Figure 1: Number of Awards by Sponsor.

Comparison for Fiscal Year 2009 versus Fiscal Year 2008. (For numerical data see Table 1 below.)

Agency	Quarter 2		YTD	
	FY08	FY09	FY08	FY09
Total Fed	252	348	793	721
NIH	93	143	344	322
NSF	33	54	161	144
Private	310	300	577	580
Bus & Ind	140	127	236	211
State of MN	82	73	162	143
<b>TOTAL</b>	<b>784</b>	<b>908</b>	<b>1,768</b>	<b>1,655</b>

Table 1: Qtr 2 and Fiscal Year comparisons.

**University of Minnesota**  
**Quarterly Report of Grant and Contract Activity**  
**Award Summary by Sponsor**  
**Fiscal Year 2009; Second Quarter October - December 2008**



**Figure 2: Award Totals by Sponsor.**

Comparison for Fiscal Year 2009 versus Fiscal Year 2008. (For numerical data see Table 2 below.)

Agency	Quarter 2		YTD		% Change
	FY08	FY09	FY08	FY09	
<b>Total Fed</b>	\$84	\$105	\$249	\$212	-15%
<b>NIH</b>	\$39	\$49	\$133	\$119	-11%
<b>NSF</b>	\$13	\$15	\$44	\$28	-36%
<b>Private</b>	\$22	\$27	\$49	\$54	10%
<b>Bus &amp; Ind</b>	\$15	\$11	\$23	\$14	-39%
<b>State of MN</b>	\$7	\$16	\$28	\$25	-11%
<b>TOTAL</b>	<b>\$132</b>	<b>\$159</b>	<b>\$349</b>	<b>\$305</b>	<b>-13%</b>

Table 2: Qtr 2 and Fiscal Year comparisons.



**University of Minnesota**  
**Quarterly Report of Grant and Contract Activity**  
**Award Summary**  
**Fiscal Year 2009; Second Quarter October - December 2008**

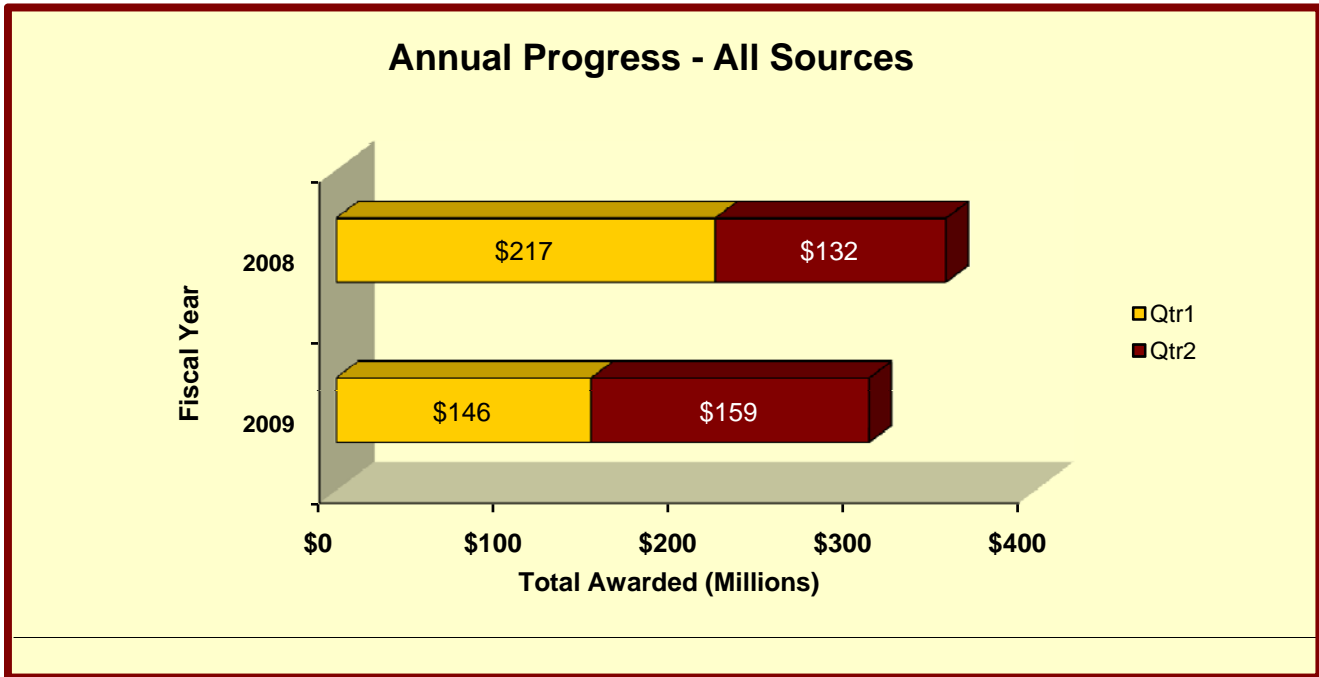


Figure 3: Quarter Comparison – All Sources.

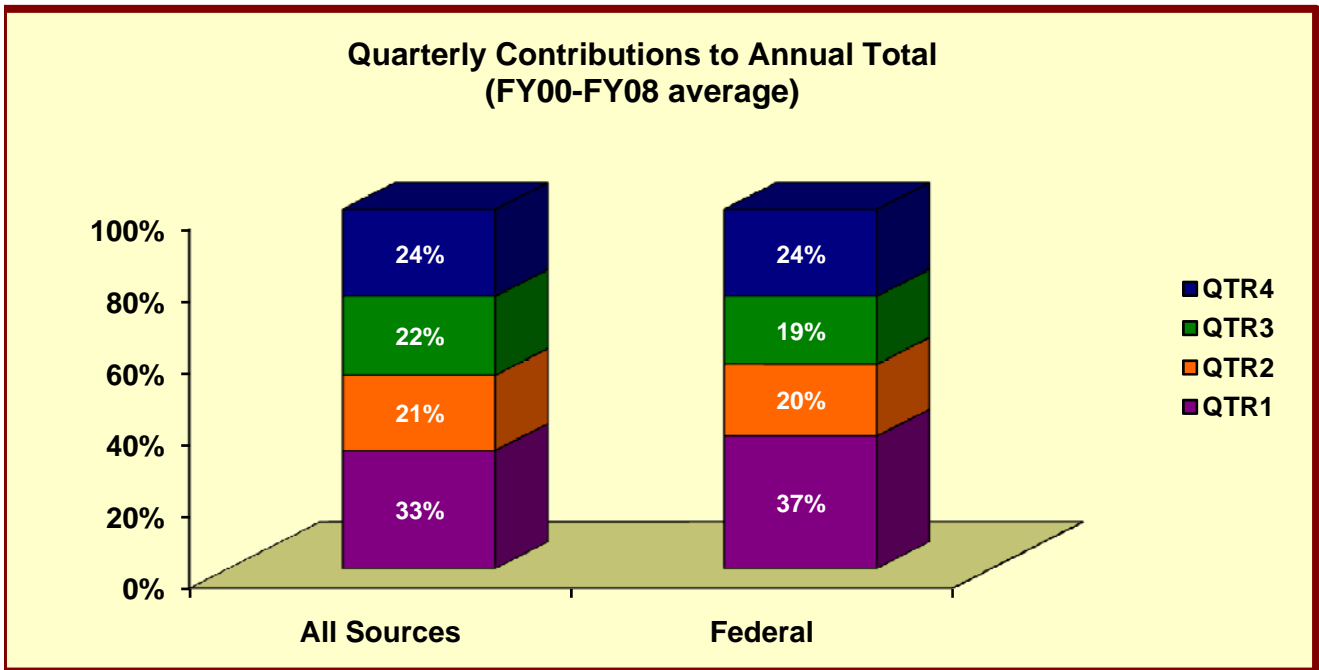
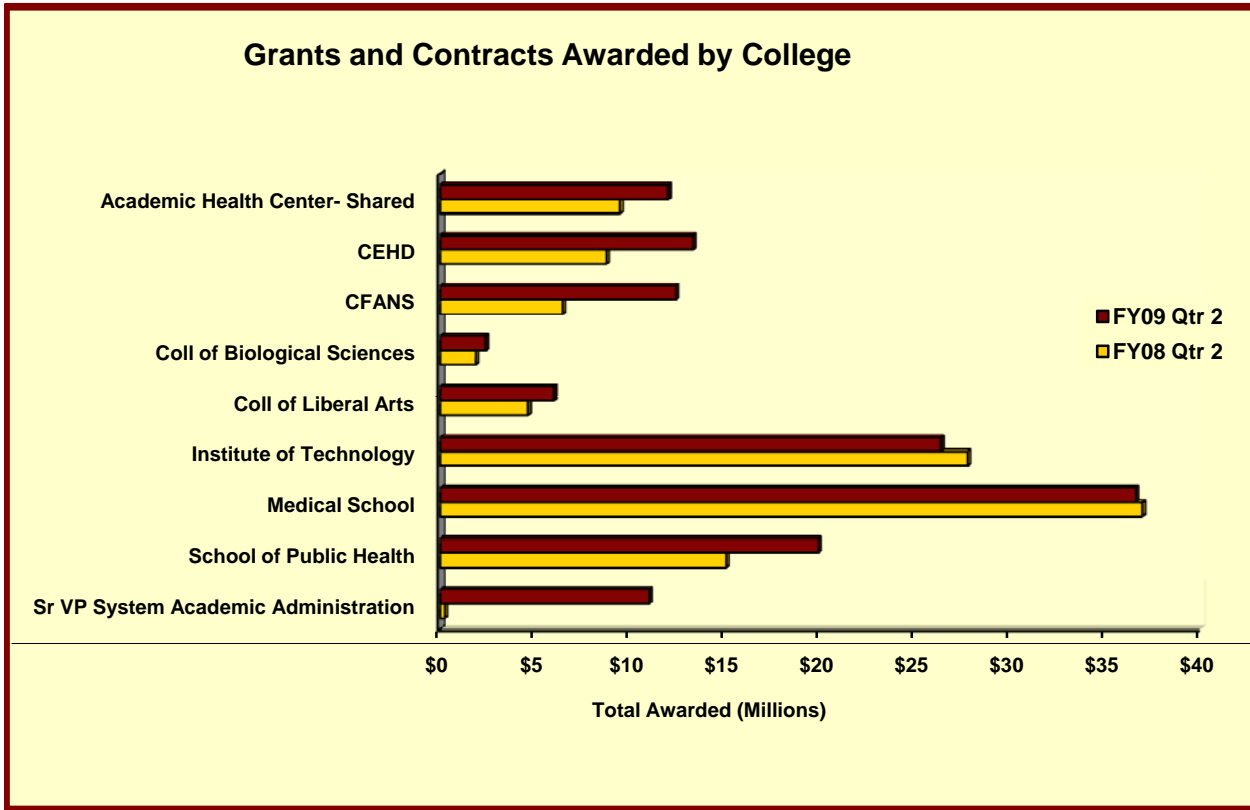


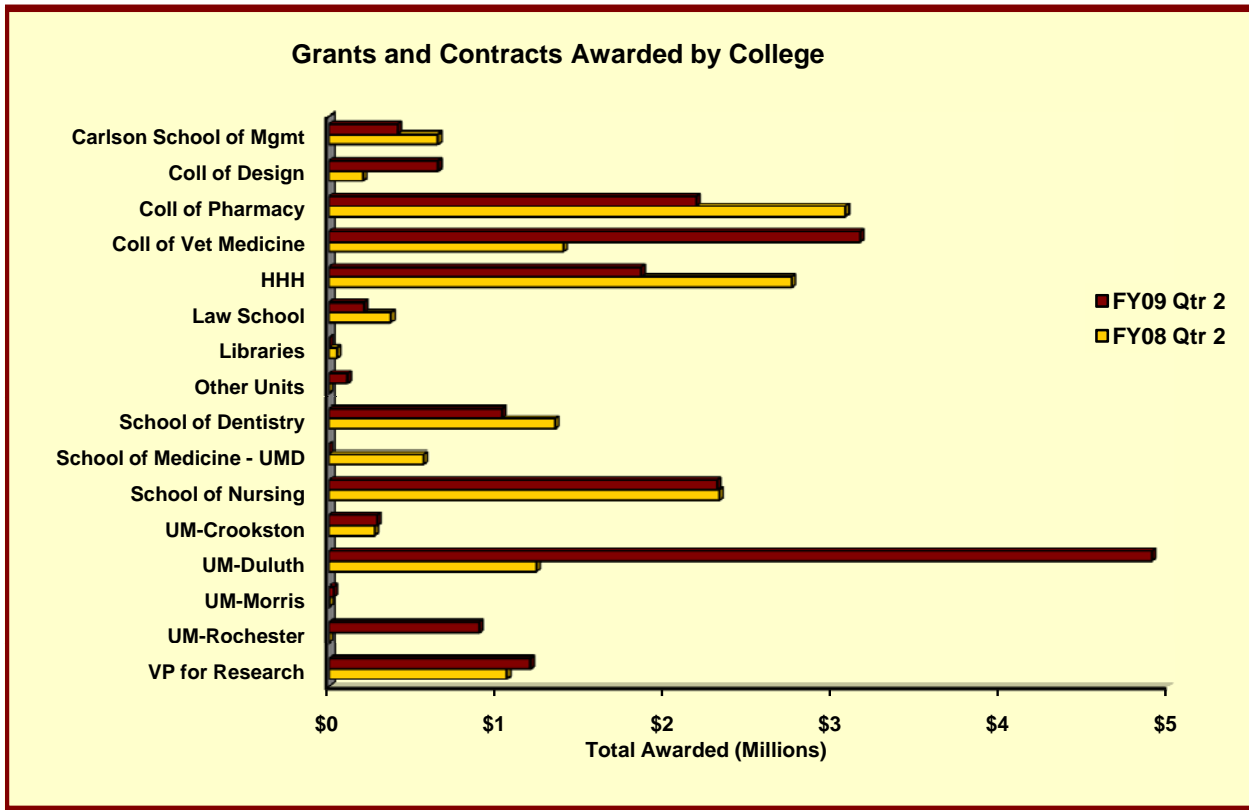
Figure 4: Quarterly Contribution as a percent of year-to-end total (FY00-FY08 average).  
 On average, over the past eight years, 54% of the yearly total has been awarded by the end of Quarter 2.

**University of Minnesota**  
**Quarterly Report of Grant and Contract Activity**  
**Award Summary by College**  
**Fiscal Year 2009; Second Quarter October - December 2008**



**Figure 5: Grants and Contracts Awarded by Colleges: Units with Greater than \$15 Million Awarded Annually.**

**University of Minnesota**  
**Quarterly Report of Grant and Contract Activity**  
**Award Summary by College**  
**Fiscal Year 2009; Second Quarter October - December 2008**



**Figure 6: Grants and Contracts Awarded by Colleges: Units with Less than \$15 Million Awarded Annually.**  
 Other units includes Scholarly and Cultural Affairs.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Board Calendar Annual Review

review       review/action       action       discussion

**Presenter:** Regent Patricia Simmons

**Purpose:**

policy       background/context       oversight       strategic positioning

To comply with Board of Regents Policy: *Board Operations and Agenda Guidelines* by presenting for annual review the revised Board of Regents Calendar (Calendar).

**Outline of Key Points/Policy Issues:**

The Calendar has been revised since it was last reviewed by the Board in April 2008. The current revisions were made to:

- bring the Calendar into compliance with amended or new Board policies;
- reflect existing practice; and
- correct inaccuracies.

**Background Information:**

Board of Regents Policy: *Board Operations and Agenda Guidelines* calls for an annual review of the Calendar.

## BOARD OF REGENTS CALENDAR

ACTION ITEM	BOARD/ COMMITTEE	MANNER OF PRESENTATION	NOTES
Annual Capital Budget	Board	Review/action (two months)	Two-month process; review in May and action the following meeting
Six-Year Capital Plan	Board	Review/action	Annual; two-month process; review in March and action the following meeting
Gifts	Board	BOR Consent Report (one month)	BOR Policy: <i>University Foundations</i>
Legislative Funding Request	Board	Review/action (two months)	Two-month process on a timeline consistent with deadlines for submission set by the state; requests are consistent with the University's fundamental planning documents
Operating Budget	Board	Review/action (two months)	Annual; two-month process in spring
Report of the All- University Honors Committee	Board	BOR Consent Report (one month)	
Summary of expenditures	Board	BOR Consent Report (one month)	Quarterly summary of expenditures for the Office of the President, the Office of the Board of Regents, and Eastcliff
University Plan, Performance & Accountability Report	Board	Review/action (two months)	Annual; September
Appointment or removal of Director of Audits	Audit		BOR Policy: <i>Reservation and Delegation of Authority</i>
Annual Audit Plans	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Audit Charter amendments	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Engagement, related fees, and all audit and non-audit services of public accountant	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Engagement of external audit firms	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
<u>Material</u> revisions to <u>internal budget</u> <del>or</del> audit plans <u>or budget</u>	Audit	Review/action (one month)	BOR Policy: <i>Audit Committee Charter</i>
Approval of academic program changes; new programs; program name changes; or program discontinuations	Educational Planning & Policy	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Reciprocity Agreements	Educational Planning & Policy	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Tuition policy	Educational Planning & Policy	Review/Action (two months)	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Tuition and fees	Educational Planning & Policy		EPP authorized but often handled <del>by</del> <u>through</u> BOR <u>approval of Annual Operating Budget</u>
Support for commercialization of technology to non-University entities	Educational Planning & Policy	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Campus master and district/precinct plans and amendments	Facilities	Review/action (two months)	<u>BOR Policy: Reservation and Delegation of Authority</u>
Capital budget amendments for approved projects	Facilities	Review/action (two months)	With a value greater than \$500,000
Capital budget amendments for new projects	Facilities	Review/action (two months)	With a value greater than \$500,000
Eminent Domain	Facilities	Review/action (two months)	BOR Policy: <i>Eminent Domain</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Lease agreements for real property, easements, and other interests in real property	Facilities	Consent Report	With an initial term amount to be paid to or by the University between \$250,000 and \$500,000
Lease agreements for real property, easements, and other interests in real property	Facilities	Review/action (two months)	With an initial term amount to be paid to or by the University greater than \$500,000
Sale or purchase of real property	Facilities	Consent Report	Between 10 and 40 acres, or with a value between \$250,000 and \$500,000
Sale or purchase of real property	Facilities	Review/action (two months)	Larger than 40 acres, or with a value greater than \$500,000
Schematic plans	Facilities	Review/action (one month)	Prior to inclusion in the annual capital budget, interior renovations having a value greater than \$5,000,000; projects with a value greater than \$2,000,000 that have an exterior visual impact; and projects that vary from adopted campus master plans or that have a significant visual impact
Appointments to boards and advisory committees	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Appointments to Organizations and Boards</i>

**NOTE:** Presentation dates are subject to change

<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Approval of initial appointments of those serving as senior vice president for academic affairs and provost, senior vice president for health sciences, senior vice president for system academic administration, chancellor, vice president, provost, general counsel, librarian, <u>director of audits, dean,</u> and athletic director, Twin Cities Campus	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Reservation and Delegation of Authority</i>
Changes to Civil Service rules	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Changes to the University Senate Constitution	Faculty, Staff & Student Affairs	Consent Report	BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Continuous Appointments	Faculty, Staff & Student Affairs	Review/Action (one month)	May; BOR Policy: <i>Board Operations and Agenda Guidelines</i>
Negotiated labor agreements	Faculty, Staff & Student Affairs	Review/action (one month)	Negotiating parameters are reviewed with the Board chair
Recommendations on Promotion and Tenure	Faculty, Staff & Student Affairs	Review/action (one month)	April; BOR Policy: <i>Faculty Tenure</i>
Changes to the approved central reserves budget greater than \$250,000	Finance & Operations	Consent Report	BOR Policy: <i>Central Reserves Fund</i>
Modification to the central reserves budget	Finance & Operations	Consent Report	BOR Policy: <i>Central Reserves Fund</i>
Expenditures from the general contingency fund greater than \$250,000	Finance & Operations	Consent Report	BOR Policy: <i>Central Reserves Fund</i>
Contracts for goods and services greater than \$250,000	Finance & Operations	Consent Report	BOR Policy: <i>Purchasing</i>

**NOTE:** Presentation dates are subject to change



<b>ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Debt issuance	Finance & Operations	Review/action (one month)	BOR Policy: <i>Debt Transactions</i>
Hiring of investment managers	Finance & Operations	Consent Report	BOR Policy: <i>Investment Transactions</i>
Annual Asset Management Report	Board	Receive and file	October; presentation of investment performance, measured against comparable industry standards
Annual Capital Financing & Debt Management Report	Board	Receive and file	October; report on amount and status of University debt; BOR Policy: <i>Debt Transactions</i>
Annual Financial Report	Board	Discussion	December; includes the University's audited financial statements, along with a narrative discussion of trends that measure progress in achieving University goals
Annual Review of Board of Regents Calendar	Board	Discussion	March
Annual Review of Board of Regents Policy: <i>Code of Ethics</i>	Board	Discussion	June; BOR Policy: <i>Code of Ethics</i>
Annual Review of President's Delegations	Board	Receive and file	<del>April</del> May report of significant changes; BOR Policy: <i>Reservation and Delegation of Authority</i>

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Civil Service Committee Report	Board	Receive and file	July
Conformance with Campus Master Plans Report	Board	Receive and file	Annual per Board resolution adopted September 6, 1996
Council of Academic Professionals and Administrators Report	Board	Receive and file	July
Eastcliff Report	Board	Receive and file	Annual; Fall
Faculty Consultative Committee Report	Board		Three times a year (February, June, September)
Grants and Contract Activity Review	Board	Receive and file	Quarterly
Report on Legal Matters	Board	Receive and file	September annual report to Board; February semi-annual report to Litigation Review Committee; BOR Policy: <i>Attorneys and Related Services</i>
Report on the Status of the University's Research	Board	Discussion	Annual; December
Student Representatives to the Board of Regents Report	Board	Discussion	BOR Policy: <i>Student Representatives to the Board</i> ; reports are usually given twice a year with the approval of the Executive Director
President's Minority Advisory Committee Report	Board	Receive and file	BOR Policy: <i>American Indian Advisory Boards</i>
University of Minnesota Alumni Association Report	Board	Discussion	Annual
Report of the University of Minnesota Foundations Report	Board	Discussion	Annual

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Annual Compliance Audit of Federal Award Programs	Audit	Discussion	Required by Federal government; typically consists of a review of the A-133 audits, which measure compliance with federal laws and regulations applicable to federal financial assistance received by the University
Annual Financial Statement	Audit	Discussion	Review format of wording prior to issuance; Board of Regents Policy: <i>Audit Committee Charter</i>
Independent public accountant annual audit	Audit	Discussion	November
Internal Audit Update	Audit	Discussion	Periodic update includes responses to previous requests regarding audit issues, an update on the implementation of audit recommendations, and reports of other matters relevant to University audit functions
Management Letter	Audit	Discussion	February
Review of Public Accountant	Audit	Discussion	Annual; BOR Policy: <i>Audit Committee Charter</i>
Semi-annual Controller's Report	Audit	Discussion	Report on the University's financial management systems
Reports of strategic plans of academic units	Educational Planning & Policy	Discussion	Focuses on ties to <i>University Plan, Performance &amp; Accountability Report</i> , program directions, personnel policy issues, financial policy issues, areas of concern, and notable achievements
Program reviews	Educational Planning & Policy	Discussion	
Academic Program Additions & Discontinuations Report	Educational Planning & Policy	Discussion/ Information	Annual; September

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Capital Planning and Project Management Report	Facilities	Discussion/ <u>Information</u>	Semi-annual; project status report and budget overview for projects in the capital budget
Design Guidelines	Facilities	Discussion	Design guidelines are presented for discussion when a project design represents an exception to adopted campus master/district/precinct plans
Final Review of Capital Projects	Facilities	Discussion	Approved Capital Projects with value greater than \$5,000,000 prior to the award of construction contracts; in months with no committee meeting, review by Board & committee chairs as long as within scope of plan and budget
Uses of Real Estate Acquisition Account	Facilities	Information	Uses of account for purposes other than the purchase of real estate must be reported to Board (Discussed in September 2003)
Administrative policies related to academic professional and administrative staff	Faculty, Staff & Student Affairs	Information	
Faculty and Staff Diversity Report	Faculty, Staff & Student Affairs	Discussion/ Information	Annual; Spring
Faculty Compensation Comparisons and Analysis	Faculty, Staff & Student Affairs	Discussion	March or April
Intercollegiate Athletics Report	Faculty, Staff & Student Affairs	Discussion/ Information; Agenda item on alternating years	May or June; may include reports on academic progress, coordinate campuses, or other intercollegiate athletics issues

**NOTE:** Presentation dates are subject to change

<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Staff Compensation Comparisons and Analysis	Faculty, Staff & Student Affairs	Discussion	April or May
Student Diversity Report	Faculty, Staff & Student Affairs	Discussion/ Information	Annual
Asset Management Report	Finance & Operations	Discussion	Quarterly; investment performance measured against comparable industry standards
Budget Update: Overview of Current Fiscal Year	Finance & Operations	Discussion	Semi-annual; overview of current fiscal year's operating budget compared to actual spending activity for current period
Central Reserves Fund Report	Finance & Operations	Discussion	Annual; update on status of Central Reserves Fund; BOR Policy: <i>Central Reserves Fund</i>
Debt Management Advisory Committee Update	Finance & Operations	Information	2-4 times per year; update on Debt Management Advisory Committee activities
Capital Financing & Debt Management Report	Finance & Operations	Discussion	Annual; update on amount and status of University debt; BOR Policy: <i>Debt Transactions</i>
Economic Development Report	Finance & Operations	Information	<del>Semi-Annual</del> ; BOR Policy: <i>Targeted Business, Urban Community Economic Development, and Small Business Programs</i>
Exceptions to BOR Policy: <i>Purchasing</i>	Finance & Operations	Information	BOR Policy: <i>Purchasing</i>
Expenditures from the general contingency fund less than \$250,000	Finance & Operations	Information	BOR Policy: <i>Central Reserves Fund</i>

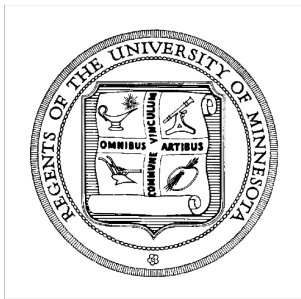
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<b>NON-ACTION ITEM</b>	<b>BOARD/ COMMITTEE</b>	<b>MANNER OF PRESENTATION</b>	<b>NOTES</b>
Insurance and Risk Management Report	Finance & Operations	Information	Annual; current insurance costs and average claims in various categories; review of annual insurance costs and claims for the past five years; and discussion of steps being taken to reduce risk exposure
Investment Advisory Committee Update	Finance & Operations	Information	Quarterly; update on Investment Advisory Committee activities
Investment Consultants Report	Finance & Operations	Discussion	University's investment results and allocations, with comparisons to peer institutions
Investment Managers Report	Finance & Operations	Discussion	Investment managers review of recent performance, rationale for investment decisions, and financial market trends
Management Report	Finance & Operations	Discussion	Semi-annual; includes an all-funds balance sheet, information on accounts receivables, a statement of changes in fund balance, and budget status by campus, college, and vice presidential unit
Purchasing Report	Finance & Operations	Discussion	Quarterly; BOR Policy: <i>Purchasing</i> ; includes violations of BOR Policy: <i>Purchasing</i>
Financial Oversight: Key Indicators	Finance & Operations	Information	Quarterly

**NOTE:** Presentation dates are subject to change

<b>RECOGNITIONS</b>	<b>SCHEDULE</b>
Distinguished McKnight University Professor Award Recipients	May
Faculty Consultative Committee Chair	June; outgoing
John Tate Award for Excellence in Undergraduate Advising Recipients	May
McKnight Presidential Endowed Chair	Scheduled as needed
McKnight Presidential Leadership Chair	Scheduled as needed
McKnight Presidential Fellows	Scheduled as needed
McKnight Land-Grant Professors	March
Morse Alumni Award Recipients	May
Outstanding Contributors to Postbaccalaureate, Graduate and Professional Education Award Recipients	May
Outstanding Community Service Award Recipients	May
President’s Award for Outstanding Service Recipients	May
Professional and Academic Staff Award Recipients	June
Regents Professors	Scheduled as needed
Student Representatives to the Board of Regents	Spring; for student representatives completing their terms
National/Big Ten Athletic Champions	Scheduled as needed
National scholarship recipients	Scheduled as needed; e.g. Marshall, Rhodes, Truman, etc.
<b>INTRODUCTIONS</b>	
Civil Service Committee leadership	July
Council of Academic Professionals and Administrators leadership	July
Newly appointed deans, vice presidents, and the University Librarian	Scheduled as needed after appointment

**NOTE:** Presentation dates are subject to change



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Consent Report

review       review/action       action       discussion

**Presenters:** Regent Patricia Simmons

**Purpose:**

policy       background/context       oversight       strategic positioning

To seek Board of Regents approval of items in the consent report, as required in Board of Regents Policy: *Reservation and Delegation of Authority*.

**Outline of Key Points/Policy Issues:**

Items for consideration:

- I. Report of the All-University Honors Committee  
The president recommends approval of the All-University Honors recommendation forwarded to the Board of Regents in a letter dated February 27, 2009.
- II. Gifts  
The President recommends approval of the Summary Report of Gifts to the University of Minnesota through January 31, 2009 (attached).
- III. Quarterly Summary of Expenditures  
The President recommends approval of the Quarterly Summary of Expenditures reports (attached).

**Background Information:**

**President's Recommendation for Action:**

The President recommends approval of the Consent Report.



**MEETING OF THE BOARD OF REGENTS  
GIFTS TO BENEFIT THE UNIVERSITY OF MINNESOTA  
SUMMARY REPORT\***

**March 13, 2009 Regents Meeting**

	<u>January</u>		<u>Year-to-Date</u>	
	<u>2009</u>	<u>2008</u>	<u>07/01/08 01/31/09</u>	<u>07/01/07 01/31/08</u>
<b>U of M Gift Receiving</b>	\$ 182,074	\$ 1,063,217	\$ 1,246,537	\$ 3,321,912
<b>4-H Foundation</b>	47,700	32,701	214,567	1,973,265
<b>Arboretum Foundation</b>	136,730	73,138	2,353,162	1,845,766
<b>MN Medical Foundation</b>	1,282,487	4,646,318	63,430,199	35,702,352
<b>Univ of MN Foundation</b>	<u>12,156,266</u>	<u>9,955,034</u>	<u>86,380,659</u>	<u>104,644,023</u>
<b>Total Gift Activity</b>	<u><b>\$ 13,805,258</b></u>	<u><b>\$ 15,770,408</b></u>	<u><b>\$153,625,124</b></u>	<u><b>\$ 147,487,318</b></u>

\*Detail on gifts of \$5,000 and over is attached.

Pledges are recorded when they are received. To avoid double reporting, any receipts which are payments on pledges are excluded from the report amount.

## Gifts to benefit the University of Minnesota

### Gifts received in January 2009

<u>Donor</u>	<u>Rec'd by</u>	<u>Gift/Pledge</u>	<u>Purpose of gift</u>
<b><u>\$1 Million and Over</u></b>			
Arthur W. Olofson	UMF	Gift	Academic Health Center
<b><u>\$500,000 - \$1,000,000</u></b>			
Alliss Educational Foundation	UMF	Gift	Scholarships
The McKnight Foundation	UMF	Gift	Center for Urban and Regional Affairs, Center for Sustainable Building, Baby's Space Partnership
<b><u>\$250,000 - \$500,000</u></b>			
Merle L. Bryant Estate	UMF	Gift	University of Minnesota, Duluth
Eleanor Hale Wilson Estate	UMF	Gift	Institute of Technology
Pfizer Animal Health	UMF	Gift	College of Veterinary Medicine
<b><u>\$100,000 - \$250,000</u></b>			
Annexstad Family Foundation	UMF	Gift	Scholarships
Muriel Whiteside	MMF	Gift	University of Minnesota, Duluth
Laura G. Gaskins Estate	UMF	Gift	College of Education and Human Development
5th District Eagles Cancer Telethon of Southern Minnesota	MMF	Gift	Masonic Cancer Center
Thomas B. Merner Estate	UMF	Gift	College of Liberal Arts
Beverly N. and N. Bud Grossman	UMF	Pledge	Weisman Art Museum
Boehringer Ingelheim Vetmedica Incorporated	UMF	Gift	College of Veterinary Medicine
Dr. Elmer R. Hokkanen Estate	UMF	Gift	College of Veterinary Medicine
W. Shelley Walsh Revocable Trust	UMF	Gift	Department of Intercollegiate Athletics
<b><u>\$50,000 - \$100,000</u></b>			
N. L. Gault	MMF	Gift	Scholarships
Philip C. Smaby Estate	UMF	Gift	Scholarships, College of Education and Human Development
Celladon Corporation	MMF	Gift	Biochemistry, Molecular Biology and Biophysics
Boston Scientific Corporation	MMF	Gift	Academic Health Center
Richard E. Nelson	UM	Gift	University of Minnesota, Duluth

**\$50,000 - \$100,000**

3M Company	UMF	Gift	Carlson School of Management, Institute of Technology, School of Dentistry
Anonymous	MMF	Pledge	Genetics, Cell Biology and Development
Caterpillar Incorporated	UMF	Gift	Institute of Technology
Lions Multiple District Hearing Foundation Incorporated	MMF	Gift	Otolaryngology
Minnesota Nursery Research Corporation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Catherine E. Cox Estate	UMF	Gift	University of Minnesota, Duluth
Robert Schachter and Karen Rylander	UMF	Gift	College of Veterinary Medicine
Caterpillar Foundation	UMF	Gift	Carlson School of Management, Institute of Technology
Audrey H. Kinney	UMF	Gift	College of Liberal Arts
Henkel Corporation	UMF	Gift	Institute of Technology
Minnesota Golf Course Superintendents Association	UMF	Pledge	College of Food, Agricultural and Natural Resource Sciences
Russell J. Penrose	UMF	Gift	Institute of Technology
The Wessner Foundation	MMF	Gift	School of Public Health

**\$25,000 - \$50,000**

Isabel M. Salisbury Trust	UMF/MMF	Gift	School of Nursing, Masonic Cancer Center
Bonnie B. Amren	MMF	Gift	Masonic Cancer Center, Medicine
Intel Corporation	UMF	Gift	Institute of Technology
Julius F. Wolff Jr. Estate	UMF	Gift	University of Minnesota, Duluth
Marbrook Foundation	UMF	Pledge	Center for Spirituality and Healing
Olga Zoltai	UMF	Gift	Graduate School
Pfizer Incorporated	UMF	Gift	Carlson School of Management, University of Minnesota, Morris
Rolland and Muriel Seltz	UM	Gift	Bell Museum of Natural History
The W. Duncan and Niven MacMillan Foundation	UM	Pledge	Minnesota Landscape Arboretum
Dr. Daniel S. Simon	UM	Gift	Weisman Art Museum
Boeing Company	UMF	Gift	Institute of Technology
P. and D. Kahn Philanthropic Fund	UMF	Gift	Institute of Technology
The Mortenson Family Foundation	UMF	Gift	Department of Intercollegiate Athletics
Anonymous	UMF	Gift	Minnesota Landscape Arboretum
Monsanto Company	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences

**\$25,000 - \$50,000**

Best Buy Purchasing LLC	UMF	Gift	Humphrey Institute of Public Affairs
Campbell Foundation	UMF	Gift	Carlson School of Management
Chevron Energy Technology Company	UMF	Gift	Institute of Technology
ConocoPhillips Company	UMF	Gift	Institute of Technology
Henry N. Somsen Trust	UMF	Gift	Law School
Hope Chest for Breast Cancer Foundation	MMF	Gift	Masonic Cancer Center
James H. Michael	UMF	Gift	Law School
John H. Kersey	MMF	Gift	Masonic Cancer Center
Longview Foundation	UM	Gift	Minnesota Landscape Arboretum
Lougee Family Fund	UMF	Gift	Libraries
Minnesota Dairy Promotion Council	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Robert O. Delaney Jr. and Jill Delaney	UMF	Gift	College of Liberal Arts, On Campus Stadium
Target Corporation	UMF	Gift	Humphrey Institute of Public Affairs
The Paula and William Bernstein Family Foundation	MMF	Gift	Scholarships, Surgery
Travelers	UMF	Gift	Carlson School of Management

**\$10,000 - \$25,000**

Martin and Brown Foundation	UMF/UM	Gift	Bell Museum of Natural History, Minnesota Landscape Arboretum
US Green Building Council	UMF	Gift	College of Design
St. Jude Medical Incorporated	UMF/MMF	Gift	Academic Health Center, Medicine
Thomson Reuters	UMF	Gift	Office of International Programs, College of Liberal Arts
Arthur C. Aufderheide	MMF	Gift	University of Minnesota, Duluth
Center for Computer-Assisted Legal Instruction	UMF	Gift	Law School
Katherine R. Lillehei Charitable Lead Annuity	UMF	Gift	School of Nursing
Aveda	UM	Pledge	Minnesota Landscape Arboretum
Curtis L. Carlson Family Foundation	UMF	Gift	Carlson School of Management, Humphrey Institute of Public Affairs
The ARK Foundation	UMF	Gift	Unrestricted
The Hawley Family Foundation Incorporated	UMF	Gift	Raptor Center

**\$10,000 - \$25,000**

Katherine R. Lillehei Charitable Lead Unitrust	UMF	Gift	School of Nursing
Bayer Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Erickson Foundation	UMF	Gift	Center for Spirituality and Healing
Ralph R. Kriesel Foundation	UMF	Gift	Humphrey Institute of Public Affairs
Robert and Maureen V. Vince	UMF	Gift	Academic Health Center
Warren D. and Nancy S. MacKenzie	UMF	Gift	Weisman Art Museum
WEM Foundation	UMF	Gift	College of Education and Human Development, Humphrey Institute of Public Affairs
Pioneer Hi-Bred International Incorporated	UMF	Gift/Pledge	College of Food, Agricultural and Natural Resource Sciences
Agrium Advanced Technologies Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences, University of Minnesota, Crookston
Elizabeth G. Weymouth	UM	Gift	College of Design
Hammel Green and Abrahamson Incorporated	UMF	Gift	College of Design
J. Stewart and Mary T. McClendon	UMF	Gift	Law School
Land O'Lakes Foundation	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Dr. John and Lorelei Bergman	UMF	Pledge	On Campus Stadium
Medtronic Foundation	UMF	Gift/Pledge	Various Colleges
Minnesota Livestock Breeders Association	UM	Gift	4H Foundation
Thomas Crook	MMF	Gift	Scholarships
A. William Hogle Estate	UMF	Gift	College of Liberal Arts
Alfred Harrison	MMF	Gift	Pediatrics
American Electric Power Company	UMF	Gift	Institute of Technology
David and Louise Gartzke Family Fund	UMF	Gift	University of Minnesota, Duluth
Dr. Ernest and Cathryn S. Kemble	UMF	Gift	University of Minnesota, Morris
Eugene E. Lampi Estate	UMF	Gift	Institute of Technology
Idexx Distribution Incorporated	UMF	Gift	College of Veterinary Medicine
J. F. Bell Trust for the University of Minnesota	UMF	Gift	Libraries
James A. Lawrence	UMF	Gift	Carlson School of Management
James L. Talmage	MMF	Gift	Schulze Diabetes Institute
John A. Economos	UMF	Gift	University of Minnesota, Duluth
Kevin L. Roberg	MMF	Pledge	Schulze Diabetes Institute

**\$10,000 - \$25,000**

Kraft Foods Global Incorporated	UMF	Gift	Carlson School of Management
Larsmont Development Incorporated	UMF	Gift	University of Minnesota, Duluth
Ruth M. Shallcross Estate	UM	Gift	Minnesota Landscape Arboretum
SimmonsCooper LLC	MMF	Gift	Masonic Cancer Center
Stanley S. Hubbard	MMF	Gift	Neurology
UES Incorporated	UMF	Gift	Institute of Technology
Ulteig Engineers	UMF	Gift	Institute of Technology
UnitedHealthcare Services Incorporated	UMF	Gift	Carlson School of Management
Wilma A. Rezner	MMF	Gift	Neurology

**\$5,000 - \$10,000**

Gerald C. Fox	MMF	Gift	Orthopaedic Surgery
Gowan Company LLC	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Joanna McAfee Childhood Cancer Foundation Incorporated	MMF	Gift	Pediatrics
Leonard O. Langer	MMF	Gift	Scholarships
Dr. J. Emory Morris	UMF	Gift	College of Biological Sciences
National Instruments Corporation	UMF	Gift	Institute of Technology
Rush Creek Golf Club LLC	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Catherine L. Anderson	MMF	Gift	Ophthalmology
George Weston Bakeries Incorporated	MMF	Gift	Neurology
Gray Plant Mooty Foundation	MMF	Gift	Medical School
Dr. A. Forrest Troyer	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
MOA Entertainment Company LLC	MMF	Gift	Pediatrics
Kristen Nelson Charitable Lead Trust	UMF	Gift	Academic Health Center
Frank H. Burton	MMF	Gift	Pharmacology
Westwood Professional Services Incorporated	UMF	Gift	Institute of Technology
Martin G. and Lora M. Weinstein	UM	Gift	University of Minnesota, Duluth
Eli Lilly and Company	UMF	Gift	College of Veterinary Medicine, Institute of Technology
General Mills Foundation	UMF	Pledge	Various Colleges
3M Foundation Incorporated	UMF	Pledge	Various Colleges
EMD Crop BioScience Incorporated	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences

**\$5,000 - \$10,000**

Anonymous	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
David A. Perreault	MMF	Gift	Schulze Diabetes Institute
Dr. Stanley A. Leonard	UMF	Gift	Bell Museum of Natural History
Novozymes Biologicals Ltd	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences
Olga B. Hart Education Foundation	UMF	Gift	Graduate School
Wells Fargo Foundation	UMF	Pledge	Various Colleges
JMM Limited Partnership	UMF	Gift	University of Minnesota, Duluth
Midwest Dairy Association	UMF	Gift	College of Food, Agricultural and Natural Resource Sciences, University of Minnesota, Crookston
Dr. Edward J. Cushing	UMF	Gift	College of Biological Sciences
Lillian F. Wallace Charitable Trust	MMF	Gift	Masonic Cancer Center
Barbara Gunderson Stowe and James B. Stowe	UMF	Gift	University of Minnesota, Morris
BD Diagnostics	UMF	Gift	College of Veterinary Medicine
Birdwing Spa	UMF	Gift	University of Minnesota, Duluth
CarboMedics Incorporated	MMF	Gift	Surgery
CIAC Travel Incorporated	UMF	Gift	Office of International Programs
David K. Wildung	MMF	Gift	Scholarships
Don and Lorraine Freeberg Foundation	UMF	Gift	Carlson School of Management
Drs. Marsha J. and William D. Beyer	UMF	Gift	University of Minnesota, Morris
East River Electric Power Cooperative	UM	Gift	4H Foundation
Edward C. Anderson	UMF	Gift	Law School
Ergodyne Corporation	MMF	Gift	Pediatrics
Florence J. Bouthilet	MMF	Gift	Scholarships
Friedreichs Ataxia Research Alliance	UMF	Gift	College of Biological Sciences
Gerald T. and Debra A. Sinnott	UMF	Pledge	University of Minnesota, Duluth
Hans O. Nyman Engineering Scholarship Fund	UMF	Gift	Institute of Technology
James B. Togeas	UMF	Gift	University of Minnesota, Morris
Laura H. Miles	MMF	Gift	Center for Biomedical Ethics
Ludmilla J. and Philip O. Isaacson	UMF	Gift	Raptor Center
Margaret Rivers Fund	UMF	Gift	Bell Museum of Natural History
N. Lynn Slifer	UMF	Pledge	College of Education and Human Development
Ronald J. Schutz	UMF	Gift	Law School
Roszell Family Fund	UMF	Gift	Weisman Art Museum

**\$5,000 - \$10,000**

RTP Company	MMF	Gift	Pediatrics
Schall Family Fund	UMF	Gift	College of Liberal Arts
The Marvin E. and Miriam R. Goldberg Foundation	MMF	Gift	Scholarships
The Samuel R. Noble Foundation Incorporated	UMF	Gift	Institute of Technology, College of Food, Agricultural and Natural Resource Sciences
Thomas F. Madison	UMF	Gift	Institute of Technology
Thomas J. Conrad	UMF	Gift	University of Minnesota, Duluth
Tian-Jun Li	UMF	Gift	Institute of Technology
Transwestern	MMF	Gift	Schulze Diabetes Institute
United Way of Tucson and Southern Arizona	MMF	Gift	Scholarships
University of Minnesota Medical Center, Fairview	MMF	Gift	Academic Health Center
Victoria D. Abrahamson	UMF	Gift	College of Design
Weck Charitable Trust	UMF	Gift	Institute of Technology
William D. Beyer	MMF	Gift	University of Minnesota, Duluth
William M. Powell	UMF	Pledge	University of Minnesota



UNIVERSITY OF MINNESOTA  
 BOARD OF REGENTS  
 SUMMARY OF EXPENDITURES  
 GENERAL OPERATIONS AND MAINTENANCE FUND  
 SIX MONTHS ENDING DECEMBER 31, 2008

	<b>CURRENT YEAR</b>			<b>PRIOR YEAR</b>		
	CURRENT BUDGET 2008/09	REVENUES/ EXPENDITURES YTD 2008/09	PERCENT EXPENDED	CURRENT BUDGET 2007/08	REVENUES/ EXPENDITURES YTD 2007/08	PERCENT EXPENDED
<b>Beginning Balance (Prior Year Carryforward)</b>						
<b>Revenues</b>						
Total Current Year Allocation Net Transfers	\$71,226	\$71,226		\$51,026	\$51,026	
Total Resources	<u>\$895,860</u>	<u>\$895,860</u>		<u>\$808,004</u>	<u>\$808,004</u>	
	<u>\$967,086</u>	<u>\$967,086</u>		<u>\$859,030</u>	<u>\$859,030</u>	
<b>Expenditures</b>						
Salaries	\$514,021	\$279,950	54.5%	\$497,862	\$227,359	45.7%
Fringe Benefits	\$161,069	\$84,986	52.8%	\$159,610	\$68,273	42.8%
Supplies, Expenses, Equipment	\$205,226	\$98,784	48.1%	\$203,723	\$90,620	44.5%
Total Expenditures	<u>\$880,316</u>	<u>\$463,720</u>	<u>52.7%</u>	<u>\$861,195</u>	<u>\$386,252</u>	<u>44.9%</u>
<b>Ending Balance</b>	<u>\$86,770</u>	<u>\$503,366</u>		<u>(\$2,165)</u>	<u>\$472,778</u>	

UNIVERSITY OF MINNESOTA  
 PRESIDENT'S OFFICE  
 SUMMARY OF EXPENDITURES  
 GENERAL OPERATIONS AND MAINTENANCE FUND  
 Six MONTHS ENDING DECEMBER 31ST, 2008 (2ND Quarter)  
 (Unaudited)

	<b>CURRENT YEAR</b>			<b>PRIOR YEAR</b>		
	CURRENT BUDGET 2008/09	REVENUES/ EXPENDITURES YTD 2008/09	PERCENT EXPENDED	CURRENT BUDGET 2007/08	REVENUES/ EXPENDITURES YTD 2007/08	PERCENT EXPENDED
<b>Beginning Balance (Prior Year Carry forward)</b>	\$382,581	\$382,581		\$389,880	\$389,880	
<b>Revenues</b>						
Total Current Year Allocation	\$4,072,071	\$3,895,402		\$3,673,853	\$3,436,105	
Total Resources	<u>\$4,454,652</u>	<u>\$4,277,983</u>		<u>\$4,063,733</u>	<u>\$3,825,985</u>	
<b>Expenditures</b>						
President's Office Salaries	\$1,523,242	\$803,252	52.7%	\$1,427,354	\$720,660	50.5%
President's Office Fringe Benefits	\$743,591	\$264,933	35.6%	\$709,380	\$226,307	31.9%
Supplies, Expense, Equipment Ofc of the President-General Operations	\$124,572	\$114,577	92.0%	\$128,129	\$116,370	90.8%
Eastcliff Management Office Salaries	\$100,012	\$56,628	56.6%	\$98,412	\$58,023	59.0%
Eastcliff Management Office Fringe Benefits	\$32,704	\$14,534	44.4%	\$32,181	\$13,649	42.4%
Supplies, Expense, Equipment Eastcliff Management Ofc-General Operations	\$39,500	\$32,219	81.6%	\$19,911	\$13,449	67.5%
President's Travel&External Relations	\$28,874	\$5,971	20.7%	\$28,358	\$6,080	21.4%
Fund Transfers	\$0	\$0	0.0%	\$43,416	\$0	0.0%
President's Discretionary	\$970,754	\$149,206	15.4%	\$995,000	\$404,347	40.6%
University Wide Memberships	\$376,077	\$240,992	64.1%	\$376,077	\$178,396	47.4%
Total Expenditures	<u>\$3,939,326</u>	<u>\$1,682,312</u>	42.7%	<u>\$3,858,218</u>	<u>\$1,737,281</u>	45.0%
<b>Ending Balance</b>	<u>\$515,326</u>	<u>\$2,595,671</u>		<u>\$205,515</u>	<u>\$2,088,704</u>	

**UNIVERSITY OF MINNESOTA  
EASTCLIFF OPERATIONS  
SUMMARY OF EXPENDITURES  
GENERAL OPERATIONS AND MAINTENANCE FUND  
SIX MONTHS ENDING DECEMBER 31, 2008  
(UNAUDITED)**

	CURRENT YEAR			PRIOR YEAR		
	CURRENT BUDGET 2008-09	REVENUES/ EXPENDITURES YTD 2008-09	PERCENT EXPENDED	PRIOR BUDGET 2007-08	REVENUES/ EXPENDITURES YTD 2007-08	PERCENT EXPENDED
<b>Beginning Balance (Prior Year Carryforward)*</b>	\$0	\$0		\$0	\$344	
<b>Revenues</b>						
Total Current Year Allocation	\$258,030	\$258,030		\$244,205	\$244,205	
Transfer from the General Contingency						
<b>Total Resources</b>	<u>\$258,030</u>	<u>\$258,030</u>		<u>\$244,205</u>	<u>\$244,549</u>	
<b>Expenditures</b>						
<b>Household Maintenance</b>						
Salaries, Fringes	\$20,291	\$10,931	53.9%	\$17,767	\$9,829	55.3%
Supplies, Expense, Equipment	<u>\$237,739</u>	<u>\$132,516</u>	<u>55.7%</u>	<u>\$226,438</u>	<u>\$108,493</u>	<u>47.9%</u>
<b>Household Maintenance Total</b>	\$258,030	\$143,447	55.6%	\$244,205	\$118,322	48.5%
<b>Transfer to/(from) the Project Reserve</b>					\$0	
<b>Ending Balance</b>	<u>\$0</u>	<u>\$114,583</u>		<u>\$0</u>	<u>\$126,227</u>	

Notes:

\* "Prior Year Carryforward" is normally transferred into the Eastcliff Capital Reserve.  
Eastcliff's Capital Reserve is not on this report since it's use is part of the normal capital project reporting process.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Report of the Faculty Consultative Committee

review       review/action       action       discussion

**Presenters:** Professor Emily Hoover

**Purpose:**

policy       background/context       oversight       strategic positioning

To provide the Board of Regents with an update on the goals and accomplishments of the Faculty Consultative Committee throughout the year.

**Outline of Key Points/Policy Issues:**

**Background Information:**

It is customary for the chair of the Faculty Consultative Committee to provide quarterly updates to the Board of Regents.

**Report of the Faculty Consultative Committee  
University of Minnesota Board of Regents Meeting  
March 13, 2009**

Madam Chair, Members of the Board, President Bruininks,

Thank you for the opportunity to visit and update you on some activities and plans on the faculty agenda.

Since my last report in December, great change has occurred. We have had a change of leadership in Washington. Our economy has changed in ways that are challenging for the University and for all Minnesotans. With the economic decline accelerating, FCC meeting agendas have focused on working with the administration to meet the significant challenges ahead for the university.

One of the changes put forth by the administration is a new model of graduate education at the University of Minnesota. Excellence in graduate education is vital to the quality and reputation of the University and faculty members are therefore keenly interested in the graduate school reorganization and eager to have their voices heard as the reorganization is planned so the result produces a stronger program. As has been said in the past by the Regents, by the administration, and by faculty, one of the strengths of the University is the system of shared governance and the effective consultation that typically takes place around major and even many minor decisions. The FCC has had numerous conversations with the administration and faculty groups to discuss the decision to reorganize the Graduate School and to ensure that faculty, and faculty governance, will have a significant role in all aspects of that reorganization. There is unanimity among the faculty on the importance of strengthening graduate education, but great differences of opinion on the best way to accomplish that goal. It is critical that all those voices be heard as decisions are made about exactly how the reorganization will be accomplished. We will continue to discuss and debate the mode of graduate education at the University and hope to work through the implementation committee that has been appointed by Provost Sullivan to ensure an outcome that all of us will support.

Change is also evident with the work of the Senate Committee on Educational Policy chaired by Professor Wambach, vetting educational policies through the Faculty Senate. All the educational policies have been reorganized and rewritten to ensure clarity, accuracy, and precision, and to ensure that everyone can find relevant policy provisions when they need them. The policies have been reviewed in draft by the Faculty Senate, as well as by several faculty governance committees and administrative groups. The policies will be brought to the Faculty Senate for a vote at its April meeting and the recommendation forwarded to the central administration for implementation.

Faculty leaders, coordinated by our faculty legislative liaisons, have visited with members of the Minnesota legislature. We discussed the importance of University autonomy and why a strong research university is essential to the state. Our legislative liaisons, Professors Sampson and Hayes, are in the process of organizing additional meetings between faculty leaders and legislators.

Shared governance among the Regents, the administration, and the faculty has been a foundation for the University's success to date and will be into the future. We believe that the University's programs, policies, and procedures emerge significantly stronger because of this collaboration. The faculty and the FCC look forward to working with the Board of Regents and the administration to address future funding challenges for the University.

Respectfully submitted,

Emily Hoover,  
Professor and Head, Department of Horticultural Science  
2008-2009 Chair, Faculty Consultative Committee



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Board of Regents Policy: Board Authority

review       review/action       action       discussion

**Presenters:** Regent Patricia Simmons

**Purpose:**

policy       background/context       oversight       strategic positioning

To act on proposed amendments to Board of Regents Policy: *Board Authority*.

**Outline of Key Points/Policy Issues:**

- The changes proposed are not substantive.
- The changes proposed are intended to provide language and formatting consistent with other Board policies.

**Background Information:**

In April 2001 the Board approved changes to Board of Regents Policy: *Reservation and Delegation of Authority*, necessitating a review of all Board policies. Upon completion of that process in 2007, an ongoing review was implemented that calls for each Board policy to be assessed at least once every three years.

Proposed amendments to the policy were reviewed at the Board's last meeting in February 2009.



**BOARD AUTHORITY**

**Adopted:** September 9, 1988

**Amended:** October 10, 2003

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY**

Page 1 of 1

**DRAFT** for action March 13, 2009

**BOARD AUTHORITY**

**Subd. 1. Authority.** The authority of the Board of Regents (Board) resides only with the Board as a whole and not in its individual members, except as the Board itself may have delegated specific authority to one of its members or one of its committees.

**Subd. 2. ~~Rule Violations Compliance.~~** ~~Any request or demand by a No~~ Board member ~~shall make any request or demand~~ for action must be consistent with that violates the written policies, rules, and regulations of the Board ~~or~~ and the University.

**Subd. 3. University Management.** Regents Members of the Board should be vigilant to ensure they are fully informed about the effectiveness of management at the University. Specific recommendations about University operations shall be presented in public meetings of the Board or its committees.





**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Board of Regents Policy: Board Policy Development

review       review/action       action       discussion

**Presenters:** Regent Patricia Simmons

**Purpose:**

policy       background/context       oversight       strategic positioning

To act on proposed amendments to Board of Regents Policy: *Board Policy Development*.

**Outline of Key Points/Policy Issues:**

- The changes proposed are not substantive.
- The changes proposed are intended to provide language and formatting consistent with other Board policies.

**Background Information:**

In April 2001 the Board approved changes to Board of Regents Policy: *Reservation and Delegation of Authority*, necessitating a review of all Board policies. Upon completion of that process in 2007, an ongoing review was implemented that calls for each Board policy to be assessed at least once every three years.

Proposed amendments to the policy were reviewed at the Board's last meeting in February 2009.



**BOARD POLICY DEVELOPMENT**

**Adopted:** March 8, 1991

**Amended:** May 12, 1995; October 8, 2004

**Supersedes:** (see end of policy)

**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY**

Page 1 of 2

**DRAFT** for action March 13, 2009

**BOARD POLICY DEVELOPMENT**

This policy defines policies of the Board of Regents (Board), distinguishes them from other University of Minnesota (University) policies, and describes the process through which Board policies are developed and adopted.

**SECTION I. POLICY TYPES.**

**Subd. 1. Board Policies.** Board policies generally deal with the University as a whole, establishing fundamental principles as a basis and guide for later action. Board policies are intended to be enduring rather than responses to a particular issue. Procedures are occasionally part of Board policies when such procedures bring clarity to the nature of the principle. More often, procedures are developed and refined administratively.

Board policies are specifically identified as such when considered and adopted by the Board. Substantive amendments to Board policies also must be adopted by the Board.

**Subd 2. Other University Policies.** Other University policies are needed to administer the University and its various units in accordance with state and federal legislation, reasonable administrative practice, and Board policy. While these policies may contain basic principles, they generally include procedures for managing the institution. The scope of other University policies is broad, ranging from the entire University to individual departments or units varies. Generally, other University policies are developed, approved, implemented, and modified according to the University administrative policy Developing University-wide Policy and Procedures.

When unusual circumstances suggest the advisability of Board approval of other University policies, changes to such policies also shall be submitted to the Board for action. ~~Other~~ University policies that have been reviewed and acted upon by the Board are noted in Board minutes ~~and are available in the following online categories:~~

- ~~—— (1) Academic/Administrative;~~
- ~~—— (2) Financial; and~~
- ~~—— (3) Human Resources.~~



UNIVERSITY OF MINNESOTA  
**BOARD OF REGENTS POLICY**

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Board Operations

**BOARD POLICY DEVELOPMENT**

**Adopted:** March 8, 1991

**Amended:** May 12, 1995; October 8, 2004

**Supersedes:** (see end of policy)

**DRAFT** for action March 13, 2009

**SECTION II. CONFLICTS WITH OTHER UNIVERSITY POLICIES.**

If other University policies conflict with Board policy, Board policy takes precedence.

**SECTION III. CORRECTIONS.**

Occasionally, minor corrections of Board policies are required if, for example, administrative titles or customary language usages change. When the substance of a policy is not altered, the executive director/secretary of the Board is authorized to make appropriate corrections after review by the Board chair.

**SECTION IV. FORMAT.**

Policies presented to the Board for consideration and adoption as Board policy shall include the following:

- (1) principles to guide subsequent action at the University;
- (2) text written in declarative statements; and
- (3) a distinctive format and page design (to be determined by the Board Office) that includes a history of the policy and any amendments.

**SECTION V. REVIEW.**

The Office of the General Counsel shall review all policies for consistent form and legality prior to adoption or amendment by the Board.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Board of Regents Policy: Student Representatives to the Board of Regents

review       review/action       action       discussion

**Presenters:** Regent Patricia Simmons

**Purpose:**

policy       background/context       oversight       strategic positioning

To review a proposed amendment to Board of Regents Policy: *Student Representatives to the Board of Regents*.

**Outline of Key Points/Policy Issues:**

The policy amendment provides greater flexibility to the Minnesota Student Association (MSA) and the Graduate and Professional Student Assembly (GAPSA) in electing the four Twin Cities student representatives. The current policy states that there shall be three students representing MSA and one representing GAPSA. The amendment states that at least two and no more than three students shall represent MSA and at least one and no more than two shall represent GAPSA. The amendment also removes the requirement that one of the Twin Cities representatives must be enrolled in a college based on the St. Paul campus.

The policy amendment was proposed by the current Twin Cities student representatives and the leadership of MSA and GAPSA and is supported by the Office of Student Affairs on the Twin Cities campus.

**Background Information:**

This policy amendment was reviewed by the Board on February 13, 2009.

Board of Regents Policy: *Student Representatives to the Board* was adopted by the Board on July 13, 1973 and last amended on February 10, 2006.



UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY

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**Board Operations**  
**STUDENT REPRESENTATIVES TO THE  
BOARD OF REGENTS**

**Adopted:** July 13, 1973

**Amended:** July 9, 1976; December 8, 1989; May 8, 1992;  
February 12, 1999; March 12, 2004; February 10, 2006.

**Supersedes:** (see end of policy)

**DRAFT** for action March 13, 2009

**STUDENT REPRESENTATIVES TO THE  
BOARD OF REGENTS**

Student representatives to the Board of Regents (Board) present the student voice to the Board, providing a unique perspective that assists the Board in its deliberations.

**SECTION I. REPRESENTATION AND ELECTION.**

**Subd. 1. Representation.** There shall be seven student representatives. Four students shall be elected from the Twin Cities campus and one student each from the Crookston, Duluth, and Morris campuses. Of the Twin Cities representatives, at least one and no more than two shall represent the Graduate and Professional Student Assembly (GAPSA) and at least two and no more than three shall represent the Minnesota Student Association (MSA), ~~one of whom shall be enrolled in one of the colleges based on the St. Paul campus.~~

**Subd. 2. Election.** Student representatives from the coordinate campuses shall be elected by the elected student legislative bodies of their respective campuses. On the Twin Cities campus, student representatives shall be elected by GAPSA and MSA according to the parameters set forth in Subd. 1. of this section. Guidelines and policies for such election shall be created by the appropriate electing body and approved by an ad hoc policy committee composed of the University Student Senate Consultative Committee and the current student representatives. This policy committee shall be convened by the chair of the Student Senate Consultative Committee.

**Subd. 3. Criteria for Election.** The primary election criteria shall be the ability of the candidate to function as an effective advocate for the widest range of student concerns and commitment of the time necessary to participate in Board committee activities.

**Subd. 4. Term of Office.** Student representatives shall be elected and named during the spring semester of each year. They shall assume office June 1 and serve for a term of one year or until replaced. The executive director/secretary of the Board shall arrange an orientation. During orientation, the student representatives shall elect a chair and two vice chairs.



UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY

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Board Operations

STUDENT REPRESENTATIVES TO THE  
BOARD OF REGENTS

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February 12, 1999; March 12, 2004; February 10, 2006.

Supersedes: (see end of policy)

**DRAFT** for action March 13, 2009

**Subd. 5. Alternate Student Representatives.** An alternate student representative shall be elected for each student representative and shall act in the event an elected student representative is absent, resigns, ceases to be enrolled (not including summer school), or is removed.

**Subd. 6. Vacancies.** In the event of a vacancy, the alternate shall become the student representative. If there is no alternate, the electing body, in accordance with Subd. 2 of this section, shall elect a replacement to serve for the remainder of the unexpired term.

**Subd. 7. Removals.** A student representative or alternate may be removed for unexcused absences or nonperformance of duties in accordance with procedures established by the student representatives and agreed to by the electing body.

**SECTION II. PROCEDURES FOR STUDENT REPRESENTATIVES AT BOARD MEETINGS.**

**Subd. 1. Committee Assignments.** There shall be two student representatives assigned to each of the following committees: Audit; Educational Planning and Policy; Facilities; Faculty, Staff and Student Affairs; and Finance and Operations.

**Subd. 2. Flexibility in Representation.** Student representatives are allowed flexibility in representation to the committees; i.e., a student representative may yield to another student representative who is not on the committee to speak on an issue under consideration.

**Subd. 3. Voting and Motions.** Student representatives shall not vote, but they may make suggestions that a Regent may affirm in the form of a motion.

**Subd. 4. Reports.** Student representatives may present the following reports to the Board:

- Report of the Student Representatives — The chair of the student representatives, upon recognition by the chair of the Board, may present the student representatives' viewpoint on appropriate issues before the Board.
- Semester Reports — Each semester the student representatives may present a report to the Board in writing and/or verbally. The executive director/secretary of the Board shall approve topics for reports and the methods of collecting data or requesting



UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS POLICY

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**Board Operations**  
**STUDENT REPRESENTATIVES TO THE  
BOARD OF REGENTS**

**Adopted:** July 13, 1973

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February 12, 1999; March 12, 2004; February 10, 2006.

**Supersedes:** (see end of policy)

**DRAFT** for action March 13, 2009

information.

**Subd. 5. Agenda Items.** Student representatives may submit agenda items for committees in the manner outlined in the Board's bylaws and policies so that proper docket materials are prepared for review by committee members in advance of the meeting.

**Subd. 6. Dissenting Points of View.** Student representatives may express a dissenting point of view on any report of a standing committee presented to the Board. Upon recognition by the chair of the Board, the chair of the student representatives shall present the report, orally or in writing.

**Subd. 7. Expenses of Student Representatives.** Expenses of student representatives related to Board meetings shall be reimbursed by the Board Office in accordance with existing University expense policies and Board guidelines for permissible expenses.

**Subd. 8. Expenses of Alternates.** Upon request by the chair of the student representatives and good cause being shown, the executive director/secretary of the Board, after consultation with the chair of the Board, is authorized to reimburse expenses for alternates to participate, as members of the audience, in committee deliberations. The role of the alternate shall remain the same; that is, the alternate may participate in committee deliberations as a student representative to that committee only in the absence of the elected student representative from that campus.

**SUPERSEDES:** THE ROLE OF ALTERNATES DATED FEBRUARY 11, 1977; STUDENT REPRESENTATIVES TO THE BOARD OF REGENTS DATED DECEMBER 8, 1989; STUDENT REPRESENTATIVE TO THE COMMITTEE OF THE WHOLE DATED DECEMBER 9, 1977; AND TWIN CITIES REPRESENTATIVES DATED DECEMBER 10, 1976.



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** Resolution Related to: Fiscal Year 2008-09 Operating Budget

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks  
Vice President/CFO Richard Pfitzenreuter

**Purpose:**

policy       background/context       oversight       strategic positioning

Board of Regents policy on Reservation and Delegation of Authority, Article 1, Section VII, Subd. 2 requires that the Board approve all requests for operating and capital budget appropriations from the State of Minnesota and positive or negative adjustments to the budget caused by a 1% or more change in total appropriations within a fiscal year.

**Outline of Key Points/Policy Issues:**

On December 4, 2008 the Governor announced a new state budget forecast which included a \$426.3 million budget shortfall for Fiscal Year 2009. On December 22, 2008 Finance Commissioner Tom Hanson, after receiving the approval of the Governor released \$154.9 million from the state's budget reserve account which reduced the deficit to \$271.4 million. The Governor then unallotted a total of \$20.0 million from the University of Minnesota. The unallotment represented approximately 2.9% of the enacted \$699.8 million state general fund appropriation. After deducting for the unallotment, the University's state general fund appropriation for Fiscal Year 2009 now totals \$679.8 million.

**Background Information:**

The Board of Regents has been briefed on the unallotment during previous meetings of the Board.

**President's Recommendation for Action:**

The President recommends approval of the attached resolution.





**REGENTS OF THE UNIVERSITY OF MINNESOTA**

**RESOLUTION RELATED TO  
FISCAL YEAR 2008-09 OPERATING BUDGET**

**WHEREAS**, the University of Minnesota as the state's public, land grant university is charged with the responsibility to pursue knowledge and to help apply that knowledge through research and discovery, teaching and learning, and outreach and public service; and

**WHEREAS**, the State of Minnesota released on December 4, 2008, a new state revenue forecast that outlined a state budget shortfall of \$426,300,000 for fiscal year 2009; and

**WHEREAS**, the Governor of the State of Minnesota, has reduced the enacted general fund appropriations to the University of Minnesota by a total of \$20,000,000,

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Regents hereby approves a \$20,000,000 negative adjustment in the annual operating budget of the University of Minnesota in order to reflect the reduction in the University's enacted state general fund appropriation by the Governor of the State of Minnesota.

**University of Minnesota  
FY09 Appropriation Un-Allotment**

The \$20 million unallotment was distributed to all units within the University, recognizing each unit's recurring level of state support and accumulated balances in those funds. Final unallotments were determined within each Vice Presidential area based on University priorities and the specific financial circumstances of the units. The impact of these reductions varies, but is generally a decrease to unit reserves, an elimination of funds held for lower priorities, or a capture of unanticipated salary savings.

	A		B		C		D	
	Final Un-Allotment O&M	9,200	Final Un-Allotment State Special	74,700	Final Un-Allotment O&M	441,900	Final Un-Allotment State Special	350,000
1	<b>Board of Regents</b>	28,900						
2	<b>President's Office</b>	24,800						
3	<b>General Counsel</b>	198,500						
4	<b>Audits</b>	306,400						
5	<b>Budget and Finance</b>	600,000						
6	<b>University Services</b>	100,000						
7	University Services - VP	50,000						
8	Facilities Management	105,000						
9	Capital Planning/Project Mgmt	19,900						
10	University Health & Safety	1,181,300						
11	Public Safety	151,100						
12	Auxiliary Services	102,700						
13	Total - University Services	75,800						
14	<b>Human Resources</b>	113,600						
15	<b>University Relations</b>	123,900						
16	<b>Scholarly &amp; Cultural Affairs</b>	136,900						
17	<b>Sr. VP System Administration</b>	73,700						
18	Sr. VP Sys Academic Admin	727,800						
19	Sr. VP Sys Academic Adm-Units	255,700						
20	Equity & Diversity	627,300						
21	International Programs	883,000						
22	Information Technology							
23	Ag Experiment Station							
24	MN Extension Service							
25	Total - Sr. Vice President							
26	<b>Athletics</b>							
27	<b>Research</b>							
28	<b>TOTALS</b>							

**O&M = \$18,617,000      STATE SPECIALS = \$1,383,000**



**UNIVERSITY OF MINNESOTA  
BOARD OF REGENTS**

**Board of Regents**

**March 13, 2009**

**Agenda Item:** University Budget Update

review       review/action       action       discussion

**Presenters:** President Robert H. Bruininks  
Vice President/CFO Richard Pfutzenreuter

**Purpose:**

policy       background/context       oversight       strategic positioning

The President will discuss the current the February 2009 state revenue forecast and its potential impact on faculty, staff and students at the University of Minnesota. The President will also highlight significant new opportunities for the University of Minnesota from the recently enacted federal fiscal stimulus bill including new tax initiatives that will directly benefit Minnesota families and students enrolled at the University of Minnesota.

**Outline of Key Points/Policy Issues:**

On March 3, 2009 the State of Minnesota will have released its updated state revenue forecast which will demonstrate the extent of additional revenue shortfalls for the current fiscal year and provide a framework for final legislative action on fiscal year 2010 and 2011 appropriations. The Governor is expected to modify his budget based on this updated state revenue forecast.

**Background Information:**

The State of Minnesota previously released a state revenue forecast on December 4, 2008.