

# **Instability Patterns of Evaporative Dendrimer Deposits**

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*This thesis is dedicated to the memory of my father  
Gisik Jung  
who passed away during my study.*



# Abstract

The purpose of this project is to understand the instability mechanism behind dendrimer pattern formation in evaporating micro-meter size droplets. Evaporation of droplets of alcohol-dendrimer solution leaves a unique solute pattern on a substrate, where the detailed structure depends on the system variables. We are interested in developing a theory of the morphology of the dendrimer deposits that encompasses evaporation effects, solvent hydrodynamics, and solute particle chemistry.

Our approach is to consider a two-dimensional coarse-grained model of dendrimer particle deposition that involves two mechanisms: transfer of solute particles by a convective flow and an inter-particle attraction competing with the convective flow. The configuration of a drying droplet is determined by the distribution of particles on a substrate and the volume fraction of them in a droplet. The Hamiltonian of each configuration is defined to account for both a convective flow and an inter-particle attraction. The evolution of the Hamiltonian is computed by Monte Carlo method to simulate the dendrimer pattern formation and associate patterns with system parameters. We found four basic regimes of morphologies that range from ringlike, wavelike, and fingerlike to islandlike patterns depending on the number of particles and the relative strength of a convective flow and an interaction.

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# Chapter 1

## Introduction

### 1.1 General

Recently the behavior of particles in an evaporating small droplet ( $\mu m$ ) was explored by scanning probe microscopy (SPM) technique [5]. The experiments, reviewed in Chapter 3, found unique instability patterns that depend on experimental conditions such as the macromolecule/nanoparticle concentration and outer shell chemistry, the solvent type and evaporation rate, the surface chemistry and morphology of the substrate material, as well as the temperature of the system.

Unique morphologies - periodically ‘scalped’ and molecularly stratified rings - were found by drying a micro-meter droplet with a nanoscale dendrimer solute molecules in pentanol and hexanol solvent on mica. The detailed structures, stratifications, are ascribed to the effect of dendrimer surface chemistry. However, the scalped structure imposed upon the ring structure of evaporative dendrimer deposits has not been well interpreted [5, 6]. The mechanism behind the formation of scalped rings is known partly through



the theory of solute transfer by Deegan et al [7, 8]. The theory successfully interpreted the coffee ring phenomenon that is a dark ring of coffee left behind when a coffee droplet dries on a countertop. A simple ring is formed because the evaporation induced convective flow carries the particles toward the pinned contact line.

More broadly, evaporative self-assembly of solute particles has been widely used as a simple and inexpensive way to create patterns on a solid surface [1]. Self-assembly of nanoparticles in evaporating systems is one convenient tool to make structures for a variety of applications: microelectronics or optoelectronics sensors, information processing and data storage devices, polymer films and coatings, DNA/RNA microarrays, gene mappings, and drug discovery [1, 2, 3]. In general, the forces involved in self-assembled aggregation are weaker than ionic or covalent forces making the process reversible, but strong enough to build complex structures. The weak interaction enables particles to adjust themselves into an equilibrium structure (i.e., particles are self-assembling) [4].

## 1.2 Goals

This project aims to understand mechanisms for the formation of the ‘scallop’ or wavelike structures left behind in a micro-meter droplet and to interpret them in terms of the competition between a convective flow and an interparticle interaction. A simple coarse grained lattice is considered to model both a convective flow and an interaction. The behavior of particles on the lattice is simulated by Monte Carlo method.

The coarse grained lattice model was proposed by Rabani et al. and is

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suitable to study the effects of interactions between particles, liquid, and a substrate [9]. The model by Rabani et al. successfully incorporates with the dynamics of an evaporating thin film and diffusion of nanoparticles to describe a number of nanoparticle-self assembly patterns. It includes disk-like and ribbon-like patterns that are reminiscent of spinodal decomposition, network-like and wormlike patterns arises from nucleation and growth during evaporation of thin films [9]. Lattice models have been extended to capture a variety of structures of drying thin films including instability branched patterns [10], ringlike patterns from thin film dewetting [11], 3D stalagmites patterns in an evaporating thin film [12], structures on a diblock copolymer substrate [13], molecular pumps [14], and nanorods [15]. In most investigations using the lattice model, it is assumed that the effects of hydrodynamic convection and height change of liquid are negligible. However, describing scalloped (wavelike) pattern formation requires accounting for hydrodynamic convection for particle transport and the height change of a liquid droplet for particle deposition. The current project aims to explore the behavior of the model that includes both the hydrodynamic convection and the height change of a liquid droplet as well as inter-particle interactions.

### 1.3 Outline

In Chapter 2, we review general behavior of liquid thin films and sessile droplets in evaporation and the corresponding deposited patterns. The morphological ‘rim’ instability occurring in dewetting thin films is briefly mentioned. In Chapter 3, unique dendrimer patterns from experiments by Li et al. are presented. These experiments motivated the present study. We build a coarse

grained model in Chapter 4. The simulated patterns and the comparison with the patterns obtained from the experiments are presented in Chapter 5. In Chapter 6 we discuss the results and present some ideas for future work.

# Chapter 2

## Literature Review

### 2.1 Evaporations of Liquid on a Solid Surface

Evaporation is a phase transition occurring at the liquid-air interface. Evaporation of liquid on a substrate involves several important features such as the dynamics of the droplet contact line [16], the interfacial Marangoni flow [17, 3] and the capillary flow [7]. Molecules in the liquid are carried by the evaporation-induced flows.

In this section, the evaporation of droplets and thin films are studied. The basic difference between these two classical systems is that liquid of a droplet partially wets the substrate with a finite contact angle  $\theta_c$ , while a thin film completely wets the surface [18]. Evaporations in a droplet and in a film are quite different and give rise to different deposit patterns. In the following subsections we will analyze the evaporation process of each system.

### 2.1.1 Evaporation of Droplets

We are interested in small sessile droplets and their evaporation. First, let us consider how to determine the equilibrium shape of an axisymmetric drop on a substrate given with a liquid volume  $V_0$ . We assume that the droplet partially wets the substrate with the contact angle  $\theta_0$ . Note that the rim of the droplet is where the three phases meet. We can compute the contact angle  $\theta_0$  from Young's Equation

$$\gamma_{SV} = \gamma_{SL} + \gamma_{LV} \cos \theta_0, \quad (2.1)$$

where  $\gamma_{SV}$ ,  $\gamma_{SL}$ , and  $\gamma_{LV}$  are free surface energies between solid-vapor, solid-liquid and liquid-vapor, respectively.

The droplet shape  $z = h(r, \theta)$  varies with the radius  $R_0$ , which determines the relative contribution of gravity force and surface tension  $\gamma$  to the shape [18]. We describe the shape with the Laplace-Young Equation:

$$p_i - p_o = -\gamma_{LV} K, \quad (2.2)$$

where  $p_i$  is a pressure in a drop,  $p_o$  is an air pressure, and  $K$  is the sum of the two principle curvatures.

Define the capillary length  $\kappa^{-1}$  as

$$\kappa^{-1} = \sqrt{\gamma/\rho g}, \quad (2.3)$$

where  $\gamma$  is a surface tension, and  $\rho$  is a density of liquid. If  $R_0 < \kappa^{-1}$ , the effect of gravity on the liquid pressure  $p_i$  is negligible and therefore we can

assume that  $p_i$  is constant. In this case Eq. (2.2) becomes

$$K = (p_i - p_o)/\gamma_{LV} \equiv \text{const} < 0, \quad (2.4)$$

where the curvature  $K$  is expressed in terms of the derivatives of  $z = h(r, t)$ . With the boundary condition  $h(R_0, \theta) \equiv 0$ , it is known that the droplet takes a shape of a spherical cap:

$$h(r, t) = \sqrt{\frac{R_0^2}{\sin^2 \theta_0} - r^2} - \frac{R_0}{\tan \theta_0}. \quad (2.5)$$

If  $\theta \ll 1$ , the shape is approximately given by

$$h(r, t) \approx \frac{R_0^2 - r^2}{2R_0} \theta_0. \quad (2.6)$$

We will use this Eq. for the droplet shape in our model in Chapter 4. It is also known that the spherical caps are stable with the the contact line and the surface perturbations [19].

The evaporation rate of a droplet is limited either by mass transfer at the interface from the liquid to the air or by mass removal of by diffusion of the vapor to the surrounding air. When the diffusion of vapor in air is rapid or there is an air convection, the evaporation rate is determined by mass transfer rate from the liquid to the air. This regime is referred as transfer-rate-limited evaporation. In this regime, the evaporation is fast and uniform over the droplet, and the concentration of the vapor in air is constant. In this case the evaporation rate is proportional to the area of the droplet ( $\approx R^2$ ), and the evaporation rate  $J_s$  is constant. Studies of deposit patterns for transfer-rate-limited evaporation are rare [20].

The alternative case is evaporation limited by vapor diffusion to the surrounding air. This regime is called diffusion-limited evaporation. Since the diffusive mass flux is coupled with the droplet shape (as a boundary condition of the diffusion equation), the rate is not uniform over a droplet and increases near the contact line. The rate is proportional to the radius of the droplet ( $\approx R^1$ ), and its functional form is approximated by  $J_s \approx (1 - (r/R)^2)^{-\lambda}$ , where  $\lambda = (\pi - 2\theta_c)/(2\pi - 2\theta_c)$  and  $\theta_c$  is the contact angle. Most studies on deposit patterns have dealt with the diffusion-limited evaporation cases.

In either regime, we assume that relaxation of the equilibrium droplet shape is fast, compared to mass flux of evaporation. Evolution of a drying droplet is considered as a quasi-static process. Thus, we assume that the contact line is pinned at  $r = R_0$  and the droplet shape maintains the shape of a spherical cap with the contact angle  $\theta(t)$ , which is decreasing with time.

Now let us consider the hydrodynamic convection in a droplet which is induced by the diffusion-limited evaporation. During evaporation, according to Deegan and his coworkers [8], an outward *capillary* flow is developed inside the drying droplet with a contact line pinned. The outward flow replenishes the liquid at the contact line that is removed by the evaporation. They considered the diffusion-limited evaporation rate and obtained the flow field analytically. In this case, the analytical approximation of the evaporation rate is proposed as [8]:

$$J_s(r, t) = J_0 f(\lambda) [1 - (r/R_0)^2]^\lambda, \quad (2.7)$$

where  $\lambda = (\pi - 2\theta_c)/(2\pi - 2\theta_c)$ , and  $\theta_c = \theta_c(t)$  is a contact angle and  $R_0$  is the radius of a pinned droplet. Note that, in this case,  $J_s(r, t)$  is strongly enhanced toward the edge of the droplet. The flow is responsible for the

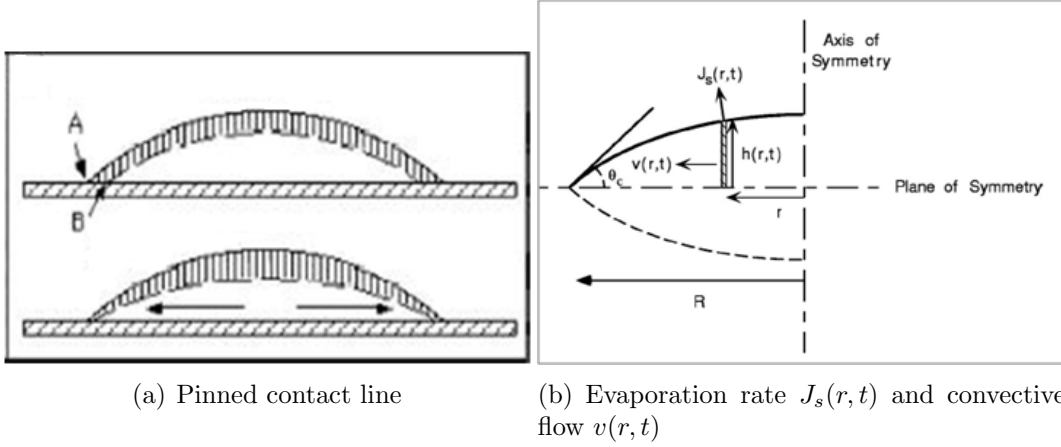


Figure 2.1: Shows the development of an outward capillary flow  $v(r, t)$  due to the pinned contact line at the point A and the diffusion-limited evaporation rate  $J_s(r, t)$  [8].

transfer of the solute particles to the contact line and for the formation of the ring-like deposits. We will study this phenomena in Chapter 2.2.1.

With the given rate  $J_s(r, t)$ , the equation for the height-averaged velocity field is obtained as

$$v(r, t) = -\frac{1}{\rho r h(r, t)} \int_0^r dr r \left( J_s(r, t) \sqrt{1 + (\partial_r h(r, t))^2} + \rho \partial_t h(r, t) \right), \quad (2.8)$$

considering the local mass balance of the liquid. Here  $\rho$  is the density of the liquid [8]. Figure 2.1 depicts development of the capillary flow due to the pinned contact line and non-uniform evaporation. We are going to use this formula to build up the Hamiltonian of particles in a drying droplet in Chapter 4.

### 2.1.2 Evaporation of Thin Films

Evaporation of thin films are more complicated than that of droplets, as the interaction with the substrate through van der Waals forces and electrostatic



forces is engaged [21]. When the characteristic size of a thin film reduces to sub-mirometers, thin films are not stable under the thermal height fluctuation due to these extra interaction forces [22, 23].

Consider a critical thickness of the thin film which it is unstable with respect to surface perturbation. The free energy change of the film due to surface perturbation is

$$\Delta H = \gamma\Delta A + \Delta G(h), \quad (2.9)$$

where  $h$  is the film height,  $\Delta A$  is an extra area,  $\gamma$  is the surface energy, and  $\Delta G$  is the extra interaction energy[23]. In this case, the critical wave length is computed as

$$\lambda_c = \sqrt{\frac{-2\pi^2\gamma}{d^2G/dh^2}}. \quad (2.10)$$

Note when there is no extra intermolecular energy,  $G \equiv 0$ , the critical wave length is infinite, resulting in the stable flat thin film. In particular, the extra interaction energy by van der Waals forces is given as

$$G = -\frac{A_{12}}{12\pi h^2}, \quad (2.11)$$

where  $A_{12}$  is the Hamaker constants. The undulation of the free surface of the thin film with a certain wave length  $\lambda$  increases the surface energy but the total energy decreases due to the van der Waals forces. The competition between the two energies determines the critical height  $h_c$  [22].

The dewetting behaviors of thin films depend on the film thicknesses. When the thickness of the film  $h$  is large ( $h > 100 \text{ \AA}$ ), the nucleation of holes are observed in the early stage of dewetting while, when the thickness decreases, the growth of uniformly distributed surface undulations are as shown

in [24] (“spinodal dewetting”).

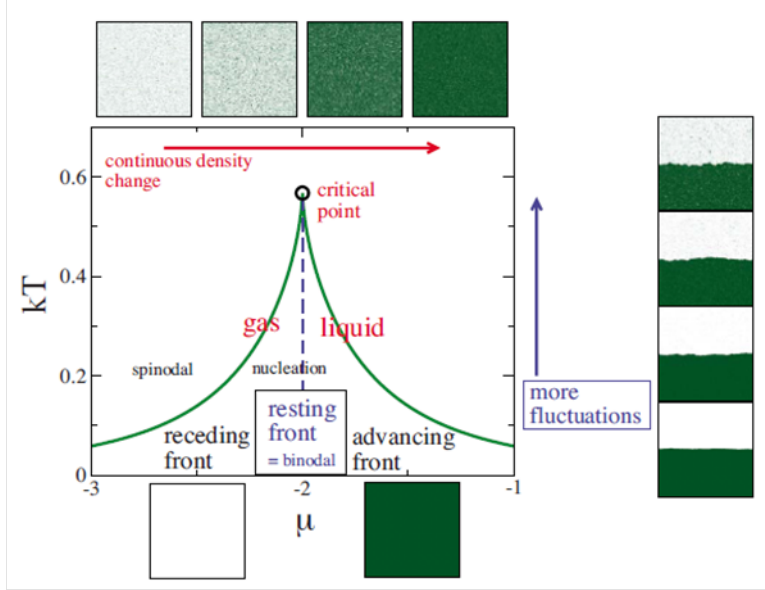


Figure 2.2: Phase diagram of a liquid thin film [10].

Now consider evaporation of a liquid thin film. The liquid-gas phase transition has been simulated by a two dimensional coarse grained Ising-type model, of the type used to describe the order-disorder transition in a ferromagnetic system [10]. The Hamiltonian of the classical Ising model is

$$E = -H \sum_i s_i - J \sum_{\langle i,j \rangle} s_i s_j, \quad (2.12)$$

where  $s = \pm 1$  is the spin of a cell,  $J$  is the magnetic moment, and  $H$  is the external magnetic field. If  $J > 0$ , the energy favors to have neighbors of the same type. Similarly, the Hamiltonian for evaporation of a thin film, modeled by the Ising model, is

$$E = -\epsilon_l \sum_{\langle i,j \rangle} l_i l_j - \mu \sum_i l_i, \quad (2.13)$$

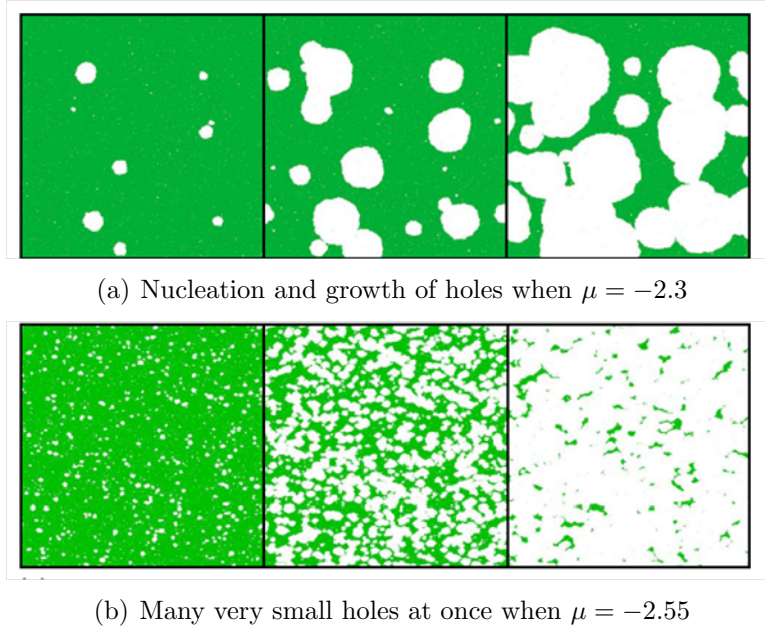


Figure 2.3: Typical examples of evaporation of liquid thin films

where  $l_i$  is the state of a cell  $i$  – liquid ( $l_i = 1$ ) and gas ( $l_i = 0$ ),  $\epsilon_l$  is the interaction energies for the nearest sites filled with liquid, and  $\mu$  is the chemical potential that drives the evaporation of liquid to gas. The critical chemical potential  $\mu_c = -2$  and the measure of temperature  $kT_c \approx 0.567$  are computed in the system [10].

Figure 2.2 shows the equilibrium phases and the resulting behavior of evaporation of a thin film. The evaporation dynamics follows either a nucleation and growth process or a spinodal-like process depending on the chemical potential  $\mu$  at a given temperature [10]. At the critical point  $\mu_c = -2$ , liquid and gas coexist. Where  $\mu$  is close to  $\mu_c = -2$ , nucleation and growth of holes occur (See Fig. 2.3(a)). At the larger value of  $|\mu|$ , very small holes of gas are created at once (See Fig. 2.3(b)). These two limits of evaporation are responsible for the various self-assembled nanoparticle patterns on substrate [9, 10] and reviewed in Chapter 2.2.2.

## 2.2 Evaporative Deposit Patterns

### 2.2.1 Coffee Ring Deposits

The formation of coffee rings is a manifestation of the effect of the convective capillary flow in the droplet [7]. Figure 2.4 shows one of examples of ring-like deposits left after evaporation [25]. In the model for particle transport developed by Deegan et al. [8], particles are assumed to be carried by the capillary flow  $v(r, t)$  until they deposit near the contact line. That is, the dynamics of the droplet are not affected by the particles. We will assume the same in our model for wavelike deposits in Chapter 4.

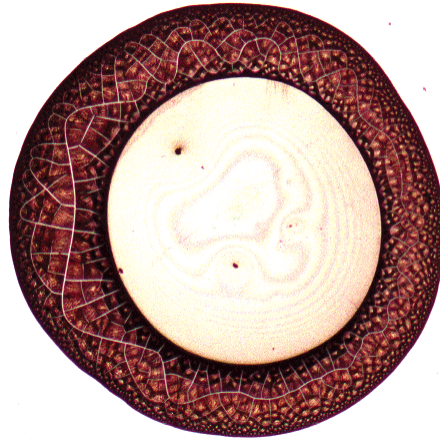


Figure 2.4: Light microscopy image of a ring formed by slow evaporation of a drop of an aqueous suspension containing 60 nm nanospheres on mirror quality titanium disk. Deviation from circularity indicates nonuniform contamination of the titanium surface. Ring diameter is 4.2 mm. See reference [25].

The morphological features of ring-like deposits depend on the types of the evaporation rate  $J_s$  [8]. Three different evaporation regimes are considered in Deegan's experiments: diffusion-limited evaporation where  $J_s$  is enhanced toward the contact line, transfer rate limited evaporation where  $J_s$  is constant over a droplet, and evaporation in a chamber which is artificially set up to

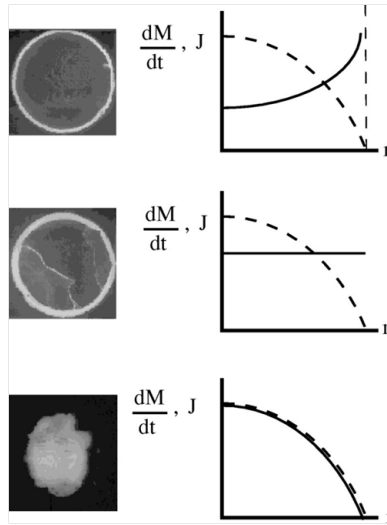


Figure 2.5: Photographs of the resulting deposit left by three identical drops dried under different conditions are shown in the left column [8].)

compare with the experimental results from the previous two. In the last case the droplet is evaporated in the chamber with a small hole above the center of the droplet so that  $J_s$  is largest at the center and goes to zero at the contact line. That is, the profile of the evaporation rate is similar to the shape of the droplet. Figure 2.5 illustrates the schematic profile of the three types of evaporations in the right column and the corresponding deposit patterns in the left column. The first two rows show ring-like patterns with different widths. The enhanced evaporation rate near the contact line in the first case creates a thinner ring than that from the constant evaporation rate. There is no ring-like pattern for the third case since no capillary flow is developed. In the simulation presented in Chapter 5, we consider diffusion limited evaporation to generate the ring-like patterns.

### 2.2.2 Self-assembly of Nanoparticles

Self-assembly of nanoparticles is a convenient technique to make nanostructures [26]. Unit particles or building blocks are induced to self assemble into a desired structure. This bottom-up approach involves very little manipulation of each unit particles. Other methods such as scanning probe or nanoscale nanolithography techniques control each molecules to construct nanostructures. This is generally very slow and expensive [26]. Self-assembly of nanoparticles is a spontaneous response of particles to find the lowest energy level under thermodynamic constraints and conditions (usually specified as ‘static’ self-assembly [4]). The applications of self-assembled structures covers electronic or optical devices, monolayered or multi-layered structures, and nanostructure arrays. In general, the forces involved in self-assembled aggregation are weaker than ionic or covalent forces which make the process reversible, but strong enough to self-fabricate complex structures.

In this section we focus on the self-assembly process occurring during the evaporation of a thin film. Nanoparticles rearrange and make various patterns due to the dynamics of the evaporation. Studies show the way to control and to tune self-assembly process using mixtures of two types of nanoparticles[25], nanorods[15], and patterned substrate [27, 28]. Two and three dimensional models are developed for monolayered[9] and multilayered structures[28, 12], respectively. Simulations of systems out of equilibrium often provide insight into the kinetics of the evaporation and the self assembling processes.

Rabani and his coworkers [9] first proposed non-equilibrium models that include the kinetics of evaporation in the pattern forming process.

A mesoscopic coarse grained lattice model is used for the self-assembly

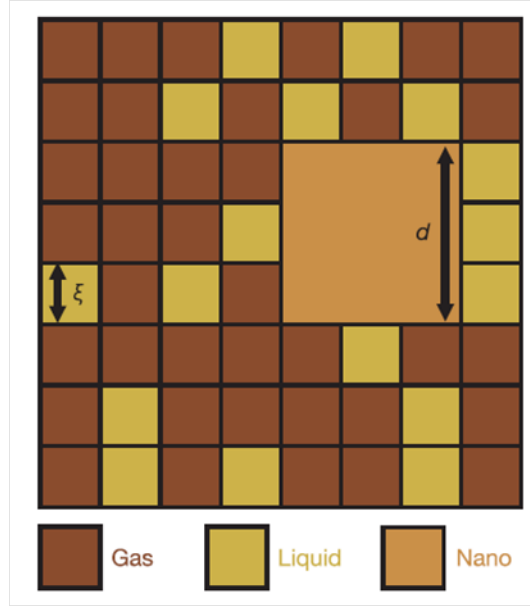


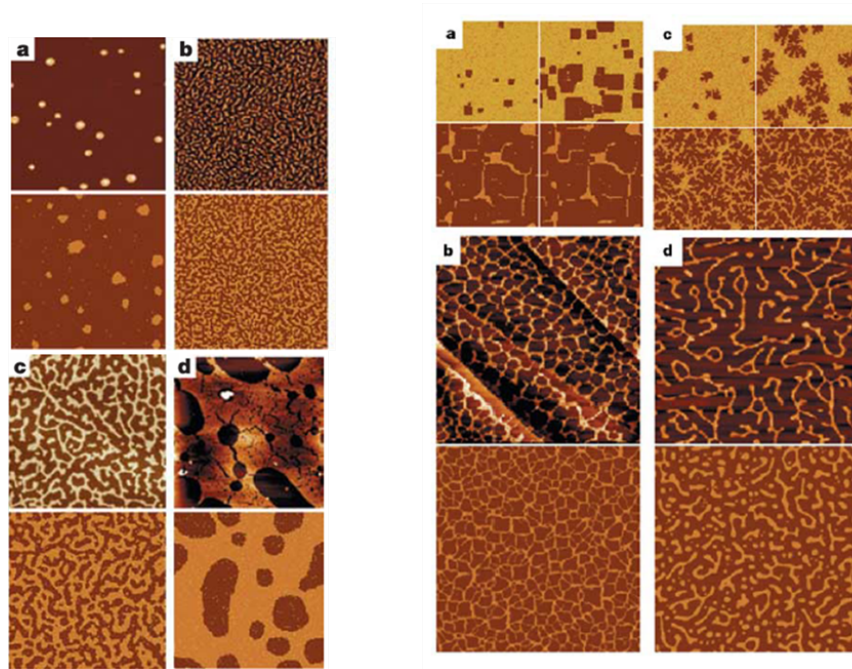
Figure 2.6: A sketch of the square lattice and important length scales of the mesoscopic model [9]. Here  $\eta$  is the size of the solvent's correlation length and  $d$  is the size of a nanoparticle. It is claimed that the size of particles does not affect the qualitative results.

process of nanoparticles during the evaporation of a thin film. Figure 2.6 shows a 2D square lattice of the model. The Hamiltonian  $H$  of the system over the rectangular lattice is given by

$$H = -\epsilon_l \sum_{\langle ij \rangle} l_i l_j - \epsilon_n \sum_{\langle ij \rangle} n_i n_j - \epsilon_{nl} \sum_{\langle ij \rangle} n_i l_j - \mu \sum_i l_i, \quad (2.14)$$

where  $l_i$  (=0 or 1) is a binary variable for liquid,  $n_i$  (=0 or 1) is a binary variable for a particle at  $i$ ,  $\epsilon_l$ ,  $\epsilon_n$ , and  $\epsilon_{nl}$  are interaction energies between cells of liquid and liquid, particle and particle, and particle and liquid, respectively. Also  $\mu$  is the chemical potential, and the first three terms only include sums on the nearest neighbor.

The value of the chemical potential  $\mu$  (or similarly  $kT$ ) is a control parameter to change the morphology of nanoparticles. That is, the kinetics of



(a) Morphologies when evaporation is homogeneous in space [9]. The coverages are 5%, 30%, 40%, and 60%, respectively, for the cases *a*, *b*, *c*, and *d*.

(b) Morphologies when evaporation is heterogeneous in space [9]. The coverages are 10%, 20%, 30%, and 20%, respectively, for the cases *a*, *b*, *c*, and *d*. In the case *d*, it is required that the nanoparticle domains are surrounded by solvent (i.e., the boundaries of domains are wet) through out the simulation.

Figure 2.7: Self-assembled morphologies from evaporation in experiments (top for each case) and in simulations (bottom for each case).

evaporation can alter the patterns significantly [9]. Depending on the evaporation conditions of thin films (See Fig. 2.3), two distinct mechanisms of self-assembly process are found. When evaporation is homogeneous (See Fig. 2.3(b)), it shows a simple coarsening behavior that is similar to ferromagnetic phase ordering and spinodal decomposition. The corresponding patterns are disk-like when the coverage is low while they are ribbon-like (percolating through the lattice) when the coverage is high (See Fig. 2.7(a)). On the other hand, when evaporation is strongly heterogeneous in space (See Fig. 2.3(a)),



the phase change occurs through nucleation and growth of vapor bubbles. The corresponding patterns are either network-like or worm-like domains (See Fig. 2.7(b)).

The dynamics of the lattice model are simulated using the Monte Carlo dynamics with a Metropolis probability:

$$p_{acc} = \min[1, \exp(\Delta H/k_B T)], \quad (2.15)$$

where  $k_B$  is Boltzmann's constant, and  $\Delta H$  is the change in energy between two configurations. The evolution is stochastic (probabilistic) in solvent fluctuation and also in nanoparticle diffusion. The random walks of nanoparticles are accepted with the probability  $p_{acc}$  but only if the cell into which is a liquid, limiting phase separation of nanoparticles without solvent.

Our goal in Chapter 4 is to extend Rabani's model to capture 3D morphology of deposits of an evaporating droplet, including the effect of a convective flow.

## 2.3 Morphological Instability

### 2.3.1 Rim Instability

The dewetting process of thin films creates different patterns depending on the film thickness [29]. Figure 2.8 shows the dewetting process of toluene solvent according to various film thickness. When the thickness  $h$  is  $10nm$ , the spinodal-like dewetting process appears. On the other hand, when  $h = 35nm$  and  $h = 185nm$ , it is the hole nucleation and growth that creates rims at the edge of growing dry holes. Further, the rims at  $h = 35nm$  show instability

patterns with a certain wavelength.

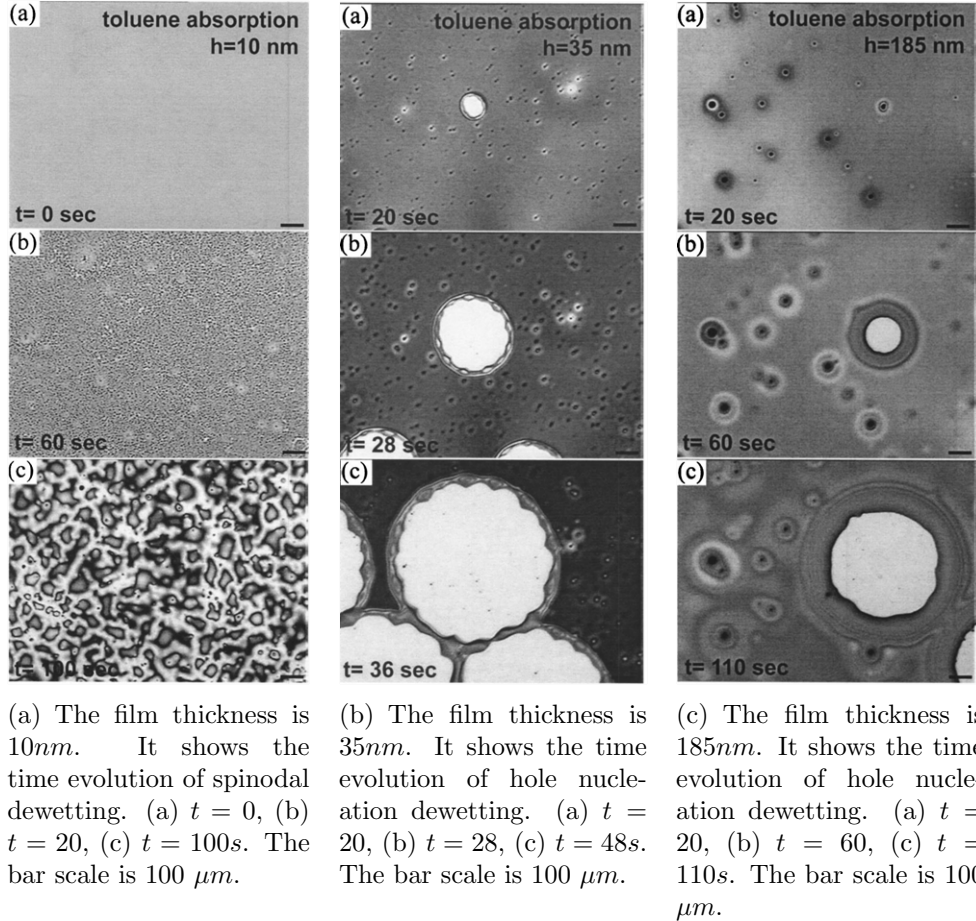


Figure 2.8: Optical microscopy images of the morphologies resulting from dewetting for the film of toluene for various film thicknesses [29].

The morphological instability of dewetting rims is ascribed to reduction of the surface energy unless it is coupled to the disjoining pressure or gravity [30]. Considering the viscous flow of polymers in a perturbed rim shapes, Brochard-Wyart et al. found the critical wavelength  $\lambda_c$  and the fastest mode  $\lambda_m$  [31]:

$$\lambda_c = 2.6w; \lambda_m = 4w, \quad (2.16)$$

where  $w$  is the width of a rim. The narrow rims are more unstable than the

thick rims and break spontaneously into droplets [31].

# Chapter 3

## Evaporative Dendrimer

### Deposits: Experimental Results

In this chapter, we review the experiments by Li et al. [5, 6] on evaporative patterns of monodispersed colloidal solutions of poly(aminoamine) PAMAM dendrimers. Dendrimers are three dimensional globular, highly branched macromolecules built up with a core surrounded by repeated branched units, all enclosed by a terminal surface group ‘shell’. Their sizes are highly controllable ranging from one to several tens of nanometers in diameter. The layers of repeated branch units are denoted by generation numbers such as G2, G3, and G4 in the increasing order of size and density. For each generation, a definite surface group can be specified. For instance, G4 dendrimers have the primary 64 terminal amine groups and G4-50%  $C_{12}$  dendrimers have 32 primary amino surface groups and 32 [N (2-hydroxydodecyl)] surface groups. The surface terminal groups are closely related to the magnitudes of particle/particle or particle/substrate interactions.

In the experiments by Li et al., dilute dendrimer-alcohol solutions of 0.01wt%

or 0.005wt% were prepared. After micrometer droplets with various generations and surface groups of PAMAM dendrimers were evaporated, the resulting dendrimer deposits on a mica were imaged using atomic force microscopy (AFM) for analysis. See Fig. 3.1 for a droplet setting used in the experiment. After evaporation, unique patterns were reported that depends on the system parameters. Varying the size and the surface chemistry of the particles, evaporation rates, and concentrations, Li et al. examined unique patterns deposited after evaporation and found relations between the deposit morphology and the characteristics of the solutions.

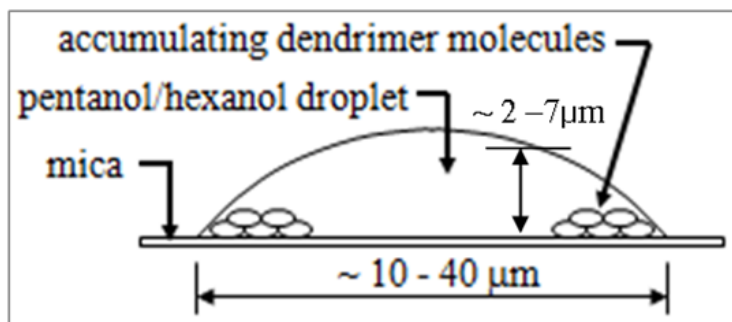


Figure 3.1: Dendrimer ring structures developed by accumulation of dendrimer molecules at the perimeter of the evaporating droplet [5].

The study shows that there are wave-like instability patterns both at small and large scales and step-like cross-sectional profiles of the deposits. These patterns are highly stratified and form periodically “scalped” rings with the small waves at the front edges of the each stratification (See Figs. 3.2 and 3.6(b)). Together with the distinctive waves in the radial direction, there is undulation in the height of the ring, which is only ten nanometer in thickness. The characteristics of the rings are quantitatively analysed according to the diameter,  $d$ , the number of minima in the width of the ring,  $k$ , the mean wavelength of the undulation,  $\lambda$ , and the mean width of a dendrimer ring,  $w$ .

These were measured from the AFM images. The plot in Fig. 3.4 shows the relation between the instability wavelength  $\lambda_m$  and the width  $w$  of the ring-like patterns.

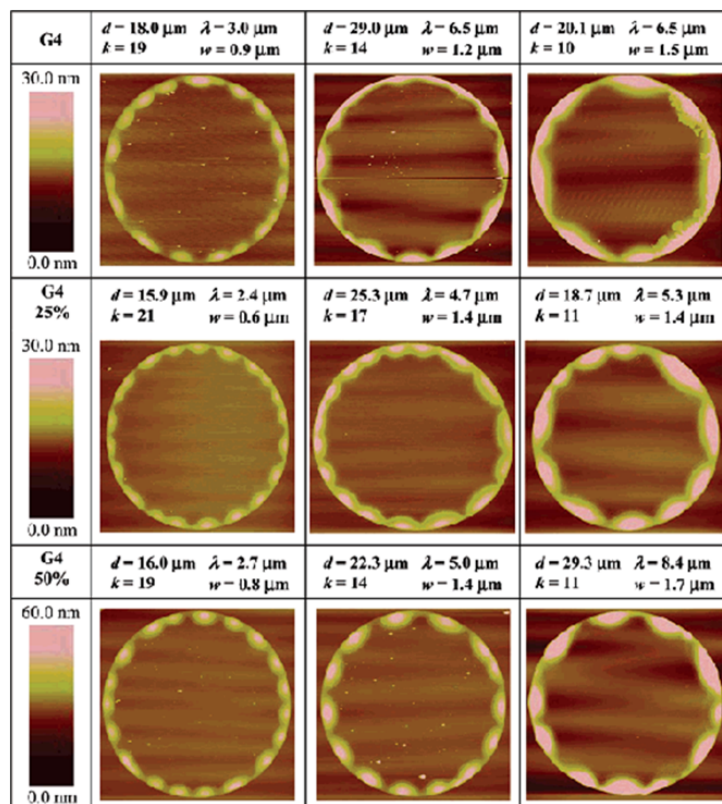


Figure 3.2: APM topographic images of representative ring structures formed by evaporation of microdroplets of G4, G4-25% $C_{12}$ , and G4-50% $C_{12}$  dendrimer pentanol solutions of 0.05wt% on mica [5].

In one study on the effects of the surface groups on the deposit morphology, Li et al. [5] used three types of surface group: G4 ( $\approx 14,000amu$  and  $1.8nm$  thickness of a deposited layer, and 64 terminal amine groups), G4-25% $C_{12}$  ( $\approx 20,000amu$  and  $4.7nm$  thickness of a layer, and 48 primary amino surface groups and 16 [N-(2-hydroxydodecyl)]) and G4-50% $C_{12}$  ( $\approx 26,000amu$  and  $4.7nm$  thickness of a layer, and 32 primary amino surface groups and 32 [N-(2-hydroxydodecyl)]) dendrimers in 0.05wt% pentanol solutions. Refer to

Fig. 3.2. Results show that overall morphologies do not depend on particular surface group but on the mean width  $w$  of the deposit rings. Wavelength of instability is not sensitive to the surface groups but is proportional to the mean width  $w$ . The width of the rings  $w$  depends primarily on the generation numbers of particles. The width of the rings  $w$  decreases as the generation number increases for a fixed weight fraction. This is because both the density and the areal density of surface sites of particles increases with the generation number (size). The number of particles reduces with the generation number for a fixed weight fraction. Also the packing of particles in higher generation number is more efficient since particles becomes stiffer owing to the higher areal density of surface sites. The slope of a line fitted to the data is approximately 4.6 for all particle surface groups. That is,  $\lambda_m = 4.6w$  (See Fig. 3.4(a)).

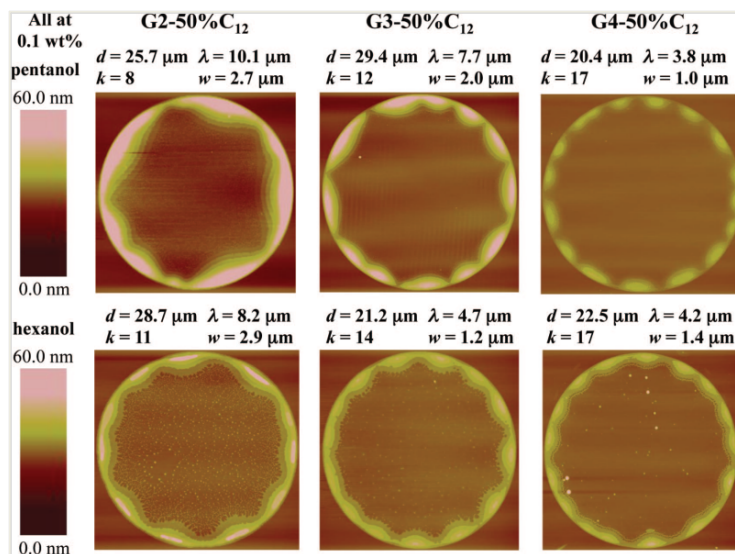
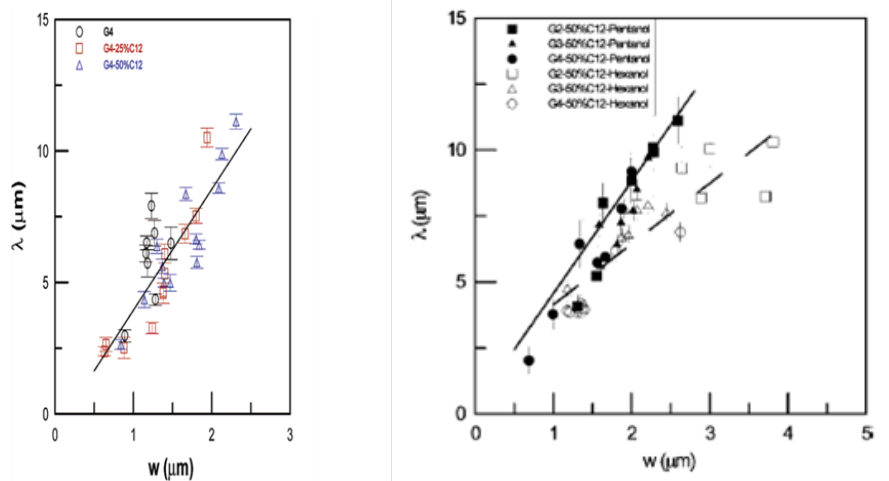


Figure 3.3: APM topographic images of representative ring structures formed after evaporation of microdroplets of G2-50% $C_{12}$ , G3-50% $C_{12}$ , and G4-50% $C_{12}$  in both pentanol and hexanol solutions of 0.1wt% on mica [6].

A similar study using various particle sizes shows that the mean deposit width,  $w$ , also affects the overall morphology of the patterns. Three different



(a) Solutions are all pentanol at 0.05 wt % [5]. A line with a slope of 4.6 is drawn to indicate the tendency of the data. The error bars indicate  $\pm 1$  standard deviation in the measured wavelengths [5].

(b) The data are grouped by solvent (pentanol and hexanol). Solutions are all at 0.1 wt %. A line with a slope of 4.8 fits the trend in the data for pentanol-based solutions (solid line), whereas for hexanol a lower slope of 2.3 is estimated (dashed line). The error bars indicate  $\pm 1$  standard deviation in the measured wavelengths [6].

Figure 3.4: Mean undulation wavelength,  $\lambda$ , vs mean ring width,  $w$ , for rings from all six dendrimer solutions.

size of dendrimers,  $G2-50\%C_{12}$  ( $\approx 6,000amu$  and  $1.8nm$  thickness of a layer),  $G3-50\%C_{12}$  ( $\approx 13,000amu$  and  $3.6nm$  thickness of a layer), and  $G4-50\%C_{12}$  ( $\approx 26,000amu$  and  $4.1nm$  thickness of a layer) were used in  $0.1wt\%$  pentanol solutions [6]. Refer to the top row of Fig. 3.3 for the images. One can again find a linear relation between  $\lambda_m$  and the width  $w$ , where the estimated ratio is  $\lambda_m = 4.8w$  (See solid line in Fig 3.4(b)).

The influence of the evaporation rates are studied by comparing results from hexanol with those from pentanol solutions with the same types of the particles, PAMAM  $G2-50\%C_{12}$ ,  $G3-50\%C_{12}$ , and  $G4-50\%C_{12}$  dendrimers. The surface group is the same for all sizes. The evaporation rate of pentanol is three times faster than that of hexanol as calculated by vapor pressures of



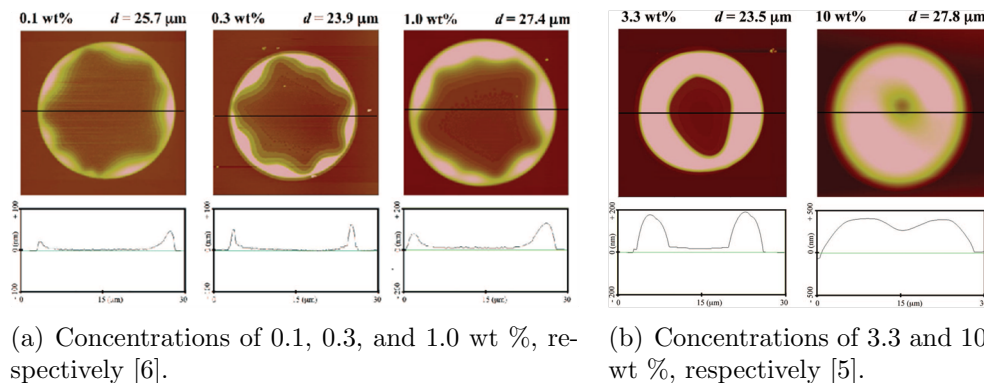
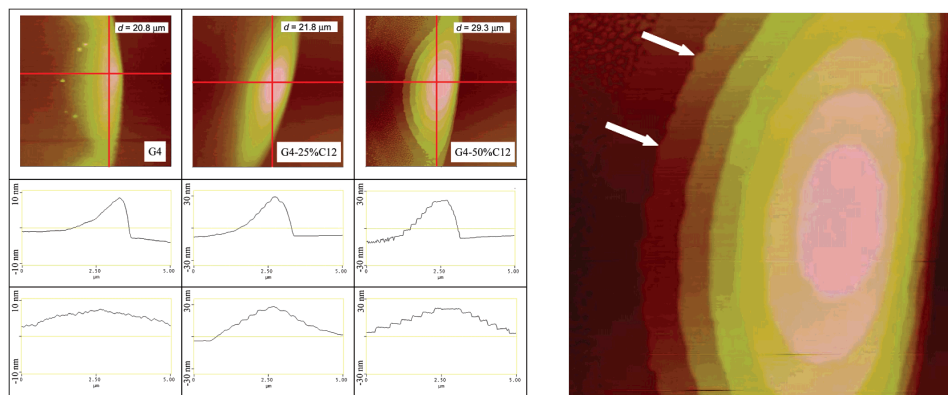


Figure 3.5: Topographic images ( $30 \mu m \times 30 \mu m$ ) and radial cross-sectional scans through G2-50% $C_{12}$ -pentanol evaporated droplets as a function of concentration.

0.488 kPa for pentanol and 0.171 kPa for hexanol, respectively at 303.15 K. In general, hexanol is heavier, denser, and more viscous than pentanol, and has less surface tension than pentanol. The contact angles made with a mica are  $20^\circ$  and  $26^\circ$ , respectively. For hexanol solutions, the instability wavelength depends on the mean width and is estimated as  $\lambda_m = 2.3w$ , regardless of particle sizes (See a dashed line in Fig. 3.4(b)). The slope is approximately 2.3, which is quite smaller than 4.6 or 4.8 obtained from the hexanol solutions above.

It is noted that the instability theory of a liquid rim reviewed in Section 2.3.1, predicts the fastest wavelength as  $\lambda_m = 4w$ . Here  $w$  is the width of the rim that is independent of material properties. It is not explained by the theory of a rim instability. Li et al. hypothesized that the significant change in slope from 4.6 or 4.8 to 2.3 may be caused by the difference in the interfacial energies between each solvent and the dendrimer deposit phase.

The patterns obtained from the solutions with different concentrations are presented in Fig. 3.5. Five different concentrations are investigated. As



(a) Topographic images and cross-sectional scans through the representative scallop for each dendrimer molecule solution. Top row:  $5\mu\text{m} \times 5\mu\text{m}$  topographic images. Middle row: radial cross-sections. Bottom row: tangential cross-sections. The diameters of the rings from which they are taken are indicated [5].

(b) Topographic images ( $2.5\mu\text{m} \times 2.5\mu\text{m}$ ) of a  $G4 - 50\%C_{12}$  scallop illustrating the short wavelength undulation along individual terrace edges. The undulation is more pronounced at the front edge of the lower terraces [5].

Figure 3.6: The magnified topographic images scanned through a representative scallop.

the concentration increases, the wavelength as well as the thickness of the rings increase. At the higher concentrations of  $3.3wt\%$  and  $10wt\%$ , the highly stratified and ‘scalped’ rings become rather unclear.

There are also some features of deposits at nano scale. Among particles of  $G4$ ,  $G4 - 25\%C_{12}$ , and  $G4 - 50\%C_{12}$ , those with the surface chemistry of  $G4 - 50\%C_{12}$  make more strikingly stratified dendrimer ring structures, with a perfect dendrimer monolayer ‘terraces’. The front monolayer implies small scale instability, see Fig. 3.6(b). The wavelength of these instability depends a soute type, and is shorter for pentanol solutions than for hexanol. Note that this is the reversed selection for the larger scale instability patterns shown in Figs. 3.2 and 3.3. That is, the larger  $\lambda_m$  for pentanol.

One of the main goals of the present work is to reveal the underlying mechanisms of wavelike deposit patterns at macro scale using a simple computational

model. This is presented in Chapter 4.

# Chapter 4

## A Model for Particle Deposition in a Drying Droplet

In this chapter, we introduce a coarse-grained lattice gas model to describe the deposition process of solute particles in a drying droplet. A lattice model is a simple but universal model that is used to describe properties of materials at a particle level. For example, the behaviour of gas particles is modeled with a lattice gas cellular automata. Each lattice cell in this model denotes a gas particle with a certain velocity. The Ising model is another popular lattice model to explain phase transition of magnets. Each cell carries a spin that is one of magnetic moments. The distribution of magnetic moments over the lattice defines one state of a material. Studying the equilibrium state of the lattice may predict the equilibrium properties of the material.

In our model, the substrate under a drying droplet is discretized into regular cells and the droplet is discretized into columns on the lattice. Each column carries continuous variables such as densities of the solute particles confined in the column and velocities of the particles due to solvent evaporation.

The detailed model is explained in the next three sections. In the section 4.1, we specify states of a cell and configurations of a lattice for particle redistribution. In Section 4.2, the system Hamiltonian is defined that models the physics of the solute particles during evaporation. In Section 4.3, we introduce a Monte Carlo method with a Metropolis algorithm to simulate dynamics of the system toward equilibrium deposit patterns.

## 4.1 A Model

### 4.1.1 A System and Microstates

A droplet on a solid substrate is drying at ambient conditions. The radius of the droplet is  $R_0$  and the contact line is pinned during evaporation. The evaporation is considered as a quasi-steady state process. The droplet shape at each moment is assumed as a spherical cap  $h = h(r, t)$  with the solvent of a low capillary number. The capillary number is the ratio between a viscous force and surface tension:

$$Ca = \frac{\mu V_c}{\gamma}, \quad (4.1)$$

where  $\mu$  is a viscosity,  $V_c$  is the characteristic velocity scale of a system, and  $\gamma$  is a surface tension between a liquid and an air. At a low capillary number (generally less than  $10^{-5}$ ), flows in porous media are governed by capillary forces. In our case, the low capillary number allows to decouple the droplet shape from the convective flow field in the droplet.

We assume the solution of a droplet is initially dilute and the radius of solute particles  $R_M$  is much smaller than the droplet radius  $R_0$  but much larger than the size of solvent particles. The solvent is considered as a continuum

medium in the model. The solute particles interact with each other through weak van der Waals forces. Solute particles get closer to each other as the solvent evaporates and the effect of particle interactions strengthen as well. We model the solute particles as hard spheres.

Let  $N$  be the total number of solute particles, which is conserved during evaporation. That is,

$$N = \sum_i N_i, \quad (4.2)$$

where  $N_i$  is the number of solute particles at a cell,  $i$ . The total energy  $E_{tot}$  of the system becomes

$$E_{tot} = \sum_i E_i, \quad (4.3)$$

where  $E_i$  is an energy at a cell,  $i$ . A configurational phase space of a drying droplet with  $N$  particles is defined by the distribution of particles  $\{N_i\}$ . A point in the phase space is  $\nu = \{N_i\}$ .

## 4.2 The System Hamiltonian

In the current study we consider two features related to pattern formation in a drying droplet: hydrodynamics of the solvent and weak van der Waals interactions between the solute particles. The total energy of the system in a thermal equilibrium will reflect these two mechanisms. The Hamiltonian  $H_\nu$ , the total system energy with a state  $\nu$ , takes the form

$$H_\nu = \sum_i f_i N_i - \sum_{\langle i,j \rangle} g_{ij} N_i N_j, \quad (4.4)$$

where the first term is the contribution from the convective capillary flow of the solvent and the second term is the contribution from the van der Waals interactions of the solutes. The summation  $\langle i, j \rangle$  in the second term includes the sum over cells at the nearest neighbors for each cell,  $i$ . In the following subsections, the terms  $f_i$  and  $g_{ij}$  will be defined in detail.

### 4.2.1 Convective Flow Potential

According to Deegan's theory of particle transport [7], during diffusion-limited evaporation, an outward flow inside a droplet is developed due to the pinned contact line. The outward flow essentially carries all particles toward the contact line making ring-like patterns.

Focusing on a particle in a drying droplet, when the particle radius  $R_M$  is small compared to the radius of the droplet  $R_0$ , the motion of the particle is completely determined by the capillary flow  $v(r, t)$ , before it deposits near the contact line. Let  $F_d$  be the driving force of the motion of the particle. The Stokes drag law predicts the hydrodynamic force  $F_d$  on a sphere of radius  $R_M$  as

$$F_d = 6\pi\eta_m R_M v(r, t), \quad (4.5)$$

where  $\eta_m$  is the viscosity of the solvent and  $R_M$  is the radius of the solute molecule. The corresponding convective potential of a particle placed at  $r$  is then defined by

$$f = \int_r^{R_0} F_d dr = 6\pi\eta_m R_M \int_r^{R_0} v(r, t) dr. \quad (4.6)$$

In defining  $f$  from  $v(r, t)$ , two things are required: its dimensions should

be energy and its gradient should be negatively proportional to the flow  $v(r, t)$  so that it shows the tendency of particles to flow along with  $v(r, t)$ . To derive Eq. (4.6), we first compute the work done  $W$  by the force moving a particle from  $r$  to the contact line  $r = R_0$ :

$$W_{|r \rightarrow R_0} = \int_r^{R_0} F_d dr.$$

Next the potential energy change  $\Delta f$  of a particle displaced from  $r$  to  $R_0$  is computed as

$$\Delta f = f(R_0) - f(r) = -W_{|r \rightarrow R_0}.$$

The position  $r = R_0$  is chosen as a standard reference point resulting in  $f(R_0) = 0$ . The potential field finally becomes

$$f(r, t) = W_{|r \rightarrow R_0} = 6\pi\eta_m R_M \int_r^{R_0} v(r, t) dr,$$

as given in Eq. (4.6). We call the potential the *convective flow potential*. By discretization of  $f$  we obtain  $f_i$ , a potential energy of a particle at a cell,  $i$ .

Before morphologies of deposits are studied, we consider the computation of the convective potential induced by the diffusion limited evaporation for the first term of the Hamiltonian in Eq. (4.4).

#### 4.2.1.1 Computations for Convective Potentials

In this section, we compute the convective velocity field  $v_i$  for the term  $\sum_i f_i N_i$  given in Eq. (4.4). The field  $v(r, t)$  is computed from the given evaporation rate  $J_d(r, t)$  and the change of a droplet shape  $\frac{\partial h}{\partial t}$ , where  $h(r, t)$  is a spherical



cap shape. We assume the diffusion limited evaporation  $J_d(r, t)$  in the following computation. The functional forms of the droplet shape  $h(r, t)$  and the evaporation rate  $J_d(r, t)$  are

$$h(r, t) \approx \frac{R_0^2 - r^2}{2R_0} \theta(t),$$

and

$$J_d(r, t) = \rho\omega_0(1 + \lambda^2)[1 - (r/R_0)^2]^{-\lambda}, \quad (4.7)$$

where we assume a small contact angle  $\theta(t) \ll 1$  and

$$\lambda = \frac{\pi - 2\theta(t)}{2\pi - 2\theta(t)}. \quad (4.8)$$

The constant  $\omega_0$  which sets the evaporation rate and has units of velocity will be determined so that the velocity field  $v(r, t)$  becomes in the range of some characteristic velocity  $V_c$ , which will be chosen later in Eq. (4.11).

To specify  $\frac{\partial h}{\partial t}$  in terms of the contact angle evolution  $\theta(t)$ , the mass balance of the evaporated solvent is used. We will first specify  $\theta(t)$  and the final drying time  $t_f$  using the mass balance. That is, the solvent mass loss with  $J_d(r, t)$  is balanced by the corresponding droplet volume change:

$$\rho \frac{\partial}{\partial t} V(t) = - \int_0^{R_0} 2\pi r \sqrt{1 + (\partial_r h)^2} J_d(r, t) dr,$$

where  $V(t)$  is a volume of a spherical cap shape and given as

$$V(t) = \frac{\pi R_0^3}{3} \left( 2 - 3 \cos \theta(t) + \cos^3 \theta(t) \right).$$

The equation yields an ODE for the contact angle  $\theta(t)$ ,

$$R_0\theta^3(t)\theta'(t) = -\omega_0\left(\frac{5}{2} - \frac{7\theta}{2\pi} + \frac{\theta^2}{2\pi^2} + \frac{\theta^3}{2\pi^3}\right),$$

where we use the small angle assumption  $\theta(t) \ll 1$  which gives

$$\left(\frac{\partial h}{\partial r}\right)^2 \approx 0 \quad \text{and} \quad \sin^3 \theta(t) \approx \theta^3(t).$$

Using an initial condition of  $\theta(0) = \theta_0$ , the solution  $\theta(t)$  is then implicitly given as

$$\begin{aligned} & \frac{\pi^3}{6} \left( 12\theta - 6\pi \log(\pi - \theta) + (8\sqrt{6} - 3)\pi \log\left((\sqrt{6} - 1)\pi - \theta\right) \right. \\ & \left. - (8\sqrt{6} + 3)\pi \log\left((\sqrt{6} + 1)\pi + \theta\right) \right) = -\frac{1}{R_0}\omega_0 t - 577.2287, \end{aligned} \quad (4.9)$$

and  $t_f$  is also computed using the fact that  $\theta \equiv 0$  at the final time  $t_f$ :

$$t_f = 0.0016922 \times \frac{R_0}{\omega_0}. \quad (4.10)$$

The final drying time is proportional to the droplet size  $R_0$  and inversely proportional to the evaporation rate  $\omega_0$ . The linear dependence on  $R_0$  agrees with the fact that the evaporation rate is linearly dependent on the droplet size in the case of the diffusion limited evaporation.

Finally, with the computed values of  $J_d(r, t)$ ,  $h(r, t)$ , and  $\frac{\partial h}{\partial t}$ , we numerically obtain the convective flow field  $v(r, t)$ , and then compute the convective potential  $f_i$  and the Hamiltonian term  $\sum_i f_i N_i$  given in Eq. (4.4).

We assume that the capillary number  $Ca \ll 1$  in Eq. (4.1) is for a spherical cap and the convective capillary flow. Then, if we take at  $Ca \approx 10^{-7}$  and

$\omega_0 = 0.01$ ,

$$V_c = \frac{\sigma Ca}{\eta_m} = \frac{\sigma}{\eta_m} 10^{-7} \approx R_0/sec, \quad (4.11)$$

where  $\sigma = 25.7 \text{ dynes/cm}$  is a surface tension and  $\eta_m = 4cP$  is a viscosity of solvent, respectively. The order of magnitude of the computed velocity field becomes comparable with  $R_0$  per second.

### 4.2.2 Van der Waals Potential

The van der Waals force, in particular the so called London dispersion force, is an intermolecular force which is relatively weak compared with covalent or ionic bonding forces. The forces are due to the induced dipoles when two neutral molecules are brought close together. Given two spheres of radius  $r_M$  separated by a distance  $z \gg r_M$ , their van der Waals interaction is described by the energy  $\Phi_p(z)$ :

$$\Phi_p(z) = -\frac{C}{z^6}, \quad (4.12)$$

where  $C$  is a constant.

In the case of two macroscopic bodies, according to Hamaker and his coworkers, the interaction energy can be approximated by summing all the pairwise interactions  $\Phi_p$  in Eq. 4.12 over the volumes of the two bodies [32]. As an example, the interaction energy  $\Phi_{bdy}$  between two macroscopic spheres of radius  $R_{bdy}$  separated by a distance  $d \ll R_{bdy}$  is obtained by simply summing all pair interactions  $\Phi_p$  and computed as

$$\Phi_{bdy}(d) = -\frac{A_{Ham}}{12} \frac{R_{bdy}}{d},$$

where the coefficient  $A_{Ham} = \pi^2 C \rho_1 \rho_2$  is the Hamaker constant,  $\rho_1$  and  $\rho_2$  are the number densities of bodies 1 and 2,  $\rho_1 = \frac{N_1}{V_1}$  and  $\rho_2 = \frac{N_2}{V_2}$ , respectively.

In our model, we need to compute the total number of interactions between particles in two adjacent cells,  $i$  and  $j$ . We assume that  $N_i$ -particles are uniformly distributed in the cell  $i$  and there is no particles in a space with the width of  $R_M$  at the interface of each cell to prevent the particles from contacting each other.

The total interaction energy between cells  $i$  and  $j$  is given as a double integral:

$$\int_{R_M}^{S-R_M} \int_{R_M}^{S-R_M} \frac{-C_{disp}}{(S-x+z)^6} \left( \frac{N_i}{V_i} A_{ij} \right) \left( \frac{N_j}{V_j} A_{ij} \right) dx dz,$$

where  $R_M \leq x \leq S - R_M$  and  $R_M \leq z \leq S - R_M$ ,  $s = S - x + z$ , and  $A_{ij}$  is the area at the interface of the volume  $V_i$  and  $V_j$ , and  $S$  is the length of a cell in the  $r$  direction. See Fig. 4.1 for variables of two adjacent cells  $i$  and  $j$ . In computing the distance between particles  $s$ , for simplification, we ignore both the height dimension of the droplet and the  $y$ -axis dimension of a cell. This is because the height is low and decreases with time and  $y$ -axis dimension is similar orders of magnitude to  $x$ -axis dimension. This simplification will only affect the constant coefficient,  $C_{disp}$ , of the total interaction energy. Let us define  $g_{ij}$  for the unit energy when  $N_i = 1$  and  $N_j = 1$ :

$$g_{ij} = \int_{R_M}^{S-R_M} \int_{R_M}^{S-R_M} \frac{-C_{disp}}{(S-x+z)^6} \left( \frac{A_{ij}}{V_i} \right) \left( \frac{A_{ij}}{V_j} \right) dx dz \approx \frac{C_{disp}}{320} \frac{1}{R_M^4} \frac{A_{ij}^2}{V_i V_j} \quad (4.13)$$

where cells  $i$  and  $j$  are nearest neighbors.

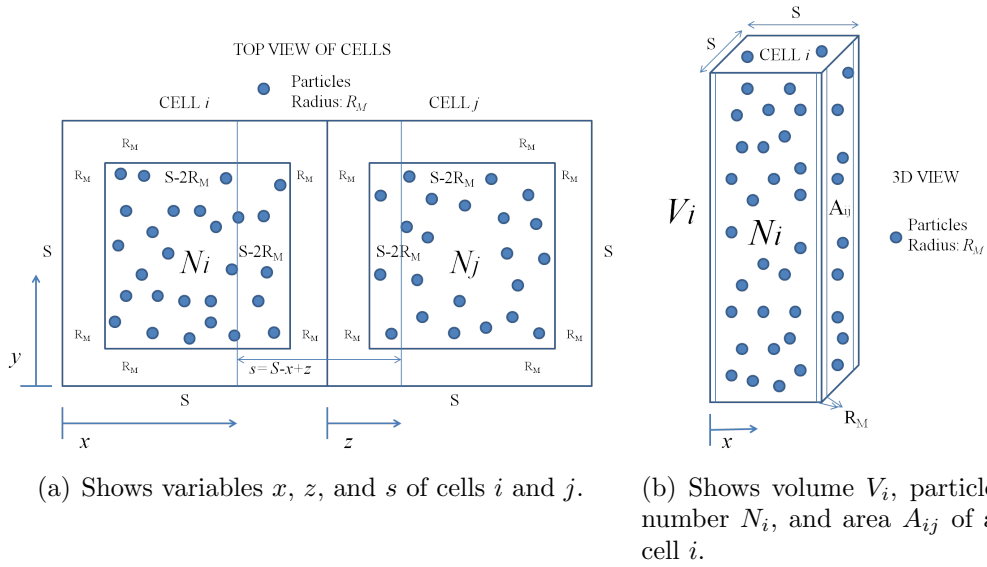


Figure 4.1: Schematic illustration for variables used in computing interaction energy at two adjacent cells  $i$  and  $j$ .

### 4.3 Nondimensionalization

Recall that the Hamiltonian is given as

$$H = \sum_i f_i N_i - \sum_i g_{ij} N_i N_j, \quad (4.14)$$

where  $f_i$  is an external axisymmetric potential field,  $N_i$  is the number of particles, and  $g_{ij}$  is the van der Waals interaction energy between cells  $i$  and  $j$  containing only one particle, respectively.

The convective potential field  $f_i$  is given as

$$f_i = 6\pi\eta_m R_M \int v_i dr,$$

where  $\eta_m$  is a viscosity of solvent and  $R_M$  is the radius of solute,  $v_i$  is a velocity

of a convective potential. The interaction  $g_{ij}$  between cells  $i$  and  $j$  is given as

$$g_{ij} = \frac{C_{disp}}{320} \frac{1}{R_M^4} \frac{A_{ij}^2}{V_i V_j},$$

where  $A_{ij}$  is the area at the interface of the two adjacent volumes  $V_i$  and  $V_j$ , and  $S$  is the cell size. The area  $A_{ij}$  is given as

$$A_{ij} = S \times h\left(\frac{r_i + r_j}{2}, t\right), \quad (4.15)$$

where  $S$  is the length of a cell, and  $r_i$  and  $r_j$  are the center positions of cells,  $i$  and  $j$ . The volume  $V_i$  is computed from

$$V_i(t) = \int_i h(r, t) dA_i = \int_{\theta_i}^{\theta_i + d\theta} \int_{r_i}^{r_i + dr} h(r, t) r dr d\theta, \quad (4.16)$$

where  $A_i$  is the area of a cell,  $i$ .

We introduce the following scalings to nondimensionalize the Hamiltonian. Let  $L$ ,  $\epsilon L$ , and  $V_c$  be the characteristic scales for the length of a droplet, the length of a particle, and the velocity of the convective flow, respectively, where  $\epsilon$  is the ratio of the size of solute particles to the size of a droplet and is small compare to the length scale  $L$ . The characteristic energy scale is denoted by  $J_0$  and defined as

$$J_0 = 6\pi\eta_m \epsilon^2 L^2 V_c. \quad (4.17)$$

The upper script  $*$  is for the dimensionless values.

$$R_0 = LR_0^*; \quad r = Lr^*; \quad R_M = \epsilon LR_M^*; \quad S = \epsilon LS^*$$

$$h = \epsilon L h^*; \quad v = V_c v^*; \quad \int dr = \frac{1}{L} \int^* dr^*; \quad A_{ij} = \epsilon^2 L^2 A_{ij}^*$$

$$H = J_0 H^*$$

The convective potential  $f_i$  scales as

$$\begin{aligned} f_i &= (6\pi\eta_m\epsilon V_c) R_M^*{}^6 \int^* v_i^* dr^* \\ &= (6\pi\eta_m\epsilon^2 L^2 V_c) f_i^* = J_0 f_i^* \end{aligned} \quad (4.18)$$

and the van der Waals potential becomes

$$g_{ij} = \left( \frac{C_{disp}}{320} \frac{1}{\epsilon^6 L^6} \right) \frac{A_{ij}^{*2}}{R_M^*{}^4 V_i^* V_j^*} \quad (4.19)$$

$$= \left( \frac{C_{disp}}{320} \frac{1}{\epsilon^6 L^6} \right) g_{ij}^* \quad (4.20)$$

Then the Hamiltonian in Eq.(4.14) becomes

$$H = J_0 H^* = -J_0 \sum f_i^* N_i - \frac{J_0}{Q} \sum_{\langle i,j \rangle} g_{ij}^* N_i N_j, \quad (4.21)$$

where  $Q$  is the dimensionless number of

$$Q = \frac{1920\pi\eta_m\epsilon^8 L^8 V_c}{C_{disp}}. \quad (4.22)$$

The scale of the term  $\epsilon^8 L^8$  of a system shows that the behavior is mainly governed by the size of a system. As  $\epsilon L$  increases, the dimensionless number  $Q$  increases resulting in the dominance of the convective flow. When the characteristic size  $L$  is fixed, the smaller the relative size of solute particles

is, i.e., for smaller  $\epsilon$ , the stronger the contribution of van der Waals forces. As an example, when  $\eta_m = 0.4cP$  for pentanol,  $\epsilon = 0.015$ ,  $L = 10\mu m$ ,  $V_c = 10\mu m/s$ , and  $C_{disp} = 10^{-77} J \cdot m^6 = 6.24 \times 10^{-23} eV \cdot \mu m^6$  [32], the energy scale  $J_0 = 1.06 \times 10^{-3} eV$  and the dimensionless number becomes  $Q = 6.15 \times 10^{17}$ , which presents that the effect of the convective flow is a dominant effect for the particle transport and the deposition process. On the other hand, when  $\epsilon = 0.001$ ,  $J_0 = 4.68 \times 10^{-6} eV$  and  $Q = 2.4 \times 10^8$ .

The interaction constant  $C_{disp}$  contribute inversely proportionally to the dimensionless  $Q$  number. The weaker particle interaction gives the larger  $Q$  resulting in the dominance of the convective flow. The viscosity and the characteristic velocity also increase the value of  $Q$ .

The thermal energy  $k_B T$  will be determined so that it is comparable with the energy scale,  $k_B T \approx J_0$  during the simulation. The detailed parametric studies will be presented in the following sections 5.

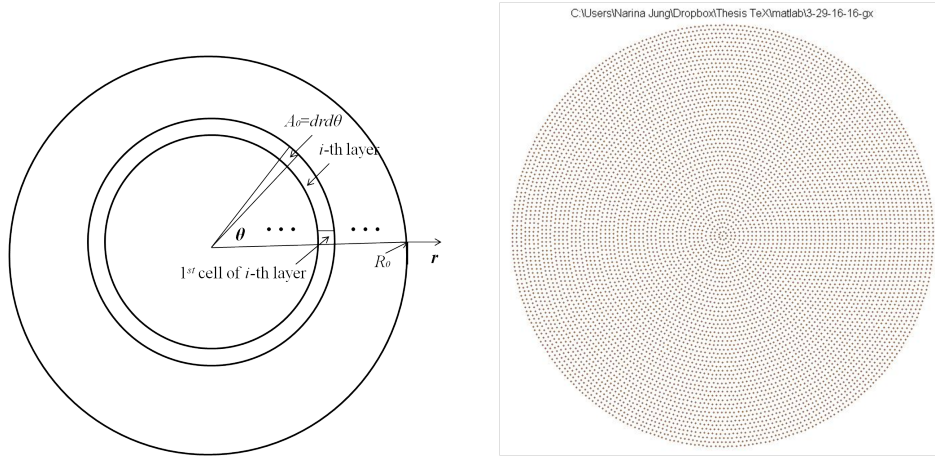
The model is used to investigate the behavior of a drying droplet without and with particle interactions in the next Sec.5.1. Then we will discuss a parametric study of the wave-like instability in Sec. 5.2.4.

## 4.4 Simulation Settings

The Hamiltonian of  $N$ -particles is computed by using the Metropolis Monte Carlo Method. Using the method, we find the configuration of particles that minimizes the Hamiltonian starting from a randomly distributed particles.

The droplet radius  $R_0$  is divided into  $n_0$  layers (See Fig. 4.2). Let  $dr = \frac{R_0}{n_0}$  be the width of one layer. In the division, the number of cells in a layer is determined by keeping the area of each cell approximately  $A_0 = dr^2$ . Then





(a) Schematic illustration of the cell divisions. The droplet radius  $R_0$  is divided into layers and each layer is divided into cells. The area of a cell  $A_0$  is constant for all layers.

(b) Shows a full set of radial cells used in simulation. There are 50 layers in the  $r$  direction.

Figure 4.2: The radial grid system for a droplet on a solid substrate. This is the top view of the droplet.

the number of cells at a layer,  $i$ , becomes

$$\# \text{ of cells in the } k\text{-th layer} \approx \frac{A_k}{dr^2} = \pi(2k - 1) \approx 3(2k - 1),$$

where  $A_k$  is the area of the  $k$ -th annulus. Within the layer  $A_k$ , the cell at  $\theta = 0^\circ$  is considered the first cells of the layer  $A_k$  (See Fig. 4.2(a)).

A single index  $i$  is labeled over a lattice, starting from the first cell of the first layer  $L_1$  to the outer layers  $L_k$  in a consecutive way. Since we have different number of cells at each layer  $L_k$ , the cells are not aligned in the  $r$ -direction. We determine the nearest neighbors in the  $r$ -direction in the following way. The center point of a cell at a layer  $L_k$  is  $(r_c, \theta_c)$  and used as a position of the given cell. The two nearest neighbors in the  $r$ -direction are obtained by moving the point  $(r_c, \theta_c)$  in the positive direction and the negative direction

by  $dr$ . Then the positions become  $(r_c + dr, \theta_c)$  and  $(r_c - dr, \theta_c)$  located in the layers  $L_{k+1}$  and  $L_{k-1}$ , respectively. Based on these positions, we find the indexes  $i_{r+}$  and  $i_{r-}$  which are already labeled over the lattice. On the other hand, the positions of the nearest neighbors in the  $\theta$  direction are  $(r_c, \theta_c + dr)$  and  $(r_c, \theta_c - dr)$ , which are both located in the  $L_k$  layer. The corresponding indexes will be simply  $i + 1$  and  $i - 1$ , if the index of the given cell is  $i$ .

A schematic drawing of the initial distribution of particles is shown in Fig. 4.3. Particles are assumed to be homogeneously distributed in the droplet so the number of particles at a cell is proportional to the height hence is the largest in the middle. The upper panel of Fig. 4.4 shows the darker color for more particles in the middle. Particles are not assigned at the center to avoid the center point in the calculation. The lower panel of Fig. 4.4 shows the cross sections of the initial distribution at the angle of  $\theta = 5^\circ$  and  $\theta = 50^\circ$ . The values on the vertical axis are the numbers of particles assigned at cells along axes.

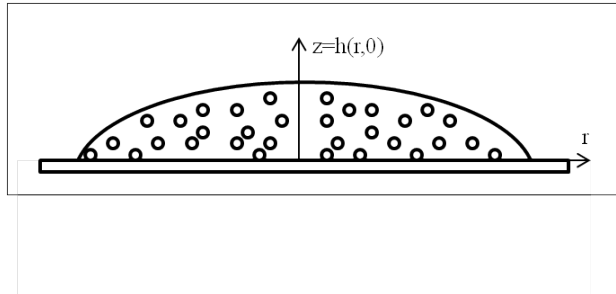


Figure 4.3: Schematic illustration of the initial distribution. It is uniformly distributed in a droplet with the proportionality to the droplet height and without exceeding the maximum volume fraction  $\phi_m$ .

The evolution of the lattice model is stochastic (i.e., random movements of particles with given possibilities) for the transport of solute particles. The dynamics are simulated using the Monte Carlo Method. We attempt to move

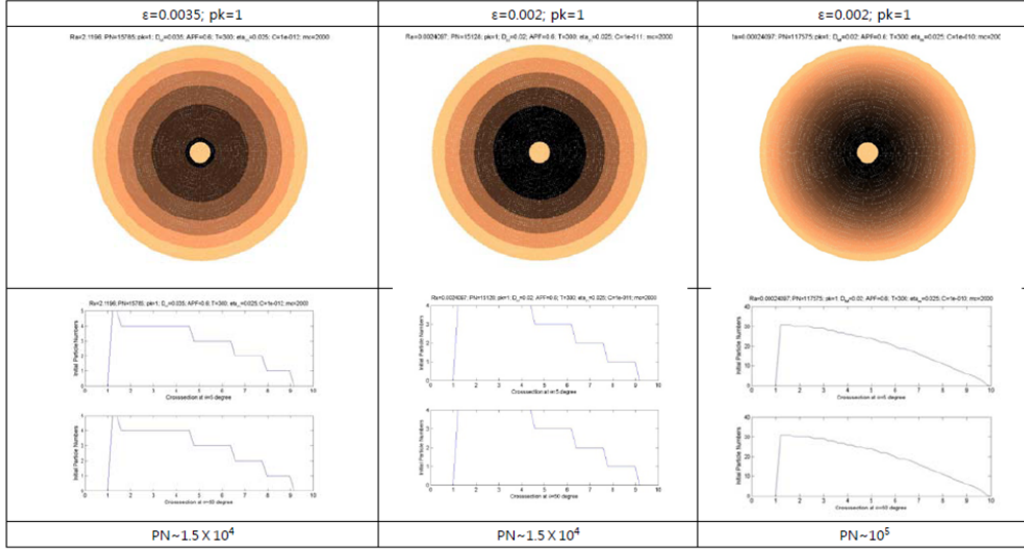


Figure 4.4: The top view of the initial distributions with the different sizes and numbers of particles.

a randomly chosen particle in a random direction, biased by the convective potential and the interaction potential defined in the previous section. Note that the dynamics conserve solute particles in a droplet and the evolution is conducted at a constant temperature  $T$  determined by the thermal energy of the system,  $k_B T$ , where  $k_B$  is Boltzmann's constant. The random attempt is accepted with the Metropolis probability,

$$p_{acc} = \exp(-\Delta H_\nu / k_B T), \quad (4.23)$$

where  $\Delta H_\nu$  is the resulting change in the Hamiltonian defined in (4.4).

Let  $N_{move}$  be the number of attempts per Monte Carlo step. Each step takes  $\Delta\tau$  Monte Carlo seconds. The transport rate of solute particles can be controlled by changing either the value of  $N_{move}$  or  $\Delta\tau$ . In our model we let  $N_{move} = N$  so that on average all solute particles have a chance to move per

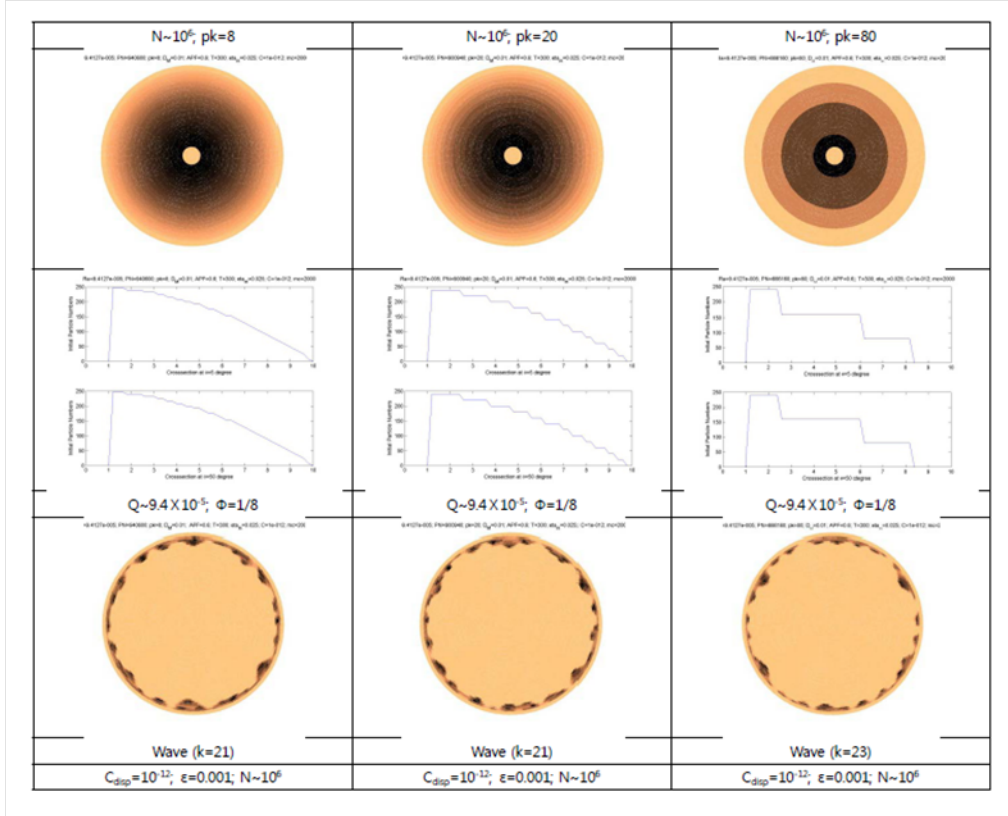


Figure 4.5: The top view of the initial distributions with the different particle unit number  $pk$  and the corresponding deposit morphology for comparison.

MC step. The value of  $\Delta\tau$  is fixed resulting in a constant movement rate per step, i.e., per one evaporation cycle. When the total drying time  $t_f$  is given, the number of evaporation cycles  $m_c$  is defined as  $m_c = t_f/\Delta\tau$ . As  $\Delta\tau$  decreases, the evaporation cycles  $m_c$  increase corresponding to the slower evaporation. The value of  $m_c$  reflects the ratio of time scales for evaporation of solvent and movements of particles.

To determine  $m_c$ , the evolution of the contact angle  $\theta(t)$  is also considered. Figure 4.6 shows the numerical solution of  $\theta(t)$  in Eq. (4.9) when the initial contact angle  $\theta_0$  is 0.35. The contact angle  $\theta$  decrease dramatically to zero during the very short time immediately before  $t = t_f$ . We expect that the

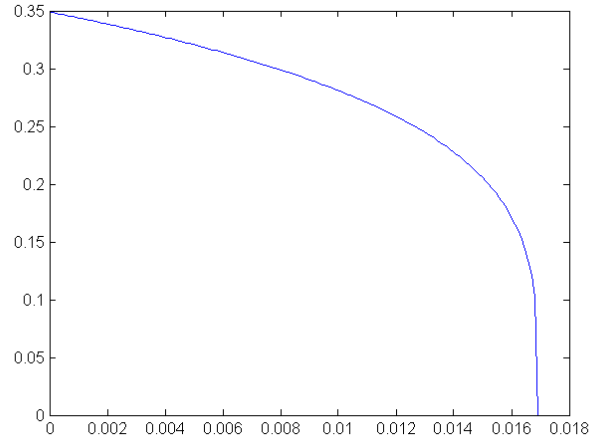


Figure 4.6: The numerical solution of  $\theta = \theta(t)$  when  $\theta_0 = 0.35$ .

short time near  $t_f$  is important in deposit pattern formation. However, it would require very fine time scale in order to capture the behavior of a droplet and the deposition process near  $t = t_f$ . Instead, we assume linear decrease of the contact angle with time in our simulation:

$$\theta(t) = -\frac{\theta_0}{t_f}t + \theta_0, \quad (4.24)$$

where  $t_f$  is given in Eq. (4.10) and  $\theta_0$  is an initial contact angle.

Practically, we move multiple numbers of particles  $pk$  at the same time per trial to reduce computation time. We ensure that the value  $pk$  is small enough so that it does not affect the quality of deposit morphology. See Figure 4.5 for the relation between  $pk$  and the corresponding morphology. There is little effect of  $pk$  on morphology, though when  $pk = 80$ , the wave number  $k$  of the resulting instability increases slightly.

To get a deposit phase, the maximum number of particles at a cell  $M_i$  is limited by a maximum packing number  $\phi_m$ . Since the height of a droplet  $h_i$

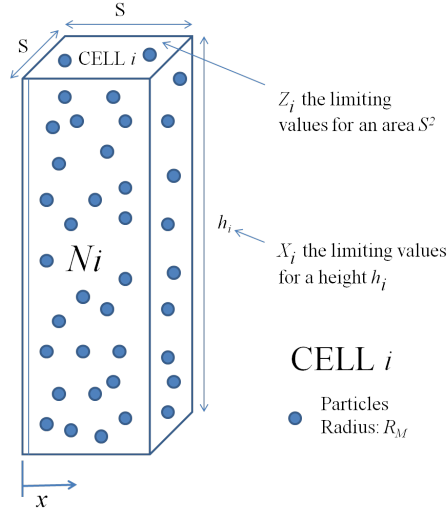


Figure 4.7: It shows variables  $X_i$  and  $Z_i$  that are limiting the maximum numbers of particles  $N_i$  for a height  $h_i$  and an cell area  $S^2$ .

reduces to zero whereas the size of cells  $S$  is constant, we limit the maximum number of particles in a cell so that the size of a particle is always less than  $h_i$  and also the total projected area of particles is always less than  $S^2$ . It is done with the maximum volume fraction  $\phi_m$  (See Fig. 4.7) :

$$M_i = X_i \times Z_i = \phi_m \frac{S^2 h_i}{\pi R_M^3}, \quad (4.25)$$

where  $X_i$  is a length fraction

$$X_i = \phi_m^{1/3} \frac{h_i}{2R_M},$$

and  $Z_i$  is an area fraction

$$Z_i = \phi_m^{2/3} \frac{S^2}{\pi R_M^2}.$$

$$M_i = \phi_m \frac{S^2 h_i}{\pi R_M^3} \quad (4.26)$$

$$N_i < M_i \quad (4.27)$$

The movement of particles  $pk$  to a cell  $j$  with  $N_j + pk > M_j$  is never accepted as the energy cost is infinite for the move. This constraint mimics the creation of the separate deposit phase at the maximum number  $M_j$ . Note that the maximum particle number  $M_j$  is a function of both  $N_j$  and  $V_j$ . That is, the evaporation rate influences the pattern forming process through the change in volume  $V_j$  of each cell. The evaporation rate can be manipulated in the simulation through  $m_c = t_f/\Delta\tau$  as mentioned above. Its effect on the deposit patterns will be shown in the next Chapter.

# Chapter 5

## Deposit Morphologies

Deposit morphology is studied using the model for particle deposition in a drying droplet in Chapter 4. It is found that the morphology mainly depends on two dimensionless parameters:  $Q$  in Eq. (4.22) and a parameter  $\Phi$  that will be defined in the following. We restate the definition of  $Q$  for reference:

$$Q = \frac{1920\pi\eta_m\epsilon^8 L^8 V_c}{C_{disp}}, \quad (5.1)$$

where  $\eta_m$  is viscosity of liquid,  $\epsilon L$  is the size of a particle,  $V_c$  is a characteristic velocity of convective flow, and  $C_{disp}$  is a constant that gives the strength of interaction. The parameter  $Q$  is the ratio of convective flow potential to interaction energy. In other words,  $Q \rightarrow \infty$  means that interparticle interaction is not effective due to the strong convective flow, while  $Q \approx 0$  implies that the interaction energy is dominant. Given a physical system, the value of  $Q$  plays a critical role in determining its characteristic pattern. However, we find the pattern can be altered a fixed  $Q$  using different initial numbers of particles. We introduce a new dimensionless parameter  $\Phi$  to indicate the total volume



of particles. It is conveniently defined by

$$\Phi = \frac{N}{10^6} \frac{\epsilon^3 L^3}{(10^{-3} \cdot 10\mu\text{m})^3}, \quad (5.2)$$

where  $N$  is the number of particles and  $\epsilon L$  is the size of a particle. The values at the denominator are chosen using the typical values of parameters in Table 5.1.

We find that patterns at fixed  $Q$  and  $\Phi$  share common characteristics even when various dimensional sizes and numbers of particles and interactions are used. Figure 5.1 shows four wave patterns for fixed volume fraction  $Q = 10^{-4}$  and  $\Phi = 1/8$ , but with different interactions, particles sizes, and particle numbers. We see that wave numbers are similar to each other (i.e.,  $k$  is near 20).

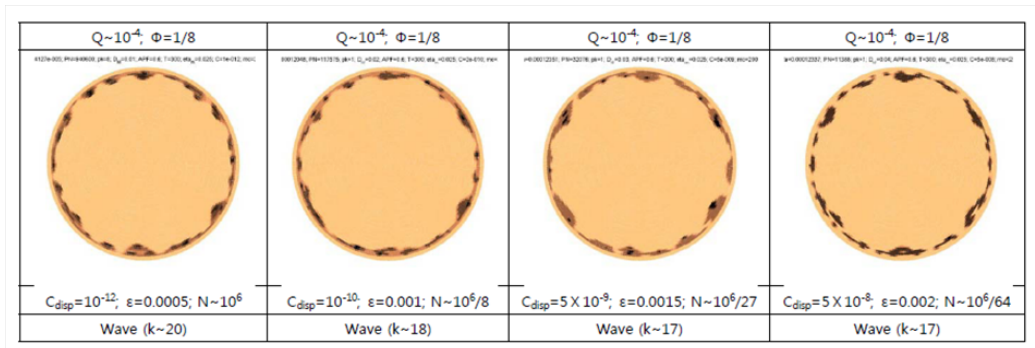


Figure 5.1: Wavelike patterns at  $Q = 10^{-4}$  and  $\Phi = 1/8$ .

In the following section 5.1, we present an overview of the behavior of deposit patterns in terms of  $Q$  and  $\Phi$  and categorize morphologies into four types. The morphology in each category will be studied in detail in the later sections. Unless otherwise stated, the values of parameters are taken from the typical values given in Table 5.1

Table 5.1: Typical Values of Parameters for Simulations

Parameters	Values
Droplet Size ( $R_0$ )	$10\mu m$
Initial Contact Angle	0.2
Particle Size ( $R_M$ )	$10nm$
Ratio Particle to Droplet Sizes ( $\epsilon$ )	$10^{-3}$
Particle Number ( $N$ )	$10^6$
Temperature ( $T$ )	$300K$
Solvent Viscosity ( $\eta_m$ )	$4.0 cP$
Evaporation Rate Constant ( $\omega_0$ )	0.01
Capillary Number ( $Ca$ )	$10^{-7}$
Maximum Volume Fraction ( $\phi_m$ )	0.6
Monte Carlo Steps ( $mc$ )	2000
Particle Unit Number ( $pk$ )	20

## 5.1 Behavior of Particles during Evaporation

We begin by investigating the change of deposit morphology by varying the values of  $Q$  with fixed  $\Phi$ . Figure 5.2 shows the representative patterns of the deposit according to the interaction  $Q$ . As mentioned earlier,  $Q$  can be changed independent of  $\Phi$  by changing the interaction strength  $C_{disp}$ . The change of  $Q$  is done by adjusting the strength of interactions. In the figure, the dimensionless numbers of  $Q$  and  $\Phi$  are shown at the top of the panels for morphological shapes and cross-sections and the corresponding values of the systems at the below.

Four different regimes of patterns are found: ringlike, wavelike, fingerlike, and islandlike patterns. As the strength of interactions increases ( $Q$  decreases), the morphology transforms from ringlike toward islandlike patterns. That is, with weak interactions, the default deposit patterns become ringlike. On the other hand, as  $Q$  decreases, patterns transform from ringlike to islandlike. The patterns that emerge between wavelike and islandlike patterns look like fingers

aligned in the radial directions.

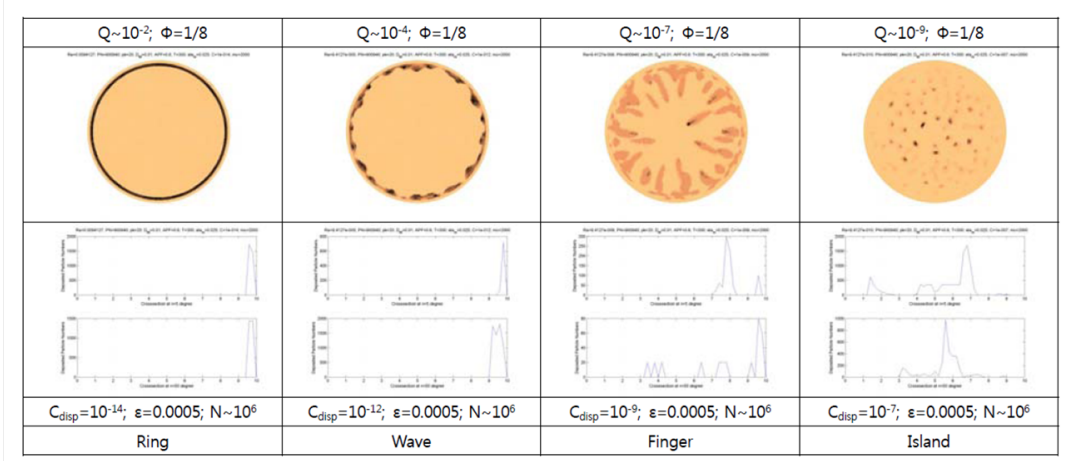


Figure 5.2: The representative patterns when  $Q \approx 10^{-2}$ ,  $10^{-4}$ ,  $10^{-7}$ , and  $10^{-9}$ . We find four basic regimes of patterns: ringlike, wavelike, fingerlike, and islandlike patterns.

For the wavelike morphology, we investigate the change in wave numbers  $k$  for different values of  $\Phi$  and fixed  $Q$ . The value  $\Phi$  can be varied independent of  $Q$  by changing the particle number  $N$ . The patterns in Fig. 5.3 show different patterns for different  $\Phi$ . The wave number  $k$  decreases as  $\Phi$  increases. The mean thickness of the deposit is proportional to  $\Phi$ . From left to right,  $\Phi$  increases from  $1/80$  to  $1/4$  and the value  $Q$  is fixed at  $10^{-4}$ . When  $\Phi = 1/80$  the number of particles is not sufficient to create fully developed wavelike patterns.

The change of the size of particles affects not only  $\Phi$  but also  $Q$ . That is, the size of particles can affect both the drag forces imposed on particles by convective flows and the total volume of particles. Figure 5.4 shows the effect on the patterns with respect to the change of  $\epsilon$ . The  $Q$  values are consistent with changes in  $\epsilon$  as  $10^{-2}$ ,  $10^{-4}$ ,  $10^{-7}$ , and  $10^{-9}$  as in Figure 5.2. One can observe as  $\epsilon$  decreases that the morphology changes from ringlike to

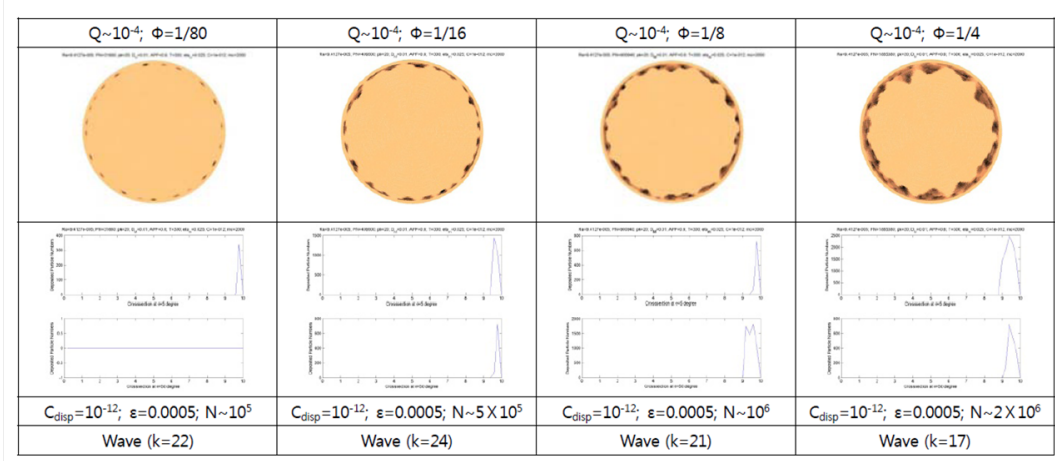


Figure 5.3: The number of particles  $N$  are varied from  $10^5$  to  $2 \times 10^6$ .

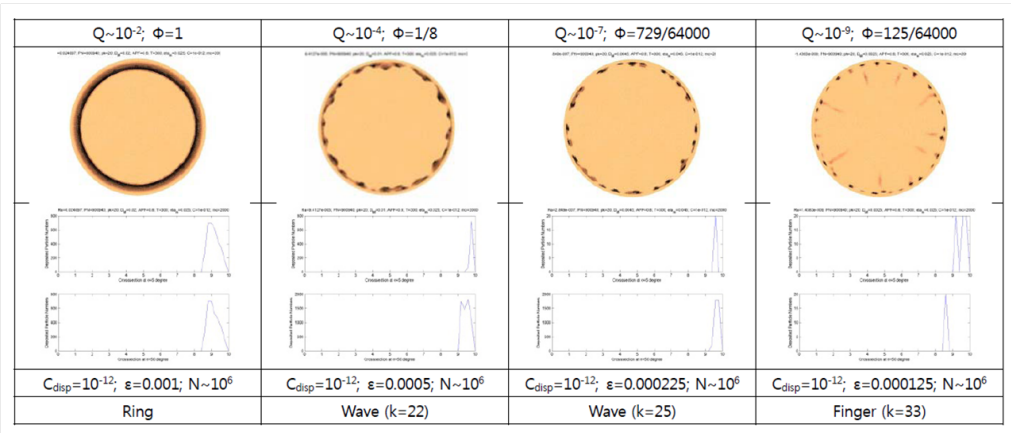


Figure 5.4: The size of particles is changed from  $\epsilon = 0.000025$  to  $\epsilon = 0.002$ .

wavelike and to fingerlike patterns. Also, for a given value of  $Q$ , smaller  $\Phi$  gives weaker interactions. For example, at  $Q = 10^{-9}$ , the pattern is islandlike with  $\Phi = 1/8 = 125 \times 10^{-3}$  in Figure 5.2, while it is fingerlike when  $\Phi = 125/64000 \approx 20 \times 10^{-3}$  in Figure 5.4.

We further investigate influence of the particle size when the volume fraction of particles  $\Phi$  is fixed at  $1/8$ . The results are given in Figure 5.5. In order to fix  $\Phi$  at the same value, the number of particles  $N$  varies from  $10^6$  to  $10^6/42$  depending on the particle size. The tendency of morphological change from

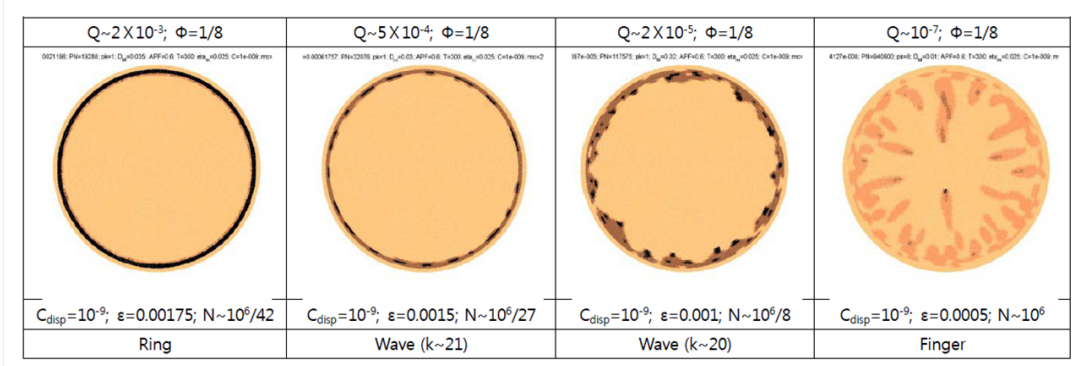


Figure 5.5: The size effects on the patterns. The volume fraction  $\Phi$  is fixed. The values of  $\epsilon$  used are  $\epsilon = 0.0005$ ,  $0.001$ ,  $0.0015$ , and  $0.00175$ .

ringlike to wavelike to fingerlike is again seen. It is noted that  $Q$  is much more sensitive to changes in  $\epsilon$  ( $\epsilon^8$  in Eq. (4.22)) than to changes in  $C_{disp}$ .

Finally, we present a spectrum of the patterns at  $\Phi = 1/8$  and different  $Q$  in Fig. 5.6. The wavy shape emerges at  $Q \approx 10^{-3}$  while fingerlike patterns and islandlike patterns start at  $Q \approx 10^{-6}$  and at  $Q \approx 10^{-9}$ , respectively.

If we increase  $\Phi$  larger than  $1/8$ , the four categories of morphologies will remain the same. However, based on the studies on the effects of  $Q$  and  $\Phi$  above, we can expect that islandlike patterns emerge at values of  $Q$  larger than  $10^{-9}$  and fingerlike patterns emerge at values of  $Q$  larger than  $10^{-6}$ . This is because when  $\Phi$  increases, the average distance between particles decreases, which will also increase particle interaction.

## 5.2 Morphology Classification

In the previous section, four types of morphologies are identified for various values of  $Q$  and  $\Phi$ : rings, waves, fingers, and islands. Each type of morphology is now studied in more detail.

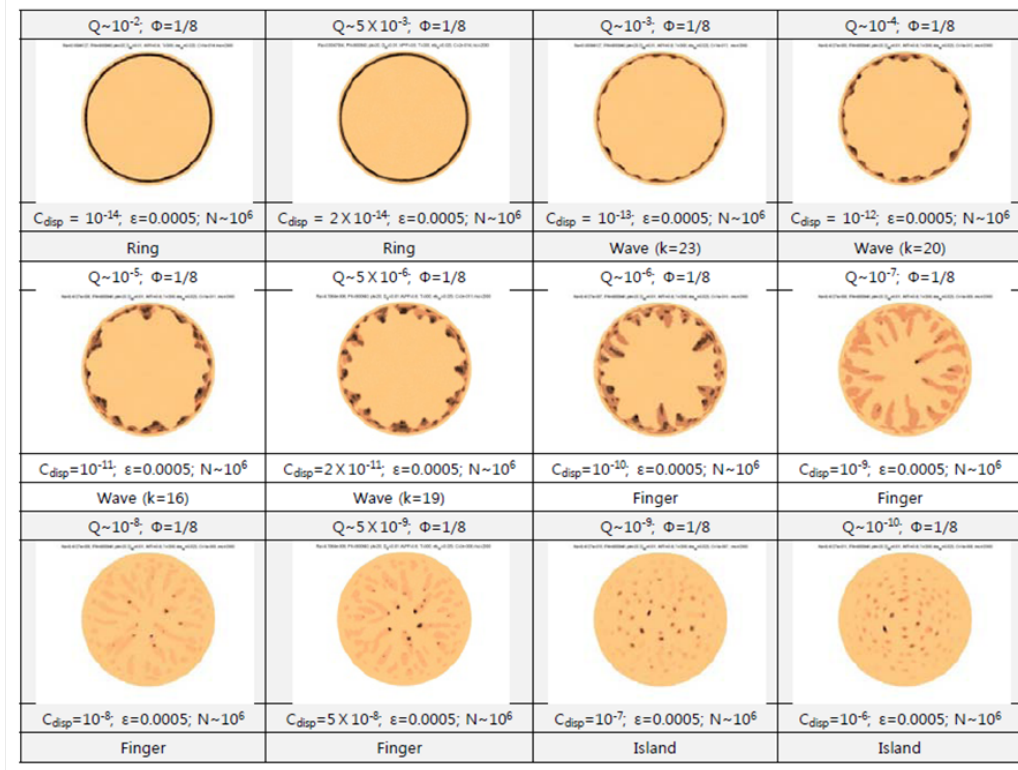


Figure 5.6: The value of  $C_{disp}$  changes from  $10^{-14}$  to  $10^{-6} eV \cdot \mu m^6$ , which correspond to the dimensionless values of  $Q$  number from  $10^{-2}$  to  $10^{-10}$ .

### 5.2.1 Ring Patterns

In the absence of particle interactions,  $Q \rightarrow \infty$  in Eq. (4.14), our model of solute particle deposition is related to a simple model of the coffee-ring effect of particles. Particles that are initially uniformly distributed are transported to the pinned contact line due to a capillary driven convective flow, making a coffee ring structure. As discussed in Fig. 2.5 in Chapter 2.2.1, the morphological features of coffee-ring effect such as the width of a ring can be controlled by the convective flow  $v(r, t)$  to the contact line through the evaporation rate  $J_s(r, t)$ . The model developed in Chapter 4 enables us to convert the given  $J_s(r, t)$  to the hydrodynamic potential  $f_i$  (See Eqs. (2.8) and (4.6)).

In this study, we start by showing a typical evaporative deposits for  $Q \rightarrow \infty$

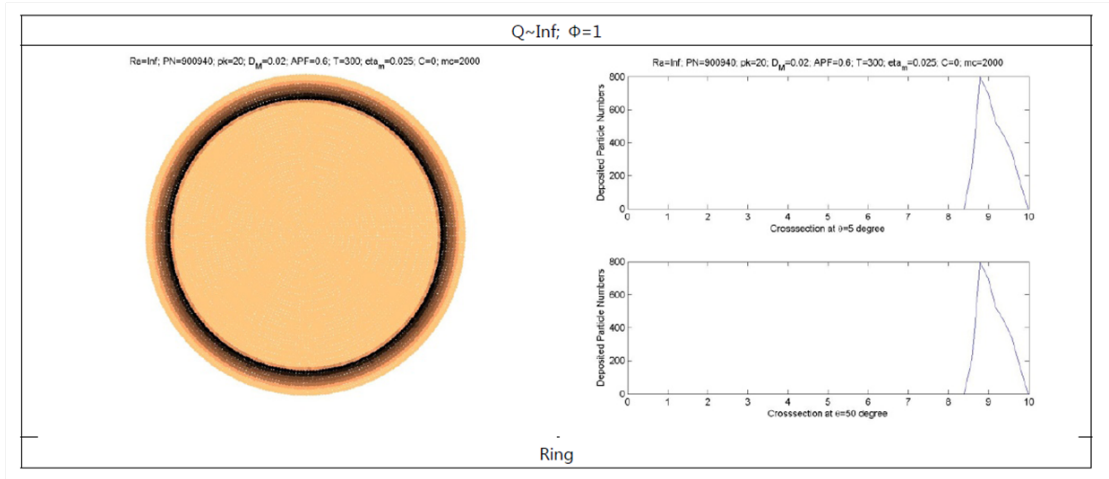


Figure 5.7: The typical ringlike deposit shape at  $\Phi = 1$ .

in Fig. 5.7. We assume the diffusion-limited evaporation  $J_d$  and the corresponding hydrodynamic potential  $f_i$  that are computed in Sec. 4.4. The cross-sectional shape in Fig. 5.7 is triangular showing the slope difference inside and outside of the ring deposit. The effects of  $\Phi$  on ringlike patterns is shown in Fig. 5.8. The mean width of the deposits are increasing with  $\Phi$  without changing the ringlike nature of patterns.

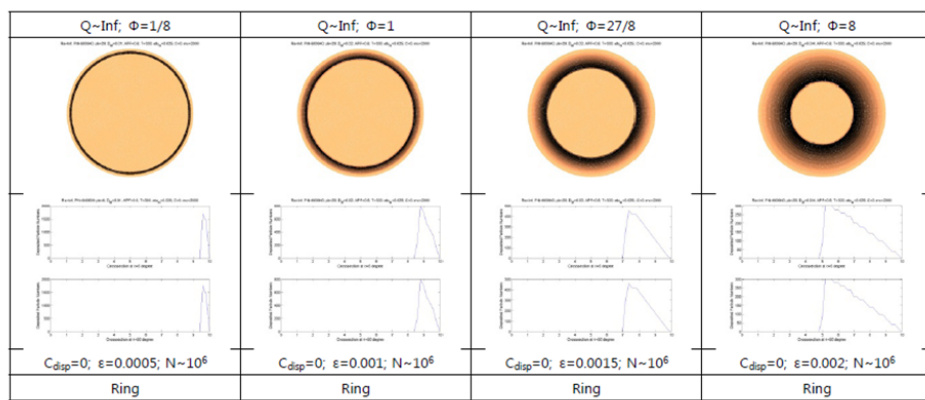


Figure 5.8: The effect of  $\Phi$  on ringlike patterns.

As  $Q$  decreases for fixed  $\Phi$ , patterns stay ringlike until the value of  $Q$  becomes small enough to create wavy shapes. Figure 5.9 shows a time evolution

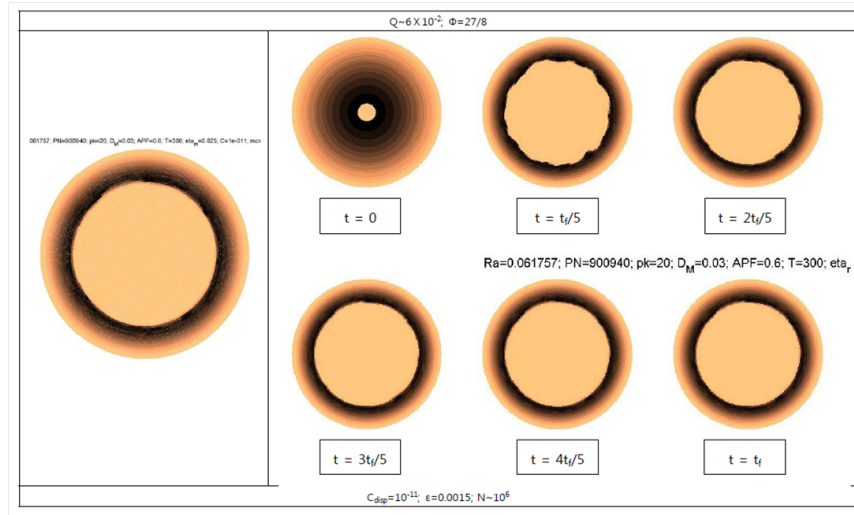


Figure 5.9: Time evolution of a ring formation under a weak interaction.

of a ring shape. The final drying time  $t_f$  is divided into six segments and the corresponding shapes are shown on the right side of the figure. A wavy shape appears at  $t = t_f/5$  and then disappears as the convective flow becomes stronger with increasing time. The final deposit shape is ringlike.

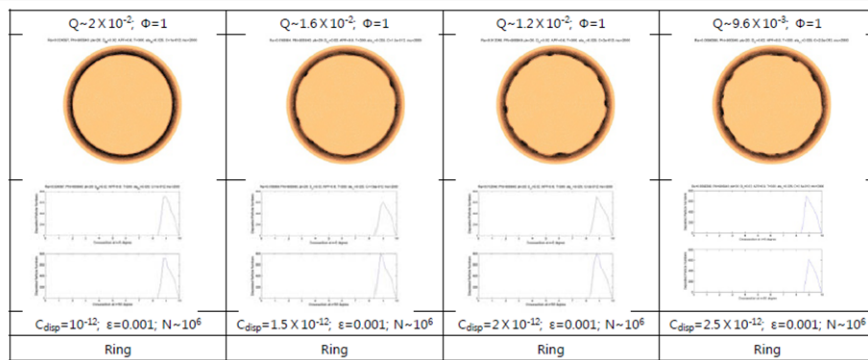


Figure 5.10: Emergence of wavelike patterns by varying  $Q$  with  $\Phi$  fixed.

To further investigate when the waviness remains on the deposit shape, we change  $Q$  from  $Q \approx 2 \times 10^{-2}$  to  $\approx 9.6 \times 10^{-3}$  at  $\Phi = 1$ . The final deposit shapes and the corresponding time evolution of each are presented in Fig. 5.10 and in Fig. 5.11, respectively. At time  $t = t_f/5$ , wavy shapes appear. The



wave number increases and the waviness persists until the final time. When  $Q \approx 9.6 \times 10^{-3}$ , three islands are left at  $t = t_f/5$  but soon coalesce so that the final pattern is wavy. Later we will see that when  $Q$  is small enough (strong interactions), similar islands at earlier times do not easily coalesce and instead persist to the final time.

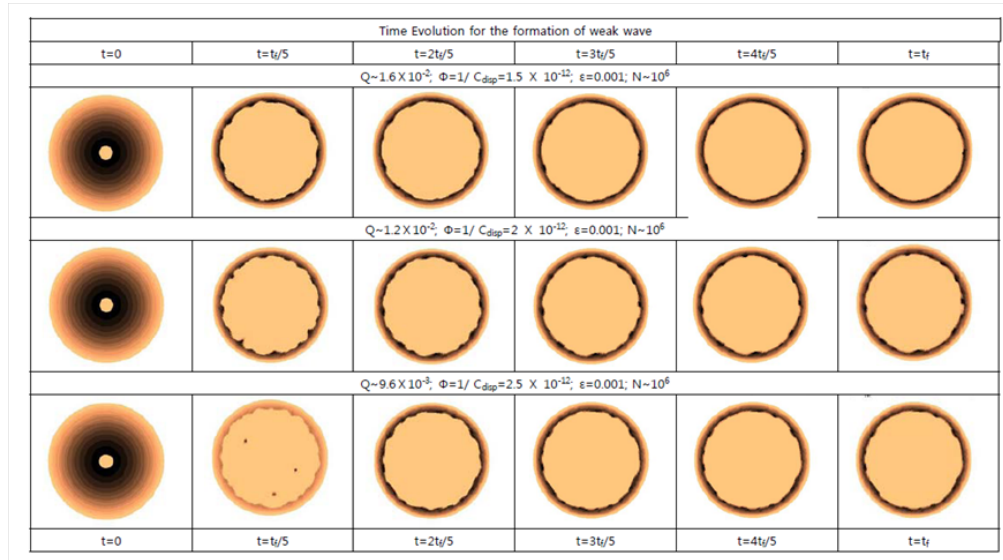


Figure 5.11: Time evolution for the formation of weak wavelike patterns.

### 5.2.2 Wavelike Instability Patterns

In the previous section we found that wavelike patterns emerge from ringlike ones as  $Q$  decreases from  $\infty$  to about  $10^{-3}$  at  $\Phi = 1$ . At  $Q \approx 10^{-3}$  and  $\Phi = 1$ , the convective flow force and the interaction force are roughly balanced with each other making wavy shapes. Figure 5.12 shows patterns generated with the values of  $Q$  close to  $10^{-3}$ . It is noted that the mean thickness increases as  $Q$  decreases. Li et al. argued that the characteristic wave lengths of patterns depend only on the mean width of patterns (for example,  $\lambda_m = 4.6w$  for pentanol solution) [5]. We find that the mean width can be adjusted by

varying the interaction strength as well as the size or number of particles. Patterns created in the current study shows the proportional relation between wavelengths and mean widths, which agrees with the results by Li et al. (See Figures 5.12 and 5.13).

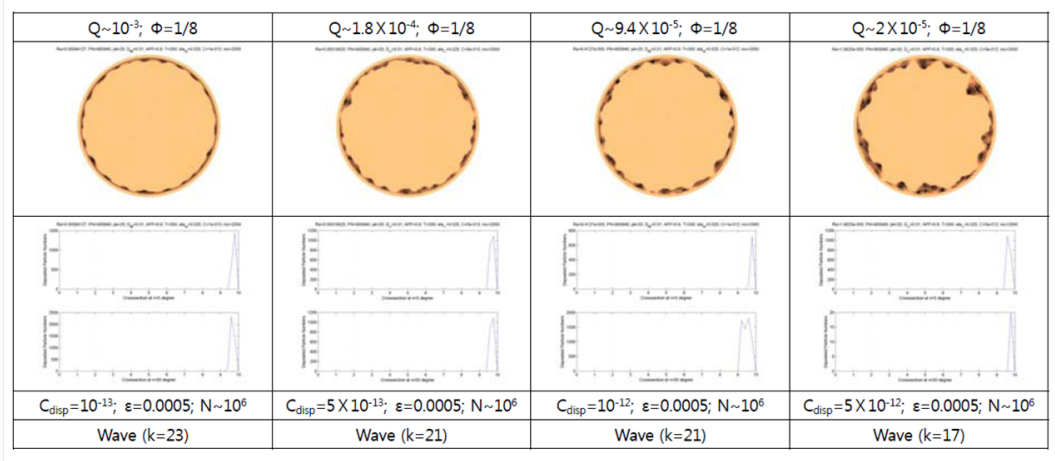


Figure 5.12: The representative behaviors of deposit patterns with the small change near  $Q = 10^{-3}$  to show the delicate change of patterns. The value of  $Q$  changes from from  $10^{-3}$  to  $2 \times 10^{-5}$  at  $\Phi = 1/8$ .

Figure 5.13 shows wavelike patterns by changing the value of  $\Phi$ , while  $Q$  is fixed at  $10^{-3}$ . This can be achieved for example by changing the particle number  $N$ . The mean width increases with increasing of  $\Phi$  and the associated wave numbers are decreasing, except when  $\Phi = 1/8$ . In this case, most of the particles are deposited at a single layer due to the small number of particles, making it hard to clearly identify wave shapes.

The time evolution of wave shapes are shown in Fig. 5.14. At the earlier times in the time series, islands are left behind in the middle because the interaction force is larger than the convective flow force at this stage. However, particles in the islands are eventually transferred to the contact line and join the wavy shapes when convective flows become strong at the later times. Note

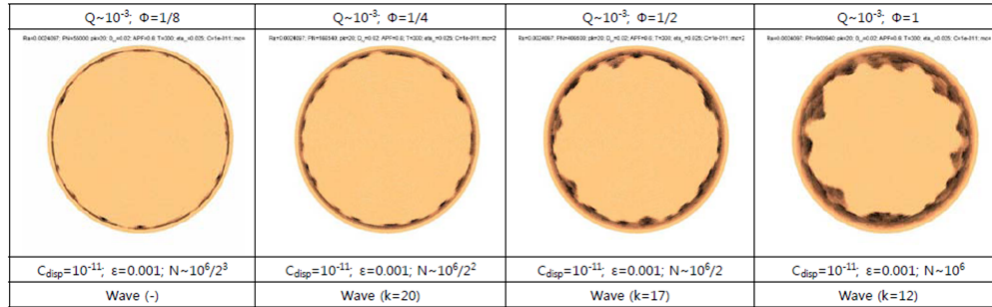


Figure 5.13: Particle number effect on the typical wavelike deposits at  $Q = 10^{-3}$ .

that the convective flow velocity diverges at the final time as well as at the contact line, making the convective flow potential relatively strong at the final time. At fixed  $Q$ , patterns depend strongly on  $\Phi$  which is clearly shown in the intermediate figures. For example, at the time step  $t = 2t_f/t$  and  $Q = 10^{-3}$ , particles remain in the middle as islands at  $\Phi = 1$  while all particles are carried to the contact line with the flow at  $\Phi = 1/4$ . Since islandlike shapes are formed when the interaction force is stronger than the convective flow force, we again see that the interaction at  $\Phi = 1$  is stronger than at  $\Phi = 1/4$  with fixed  $Q$ .

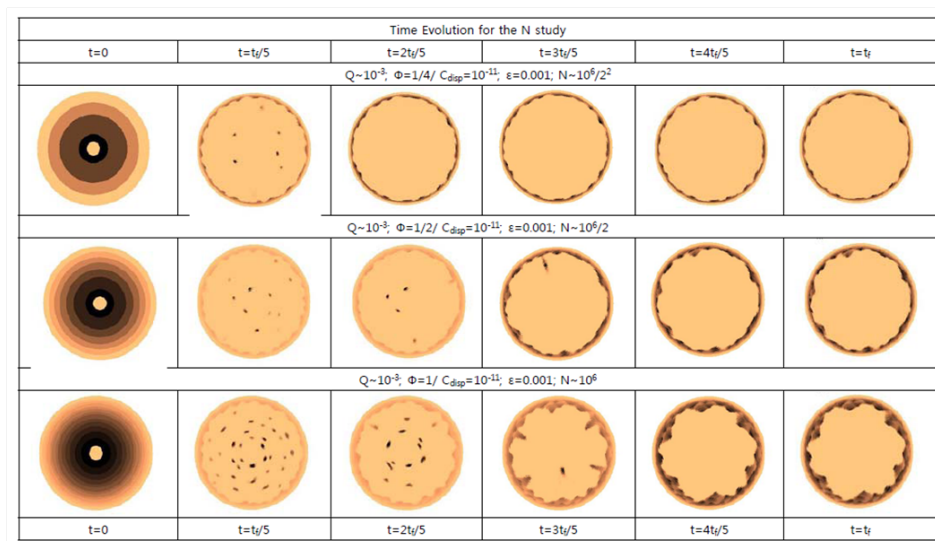


Figure 5.14: Time evolution for the formation of waves at  $\epsilon = 0.001$ .

Among the wavelike patterns presented in Fig. 5.13 (or 5.14), two different modes are recognized. One mode is as in the second panel in Fig. 5.13 (or in the first row in Fig. 5.14), i.e. a smooth regular scalloped wave pattern. The other mode is as in the fourth panel in the same figure (or in the third row in Fig. 5.14), shows multiple layers of scallops. That is, the undulated surface is not completely smooth. In the third rows of patterns in Fig. 5.14, particles remain in the middle until  $t = 3t_f/5$  and then transfer to the contact line making a second layer of wavelike deposit. Since those particles impinge on the first layer of waves, the mean thickness increases.

### 5.2.3 Fingerlike Patterns

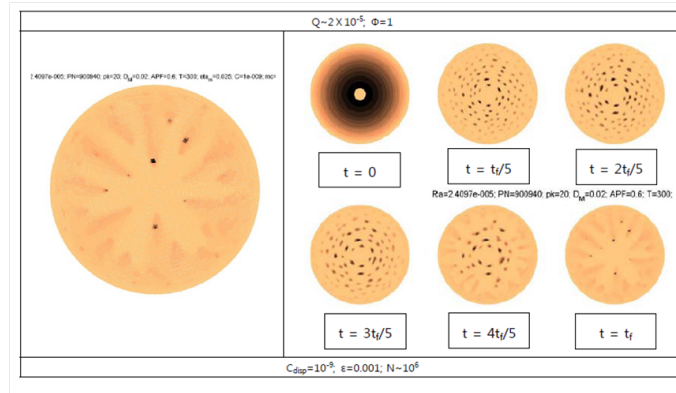


Figure 5.15: A typical fingerlike deposit shape at  $Q = 2 \times 10^{-5}$  and  $\Phi = 1$

In the previous subsections, we have seen ringlike patterns as  $Q \rightarrow \infty$  and wavelike patterns at smaller  $Q$  and various values of  $\Phi$ . As  $Q$  decreases further, fingerlike patterns emerge. Figure 5.15 shows a typical fingerlike pattern and its time evolution. Since the interaction force is weak compared to the convective flow, island particles are transferred to the contact line making fingerlike traces. Unlike wavelike patterns, fingers are left in the middle because

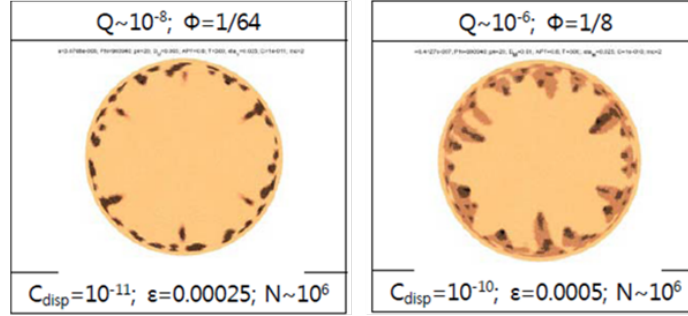


Figure 5.16: Fingerlike patterns near the contact lines.

of the insufficient strength of a convective flow.

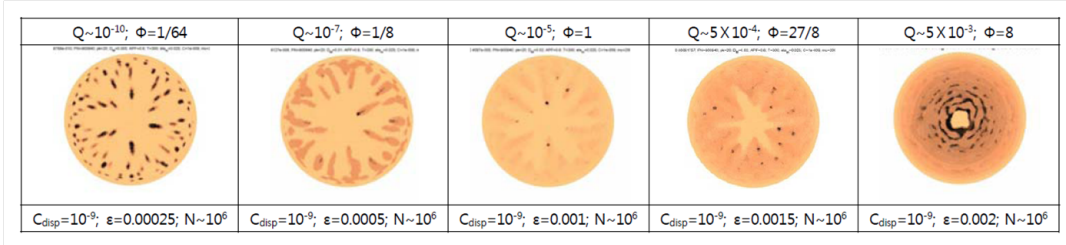
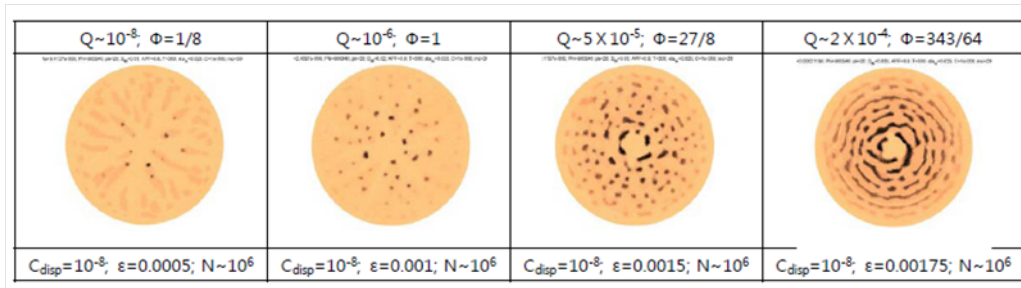
(a) At  $C_{disp} = 10^{-9} eV \cdot \mu m^6$ .(b) At  $C_{disp} = 10^{-8} eV \cdot \mu m^6$ .

Figure 5.17: Fingerlike patterns with several particle sizes.

Figures 5.16 and 5.17 depicts fingerlike patterns with various values of  $Q$  and  $\Phi$ . In each figure,  $Q$  and  $\Phi$  both vary with increasing particle size and fixed strength of interaction. Patterns in Fig. 5.16 resemble wavelike patterns near the contact line. Patterns in Fig. 5.17 present wide variations with several different particle sizes. The strength of interaction is  $C_{disp} \approx 10^{-9}$  and  $10^{-8} eV \cdot \mu m^6$  in Fig. 5.17(b). Isolated fingers in the first and the

second panels of Fig. 5.17(a) transform into connected flowerlike shapes with increased particle sizes. Some of islands remain after evaporation. Fig. 5.17(b) with increased  $C_{disp}$ , more islands are left in the middle and particles are uniformly spread around these islands due to the strengthened convective flow with particle sizes.

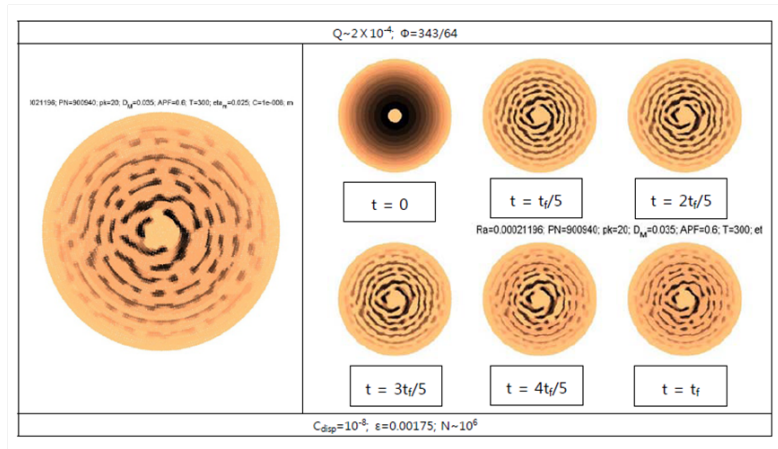


Figure 5.18: Time evolution of a pattern at  $Q = 2 \times 10^{-4}$  and  $\Phi = 343/64$ .

The time evolution presented in Fig. 5.18 shows two features. At the earlier time  $t = t_f/5$ , the islands stay disconnected except near the contact line whereas at the later time they become connected as particles spread around the island. This is because the convective flow is stronger at later time composed to earlier times, and are also stronger near the contact line.

If the interaction increase further, one finds isolated islands. This case is discussed in the next subsection. The fingerlike patterns are transition patterns between wavelike and islandlike patterns as  $Q$  gradually decreases with  $\Phi$  fixed.

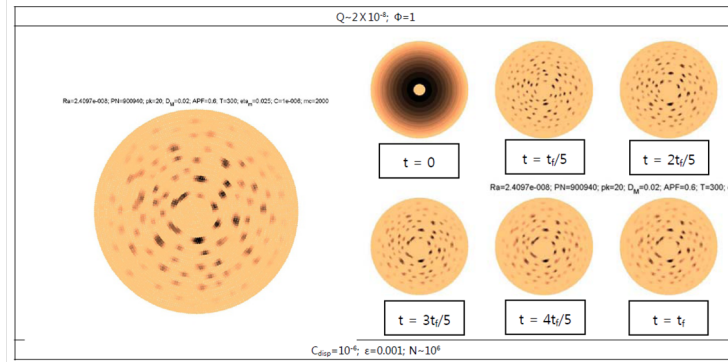
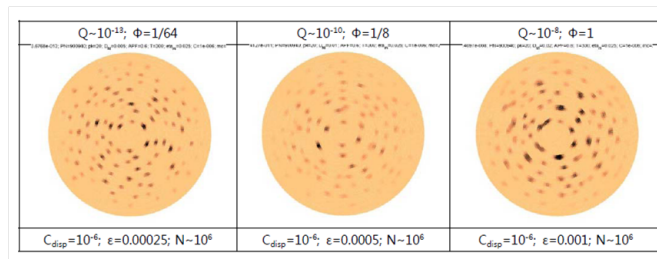
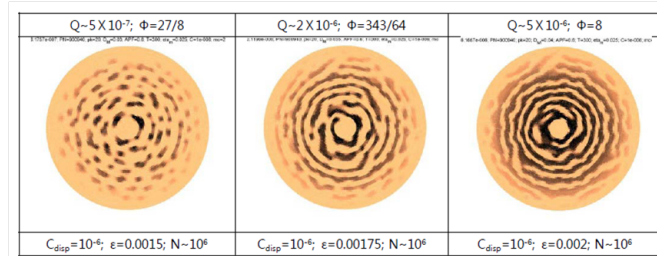


Figure 5.19: The typical islandlike deposit shape when  $\epsilon = 0.001$ .



(a) The value of  $\epsilon$  ranges from  $\epsilon = 0.0005$  to  $\epsilon = 0.002$ .



(b) The value of  $\epsilon$  ranges from  $\epsilon = 0.003$  to  $\epsilon = 0.004$ .

Figure 5.20: Particle size effect on islandlike patterns

### 5.2.4 Islandlike Patterns

Earlier we found that when interactions between particles vanish, deposit patterns are governed by convective flows and become rings. The other extreme will be when interactions are dominant over convective flows. In this case, the corresponding patterns are islands. Figure 5.19 shows a typical isolated islandlike patterns. Each island has a definite size corresponding to the num-



ber of particles initially distributed on the lattice. On the right side, the time evolution illustrates that islands are formed at the initial time and then remain almost the same except for two or three islands that merge with time.

Islandlike patterns depend on  $\Phi$  as follows. We find isolated islands at small  $\Phi$  in Fig. 5.20(a) and connected ones at large  $\Phi$  in Fig. 5.20(b).

### 5.3 Pattern Summary

		Q											
		E-1	E-2	E-3	E-4	E-5	E-7	E-6	E-7	E-8	E-9	E-10	E-13
PATTERNS		RING			WAVE			FINGER			ISLAND		
$\Phi$	8	R	F					I					
	343/64							I					
	8/27	R		F					I				
	1	R	W	W		F				I			
	1/2			W									
	1/4			W	W								
	1/8	R	R	W	W	W	W	F	F	I	I		
	1/16			W	W								
	1/64										F	I	
	1/80			W									
	729/64000							W					
	125/64000									F			
PATTERNS		RING			WAVE			FINGER			ISLAND		

Figure 5.21: A summary table of patterns presented in this chapter.

We summarize all patterns in Fig. 5.21. The rows in the table cover several orders of  $Q$  from  $10^{-1}$  to  $10^{-13}$  while the columns show  $\Phi$  values ranging from  $125/64000$  ( $\approx 2 \times 10^{-3}$ ) to 8. The morphologies ringlike, wavelike, fingerlike, and islandlike shapes are denoted by different colors and placed in the table according to  $Q$  and  $\Phi$  values. Recall that the dimensionless parameter  $Q$  is the ratio of a convective flow potential to an interparticle interaction and



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the dimensionless parameter  $Q$  is the relative volume fraction of particles. Islandlike patterns are created as  $Q$  becomes small while ringlike patterns are generated as  $Q$  becomes large. The effect of  $\Phi$  is clear in this table. As  $\Phi$  increases, islandlike patterns emerge at relatively large  $Q$  meaning that the effectiveness of interaction increases with  $\Phi$  in the pattern forming process.

# Chapter 6

## Conclusion

We have examined the deposit pattern formation of an evaporating droplet containing solute particles. As the droplet evaporates, the particles are accumulated near at the pinned contact line due to the asymmetric convective flow and aggregate forming various structures, ranging from simple rings to islandlike patterns. We proposed and successfully demonstrated that wavelike patterns are created because of an inter-particle attraction competing with the convective flow.

We investigated the morphological transformation of the patterns according to the dimensionless numbers  $Q$  and  $\Phi$ . The number  $Q$  is a ratio between the convective flow potential and the inter-particle interaction and the number  $\Phi$  is a relative volume fraction of particles. In the study, the parameter  $Q$  is expressed as a function of  $\epsilon$  and  $C_{disp}$ . Physically,  $\epsilon$  and  $C_{disp}$  are determined by the size and surface chemistry of particles, respectively. The value  $C_{disp}$  is a constant to determine the strength of interparticle interaction and is related to the surface chemistry of particles. On the other hand,  $\Phi$  can be varied by the number or the size of particles. If the  $Q$  is decreased (i.e., interaction

is strengthened and a convective flow is weakened), wavelike patterns emerge from ring-like ones. In the later stage of the wave formation, some of the pre-formed scalloped (or undulated) waves smooth out, resulting in smaller wave numbers compared to those in the earlier stage. This is because the convective flow increases toward the final drying time. If  $Q$  is sufficiently small (i.e., the interaction is sufficiently large), then the inter-particle attraction drives the particles into isolated island-like patterns. In case of the higher  $\Phi$ , the particles tend to cluster more easily into an island-like pattern because the average inter-particle distance becomes shorter and makes the interaction forces stronger.

It is observed that the stronger the inter-particle interaction  $C_{disp}$ , the wider the mean width of the wave patterns. The particles that arrived at the contact line in the later stages of evaporation have insufficient time to fully engage in the patterns before the final drying time. If  $\Phi$  increases, so does the mean width of the wave patterns. The pattern remains qualitatively the same for different physical values (the size and the number of particles and the strength of interaction), as long as the two dimensionless parameters  $\Phi$  and  $Q$  are fixed.

Between the wave-like and island-like patterns, transitory finger-like patterns emerge. Finger-like patterns emerge when the interactions are too weak to hold the particles in an island-like shape in the later stages of evaporation. The particles which are parts of the islands at the earlier time are swept away by the strengthened convective flow near the final drying time. They leave traces of finger-like patterns in the middle because there is not enough time for them to reach the contact line. If  $\Phi$  is large, then particles that are initially part of islands are simply spread around each island due to the strong convective flow.

As noted in Chapter 1, prior work on the self-assembly of interacting nanoparticles deal with diffusion of nano particles in the process. They have not emphasized convective hydrodynamic flows of evaporating solvent. In contrast, the present work considers convection of the solvent induced by evaporation and pattern forming process due to the convection. The Hamiltonian of our coarse lattice model allows to account for both the convection of the solvent and the interaction of the solute at the same time.

We note that there are a number of phenomena ignored in our model that may be important in various experimental settings. These include transfer-limited evaporation (as opposed to diffusion-limited evaporation considered in the current work), Marangoni effects due to surface tension gradient during evaporation, and rheological effects that occur as the particle concentration increases to diverge and slow down the fluid flow near the final drying time.

The diffusion limited evaporation justifies the assumption of quasi-steady processes in the present work. Studies of transfer-limited evaporation is quite rare. The experiments conducted by Deegan and his coworkers found that the transfer-limited evaporation of a droplet leaves ring deposits which are wider than those from diffusion -limited evaporation. On the simulation side, the full hydrodynamic diffusion-convection equation describes transfer-limited evaporation and some important deposit phenomena including coffee ring stains [20]. The main issue in simulation is that quasi-steady processes may not occur in the case of transfer-limited evaporation. The simple coarse grained lattice model developed in the current work may provide a simple but quantitatively accurate measure of the width of ring deposits without considering the full hydrodynamic equations for transfer-limited evaporation.

Marangoni effects induce a recirculatory flow driven by surface tension gra-

dients produced by latent heat of evaporation. The ratio of substrate thermal conductivity to solvent thermal conductivity determines the direction of the Marangoni flows. These flows can reverse a capillary convective flow toward the contact line and makes deposit patterns at the center rather than at the contact line. For example, fluorescent poly(methyl methacrylate) (PMMA) particles in a drying octane droplet prefers to stay and deposit near the center of the droplet rather than near the contact line because of the Marangoni effect [3]. Accounting for Marangoni effects in our model would require an additional potential term in the Hamiltonian defined in Chapter 4.

In the current model, the droplet dynamics are independent of the dynamics of the particles in that the convective potential is given as known prior to the particle redistribution process. Rheological effects influence the fluid flow, as the viscosity increases with the particle concentration. There are two time regimes in the growth of the rings at the contact line [7]. The ring grows according to a power law at early times, whereas it rapidly grows and diverges at late times. At this late time regime, the hydrodynamic flow and the dynamics of particles are coupled. Our model can account for the coupling by allowing liquid viscosity that determines a convective flow potential to depend on the particle volume fraction.

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