

QCD Vacuum Topology and Glueballs

PRD **64** (2001) 034015; **71** (2005) 054008

Hilmar Forkel
U. Heidelberg & IFT-UNESP

- A few facts about glueballs
- **OPE** of glueball correlators with nonperturbative Wilson coefficients
- **0^{++}** Gb sum rules & direct instantons
- **0^{+-}** Gb & topological charge screening
- Wrapping up...

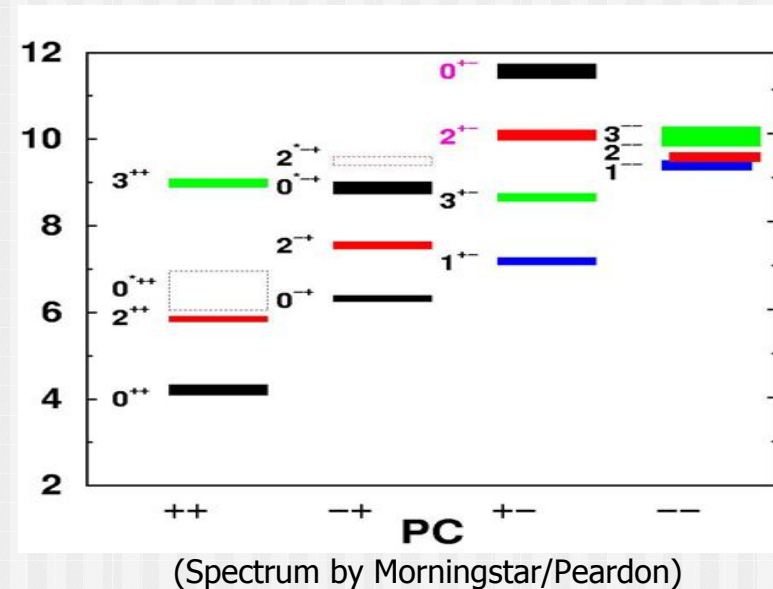
Mini-introduction to glueballs

30ys of study - still theoretically and experimentally **far from understood**:

- **Quarkonium admixtures** subtle (unquenched lattice not yet predictive...)
- Unique **expt. signatures?** (e.g. rad. decays of heavy quarkonia...)
- Crucial for interpretation of **light scalar meson spectrum**

Benchmark: quenched lattice spectrum:

- level ordering: $0^{++} < 2^{++} < 0^{-+}$
- scalar glueball properties:
 - $m_{0^{++}} \sim 1.5 \text{ GeV}$
 - $r_{0^{++}} \sim 0.2\text{-}0.4 \text{ fm} (\ll r_{2^{++}})$



Structure & dynamics of bound IR glue:

- Mostly **coherent**, nonperturbative "**valence glue**" ~ **elusive glue** in classical hadrons?
- \exists essential gluonic (collective) dofs., contribs from known **gluonic effects**?
- E.g. monopoles, vortices, branes, **instantons**...?

Glueball correlation functions and (I)OPE

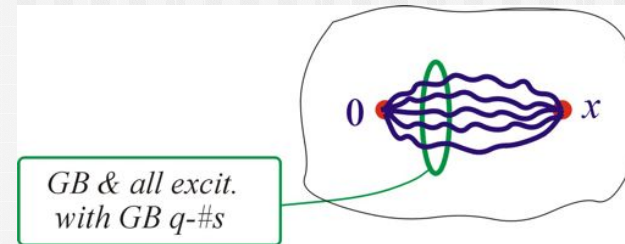
Propagate gluonic vacuum excitations:

$$\Pi_G(Q^2) = i \int d^4 q e^{iqx} \langle 0 | T g_G(x) g_G(0) | 0 \rangle$$

Created by interpolators:

$$\begin{aligned} g_S(x) &= \alpha_s G_{\mu\nu}^a G^{a,\mu\nu} \\ g_P(x) &= \alpha_s G_{\mu\nu}^a \widetilde{G}^{a,\mu\nu} \\ g_T(x) &= \Theta_{\mu\nu} \end{aligned}$$

Linked to glueball spectrum:



Dispersive representation (Σ over hadr. states) **vs. (I)OPE:**

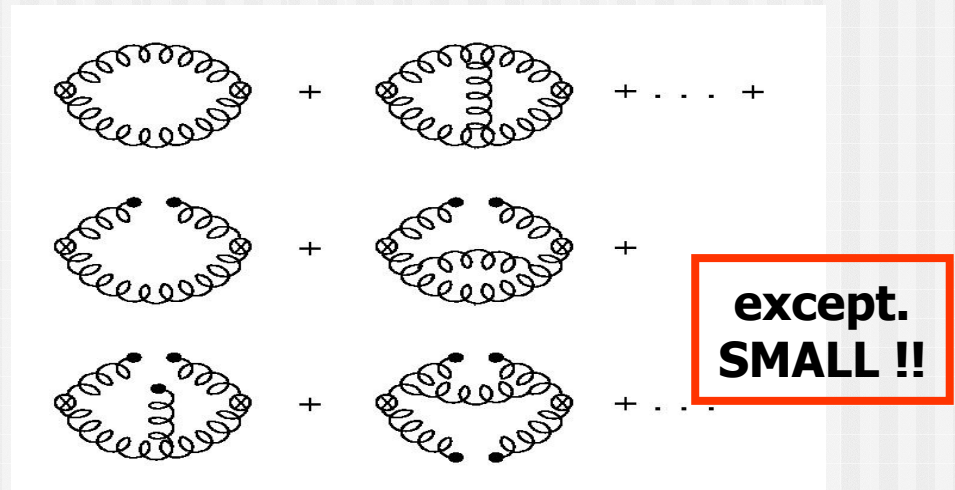
$$\Pi(Q^2) = \frac{1}{\pi} \int_0^\infty ds \frac{\text{Im} \Pi^{(ph)}(-s)}{s+Q^2} \text{ mod}(subtr.) \xrightarrow{Q^2 \gg \Lambda_{QCD}^2} \sum_n C_{\hat{O}_n}(Q^2; \mu) \langle : \hat{O}_n : \rangle$$

Borel moments (for sum rules):

$$\mathcal{L}_k(\tau) \equiv \hat{B}_\tau \left[(-Q^2)^k \Pi(Q^2) \right] = \frac{1}{\pi} \int_0^\infty ds s^k \text{Im} \Pi(-s) e^{-s\tau}$$

Perturbative Wilson coefficients

- Up to N²LO: $O(\alpha^2)$, 3-loop
- Incl. interior quark loops
- Incl. all operators up to $d=8$
- IR cutoff μ usually neglected
- Long history of improvements...



Trad. SVZ-OPE & QCD sum rules built on these coefficients!

- **Notorious inconsistencies** in spin-0 glueball sum rules:
 - \mathcal{L}_{-1} ΣR predicts $m_{0^{++}} \sim 0.2 - 0.6$ GeV,
 - $\mathcal{L}_{0,1,\dots}$ ΣR s predict $m_{0^{++}} \geq 1.2$ GeV,
 - \mathcal{L}_{-1} ΣR s badly violate LETs in both spin-0 channels!
- Nonpert. contributions underrepresented: $C_{\langle GGG \dots \rangle}$ **except. small!**

Ideal hunting ground for addtl. (semi-hard) npert. physics in OPE-coeffs!

Qualitative indications for relevance of instantons

A) Strength:

- 0^{PC} GBs couple except. strongly to Is.
- "Classical enhancement": $G^{(I)^4} \sim 1/\alpha_s^2$
- 0^{++} GB except. small: $r_G \sim \rho \ll \Lambda_{\text{QCD}}^{-1}$
- 0^{+-} GB couples to topological charge of Is

B) Channel dependence:

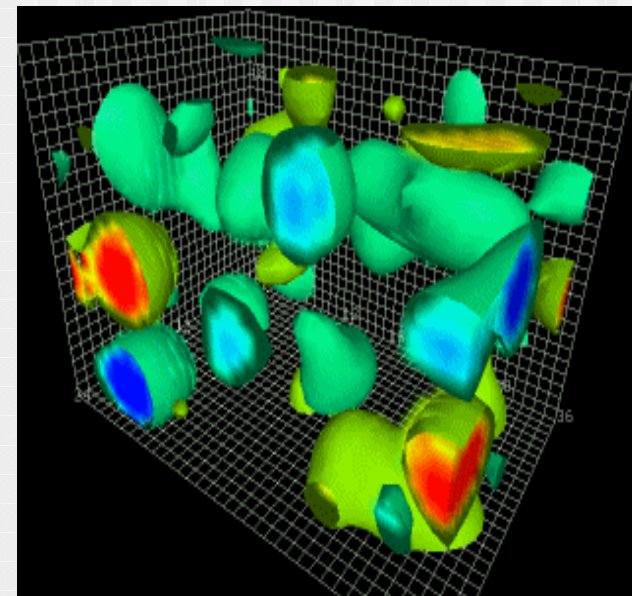
(Anti-) Self-duality:

$$G_{\mu\nu}^{(I,\bar{I})} = \pm i \tilde{G}_{\mu\nu}^{(I,\bar{I})}$$

$$(\text{:= } \pm \frac{i}{2} \epsilon_{\mu\nu\alpha\beta} G^{(I,\bar{I})\alpha\beta})$$

$$\Rightarrow \left\langle T \tilde{G}(x) \tilde{G}(0) \right\rangle_{I,\bar{I}} = - \left\langle T G(x) G(0) \right\rangle_{I,\bar{I}} \Rightarrow$$

$$\left\langle T \Theta_{\mu\nu}(x) \Theta_{\rho\sigma}(0) \right\rangle_{I,\bar{I}} = 0 \quad \text{since } \Theta_{\mu\nu}^{(I,\bar{I})} = 0$$



(Picture by Adelaide group)

- attraction (repulsion) in 0^{++} (0^{+-})
- no interaction in 2^{++}
- \Rightarrow reproduces qual. level order!

Semiclassical treatment of direct instantons

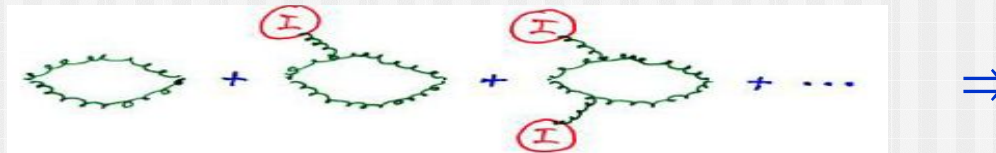
Hard = "direct" $\rho \leq \mu^{-1}$ instanton contributions to

$$\Pi_G(x) = \langle 0 | T g_G(x) g_G(0) | 0 \rangle = \sum_n C_{\hat{O}_n}^{(pt \& I, \bar{I})}(x; k \geq \mu) \times \langle \hat{O}_n \rangle (k < \mu)$$

at $|x| \ll \Lambda_{\text{QCD}} \sim R$ (nearest instanton dominates) **semiclassically** (analytically):

$$\Pi_G(x) = \int D(q\bar{q} \tilde{G}_\mu) g_G(x) g_G(0) \exp\left(-\frac{S_E[q\bar{q}G]}{\hbar}\right) \quad \text{expanded around } I, \bar{I} \text{ saddle points: } G_\mu = G_\mu^{(I, \bar{I})} + \tilde{G}_\mu$$

i.e.:



$$\Pi_S^{(I, \bar{I})}(x) = \sum_{I, \bar{I}} \int dU \int d\rho n(\rho) \int d^4 x_0 \pi(x; x_0, \rho, \mu) = \frac{2^8 3}{7} \int d\rho \frac{n(\rho)}{\rho^4} {}_2F_1\left(4, 6, \frac{9}{2}; -\frac{x^2}{4\rho^2}\right) + \dots$$

- Quark admixtures through $n(\rho)$, quark loops, condensates
- Analytical structure (cuts) determines large-Q behavior
- Requires $n(\rho)$ as input from lattice, ILM

Instanton size distribution

$$n_I(\rho) = n_{\bar{I}}(\rho) = \frac{d^5 N_I}{d^4 x_0 d\rho}$$

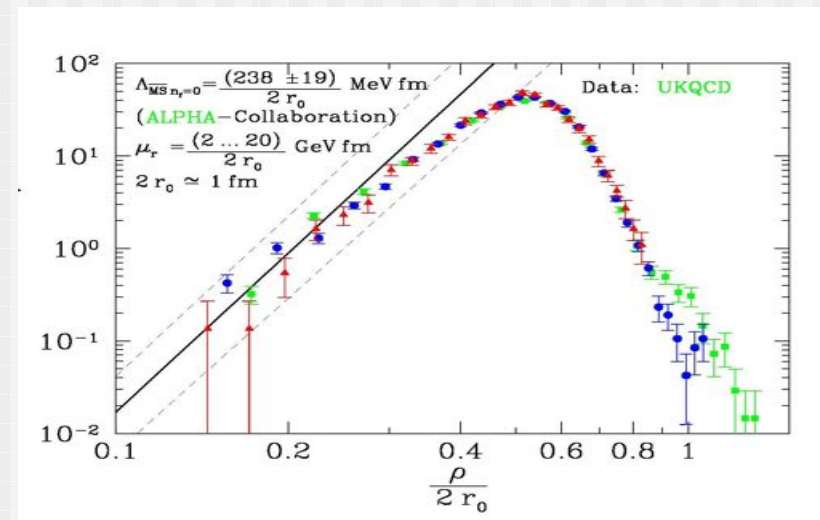
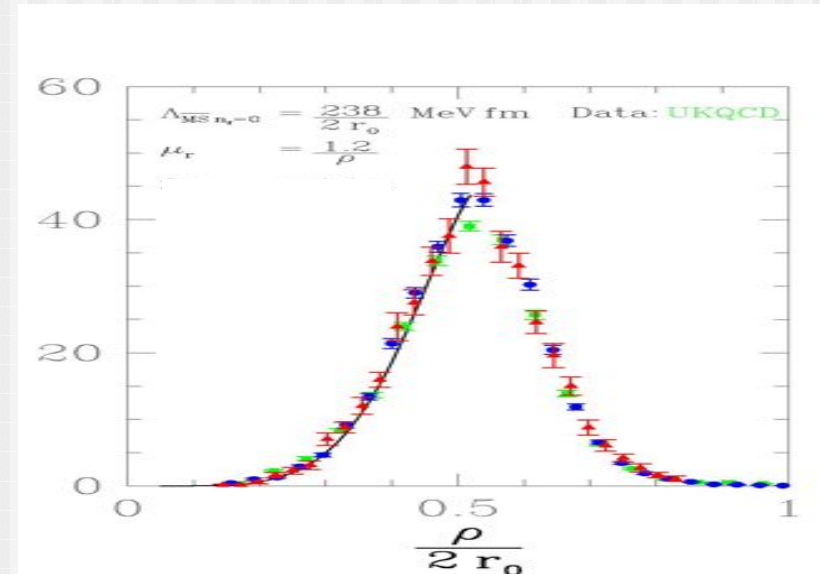
Quenched SU(3) data from UKQCD, analyzed by A. Ringwald, F. Schrempp, PLB 459 (1999) 249

$$\bar{n} := \int_0^\infty n_I(\rho) d\rho = 0.5 \text{ fm}^4 \quad (\text{lattice, ILM, phen.})$$

$$\bar{\rho} := \frac{1}{\bar{n}} \int_0^\infty n_I(\rho) \rho d\rho = 0.33 \text{ fm} \quad (\text{lattice, ILM, phen.})$$

$$n_I(\rho) \rightarrow C_s \rho^{b_0-5} \quad \text{for } \rho \rightarrow 0 \quad (\text{backgrnd. PT, latt.})$$

$$n_I(\rho) \rightarrow C_l \exp\left(C_e \frac{\rho^2}{\bar{\rho}^2}\right) \quad \text{for } \rho \rightarrow \infty \quad (\text{latt., ILM, } N_f\text{-indep.})$$



Realistic size distribution & renormalization

Minimal implementation :

$$n_I(\rho) = \frac{2^{18}}{3^6 \pi^3} \frac{\bar{n} \rho^4}{\bar{\rho}^5} \exp\left(-\frac{2^6}{3^2 \pi} \frac{\rho^2}{\bar{\rho}^2}\right) \quad (N_c = N_f = 3)$$

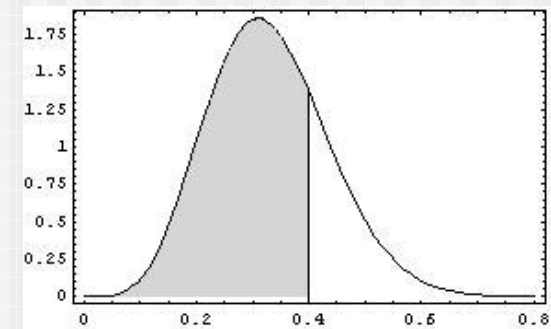
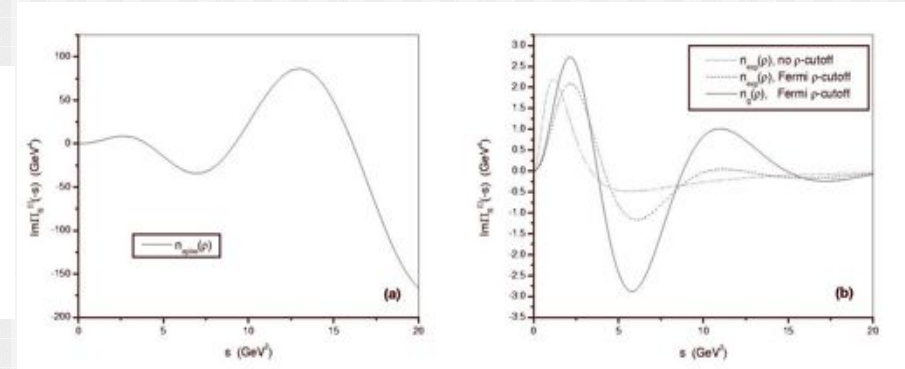
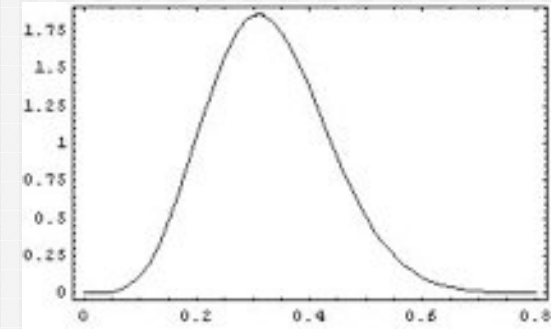
Impact on IOPE, Gb sum rules:

- Wilson coeffs. & Σ Rs insensitive to details of $n(\rho)$
- Spike **artefacts** gone, positivity restored
- $\Pi(Q)$ decays slower at large $Q \rightarrow$ **smaller** m_G
- Fiducial domains larger \rightarrow **more accurate** Σ Rs

\rightarrow Renorm. of inst.-ind. Wilson coefficients :

Gauge-inv. **exclusion of instantons** with $\rho > \mu^{-1} \Rightarrow$

- Improved consistency of all Σ Rs
- LET in scalar channel fully restored
- (Approx. "pragmatic" OPE with spike only)



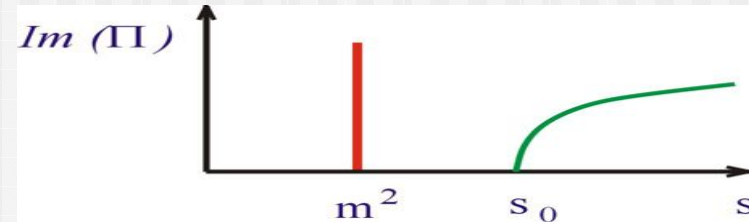
Continuum-subtracted Borel moments & sum rules

Hadronic dispersive representation

$$\Pi^{(ph)}(Q^2) = \frac{1}{\pi} \int_0^{\infty} ds \frac{\text{Im} \Pi^{(ph)}(-s)}{s + Q^2}$$

Based on **GB pole & parton-hadron duality**:

$$\text{Im} \Pi^{(ph)}(-s) = \text{Im} \Pi^{(res)}(-s) + \text{Im} \Pi^{(cont)}(-s)$$



$$\text{Im} \Pi^{(res)}(-s) = \pi \sum_i f_{Gi}^2 m_{Gi}^4 \delta(s - m_{Gi}^2); \quad \text{Im} \Pi^{(cont)}(-s) = \left[\text{Im} \Pi^{(OPE)} + \text{Im} \Pi^{(I+\bar{I})} \right] (-s) \theta(s - s_0)$$

For 0^{++} :

$$\text{Im} \Pi^{(I+\bar{I})}(-s) = -(2\pi)^4 \int_0^{\infty} d\rho n(\rho) \rho^4 s^2 J_2(\sqrt{s}\rho) Y_2(\sqrt{s}\rho)$$

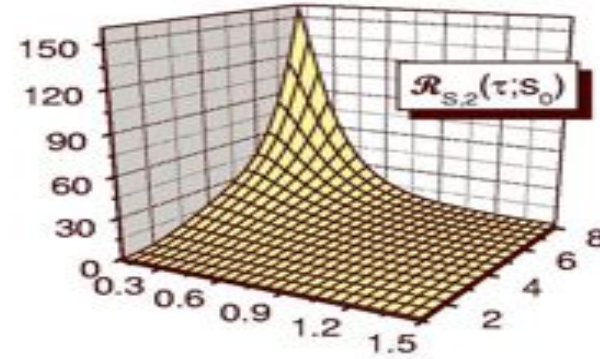
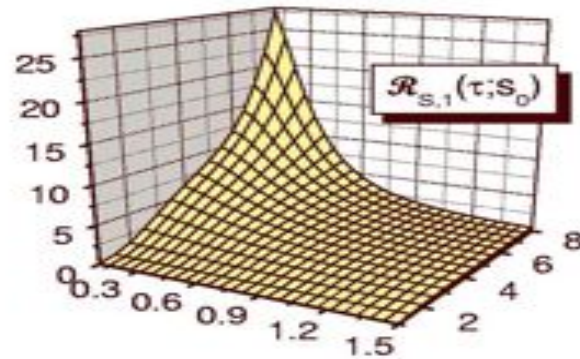
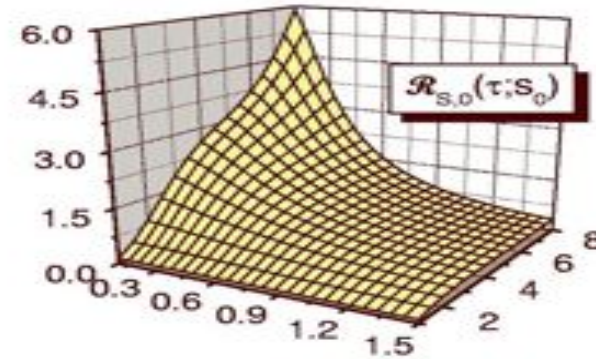
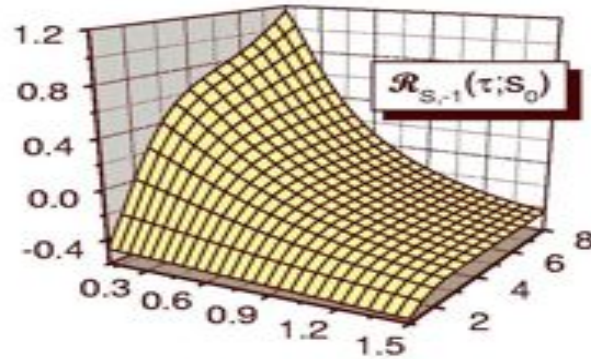
$$\text{Im} \Pi^{(OPE)}(-s) = -\pi [a_1 s^2 + 2a_2 s^2 \ln(s/\mu^2) + b_1 \langle \alpha G^2 \rangle - c_1 \langle gG^3 \rangle / s + \dots]$$

GB props.
to be calc.!

Matched over fiducial τ domain **to IOPE** moments \Rightarrow **sum rules**:

$$\begin{aligned} \mathcal{R}_{G,k}(\tau; s_0) &\equiv \hat{B}_\tau \left\{ (-Q^2)^k \left[\Pi_G^{(IOPE)}(Q^2) - \Pi_G^{(non-res)}(Q^2; s_0) \right] \right\} \\ &= \frac{1}{\pi} \int_0^{s_0} ds s^k \text{Im} \Pi_G^{(IOPE)}(-s) e^{-s\tau} - \delta_{k,-1} \Pi^{(IOPE)}(0) = f_G^2 m_G^{2(k+2)} e^{-\tau m_G^2} \end{aligned}$$

Impact of direct instantons on 0^{++} IOPE



- positivity **restored**
- Fiducial domain enlarged
- No hint of 2nd resonance

- \mathcal{R}_{-1} special: subtraction $\Pi(0)$
- Same scale of exp. tail !
- **No hint of $m < 1$ GeV res. !**

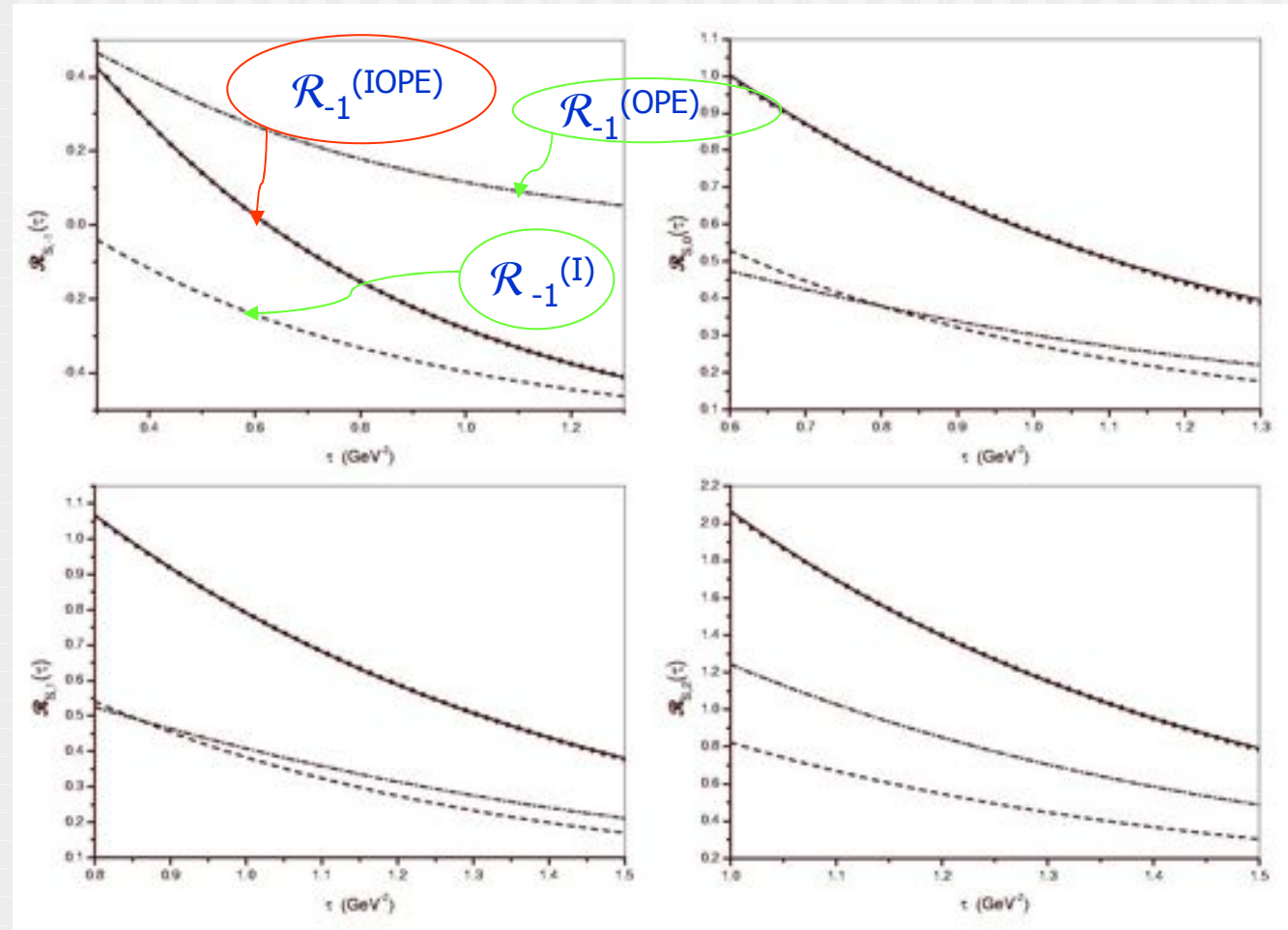
0^{++} Borel sum rule analysis

Direct instantons
indispensable at
 $x \lesssim 0.5$ fm:

Optimal match of \mathcal{R}_0 to
GB pole with $s_0 = 5.0 \text{ GeV}^2$:

- 0^{++} mass reduced:
 $m_G = 1.25 \pm 0.2 \text{ GeV}$
- 5x larger coupling:
 $f_G = 1.05 \pm 0.1 \text{ GeV}$

Resolve old puzzle of
strongly bound 0^{++} GB 💡



- mutual and LET **inconsistencies resolved!**
- semi-hard **npert.** instantons bind & gen. mass

0⁺⁺ → 0⁻⁺ ⇒ success into failure?

Now the analogous study for

$$\Pi_P(x) = \langle 0 | T g_P(x) g_P(0) | 0 \rangle = (8\pi)^2 \langle 0 | T Q(x) Q(0) | 0 \rangle$$

Dir-I contributions just change sign ⇒

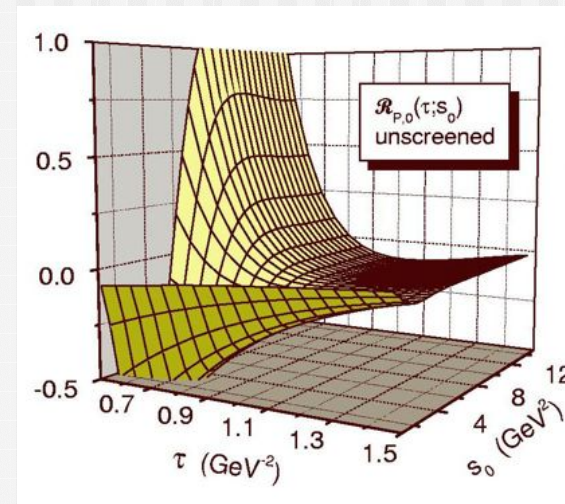
- strong repulsion ⇒
- 0⁻⁺ GB bound state (without I) disappears
- positivity (unitarity) bound violated
- ps. LET badly violated

What goes wrong here? I.e.

- 1) What is qual. **difference to scalar** channel?
- 2) Why seemed Σ Rs with purely pert. Wilson coefficients to "work" ?

Answer 1): $\Pi_P \propto$ top. charge correlator ⇒ max. sensitive to sign of Q!

since $\nu = \int d^4x Q(x) \in \mathbb{Z}$ "topological charge" distinguishes I ($\nu = +1$) from \bar{I} ($\nu = -1$) !

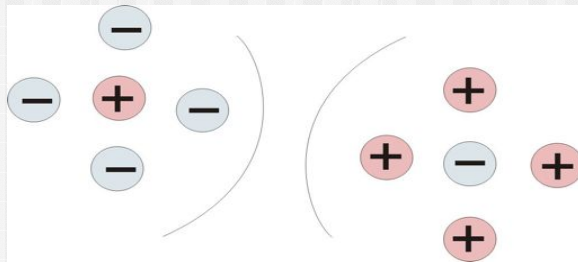


Topological charge screening

- LE int. of localized $Q(x)$ lumps in vacuum
- via η & η' exchange given by $U_A(1)$ anomaly:
- \Rightarrow opposite signs attract, equal signs repel

$$\Delta\mathcal{L}_{an} = -i\gamma_{\eta_0} Q(x)\eta_0(x)$$

\Rightarrow **small Debye screening clouds** form around $Q(x)$:



I) Isolated Q 's: $m_{\eta_0} = 0 \Rightarrow U_{12} \propto \frac{Q(x)Q(y)}{(x-y)^2}$

II) Q plasma: $m_{\eta_0} = \sqrt{\frac{2N_f \bar{n}}{f_{\eta_0}^2}} \Rightarrow U_{12} \propto \frac{Q(x)Q(y)}{(x-y)^2} e^{-m_{\eta_0}|x-y|}$

- $\Rightarrow \eta'$ acquires a **screening mass** ~ 1 GeV (solves $U_A(1)$ problem)
- $\lambda_D = m_{\eta'}^{-1} < \mu^{-1} \Rightarrow$ screening effects in Wilson coeffs.!
- With expl. chiral SB & $\eta_0 - \eta_8$ mixing Π dictated by $U_A(1)$ Ward Identity \Rightarrow

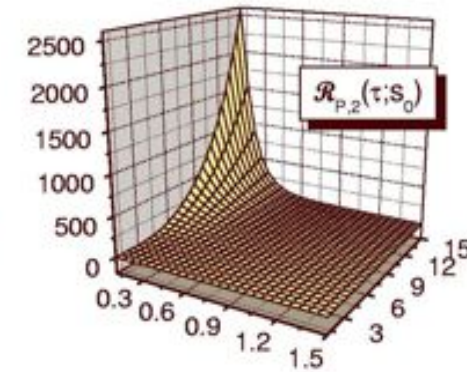
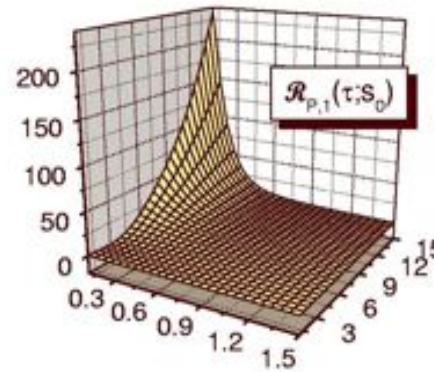
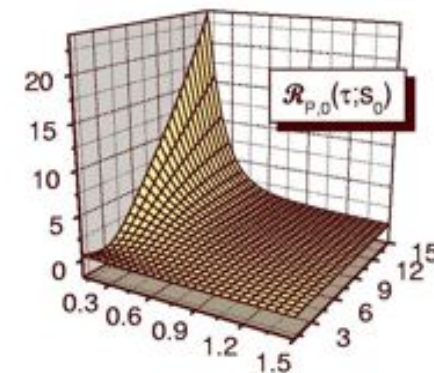
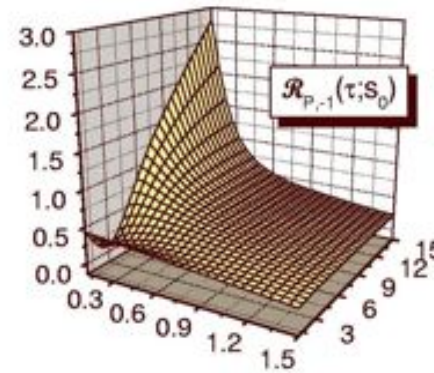
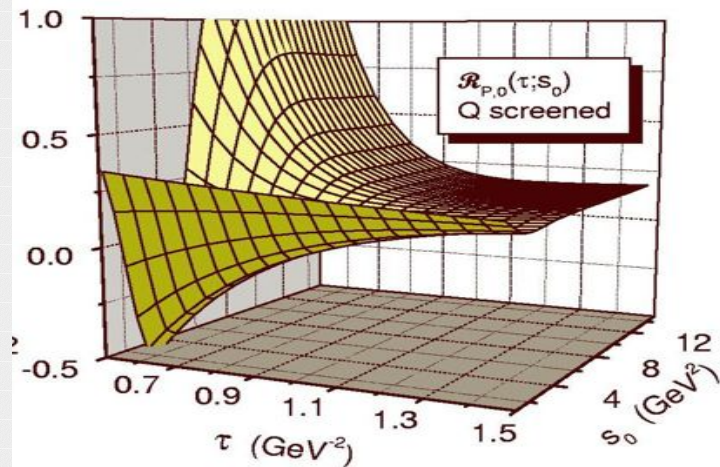
$$\Pi_P^{(scr)}(x) = -\left(2\bar{n}\gamma_{\eta_0}\right)^2 \left[\cos^2 \phi \frac{m_{\eta'} K_1(m_{\eta'} x)}{4\pi^2 x} + \sin^2 \phi \frac{m_{\eta} K_1(m_{\eta} x)}{4\pi^2 x} \right]$$

Pseudoscalar glueball IOPE & Σ Rs incl. screening

Screening contributions to 0^+ OPE coefficients have to be included...:

Q-screening results in:

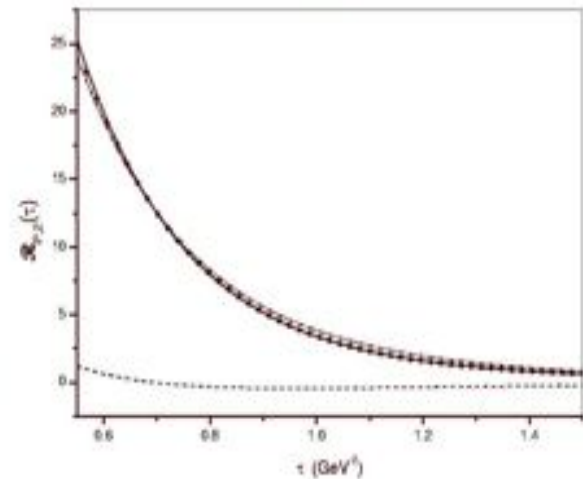
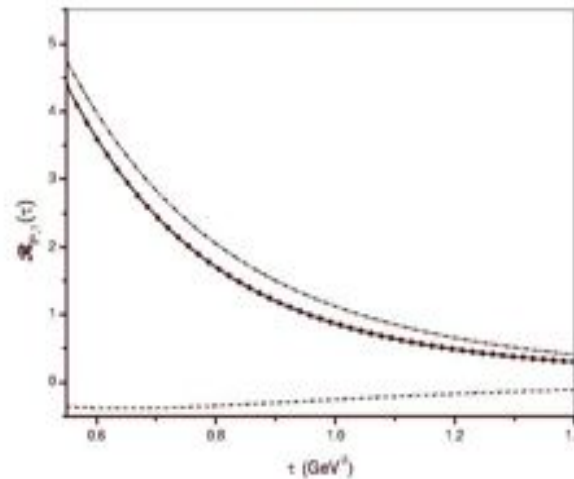
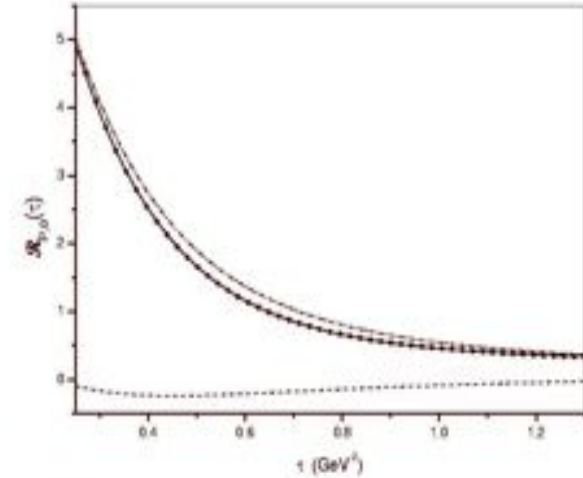
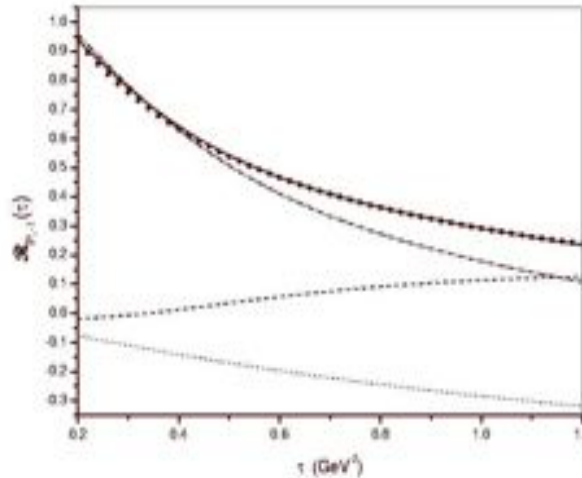
- **Unitarity** (positivity) **restored**
(for spike only in fiducial domain)
- Resonances appear: η' & 0^+ Gb



0^+ Borel sum rule analysis

- 2 resonances (0^+ Gb & η') strongly preferred
- Q screening reduces inst-induced repulsion, $r_p \sim \Lambda^{-1}$
- Consistency with anom. Ward Id. & LET restored !
(qual. different but still ok at large N, pure YM)

- $m_p = 2.2 \pm 0.2$ GeV
- $f_p = 0.6 \pm 0.25$ GeV
- $S_0 \sim 9 - 10$ GeV²,
(in "duality interval")
- η' props. at phys. values



Low-energy theorems & sum rule consistency

LETs in 0^{++} and 0^{+-} glueball channels:

$$\Pi_S(Q=0) = \frac{32\pi}{b_0} \langle \alpha_s G^2 \rangle \simeq 0.6 \text{ GeV}^4$$

$$\Pi_P(Q=0) = (8\pi)^2 \frac{m_u m_d}{m_u + m_d} \langle \bar{q}q \rangle \simeq -0.022 \text{ GeV}^4$$

Direct-instanton contribution:

$$\Pi_{S/P}(0) = \pm 2^7 \pi^2 \bar{n}_{dir} \simeq 0.63 \text{ GeV}^4$$

Top. screening contribution:

$$\Pi_P^{(scr)}(0) = \zeta \left(\frac{F_\eta^2}{m_\eta^2} + \frac{F_{\eta'}^2}{m_{\eta'}^2} \right) \simeq 0.59 \text{ GeV}^4$$

(Quenched 0^{+-} : LET:

$$\Pi_P^{(qn)}(0) = -(8\pi)^2 \chi_t^{(qn)} \simeq -0.66 \text{ GeV}^4$$

Top. charge screening disappears:)

$$\Pi_P^{(scr, qn)}(0) = 0$$

The main points:

First fully consistent analysis of 8 QCD 0^{P+} Gb sum rules:

- **dominant npert. physics is hard: in OPE coefficients** (of top. origin: instantons, top. screening)
- **long-standing inconsistencies (among Σ Rs, LETs) resolved**

New mass, coupl. & width predictions for 0^{++} and 0^{-+} with

- generally smaller m , larger f ("unquenching"?)
- **low-lying ($m_G \ll 1$ GeV) 0^{++} GB violates LET \Rightarrow obsolete**
- instanton **scales set scales** of 0^{++} - Gb - partly bound by instantons?