

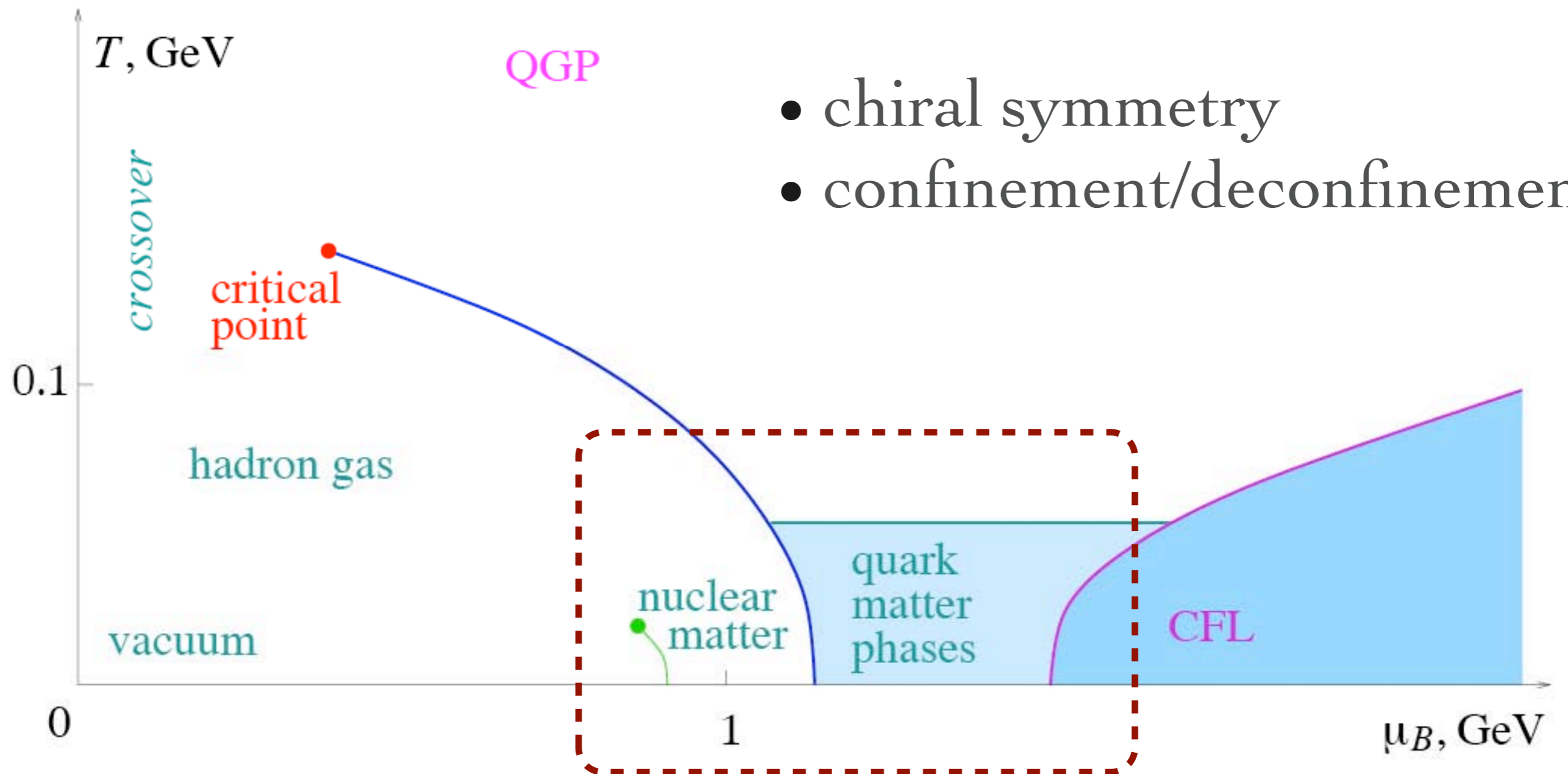
Gross-Neveu Condensates, Nonlinear Dirac Equations and Minimal Surfaces

Gerald Dunne
University of Connecticut

CAQCD 2011, Minnesota, May 2011

Başar, GD, Thies: PRD 2009, 0903.1868
Başar, GD: JHEP 2011, 1011.3835

motivation: phase diagram of QCD ?



Gross-Neveu Models

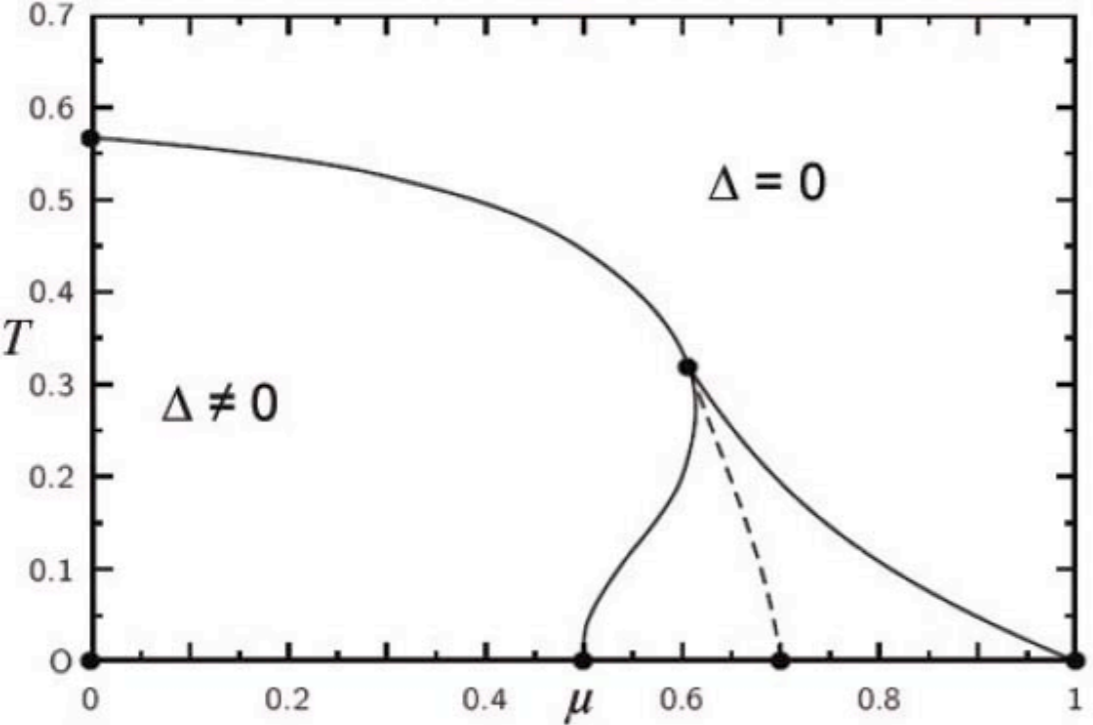
Gross/Neveu, 1974
Nambu/Jona-Lasinio, 1961

$$\text{GN}_2 \quad \mathcal{L}_{\text{GN}} = \bar{\psi} i \not{\partial} \psi + \frac{g^2}{2} (\bar{\psi} \psi)^2 \quad \psi \rightarrow \gamma^5 \psi$$

$$\begin{array}{l} \chi \text{GN}_2 \\ \text{NJL}_2 \end{array} \quad \mathcal{L}_{\text{NJL}} = \bar{\psi} i \not{\partial} \psi + \frac{g^2}{2} \left[(\bar{\psi} \psi)^2 + (\bar{\psi} i \gamma^5 \psi)^2 \right] \quad \psi \rightarrow e^{i\alpha \gamma^5} \psi$$

- renormalizable
- dynamical mass generation
- asymptotically free
- large N_f limit
- chiral symmetry breaking (discrete vs. continuous)

(T, μ) phase diagram?



Wolff, 1985

GN₂

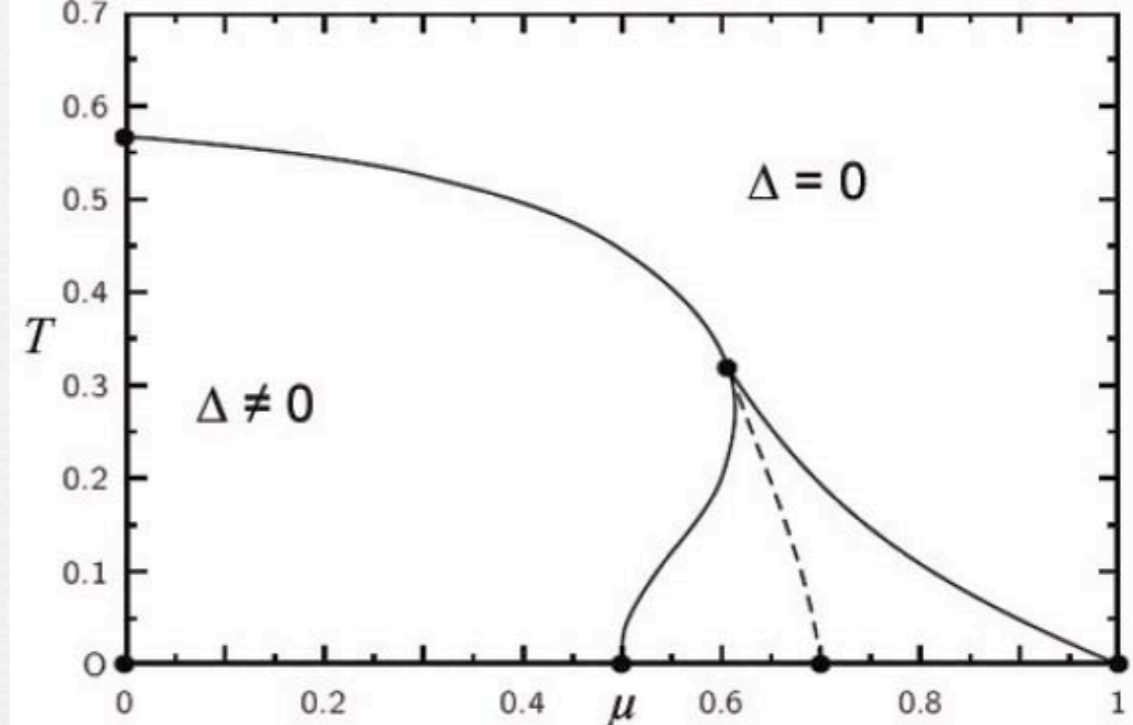
uniform
condensate

$$\sigma = \langle \bar{\psi} \psi \rangle$$

$$\pi = \langle \bar{\psi} i \gamma^5 \psi \rangle$$

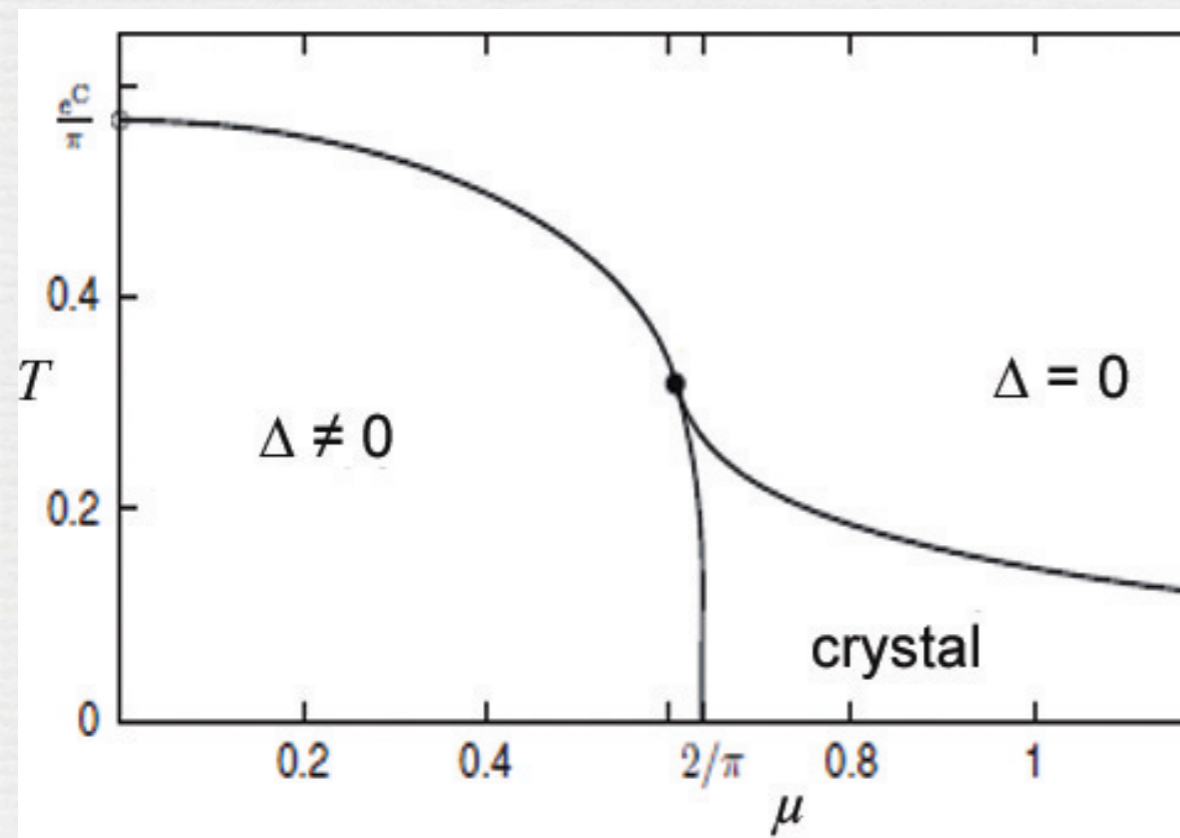
$$\Delta = \sigma - i\pi$$

inhomogeneous condensate

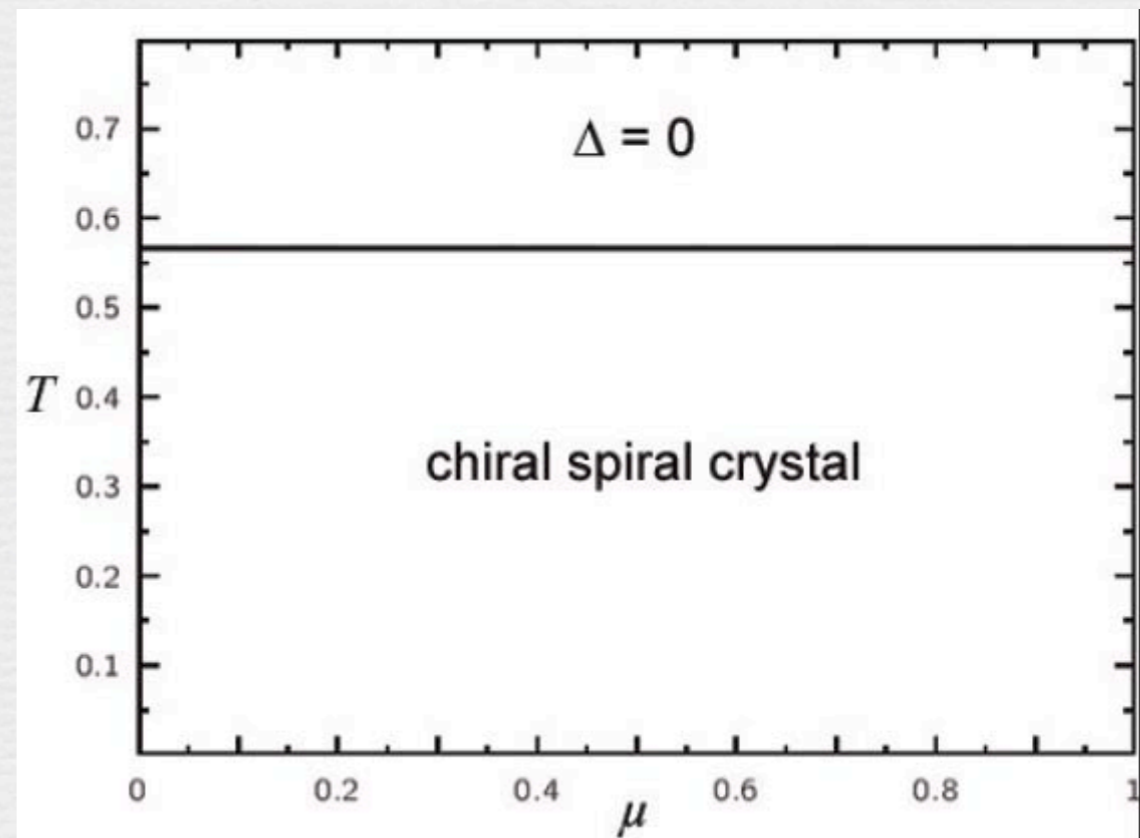


Barducci et al, 1995

NJL₂



Thies & Urlichs, 2005



Başar, GD, Thies, 2009

Inhomogeneous Condensates

$$\sigma = \langle \bar{\psi} \psi \rangle$$

functional gap equation:

$$\pi = \langle \bar{\psi} i \gamma^5 \psi \rangle$$

$$\sigma(x) = \frac{\delta}{\delta \sigma(x)} \ln \det (i \not{\partial} - \sigma(x))$$

$$\Delta = \sigma - i \pi$$

$$\Delta(x) = \frac{\delta}{\delta \Delta^*(x)} \ln \det \left(i \not{\partial} - \frac{1}{2} (1 - \gamma^5) \Delta(x) - \frac{1}{2} (1 + \gamma^5) \Delta^*(x) \right)$$

“inhomogeneous mean-field approximation”

Hartree-Fock : $H \psi_E = E \psi_E$

$$H = \begin{pmatrix} -i \partial_x & \sigma(x) \\ \sigma(x) & i \partial_x \end{pmatrix} \quad \text{or} \quad H = \begin{pmatrix} -i \partial_x & \Delta(x) \\ \Delta^*(x) & i \partial_x \end{pmatrix}$$

such that

$$\sigma(x) = \text{tr}_{E,T,\mu} \bar{\psi}_E(x) \psi_E(x)$$

$$\Delta(x) = \text{tr}_{E,T,\mu} \left(\bar{\psi}_E(x) \psi_E(x) - i \bar{\psi}_E(x) i \gamma^5 \psi_E(x) \right)$$

zero temperature and density:

Dashen-Hasslacher-Neveu (1975): inverse scattering

single kink: $\sigma(x) = m \tanh(mx)$

“reflectionless”
potential

Shei (1976): inverse scattering

twisted kink: $\Delta(x) = m \frac{\cosh\left(m \sin\left(\frac{\theta}{2}\right)x - i\frac{\theta}{2}\right)}{\cosh\left(m \sin\left(\frac{\theta}{2}\right)x\right)}$

“reflectionless”
Dirac operator

nonzero temperature and finite density:

Thies, Urlichs (2005)

kink crystal: $\sigma(x) = m \sqrt{\nu} \operatorname{sn}(mx|\nu)$

“finite-gap”
potential

Başar, GD (2008)

twisted kink crystal: $\Delta(x) = m \frac{\sigma\left(mx + i\mathbf{K}' - i\frac{\theta}{2}\right)}{\sigma\left(mx + i\mathbf{K}'\right) \sigma\left(i\frac{\theta}{2}\right)} e^{iQx}$

“finite-gap”
Dirac operator

solving the gap equation

$$\sigma(x) = \frac{\delta}{\delta\sigma(x)} \ln \det (i\partial - \sigma(x))$$

$$\sigma = \langle \bar{\psi} \psi \rangle$$

$$\pi = \langle \bar{\psi} i\gamma^5 \psi \rangle$$

$$\Delta = \sigma - i\pi$$

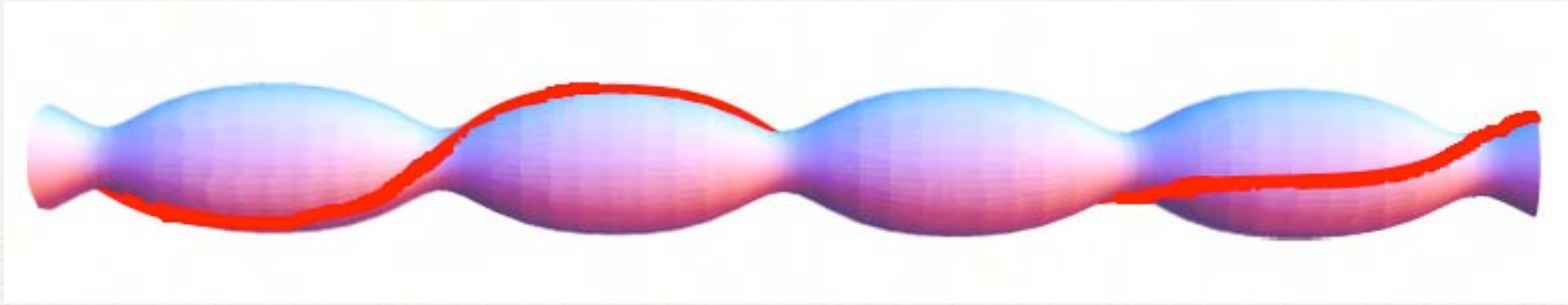
$$\Delta(x) = \frac{\delta}{\delta\Delta^*(x)} \ln \det \left(i\partial - \frac{1}{2}(1 - \gamma^5)\Delta(x) - \frac{1}{2}(1 + \gamma^5)\Delta^*(x) \right)$$

ansatz for Gorkov Green's function consistent with gap equation reduces gap equation to the (soluble) nonlinear Schrödinger equation:

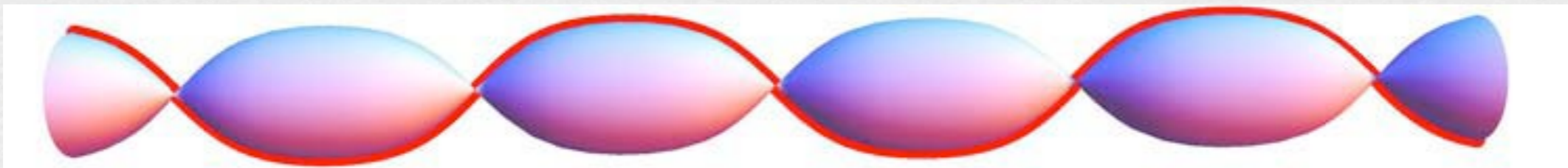
$$\Delta'' - 2|\Delta|^2 \Delta + i(b - 2E) \Delta' - 2(a - Eb) \Delta = 0$$

nonlinear Schrödinger equation (NLSE)

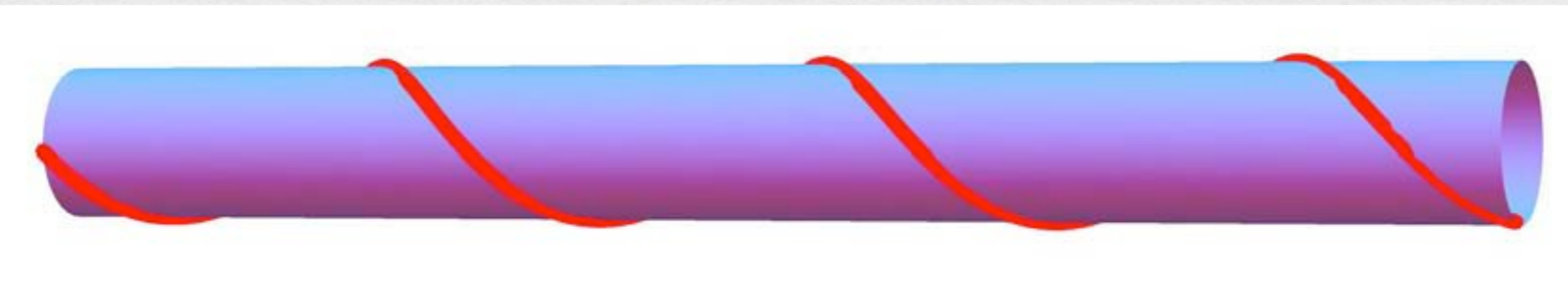
twisted kink crystal: general solution of NJL₂ gap equation



real kink crystal



spiral crystal

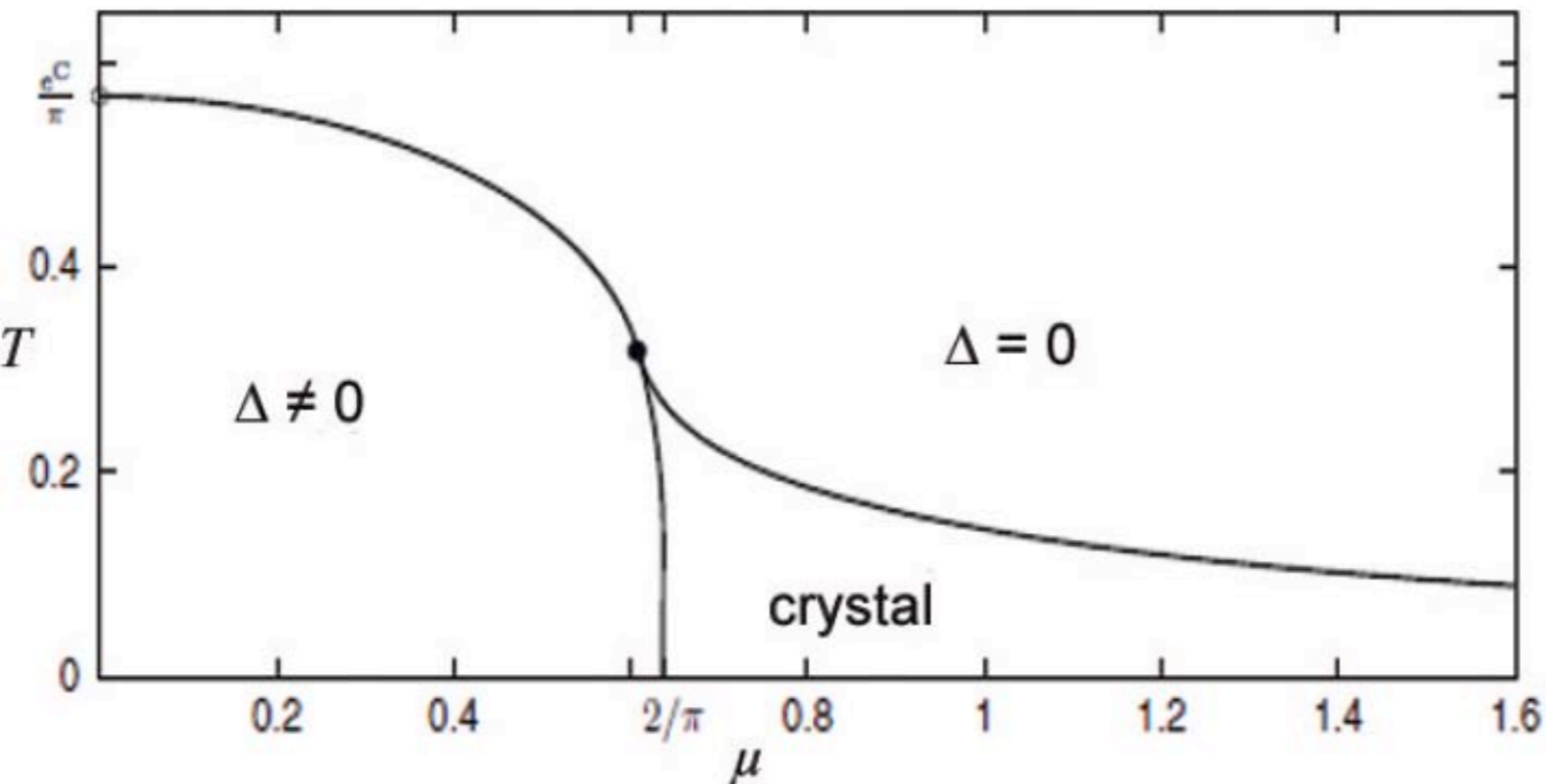


phase diagrams

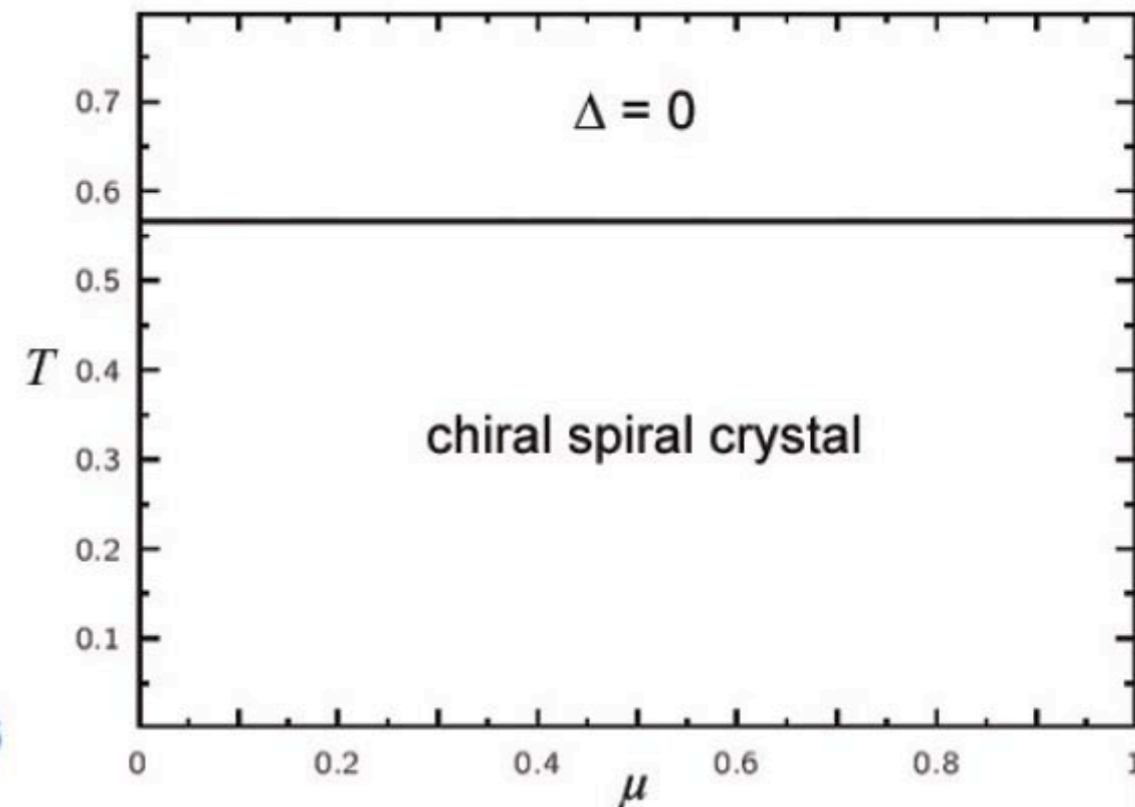
gap equation solution has 4 parameters

grand potential:
$$\Psi = -\frac{1}{\beta} \int dE \rho(E) \ln \left(1 + e^{-\beta(E-\mu)} \right)$$

minimize Ψ w.r.t. parameters, as function of T and μ



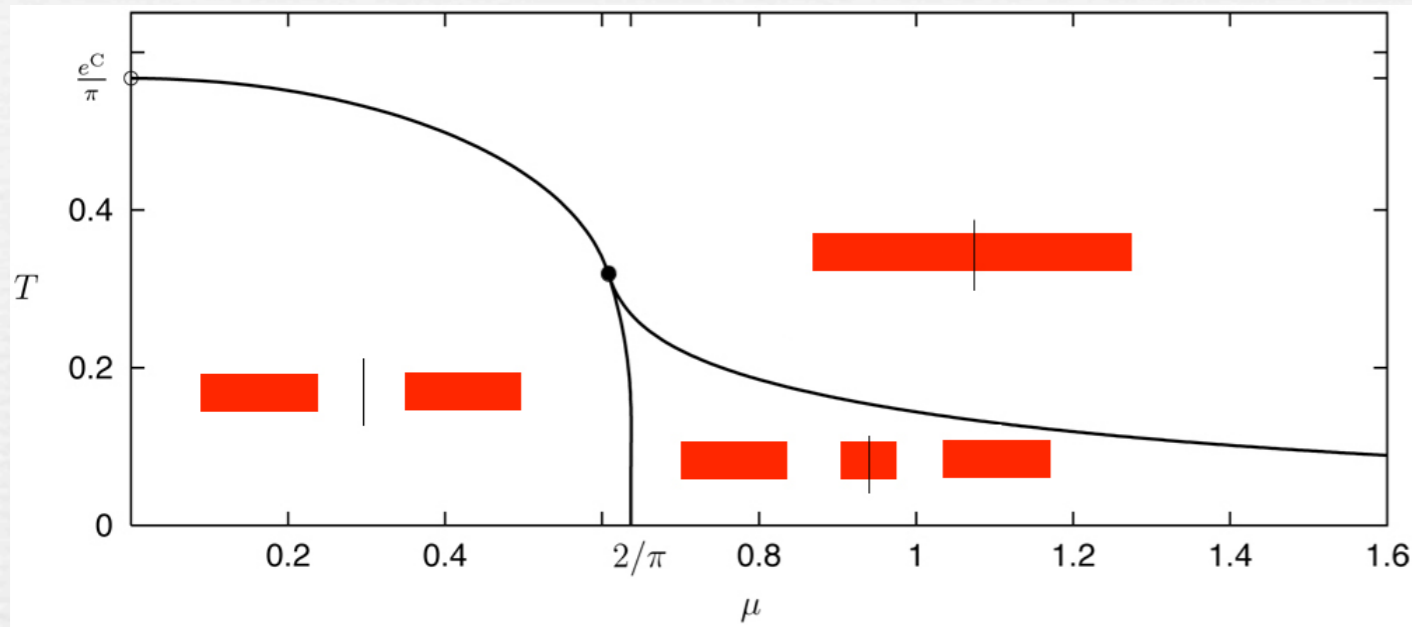
Thies & Urlichs, 2005



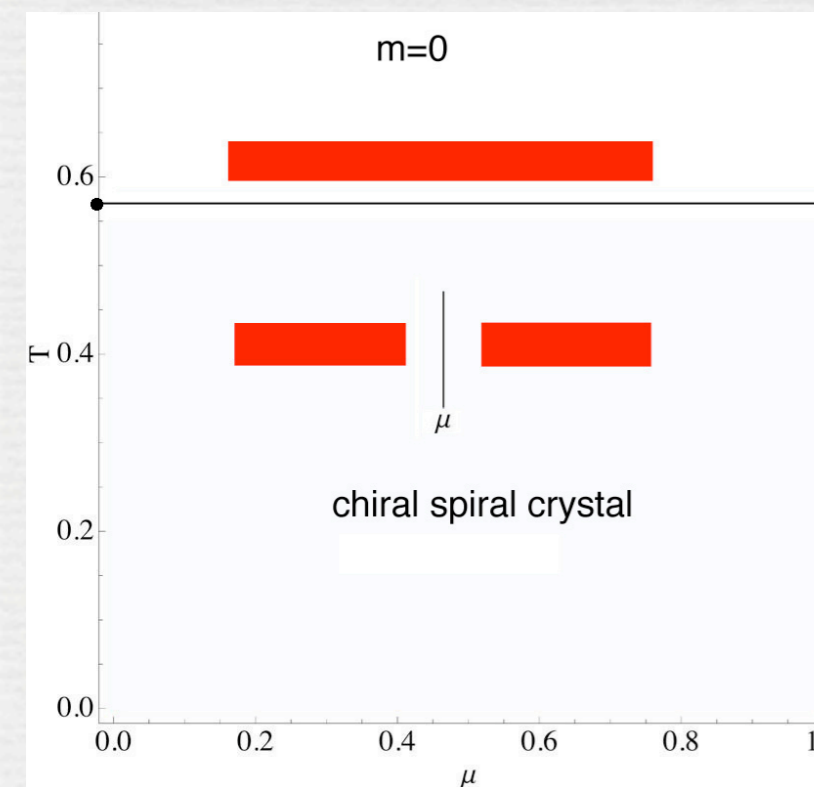
Başar, GD, Thies, 2009

physics: Peierls instability and chiral symmetry

Peierls: 1d system prefers to open gap at Fermi level



GN: discrete chiral symmetry
symmetric spectrum



NJL: continuous chiral symmetry
non-symmetric spectrum

all-orders Ginzburg-Landau expansion

$$\ln \det (i\partial - \sigma(x)) = \sum_n \alpha_n(T, \mu) a_n[\sigma(x)]$$

$$\ln \det \left(i\partial - \frac{1}{2}(1 - \gamma^5)\Delta(x) - \frac{1}{2}(1 + \gamma^5)\Delta^*(x) \right) = \sum_n \alpha_n(T, \mu) b_n[\Delta(x)]$$

Ginzburg-Landau expansion is an expansion
in the conserved quantities of the
mKdV (GN₂) and AKNS (NJL₂) integrable hierarchy

“magic” of integrable hierarchies:

Başar, GD, Thies, 2009

Correa, GD, Plyushchay, 2009

$$\frac{\delta a_n[\sigma]}{\delta \sigma(x)} = \gamma_n \sigma(x)$$

$$\frac{\delta b_n[\Delta]}{\delta \Delta^*(x)} = \tilde{\gamma}_n \Delta(x) + \tilde{\beta}_n \Delta'(x)$$

$\forall n$

extension to higher dimensions?

“vortex crystal”, “Skyrme crystal”, “baryon crystal”, ...

1+1 dim. physics = Peierls instability
+ chiral symmetry breaking

1+1 dim. gap equation solutions are special:

1. “finite gap” (“periodic reflectionless”)
2. Ginzburg-Landau = integrable hierarchies

extension to higher dimensions?

“vortex crystal”, “Skyrme crystal”, “baryon crystal”, ...

a new perspective

1+1 dim. Gross-Neveu models and string theory

Kink dynamics, sinh-Gordon solitons and strings in AdS_3
from the Gross-Neveu model

Andreas Klotzek* and Michael Thies†

Hartree-Fock: $(i\partial - \sigma(x)) \psi_k = 0$

$$\sigma(x) = \sum_k \bar{\psi}_k(x) \psi_k(x)$$

amazingly, “mode-by-mode” : $\bar{\psi}_k(x) \psi_k(x) = f(k) \sigma(x) \quad \forall k$



nonlinear Dirac equation : $(i\partial - l(k) \bar{\psi}_k(x) \psi_k(x)) \psi_k = 0$

nonlinear Dirac equation :

$$(i\partial - l \bar{\psi}(x)\psi(x)) \psi = 0$$

bilinear : $\sigma(x) = \bar{\psi}(x)\psi(x)$

$$\sigma\sigma'' - (\sigma')^2 - \sigma^4 = -1$$

$$\sigma = \bar{\psi}\psi = e^{\theta/2} \Rightarrow 1 \text{ dim. Sinh-Gordon } -\theta'' + 4 \sinh \theta = 0$$

\Leftrightarrow

$$\sigma'' - 2\sigma^3 + \sigma = 0 \quad \text{NLSE}$$

exact solutions to time-dependent Hartree-Fock

Hartree-Fock: $(i\partial - \sigma(x, t)) \psi_k = 0$

$$\sigma(x, t) = \sum_k \bar{\psi}_k(x, t) \psi_k(x, t)$$

amazingly, “mode-by-mode” :

$$\bar{\psi}_k(x, t) \psi_k(x, t) = f(k) \sigma(x, t) \quad \forall k$$

nonlinear Dirac equation :

$$(i\partial - l(k) \bar{\psi}_k(x, t) \psi_k(x, t)) \psi_k(x, t) = 0$$

$$\sigma = \bar{\psi} \psi \equiv e^{\theta/2} \quad \Rightarrow \quad 2 \text{ dim. Sinh-Gordon}$$

$$\partial_\mu \partial^\mu \theta + 4 \sinh \theta = 0$$

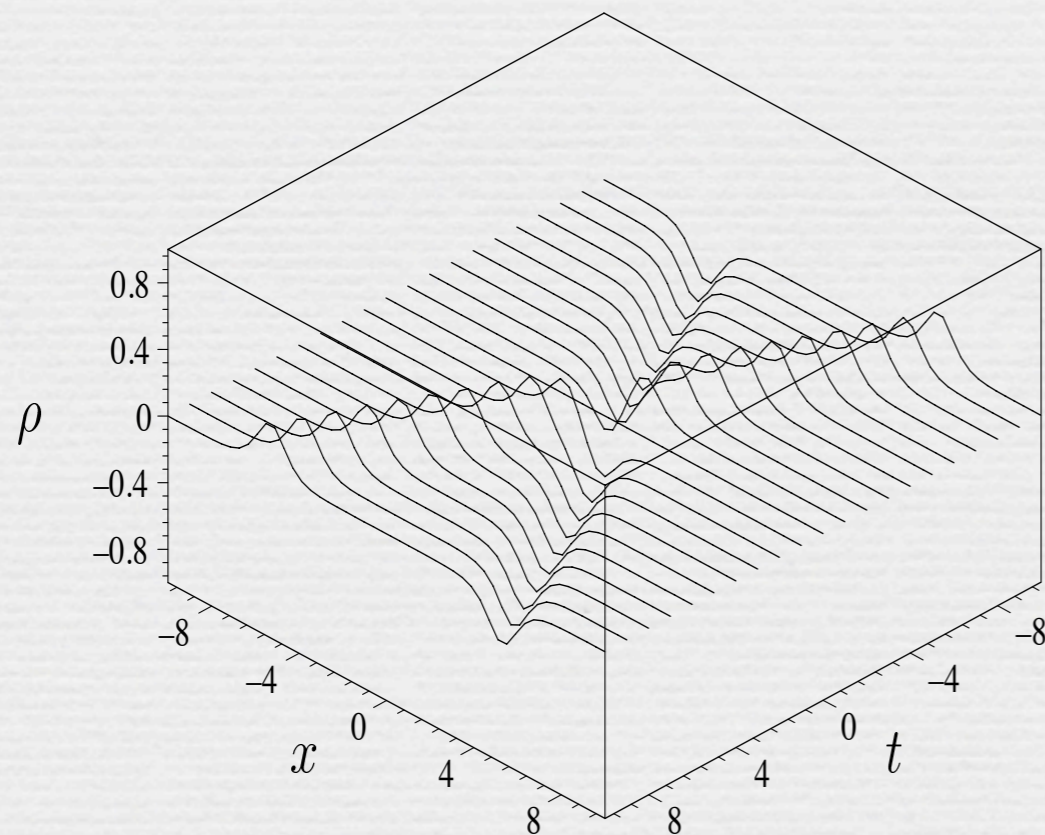
boosted solution: $\sigma(x) \rightarrow \sigma\left(\frac{x - vt}{\sqrt{1 - v^2}}\right)$

breather solution: not real

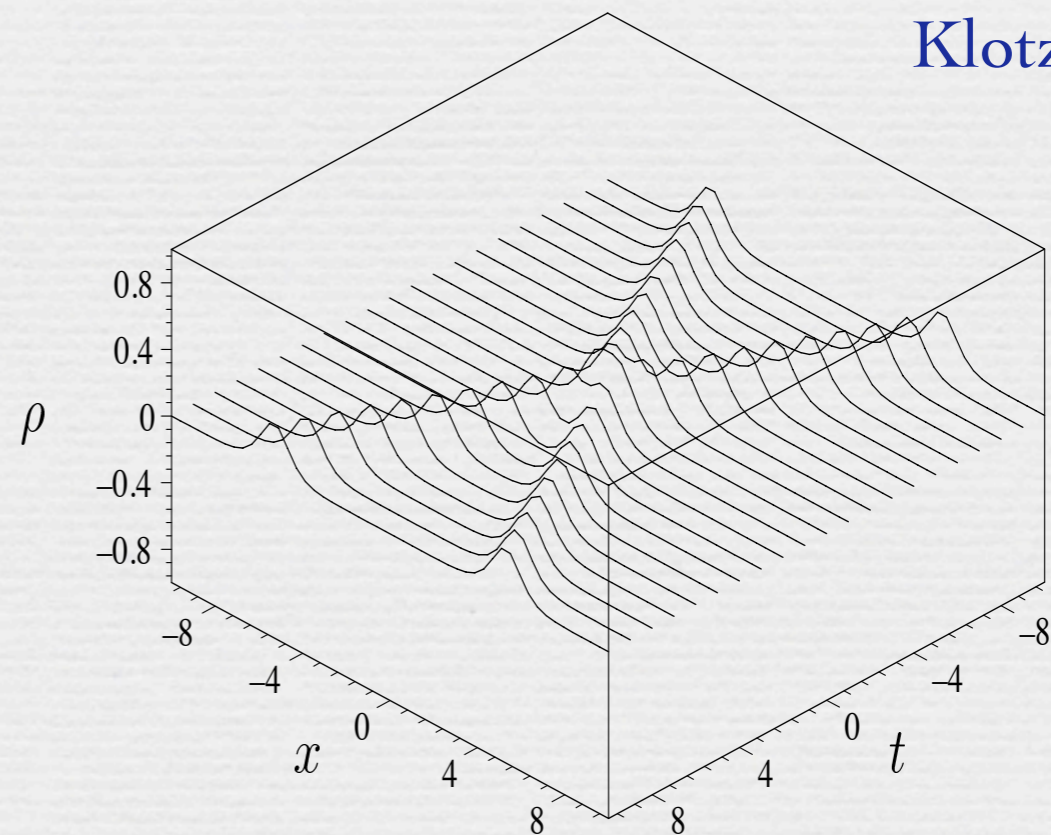
scattering solution :

$$\sigma(x, t) = \frac{v \cosh(2x/\sqrt{1 - v^2}) - \cosh(2vt/\sqrt{1 - v^2})}{v \cosh(2x/\sqrt{1 - v^2}) + \cosh(2vt/\sqrt{1 - v^2})}$$

Klotzek, Thies,
2010



baryon-antibaryon



baryon-baryon

this means we have a solution to the gap equation:

$$\sigma(x, t) = \frac{\delta}{\delta\sigma(x, t)} \ln \det (i\partial\!\!\!/ - \sigma(x, t))$$

suggests we can find a solution to :

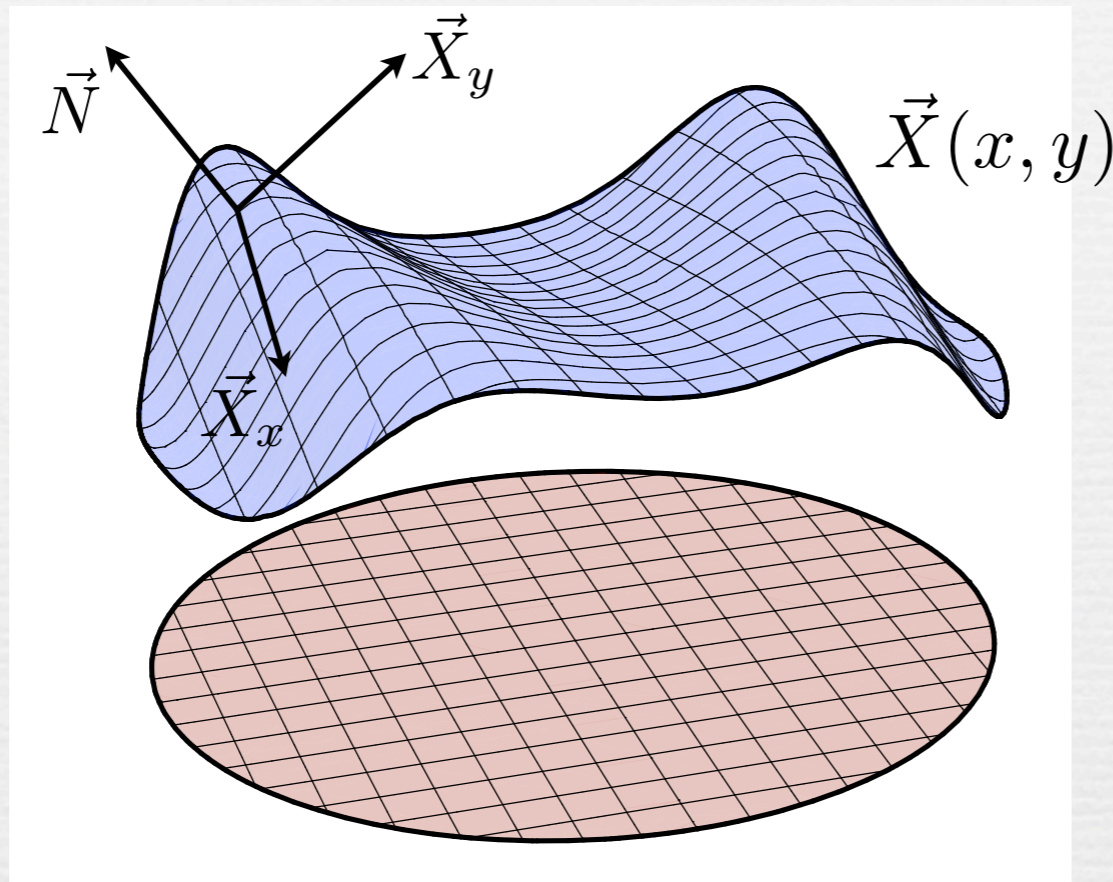
$$\sigma(x, y) = \frac{\delta}{\delta\sigma(x, y)} \ln \det (i\partial\!\!\!/ - \sigma(x, y))$$

could represent a static 2d inhomogeneous condensate
of the 2+1 dimensional Gross-Neveu model

a geometric perspective ...

Başar, GD: JHEP 2011, 1011.3835

immersion of a surface in 3 dimensions



$$ds^2 = f^2(x_+, x_-) dx_+ dx_-$$

H: mean curvature

Gauss-Codazzi equations

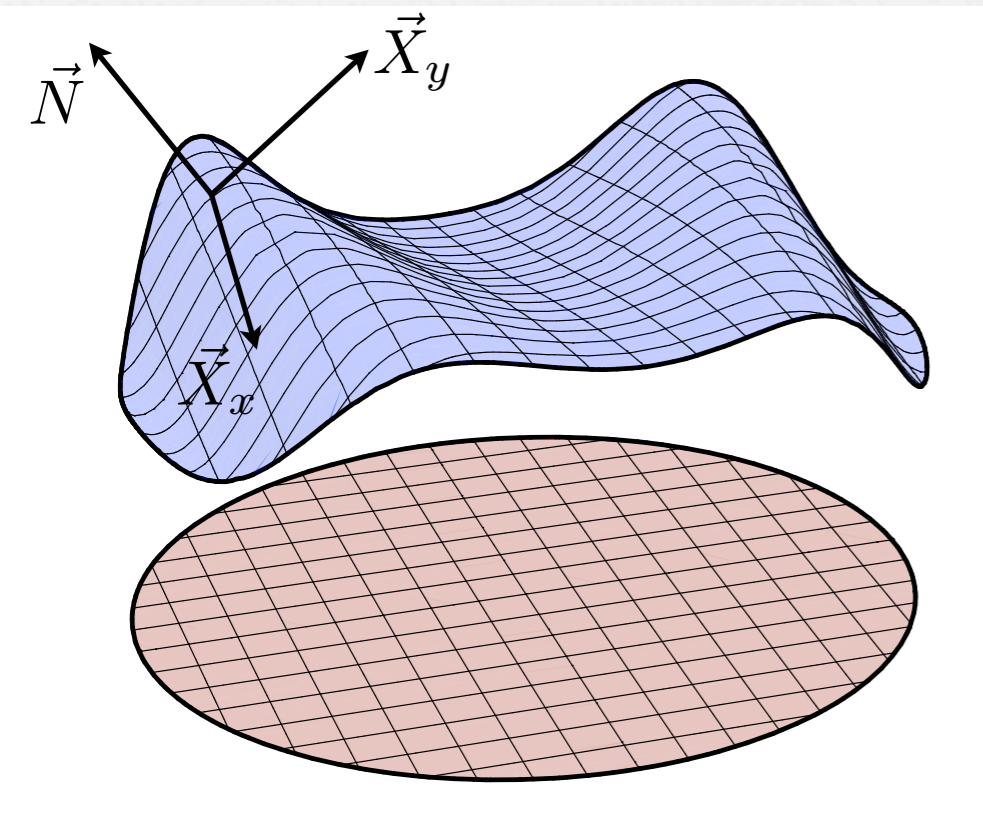
$$f f_{+-} - f_+ f_- - \frac{1}{4} H^2 f^4 = -Q^{(+)} Q^{(-)}$$

$$Q_-^{(+)} = \frac{1}{2} f^2 H_+$$

$$Q_+^{(-)} = \frac{1}{2} f^2 H_-$$

spinor representation of surfaces

(Weierstrass, Enneper, Eisenhart, Hopf,
Neveu-Papanicolaou, Lund,
Bobenko, Gel'fand, Konopelchenko, ...)



$$SO(1, 2) \sim SU(1, 1)$$

$$\vec{X} = (X_1, X_2, X_3) \quad \leftrightarrow \quad X = -i \begin{pmatrix} X_3 & X_1 - iX_2 \\ X_1 + iX_2 & -X_3 \end{pmatrix}$$

$$\vec{X}_+, \quad \vec{X}_-, \quad \vec{N} \quad \Rightarrow \quad SU(1, 1) \text{ spinors } \psi$$

Gauss-Codazzi equations

$$f f_{+-} - f_+ f_- - \frac{1}{4} H^2 f^4 = -Q^{(+)} Q^{(-)}$$

$$Q_-^{(+)} = \frac{1}{2} f^2 H_+$$

$$Q_+^{(-)} = \frac{1}{2} f^2 H_-$$

spinor representation:

Dirac equation: $(i\partial - S)\psi = 0$

induced metric factor: $f = \bar{\psi}\psi$

mean curvature: $S = H \bar{\psi}\psi$

Hopf differentials: $Q^{(+)} = -i(\psi_1^* \psi_{1,+} - \psi_{1,+}^* \psi_1)$

$$Q^{(-)} = i(\psi_2^* \psi_{2,-} - \psi_{2,-}^* \psi_2)$$

constant mean curvature: nonlinear Dirac equation

$$(i\partial - l \bar{\psi}(x)\psi(x)) \psi = 0$$

$$f^2 = e^\theta \quad \Rightarrow \quad \text{Sinh-Gordon} \quad \partial_\mu \partial^\mu \theta + 4 \sinh \theta = 0$$

constant mean curvature in flat $\mathbb{R}^{2,1}$ space



zero mean curvature in AdS_3 space

Pohlmeyer, Lund/Regge,
Barbashov et al, ...

explicit map between time-dependent solutions
to Gross-Neveu gap equation

&

classical string solutions in AdS_3

Klotzek, Thies, 2010

Başar, GD, 2010

suggests new geometrical approach to search for
inhomogeneous solutions to Gross-Neveu gap equations

geometric meaning of static inhomogeneous condensates in 1+1 dimensions for GN₂

immersion of **curves** into 3 dimensional space

Da Rios (1906),
student of Levi-Civita

“vortex filament equations”

SUL MOTO D'UN LIQUIDO INDEFINITO CON UN FILETTO VORTICOSO

che, per le (18) e (21), diventano :

$$-\frac{d\tau}{dt} - \left(\frac{c'}{c} - \tau^2\right)' = cc',$$

$$c'' = c'' - c\tau^2 + c\tau^2,$$

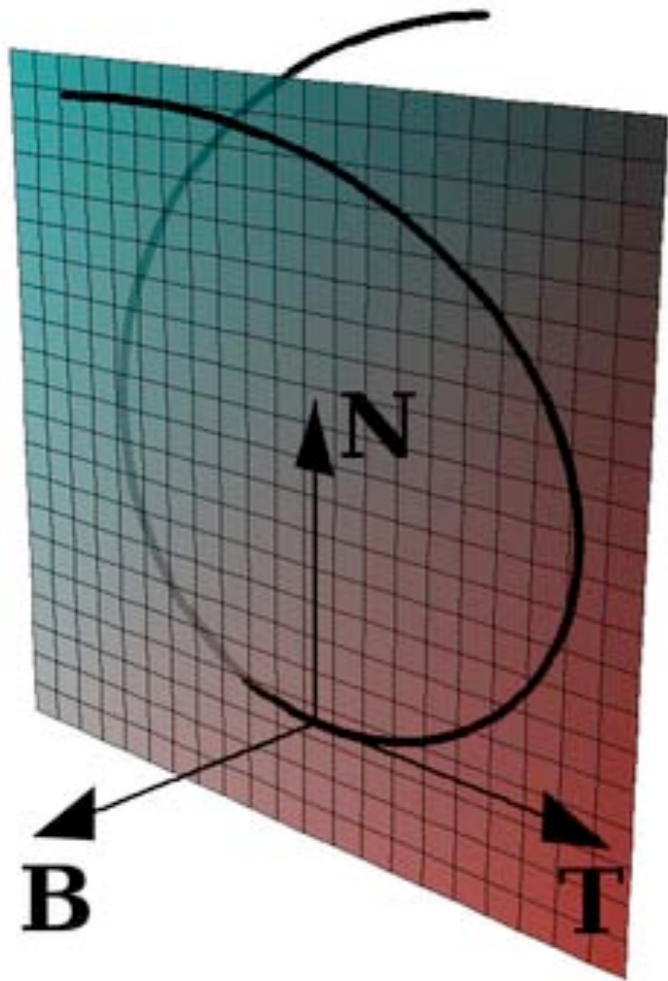
$$\frac{dc}{dt} = c\tau' + 2c'\tau.$$

Abbiamo quindi finalmente le equazioni cercate :

$$(22) \quad \begin{cases} \frac{dc}{dt} = c\tau' + 2c'\tau, \\ \frac{d\tau}{dt} = -cc' + \left(\tau^2 - \frac{c''}{c}\right)'. \end{cases}$$

Il teorema di esistenza, applicato a questo sistema di equazioni ammette di asserire con tutto rigore che le funzioni $c(s, t)$, $\tau(s, t)$ (regolarità) univocamente definite dai valori inizi

The intrinsic equations (22) as they were presented by Da Rios in his first paper published in 1906; c and τ stand for curvature and torsion of the vortex filament, respectively.



Frenet-Serret equations
for moving frame of curve
immersion can be written
as a Dirac equation

$$\frac{d}{ds} \begin{pmatrix} t \\ n \\ b \end{pmatrix} = \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & \tau \\ 0 & -\tau & 0 \end{pmatrix} \begin{pmatrix} t \\ n \\ b \end{pmatrix}$$

“potential” satisfies NLSE (equiv Sinh-Gordon in 1+1)

(spectral) deformations of these curves : mKdV hierarchy

mKdV governs thermodynamics of 1+1 GN model

proposal/conjecture :

Başar, GD, 2010

Gauss-Codazzi equations for surface embedding
can be written as a Dirac equation

solutions satisfy Sinh-Gordon

(spectral) deformations of these surfaces :

(m) Novikov-Veselov hierarchy

question: does mNV govern the thermodynamics
of 2+1 dimensional GN model ?

lowest nontrivial equation of mKdV :

$$\Delta'' - 2|\Delta|^2\Delta = \nu\Delta$$

lowest nontrivial equation of mNV :

$$\nabla^2\Delta - \left[\left(\frac{\partial}{\partial\bar{\partial}} + \frac{\bar{\partial}}{\partial} \right) |\Delta|^2 \right] \Delta = \nu\Delta$$

TWO-DIMENSIONAL SCHRÖDINGER OPERATOR: INVERSE
SCATTERING TRANSFORM AND EVOLUTIONAL EQUATIONS

1986

S. P. NOVIKOV AND A. P. VESELOV

VESELOV-NOVIKOV EQUATION AS A NATURAL TWO-DIMENSIONAL
GENERALIZATION OF THE KORTEWEG-DE VRIES EQUATION

1987

L. V. Bogdanov

The Miura transformation between KdV and MKdV solutions is generalized to the two-dimensional case. An integrable equation associated with the two-dimensional Dirac operator – the modified Veselov-Novikov equation – is introduced.

localized
“dromion”
solutions

Conclusions

- gap equation for chiral GN/NJL₂
- full, exact, thermodynamics & phase diagrams
- physics = Peierls instability + chiral symmetry breaking
- Ginzburg-Landau expansion = mKdV or AKNS hierarchy
- geometric picture = curve and surface embedding
- higher dimensional models : Novikov-Veselov hierarchy ?

Peierls instability ?

surface embeddings ?

